



# CITY OF WOOD DALE

## PUBLIC NOTICE

**In accordance with the Governor's Executive Orders, the Illinois Department of Public Health (IDPH) Regulations and the Centers for Disease Control (CDC) Guidance, the City has determined that in-person Meetings or Meetings conducted under the purview of the Open Meetings Act is not practical or prudent; therefore, remote participation is permitted.**

**Accordingly, City Hall will be closed to the public, except for essential services.**

IN ACCORDANCE WITH THE STATUTES OF THE STATE OF ILLINOIS AND THE ORDINANCES OF THE CITY OF WOOD DALE, NOTICE IS HEREBY GIVEN THAT THE CITY COUNCIL WILL CONTINUE ITS REGULAR STANDING COMMITTEE MEETINGS AT 7:30 P.M. ON THURSDAY, DECEMBER 10, 2020 IN THE COUNCIL CHAMBERS OF THE CITY HALL, 404 NORTH WOOD DALE ROAD, WOOD DALE, ILLINOIS, FOR THE PURPOSES SET FORTH IN THE FOLLOWING AGENDAS:

During the COVID-19 Pandemic, anyone wishing to participate in the public meeting of the City Council may do so from another location, as City Hall is closed to the Public, to ensure the safety of the public and Staff, by Zoom Teleconferencing. The Dial-In Number for the meetings will be (312) 626-6799 and the Meeting ID will be 897-8837-9131. Anyone wishing to attend may address the City Council by sending an email to the City at [PublicComment@wooddale.com](mailto:PublicComment@wooddale.com) by 4:00 p.m. the day of the Meeting.

**REVISED**  
STANDING COMMITTEES  
OF THE  
CITY OF WOOD DALE, ILLINOIS  
DECEMBER 10, 2020

- I. PUBLIC HEALTH, SAFETY, JUDICIARY & ETHICS COMMITTEE**
  - A. Call to Order
  - B. Roll Call
  - C. Approval of Minutes of Meeting
    - i. November 12, 2020 Public Health, Safety, Judiciary & Ethics Committee Minutes
  - D. Report and Recommendation
    - i. Residential Parking Permit – Ash Street
  - E. Items to be Considered at Future Meetings
  - F. Adjournment

## II. PUBLIC WORKS COMMITTEE

- A. Call to Order
- B. Roll Call
- C. Approval of Minutes of Meeting
  - i. **November 12, 2020 Public Works Committee Minutes**
- D. Report and Recommendation
  - i. Small Wireless Telecommunication Facility Regulations and Colocation of City Infrastructure Regulations
  - ii. Master Pole Attachment Agreement with Chicago SMSA Limited Partnership D/B/A/ Verizon Wireless
  - iii. Recommendation and Approval of Guidelines for the Grass Cutting Assistance Program
  - iv. Approval of a Four-Year Contract for the Parkway Tree Pruning Program with Ciosek Tree Services in an Amount Not to Exceed \$151,045.40
  - v. Approval of an Intergovernmental Agreement with DuPage County for Maintaining Folding Stop Signs at County Controlled Intersections
  - vi. Approval of Quit Claim Deed for Land Conveyance of Parcels Relating to IL-390 Tollway Construction
- E. Items to be Considered at Future Meetings
  - i. IL-390 Tollway IGA
- F. Adjournment

## III. FINANCE & ADMINISTRATION COMMITTEE

- A. Call to Order
- B. Roll Call
- C. Approval of Minutes of Meeting
  - i. November 12, 2020 Finance & Administration Committee Minutes
- D. Report and Recommendation
  - i. **FY 2020 Audit Report**
  - ii. Property, Casualty, and Workers Compensation Insurance Renewal
  - iii. Special Service Area 15 & 16 Extension
- E. Items to be Considered at Future Meetings
  - i. Investment RFP – January/February
  - ii. CIP
  - iii. FY 22 budget
- F. Adjournment

**POSTED IN CITY HALL ON DECEMBER 8, 2020 AT 4:00 PM**

LYNN CURIALE, CITY CLERK

BY: MAURA MONTALVO, CITY DEPUTY CLERK



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**PUBLIC HEALTH, SAFETY, JUDICIARY & ETHICS**  
**COMMITTEE MINUTES**

Committee Date: November 12, 2020  
Present: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley and Woods  
Absent: Ald. R. Wesley  
Also Present: Mayor Pulice, Treasurer Porch, Clerk Curiale, City Manager Mermuys, Police Chief Vesta, A. Lange, E. Cage, B. Wilson, N. Kace  
Meeting Convened at: 7:40 p.m.

**APPROVAL OF MINUTES:**

Ald. Susmarski made a motion, seconded by Ald. E. Wesley to approve the minutes of the October 22, 2020 meeting as presented. A roll call vote was taken, and the motion carried unanimously.

**REPORT & RECOMMENDATION**

SQUAD CAR COMPUTER REPLACEMENT

**DISCUSSION:**

Chief Vesta reported this item was in the CIP and is essential for everything done in Patrol. They are removed from the cars more than ever and are used for all report writing, booking, calls, and writing all citations, parking tickets and tow reports along with traffic crash reports. They are also used for emails and GPS location of officers. Staff demo'd several different configurations, and Mr. Kace handled all the specs and biddings and listed everything to be included.

Ald. E. Wesley asked if this is also for CSO vehicles. Chief Vesta confirmed they are included, and that the City has ten regular patrol cars, two CSO vehicles and the investigator call out computers. Ald. E. Wesley asked about the other prices from the bids. Mr. Kace explained they come from one vendor, GTech, who makes a better device. He just went to three sellers who sell GTech. Chief Vesta stated the Department has built up the DUI fund and has sufficient funds to go toward this and still leave some funding for future items. There are no grants available for this, just squad cameras and body cameras. Ald. Jakab asked why they are not Panasonic Toughbooks. Mr. Kace stated they have been around for some time, although Panasonic used to be the only company who made a ruggedized device for police use. GTech then started to develop one for much less. Ald. Jakab asked which one is better;

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Mr. Kace stated that most Police Departments are moving to GTech for a significantly better device for a better price.

Ald. Messina asked if the hardware and software components currently in the squads would be compatible with this. Mr. Kace confirmed everything will be the same and will run Windows, and that the mounts are all universal. If a decision was ever made to return to Panasonic, he wouldn't need to repurchase the other equipment. Ald. Jakab asked about sturdiness and was advised by Mr. Kace they are all military spec rated so they have drop testing and water resistant.

Ald. Messina inquired about a warrantee. Mr. Kace stated the warrantee is bumper to bumper three year on each of them. He could have purchased an additional two years, but found it is not recommended since the odds of failure in five years are very slim. If there was a failure, he believes it would be better to buy a new device.

**VOTE:**

Ald. Jakab made a motion, seconded by Ald. Messina, to approve the replacement of 15 police squad in-car computers and associated docking bays, mounts, antennas, desktop docks, and keyboards in an amount not to exceed \$83,867.67. The computers would be replaced with new model of Getac A140-G2 hardened tablets. A roll call vote was taken, with the following results:

Ayes:	Ald. Catalano, Jakab, Messina, Sorrentino, E. Wesley & Woods
Nays:	None
Abstained:	None
Motion:	Carried

**ITEMS TO BE CONSIDERED AT FUTURE MEETINGS:**

None

**ADJOURNMENT:**

Ald. Susmarski made a motion, seconded by Ald. E. Wesley, to adjourn the meeting at 7:55 p.m. A roll call vote was taken, and the motion was passed unanimously.

*Minutes taken by Eileen Schultz*



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**PUBLIC WORKS**  
**COMMITTEE MINUTES**

Via Zoom

Committee Date: November 12, 2020  
Present: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley and Woods  
Absent: Ald. R. Wesley  
Also Present: Mayor Pulice, Treasurer Porch, Clerk Curiale, City Manager Mermuys, Police Chief Vesta, A. Lange, E. Cage, B. Wilson, N. Kace  
Meeting Convened at: 7:55 p.m.

**APPROVAL OF MINUTES:**

Ald. Catalano made a motion, seconded by Ald. E. Wesley, to approve the minutes of the October 22, 2020 meeting as presented.

**REPORT & RECOMMENDATION**

VETERAN'S MEMORIAL - APPROVAL OF AGREEMENT WITH HR GREEN DEVELOPMENT, LLC FOR VETERAN'S PARK LANDSCAPING PLAN

**DISCUSSION:**

Mayor Pulice proposed starting with a clean slate and just remove most of the half dead pine trees, but keep all the good healthy trees. Director Lange explained that some of the existing trees were used as screening for utility equipment which will again be taken into consideration.

HR green will present three concept plans now that a location for the new medallions has been settled on. They will focus on the area directly in front and south of the current monument to enhance visual appeal of it. They will then do a finalized plan based on Committee's input which will mostly likely be in January.

Ald. E. Wesley recalling a set of plans out there when they were doing the treatment plant. Director Lange stated staff has the original plans presented to City Council and they will probably use those as a foundation for the new plan. This will focus specifically on this area and be a scaled down version of that original plan. Ald. Susmarski talked to HR Green and asked that they impose some kind of ingenious red flower similar to poppies that represents all veterans.



**VOTE:**

Ald. Susmarski made a motion, seconded by Ald. Catalano, to approve an Agreement with HR Green Development, LLC for the Veteran’s Park Landscaping Plan in an amount not to exceed \$12,000. A roll call vote was taken, with the following results:

Ayes:	Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods
Nays:	None
Abstained:	None
Motion:	Carried

**REPORT & RECOMMENDATION:**

WIND SCULPTURE/VETERAN MEDALLIONS LIGHTING

**DISCUSSION:**

Alan Lange stated this was bid together with lighting for the new wind sculptures and lighting for the new Veteran Memorial Medallions as had been discussed at previous meetings. When put out to bid, they only received one that was above the anticipated price. It was just under \$200,000. Some issues were the type of lighting fixtures specked out by Streetscape Committee and locations for wind sculptures. Staff recommends rejecting the bid and prioritize lighting for the medallions.

City Manager Mermuys noted that even though there is no moving forward, this item will be on the next City Council Agenda. When asked about using Tourism Fund, Mr. Mermuys commented it could possibly be used, but then reminded Committee that with COVID it wouldn’t be much.

**VOTE:**

Ald. E. Wesley made a motion, seconded by Ald. Catalano to concur with staff’s recommendation to reject the Bid for the wind sculpture/Veteran Medallions lighting and wait until HR Green presents the final design before proceeding further. A roll call vote was called, with the following results:

Ayes:	Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods
Nays:	None
Abstained:	None
Motion:	Carried



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**REPORT & RECOMMENDATION**

APPROVAL OF AGREEMENT BETWEEN CITY OF WOOD DALE AND DUPAGE RIVER-SALT CREEK WORK GROUP

**DISCUSSION:**

No discussion

**VOTE:**

Ald. Catalano made a motion, seconded by Ald. E. Wesley, to approve an Agreement between the City of Wood Dale and the DuPage River-Salt Creek Workgroup for the Special Condition Extension in an amount not to exceed \$178,406. A roll call vote was taken, with the following results:

Ayes:	Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods
Nays:	None
Abstained:	None
Motion:	Carried

**ITEMS TO BE CONSIDERED AT FUTURE MEETINGS:**

- Senior Grass – December 10, 2020
- New Vehicle Purchase – December 10, 2020
- Approval of an Intergovernmental Agreement with the Illinois State Toll Highway Authority for the IL-390 Elgin O’Hare Western Access Expansion Project – December 10, 2020

**ADJOURNMENT:**

Ald. E. Wesley made a motion, seconded by Ald. Susmarski, to adjourn at 8:17 p.m. Upon a roll call vote, the motion was carried unanimously.

*Minutes taken by Eileen Schultz*



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Residential Parking Permit  
Staff Contact: Greg Vesta, Chief of Police  
Department: Police

**TITLE:** Residential Parking Permit – Ash Street

### **RECOMMENDATION:**

Determine if an amendment to City Code regarding the creation of a residential parking permit on Ash Street between Potter and Dunlay should be implemented.

### **BACKGROUND:**

The residential areas on Ash Street south of Potter have been the source of numerous complaints in the last few months.

The businesses that are near the corner of Potter and Ash have been using the residential areas as auxiliary parking areas to their business. The vehicles, which were being parked on the residential streets for extended periods of time during the day, are affecting mail delivery, garbage pickup and the ability of residents to safely exit their driveways. On several recent visits, the Police Department has witnessed as many as seven (7) vehicles parked on the residential streets which do not belong to the residents, but are being serviced at these businesses or parked by the business, and which are impeding resident access to services.

As part of the City's Unified Development Ordinance, this type of off-premise parking is prohibited. Chapter 17.607.D.5.b of the City Code states in part, "...no parking spaces accessory to a use in a commercial or industrial district shall be located in a residential district..."



A notice was delivered to the businesses that were believed to be using the residential streets as an auxiliary parking area to their business. Since this notice was delivered, the reduction in vehicles that are attributed to these businesses appears to be substantial.

**ANALYSIS:**

The implementation of a residential parking permit zone could be added at this location, which would be reserved for residents and their invited guests. Implementation would include the need to provide guest permits to each resident to prevent the inconvenience of each of them to obtain a permit every time they have a guest or worker at their property. The permit requirements could be limited to 6:00am to 6:00pm Monday through Friday, which appears to be the time periods where this was

occurring. It would be recommended that there is no fee for this permit, as the situation is not occurring due to a residential development requesting additional street parking.

If the guest of a resident was accidentally cited, the department does have a parking citation review process that would allow for the removal of a citation if it was proven to be given to an approved guest.

In the alternative, due to the improved compliance (although very short term evidence), the committee could elect to monitor compliance for the next few weeks or months and make a determination from there.

If the committee approves this ordinance amendment, staff will prepare the appropriate ordinance for approval by City Council.

**DOCUMENTS ATTACHED**

✓ None



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Small Cell/Fiber Colocation  
Staff Contact: Kate Buggy, Management Analyst  
Department: Administration

**TITLE:** Small Wireless Telecommunication Facility Regulations and Colocation of City Infrastructure Regulations

### **RECOMMENDATION:**

Staff recommends the Committee approve the draft amendments to the City's Small Wireless Telecommunication Facility Regulations, Colocation of City Infrastructure Regulations, and Small Wireless Facilities Design Standards Manual.

### **BACKGROUND:**

The growing demand for improved wireless services has resulted in increasing requests from the wireless industry to place small wireless facilities, otherwise known as small cell equipment, on municipally-owned structures like light poles and traffic lights. In February of 2020, the City Council approved a contract with HR Green. HR Green has assisted other communities, including Elk Grove Village and Wilmette by developing broadband and wireless strategies.

### **ANALYSIS:**

HR Green has worked with staff to develop design standards for small wireless facilities, language for amendments to the City's Code regarding small wireless facilities, and new language for colocation of City infrastructure. By creating multi-faceted strategies to control broadband and wireless futures, the City can maintain leverage in possible negotiations with wireless carriers.

**DOCUMENTS ATTACHED**

- ✓ Ordinance
- ✓ Exhibit A – Amendments to Chapter 16, Article VI of the City Code (Small Wireless Facilities)
- ✓ Exhibit B – New Section 16.324 (Colocation of City Infrastructure)
- ✓ Exhibit C – Small Wireless Facilities Design Standards Manual

**ORDINANCE NO.**

**AN ORDINANCE AMENDING THE CITY OF WOOD DALE'S SMALL WIRELESS TELECOMMUNICATION FACILITY REGULATIONS IN CHAPTER 16 OF THE MUNICIPAL CODE OF THE CITY OF WOOD DALE**

**WHEREAS**, the City of Wood Dale (hereinafter referred to as the "City") is a body politic and corporate, organized and existing pursuant to the Illinois Municipal Code, 65 ILCS 5/1-1-1 *et seq.*; and

**WHEREAS**, the City possesses the authority, pursuant to the Illinois Municipal Code, 65 ILCS 5/1-1-1 *et seq.*, to adopt ordinances pertaining to public health, safety and welfare; and

**WHEREAS**, the City Code currently regulates the location and design of small wireless telecommunication facilities located in the City in order to protect the public health, safety and welfare of the Citizens of the City; and

**WHEREAS**, upon review of the City's current regulations, the City's Engineer has recommended certain updates to the City's small wireless telecommunications facility regulations so as to keep pace with the advancements in technology, while protecting the public health, safety and welfare of the citizens of the City; and

**WHEREAS**, the Mayor and the City Council have reviewed said updated regulations recommended by the City's Engineer and have determined that said updated regulations are in the best interests of the City and will preserve the public health, safety and welfare of the Citizens of the City; and

**NOW, THEREFORE, BE IT ORDAINED** by the City Council of the City of Wood Dale, DuPage County, Illinois, at a special meeting duly assembled, as follows:

**SECTION ONE:** That the recitals set forth above are incorporated herein and made a part hereof.

**SECTION TWO:** That Chapter 16, Article VI of the City Code (Small Wireless Facilities) is hereby amended by adding the underlined language and deleting the stricken language as set forth in **Exhibit A** attached hereto and incorporated by reference herein.

**SECTION THREE:** That Section 16.324 (Colocation of City Infrastructure) shall be added to Chapter 16, Article III as set forth in **Exhibit B** attached hereto and incorporated herein by reference herein.

**SECTION FOUR:** That the "Small Wireless Facilities Design Standards Manuel" ("Design Manuel"), which Design Manuel is attached hereto and incorporated herein by reference as **Exhibit C** and is further referenced in the updated Section 16.608 E. of the City Code is hereby adopted.

**SECTION FIVE:** That the City Manager, City Staff and/or City Attorney shall take all steps necessary or authorized to implement said provisions.

**SECTION SIX:** That the City Clerk of the City of Wood Dale is hereby directed to publish this Ordinance in pamphlet form, pursuant to the statutes of the State of Illinois.

**SECTION SEVEN:** That this Ordinance shall be in full force and effect from and after its passage, approval, and publication in the manner provided by law.

PASSED this \_\_\_\_\_ day of December, 2020

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

APPROVED this \_\_\_\_\_ day of December, 2020

SIGNED: \_\_\_\_\_  
Annunziato Pulice, Mayor

ATTEST: \_\_\_\_\_  
Lynn Curiale, City Clerk

Published in pamphlet form December \_\_\_\_\_, 2020

# **EXHIBIT A**

Amendments to Chapter 16, Article VI of  
the City Code (Small Wireless Facilities)

**ARTICLE VI  
SMALL WIRELESS FACILITIES**

**SECTION:**

**16.601. Purpose And Scope**

**16.602. Definitions**

**16.603. Zoning**

**16.604. Permit, Application Process**

**16.605. Application Fees, Bond**

**16.606. Annual License Fee**

**16.607. Pole Attachment Agreement**

**16.608. Collocation Requirements And Conditions**

**16.609. Pre-Existing Agreements**

**16.610. Abandonment**

**16.611. Dispute Resolution**

**16.612. Indemnification**

**16.613. Insurance**

**16.614. Maintenance**

**16.615. Permit Suspension And Revocation**

**16.616. Exceptions To Applicability**

**16.617. Severability**

**Sec. 16.601. Purpose And Scope.**

- A. Purpose: The purpose of this article is to establish regulations, standards and procedures for the siting and collocation of small wireless facilities on rights-of-way within the City's jurisdiction, or outside the rights-of-way on property zoned by the City exclusively for commercial or industrial use, in a manner that is consistent with the Small Wireless Facilities Deployment Act, FCC Order, and other applicable federal or state laws or regulations.
- B. Conflicts ~~With~~with State And Federal Laws: In the event that applicable Federal or State laws or regulations conflict with the requirements of this article, the wireless provider shall comply with the requirements of this article to the maximum extent possible without violating Federal or State laws or regulations. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.602. Definitions.**

For the purposes of this article, the following terms shall have the following meanings:

ANTENNA: Communications equipment that transmits or receives electromagnetic radio frequency signals used in the provision of wireless services.

APPLICABLE CODES: Codes as adopted and amended by the City in chapter 12, articles III and IV of this Code, and including the National Electric Safety Code.

APPLICANT: Any person who submits an application and is a wireless provider.

APPLICATION: A request submitted by an applicant to the City for a permit to collocate small wireless facilities, and a request that includes the installation of a new utility pole for such collocation, as well as any applicable fee for the review of such application.

[AUTHORITY: The City of Wood Dale that has jurisdiction and control for use of public rights-of-way as provided by the Illinois Highway Code for placements within public rights-of-way or has zoning or land use control for placements not within public rights-of-way.](#)

COLLOCATE OR COLLOCATION: To install, mount, maintain, modify, operate, or replace wireless facilities on or adjacent to a wireless support structure or utility pole.

COMMUNICATIONS SERVICE: Cable service, as defined in 47 USC 522(6), as amended; information service, as defined in 47 USC 153(24), as amended; telecommunications service, as defined in 47 USC 153(53), as amended; mobile service, as defined in 47 USC 153(53), as amended; or wireless service other than mobile service.

COMMUNICATIONS SERVICE PROVIDER: A cable operator, as defined in 47 USC 522(5), as amended; a provider of information service, as defined in 47 USC 153(24), as amended; a telecommunications carrier, as defined in 47 USC 153(51), as amended; or a wireless provider.

FCC: The Federal Communications Commission of the United States.

[FCC ORDER: The FCC's Declaratory Ruling and Third Report and Order, WT Docket No. 17-79, WC Docket No. 17-84, FCC-18-133, released September 27, 2018, which is incorporated herein by this reference.](#)

FEE: A one-time charge.

HISTORIC DISTRICT OR HISTORIC LANDMARK: A building, property, or site, or group of buildings, properties, or sites that are either: a) listed in the National Register of Historic Places or formally determined eligible for listing by the Keeper of the National Register, the individual who has been delegated the authority by the Federal agency to list properties and determine their eligibility for the National Register, in accordance with section VI.D.1.a.i through section VI.D.1.a.v of the Nationwide Programmatic Agreement codified at 47 CFR part 1, appendix C; or b) designated as a locally landmarked building, property, site, or historic district by an ordinance adopted by the City pursuant to a preservation program that meets the requirements of the Certified Local Government Program of the Illinois State Historic Preservation Office or where such certification of the preservation program by the Illinois State Historic Preservation Office is pending.

[IDOT: The Illinois Department of Transportation](#)

LAW: A Federal or State Statute, common law, code, rule, regulation, order, or local ordinance or resolution.

MICRO WIRELESS FACILITY: A small wireless facility that is not larger in dimension than twenty-four inches (24") in length, fifteen inches (15") in width, and twelve inches (12") in height and that has an exterior antenna, if any, no longer than eleven inches (11").

[MONOPOLE: A standing antenna support structure with no guy wires placed directly on the ground to support one or more small wireless facilities.](#)

MUNICIPAL UTILITY POLE: A utility pole owned or operated by the City in public rights-of-way.

PERMIT: A written authorization required by the City to perform an action or initiate, continue, or complete a project.

PERSON: An individual, corporation, limited liability company, partnership, association, trust, or other entity or organization.

[PUBLIC ACT 100-0585 or ACT: The State of Illinois, Small Wireless Facilities Deployment Act, which is incorporated herein by this reference.](#)

PUBLIC SAFETY AGENCY: The functional division of the Federal government, the State, a unit of local government, or a special purpose district located in whole or in part within this State, that provides or has authority to provide firefighting, police, ambulance, medical, or other emergency services to respond to and manage emergency incidents.

RATE: A recurring charge.

RIGHT-OF-WAY: The area on, below, or above a public roadway, highway, street, public sidewalk, alley, or utility easement dedicated for compatible use. Right-of-way does not include City-owned aerial lines.

ROUTINE MAINTENANCE: The repair or replacement of existing equipment of the same size and type for which no changes in wiring are made.

SMALL WIRELESS FACILITY: A wireless facility that meets both of the following qualifications: a) each antenna is located inside an enclosure of no more than six (6) cubic feet in volume or, in the case of an antenna that has exposed elements, the antenna and all of its exposed elements could fit within an imaginary enclosure of no more than six (6) cubic feet; and b) all other wireless equipment attached directly to a utility pole associated with the facility is cumulatively no more than twenty five (25) cubic feet in volume. The following types of associated ancillary equipment are not included in the calculation of equipment volume: electric meter, concealment elements, telecommunications demarcation box, ground-based enclosures, grounding equipment, power transfer switch, cut-off switch, and vertical cable runs for the connection of power and other services.

UNACCEPTABLE INTERFERENCE: Any level of radio frequency (RF) interference from a source outside of the City's public safety communications network that has the effect of partially or completely impeding aural and/or visual signals received or transmitted by City personnel. Any RF interference from a wireless provider as defined by this Ordinance and state law shall be measured, corrected, and eliminated in accordance with the requirements of Section 15(d)(6)(A) of Public Act 100-0585.

UTILITY POLE: A pole or similar structure that is used in whole or in part by a communications service provider or for electric distribution, lighting, traffic control, or a similar function.

WIRELESS FACILITY: Equipment at a fixed location that enables wireless communications between user equipment and a communications network, including: a) equipment associated with wireless communications; and b) radio transceivers, antennas, coaxial or fiber-optic cable, regular and backup power supplies, and comparable equipment, regardless of technological configuration. Wireless facility includes small wireless facilities. Wireless facility does not include: a) the structure or improvements on, under, or within which the equipment is collocated; or b) wireline backhaul facilities, coaxial or fiber optic cable that is between wireless support structures or utility poles or coaxial, or fiber optic cable that is otherwise not immediately adjacent to or directly associated with an antenna.

WIRELESS INFRASTRUCTURE PROVIDER: Any person authorized to provide telecommunications service in the State that builds or installs wireless communication transmission equipment, wireless facilities, wireless support structures, or utility poles and that is not a wireless services provider but is acting as an agent or a contractor for a wireless services provider for the application submitted to the City.

WIRELESS PROVIDER: A wireless infrastructure provider or a wireless services provider.

WIRELESS SERVICES: Any services provided to the general public, including a particular class of customers, and made available on a nondiscriminatory basis using licensed or unlicensed spectrum, whether at a fixed location or mobile, provided using wireless facilities.

WIRELESS SERVICES PROVIDER: A person who provides wireless services.

WIRELESS SUPPORT STRUCTURE: A freestanding structure, such as a monopole; tower, either guyed or self-supporting; billboard; or other existing or proposed structure designed to support or capable of supporting wireless facilities. Wireless support structure does not include a utility pole. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

### Sec. 16.603. Zoning.

Small wireless facilities shall be classified as permitted uses and shall not be subject to zoning review, if collocated in rights-of-way in any zoning district. Small wireless facilities shall be permitted outside rights-of-way in the following zoning districts: C-1, C-2 and C-3, TCB and I-1 and I-2, as those districts are defined in chapter 17 of this Code, the City's unified development ordinance (UDO). In all other zoning districts, if the

**Commented [PK1]:** Definition retrieved on June 1, 2020 from <https://www.lawinsider.com/dictionary/routine-maintenance>

**Commented [PK2]:** This language is from the Act. The FCC Order in some parts of its definition of a SWF seems to be more restrictive than the Act and less restrictive in other parts of the definition. The FCC Order defines "Small Wireless Facilities," as: *Each antenna associated with the deployment, excluding associated antenna equipment (as defined in the definition of antenna in section 1.1320(d)), is no more than three cubic feet in volume; All other wireless equipment associated with the structure, including the wireless equipment associated with the antenna and any pre-existing associated equipment on the structure, is no more than 28 cubic feet in volume.*

small wireless facility is located outside the right-of-way, the City's usual zoning approvals, processes and restrictions shall apply, if zoning approval, processes or restrictions are required by chapter 17, article IV of this Code. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.604. Permit, Application Process.**

- A. Permit Required: An applicant shall obtain one or more permits from the City to collocate a small wireless facility.
- B. Permit Not Required: The City shall not require an application, approval or permit, or require any fees or other charges, from a communications service provider authorized to occupy the rights-of-way, for:
  - 1. Routine maintenance;
  - 2. The replacement of wireless facilities with wireless facilities that are substantially similar, the same size, or smaller if the wireless provider notifies the City in writing at least ten (10) days prior to the planned replacement and includes equipment specifications for the replacement of equipment, including: a) equipment type and model numbers, for the replacement of equipment consistent with the equipment specifications information required on a permit application for original installation; and b) information sufficient to establish that the replacement is substantially similar. The City has the sole right and responsibility to determine if a proposed small wireless facility is substantially similar to the existing wireless facility; or
  - 3. The installation, placement, maintenance, operation or replacement of micro wireless facilities suspended on cables that are strung between existing utility poles in compliance with applicable Safety Codes.
- C. Application Information: An application shall be received and processed, and permits issued shall be subject to the wireless provider providing the following information to the City, together with the City's Small Cell Facilities Permit Application:
  - 1. Site specific structural integrity and, for a Municipal utility pole, make-ready analysis prepared by a structural engineer, as that term is defined in section 4 of the [Structural Engineering Practice Act of 1989](#);
  - 2. The location where each proposed small wireless facility or utility pole would be installed and photographs of the location and its immediate surroundings depicting the utility poles or structures on which each proposed small wireless facility would be mounted or location where utility poles or structures would be installed. This should include a depiction of the completed facility;
  - 3. Specifications and drawings prepared by a structural engineer, as that term is defined in section 4 of the Structural Engineering Practice Act of 1989, for each proposed small wireless facility covered by the application as it is proposed to be installed;
  - 4. The equipment type and model numbers for the antennas and all other wireless equipment associated with the small wireless facility;
  - 5. A proposed schedule for the installation and completion of each small wireless facility covered by the application, if approved;
  - 5.6. [Certification of compliance with applicable FCC regulations. This includes the submission of a site specific non-ionizing electromagnetic radiation \(NIER\) report for the small wireless facility equipment type and model endorsed by a radiofrequency engineer licensed in the State of Illinois, including a certification that the network node complies with all radiation and electromagnetic standards. The report shall specify approach distances to the general public and occupational workers at the ground and antenna centerline levels. The report shall include instructions regarding powering off the equipment or contact information for a person who can power off the equipment. No significant changes to the power, location, RF emission patterns and/or emitting frequencies may be made without prior notification and approval. However, non-substantive changes, for example,](#)

~~in-kind replacements of transmitters of the same frequency, radiation patterns and power are permitted. The City retains the right to independently verify the RF patterns as installed.~~

~~6.7.~~ Certification that the collocation complies with the collocation requirements and conditions contained herein, to the best of the applicant's knowledge;

~~7.8.~~ In the event that the proposed small wireless facility is to be attached to an existing pole owned by an entity other than the City, the wireless provider shall provide legally competent evidence of the consent of the owner of such pole to the proposed collocation; and

~~9.~~ Application fee(s) as set forth in section 16.605 of this article.

~~8-10.~~ The City retains the right to require an applicant to pay the fees and costs of any consultant engaged by the City to assist in the review of plans, applications, reports, inspections, and/or testing.

D. Application Process: The City shall process applications as follows:

1. ~~The first completed application shall have priority over applications received by different applicants for collocation on the same utility pole or wireless support structure. For all new pole installations, the City retains the right to require a second applicant for the same general space to install a new pole capable of collocating both applicants internally in the pole, where technically feasible and financially reasonable. The first applicant is required to allow the subsequent applicant to replace the pole with a multi-cell pole. The original pole shall be made available to the installing applicant to salvage. If not retrieved in 30 days the pole shall be declared abandoned and disposed. The first completed application shall have priority over applications received by different applicants for collocation on the same utility pole or wireless support structure.~~

2. An application to collocate a small wireless facility on an existing utility pole or wireless support structure, or replacement of an existing utility pole or wireless support structure shall be processed on a nondiscriminatory basis ~~by and shall be deemed approved if the City fails to approve or deny the application within ninety-sixty (690) days after the submission of a completed application.~~

~~However, if an applicant intends to proceed with the permitted activity on a deemed approved basis, the applicant shall notify the City in writing of its intention to invoke the deemed approved remedy no sooner than seventy-five (75) days after the submission of a completed application.~~

~~The permit shall be deemed approved on the latter of the ninetieth (90th) day after submission of the complete application or the tenth (10th) day after the receipt of the deemed approved notice by the City. The receipt of the deemed approved notice shall not preclude the City's denial of the permit request within the time limits as provided under this article.~~

3. An application to collocate a small wireless facility that includes the installation of a new utility pole shall be processed on a nondiscriminatory basis ~~and deemed approved if by the City fails to approve or deny the application within one hundred twenty-ninety (9120) days after the submission of a completed application.~~

~~However, if an applicant intends to proceed with the permitted activity on a deemed approved basis, the applicant shall notify the City in writing of its intention to invoke the deemed approved remedy no sooner than one hundred five (105) days after the submission of a completed application.~~

~~The permit shall be deemed approved on the latter of the one hundred twentieth (120th) day after submission of the complete application or the tenth (10th) day after the receipt of the deemed approved notice by the City. The receipt of the deemed approved notice shall not preclude the City's denial of the permit request within the time limits as provided under this article.~~

4. The City shall deny an application which does not meet the requirements of this article.

If the City determines that applicable codes, ordinances or regulations that concern public safety, or the collocation requirements and conditions contained herein require that the utility pole or wireless support structure be replaced before the requested collocation, approval shall be conditioned on the replacement of the utility pole or wireless support structure at the cost of the provider.

**Commented [PK3]:** This language was added to allow the City to ask the applicant to verify that the small wireless facility complies with the FCC maximum exposure limits (MPE) regarding RF emissions by submitting a NIER as part of the application process. This is a pre-installation requirement.

**Commented [PK4]:** This section is intended to encourage the collocation of small wireless facilities from two or more providers on the same pole, where technically feasible and financially reasonable.

**Commented [PK5]:** The shot clock language in the FCC Order and the Act vary when it comes to the number of days for approval and the remedy for shot clock violations. The FCC Order seems to be more restrictive than the Act when it comes to shot clocks, so these recommended shot clock regulations are from the FCC Order. Unlike the Act, the FCC Order specifically states that the remedy for a shot clock violation excludes a "deemed approved" remedy, so it is recommended that the "deemed approved" language be removed.

**Commented [PK6]:** The shot clock language in the FCC Order and the Act vary when it comes to the number of days for approval and the remedy for shot clock violations. The FCC Order seems to be more restrictive than the Act when it comes to shot clocks, so these recommended shot clock regulations are from the FCC Order. Unlike the Act, the FCC Order specifically states that the remedy for a shot clock violation excludes a "deemed approved" remedy, so it is recommended that the "deemed approved" language be removed.

The City shall document the basis for a denial, including the specific code provisions or application conditions on which the denial is based, and send the documentation to the applicant.

The applicant may cure the deficiencies identified by the City and resubmit the revised application once within thirty (30) days after notice of denial is sent to the applicant without paying an additional application fee. The City shall approve or deny the revised application within thirty (30) days after the applicant resubmits the application ~~or it is deemed approved~~. Failure to resubmit the revised application within thirty (30) days of denial shall require the applicant to submit a new application with applicable fees, and recommencement of the City's review period.

~~The applicant must notify the City in writing of its intention to proceed with the permitted activity on a deemed approved basis, which may be submitted with the revised application.~~

Any review of a revised application shall be limited to the deficiencies cited in the denial. However, this revised application does not apply if the cure requires the review of a new location, new or different structure to be collocated upon, new antennas, or other wireless equipment associated with the small wireless facility.

- E. Completeness Of Application: Within ~~thirty-ten (130)~~ days after receiving an application, the City shall determine whether the application is complete and notify the applicant. If an application is incomplete, the City must specifically identify the missing information. ~~An application shall be deemed complete if the City fails to provide notification to the applicant within thirty (30) days after all documents, information and fees specifically enumerated in the City's permit application form are submitted by the applicant to the City.~~

~~Processing deadlines are tolled from the time the City sends the notice of incompleteness to the time the applicant provides the missing information.~~

- F. Tolling: ~~Small wireless facility shot clocks are reset, not just tolled, if the City notifies the applicant within 10 days after submission that the application is incomplete. For subsequent determinations of incompleteness, the shot clock would toll—not reset—if the City provides written notice within 10 days that the supplemental submission did not provide the requested information. The time period for applications may be further tolled by:~~ ~~The time period for applications may be further tolled by:~~

1. An express written agreement by both the applicant and the City; or
2. A local, State or Federal disaster declaration or similar emergency that causes the delay.

- G. Consolidated Applications: An applicant seeking to collocate small wireless facilities within the jurisdiction of the City shall be allowed, at the applicant's discretion, to file a consolidated application and receive a single permit for the collocation of up to ~~twenty-five~~ ~~twenty-five~~ (25) small wireless facilities if the collocations each involve substantially the same type of small wireless facility and substantially the same type of structure.

If an application includes multiple small wireless facilities, the City may remove small wireless facility collocations from the application and treat separately small wireless facility collocations for which incomplete information has been provided or that do not qualify for consolidated treatment or that are denied. The City may issue separate permits for each collocation that is approved in a consolidated application.

- H. Duration Of Permits: The duration of a permit shall be for a period of not less than five (5) years, and the permit shall be renewed for equivalent durations unless the City makes a finding that the small wireless facilities or the new or modified utility pole do not comply with the applicable City codes or any provision, condition or requirement contained in this article.

~~If the Act, FCC Order, or other applicable federal or state laws or regulations are repealed~~ ~~If the Act is repealed as provided in section 90 therein~~, renewals of permits shall be subject to the applicable City code provisions or regulations in effect at the time of renewal.

- I. Means Of Submitting Applications: Applicants shall submit applications, supporting information and notices to the City by personal delivery to the City Clerk, at City Hall, 404 N. Wood Dale Road, Wood Dale,

**Commented [PK7]:** The shot clock language in the FCC Order and the Act vary when it comes to the number of days for approval and the remedy for shot clock violations. Unlike the Act, the FCC Order specifically states that the remedy for a shot clock violation excludes a "deemed approved" remedy, so it is recommended that the "deemed approved" language be removed.

**Commented [PK8]:** The shot clock language in the FCC Order and the Act vary when it comes to the number of days for approval and the remedy for shot clock violations. Unlike the Act, the FCC Order specifically states that the remedy for a shot clock violation excludes a "deemed approved" remedy, so it is recommended that the "deemed approved" language be removed.

**Commented [PK9]:** The shot clock language in the FCC Order and the Act vary when it comes to the number of days for approval and the remedy for shot clock violations. The FCC Order seems to be more restrictive than the Act when it comes to shot clocks, so these recommended shot clock regulations are from the FCC Order.

IL 60190, or as otherwise allowed by the City. Two (2) copies of all required documents shall be provided, with all drawings to be submitted in a size no larger than 11 x 17. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.605. Application Fees, Bond.**

Application fee(s) for small wireless facility applications are as specified below. ~~b~~Bond and insurance requirements are as specified in the City's master fee schedule.

A. The application fee for an application to collocate a small wireless facility that includes the installation of a new utility pole shall be: (i) not less than \$1,000 one-time fee for each Small Wireless Facility addressed in an application that includes a new utility pole; or (ii) established by the City by resolution as a reasonable, non-discriminatory approximation of the City's costs; or (iii) agreed upon by the City and a permittee in a Master Pole Attachment Agreement.

A.B. The application fee for an application to collocate a small wireless facility on an existing utility pole or wireless support structure, or replacement of an existing utility pole or wireless support structure shall be (i) not less than \$500 one-time fee for a single up-front application that includes up to five Small Wireless Facilities, with an additional \$100 for each Small Wireless Facility beyond five; or (ii) established by the City by resolution as a reasonable, non-discriminatory approximation of the City's costs; or (iii) agreed upon by the City and a permittee in a Master Pole Attachment Agreement.

~~B.C.~~ Notwithstanding any contrary provision of State law or local ordinance, application fees to be paid shall be non-refundable.

~~C.D.~~ A bond in the amount as specified in the City's master fee schedule is required for each small wireless facility for the duration of each permit. A cash bond is preferred, but a performance or surety bond is acceptable. A letter of credit will not be accepted to meet this requirement. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Commented [PK10]:** The language in the FCC Order and the Act vary when it comes to fees. The FCC Order seems to be more restrictive than the Act when it comes to fees for the **installation of a new utility pole**, so these recommended "safe harbor" fees are based on the FCC Order.

**Commented [PK11]:** The language in the FCC Order and the Act vary when it comes to fees. The FCC Order seems to be more restrictive than the Act when it comes to fees to **collocate a small wireless facility on an existing utility pole or wireless support structure, or replacement of an existing utility pole or wireless support structure**, so these recommended "safe harbor" fees are based on the FCC Order.

**Sec. 16.606. Annual License Fee.**

A wireless provider shall pay to the City an annual recurring license fee: ~~(i) not less than \$270 per small wireless facility on a City utility pole located in a right-of-way; or (ii) established by the City by resolution as a reasonable, non-discriminatory approximation of the City's costs; or (iii) agreed upon by the City and a permittee in a Master Pole Attachment Agreement.~~

as specified in the master fee schedule to collocate a small wireless facility on a City utility pole located in a right-of-way. The fee shall be payable on the first day after the first annual anniversary of the issuance of the permit or notice of intent to collocate, and on each annual anniversary date thereafter. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Commented [PK12]:** The language in the FCC Order and the Act vary when it comes to fees. The FCC Order seems to be more restrictive than the Act when it comes to the **annual recurring license fee**, so these recommended "safe harbor" fees are based on the FCC Order.

**Sec. 16.607. Pole Attachment Agreement.**

In addition to providing a permit, to collocate a small wireless facility on a Municipal utility pole, the City, by and through the City Council, and the applicant shall enter into a Master Pole Attachment Agreement, provided by the City for the initial collocation. A copy of said Agreement is on file in the City and incorporated herein by reference as exhibit A. For subsequent approved permits to collocate on a small wireless facility on a Municipal utility pole, the City, by and through the City Manager, or his designee, and the applicant shall enter into a License Supplement of the Master Pole Attachment Agreement in a form approved by the City Manager for such purpose. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.608. Collocation Requirements And Conditions.**

A. Public Safety Space Reservation: The City may reserve space on Municipal utility poles for future public safety uses, for the City's electric utility uses, or both, but a reservation of space may not preclude the

collocation of a small wireless facility unless the City reasonably determines that the Municipal utility pole cannot accommodate both uses.

- B. Installation And Maintenance: The wireless provider shall install, maintain, repair and modify its small wireless facilities in safe condition and good repair and in compliance with the requirements and conditions of this article. The wireless provider shall ensure that its employees, agents or contracts that perform work in connection with its small wireless facilities are adequately trained and skilled in accordance with all applicable industry and governmental standards and regulations.
- C. No Interference With Public Safety Communication Frequencies: The wireless provider's operation of the small wireless facilities shall not interfere with the frequencies used by a public safety agency for public safety communications.

A wireless provider shall install small wireless facilities of the type and frequency that will not cause unacceptable interference with a public safety agency's communications equipment.

Unacceptable interference will be determined by and measured in accordance with industry standards and the FCC's regulations addressing unacceptable interference to public safety spectrum or any other spectrum licensed by a public safety agency.

If a small wireless facility causes such interference, and the wireless provider has been given written notice of the interference by the public safety agency, the wireless provider, at its own expense, shall remedy the interference in a manner consistent with the abatement and resolution procedures for interference with public safety spectrum established by the FCC including 47 CFR 22.970 through 47 CFR 22.973 and 47 CFR 90.672 through 47 CFR 90.675.

The City may terminate a permit for a small wireless facility based on such interference if the wireless provider is not in compliance with the Code of Federal Regulations cited in the previous paragraph. Failure to remedy the interference as required herein shall constitute a public nuisance.

- D. Electric Distribution Or Transmission System: The wireless provider shall not collocate small wireless facilities on City utility poles that are part of an electric distribution or transmission system within the communication worker safety zone of the pole or the electric supply zone of the pole.

However, the antenna and support equipment of the small wireless facility may be located in the communications space on the City utility pole and on the top of the pole, if not otherwise unavailable, if the wireless provider complies with applicable codes for work involving the top of the pole.

For purposes of this subparagraph, the terms "communications space", "communication worker safety zone", and "electric supply zone" have the meanings given to those terms in the National Electric Safety Code as published by the Institute of Electrical and Electronics Engineers.

- E. Code Compliance: The wireless provider shall comply with all applicable codes and local code provisions or regulations that concern public safety. Small wireless facilities must not result in human exposure to radio frequency radiation in excess of applicable safety standards specified in 47 CFR Rule 1.1307(b). After transmitter and antenna system optimization, but prior to unattended operations of the facility, the wireless provider or its representative must conduct on-site post-installation RF emissions testing to demonstrate actual compliance with the FCC OET Bulletin 65 RF emissions safety rules for general population/uncontrolled RF exposure in all sectors. For this testing, the transmitter shall be operating at maximum operating power, and the testing shall occur outwards to a distance where the RF emissions no longer exceed the uncontrolled/general population limit. The wireless provider shall submit documentation of this testing within ninety (90) days after installation of the facility. RF emissions testing shall be conducted annually and the wireless provider shall submit documentation of this testing to the City within ninety (90) days after the testing is completed.

- F. Design Standards: The wireless provider shall comply with written design standards that are generally applicable for decorative utility poles, or reasonable stealth, concealment and aesthetic requirements that are set forth in a-the City's Small Wireless Facilities Design Standards manual, a City ordinance, written policy adopted by the City, a comprehensive plan or other written design plan that applies to other occupiers of the rights-of-way, including on a historic landmark or in a historic district.

**Commented [PK13]:** This language was added to allow the City to ask the applicant to verify that the small wireless facility complies with the FCC maximum exposure limits (MPE) regarding RF emissions by performing post-installation field testing, as well as annual testing. It is worth noting that other cities, with the assistance of the applicant, have decided to do the testing themselves or hire a consultant to do the testing.

G. Alternate Placements: Except as provided in this section, a wireless provider shall not be required to collocate small wireless facilities on any specific utility pole, or category of utility poles, or be required to collocate multiple antenna systems on a single utility pole. However, with respect to an application for the collocation of a small wireless facility associated with a new utility pole, the City may propose that the small wireless facility be collocated on an existing utility pole or existing wireless support structure within one hundred feet (100') of the proposed collocation, which the applicant shall accept if it has the right to use the alternate structure on reasonable terms and conditions, and the alternate location and structure does not impose technical limits or additional material costs as determined by the applicant.

If the applicant refuses a collocation proposed by the City, the applicant shall provide written certification describing the property rights, technical limits or material cost reasons the alternate location does not satisfy the criteria in this paragraph.

H. Height Limitations: The maximum height of a small wireless facility shall be no more than ten feet (10') above the utility pole or wireless support structure on which the small wireless facility is collocated.

New or replacement utility poles or wireless support structures on which small wireless facilities are collocated may not exceed the higher of:

1. Ten feet (10') in height above the tallest existing utility pole, other than a utility pole supporting only wireless facilities, that is in place on the date the application is submitted to the City, that is located within three hundred feet (300') of the new or replacement utility pole or wireless support structure and that is in the same right-of-way within the jurisdictional boundary of the City, provided the City may designate which intersecting right-of-way within three hundred feet (300') of the proposed utility pole or wireless support structures shall control the height limitation for such facility; or

2. ~~Forty-five~~**Fifty** feet (~~50~~**45**') above ground level.

I. Height Exceptions Or Variances: If an applicant proposes a height for a new or replacement pole in excess of the above height limitations on which the small wireless facility is proposed for collocation, the applicant shall apply for a variance to the requirements set forth herein pursuant to the provisions set forth in section 16.321 of this chapter.

J. Contractual Design Requirements: The wireless provider shall comply with requirements that are imposed by an agreement between the City and a private property owner that concern design or construction standards applicable to utility poles and ground-mounted equipment located in the right-of-way.

K. Ground-Mounted Equipment Spacing: The wireless provider shall comply with applicable spacing requirements in applicable codes and ordinances concerning the location of ground-mounted equipment located in the right-of-way if the requirements include a waiver, zoning or other process that addresses wireless provider requests for exception or variance and do not prohibit granting of such exceptions or variances.

L. Undergrounding Regulations: The wireless provider shall comply with local code provisions or regulations concerning undergrounding requirements that prohibit the installation of new or the modification of existing utility poles in a right-of-way without prior approval if the requirements include a waiver, zoning or other process that addresses requests to install such new utility poles or modify such existing utility poles and do not prohibit the replacement of utility poles.

M. Collocation Completion Deadline: Collocation for which a permit is granted shall be completed within one hundred eighty (180) days after issuance of the permit, unless the City and the wireless provider agree to extend this period or a delay is caused by make-ready work for a Municipal utility pole or by the lack of commercial power or backhaul availability at the site, provided the wireless provider has made a timely request within sixty (60) days after the issuance of the permit for commercial power or backhaul services, and the additional time to complete installation does not exceed three hundred sixty (360) days after issuance of the permit. Otherwise, the permit shall be void unless the City grants an extension in writing to the applicant. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Commented [PK14]:** The language in the FCC Order and the Act vary when it comes to height limitations. Forty-five feet (45') is from the Act. The FCC Order seems to be more restrictive than the Act when it comes to the maximum height of a SWF. The FCC Order defines a "Small Wireless Facilities," as facilities that meet the following conditions: (1) The facilities— (i) are mounted on structures 50 feet or less in height including their antennas as defined in section 1.1320(d), or (ii) are mounted on structures no more than 10 percent taller than other adjacent structures, or (iii) do not extend existing structures on which they are located to a height of more than 50 feet or by more than 10 percent, whichever is greater.

#### Sec. 16.609. Pre-Existing Agreements.

Existing agreements between the City and wireless providers that relate to the collocation of small wireless facilities in the right-of-way, including the collocation of small wireless facilities on City utility poles, that are in effect on June 1, 2018, remain in effect for all small wireless facilities collocated on the City's utility poles pursuant to applications submitted to the City before June 1, 2018, subject to applicable termination provisions contained therein. Agreements entered into after June 1, 2018, shall comply with this article.

A wireless provider that has an existing agreement with the City on the effective date of the Act may accept the rates, fees and terms that the City makes available under this article for the collocation of small wireless facilities or the installation of new utility poles for the collocation of small wireless facilities that are the subject of an application submitted two (2) or more years after the effective date of the Act by notifying the City that it opts to accept such rates, fees and terms. The existing agreement remains in effect, subject to applicable termination provisions, for the small wireless facilities the wireless provider has collocated on the City's utility poles pursuant to applications submitted to the City before the wireless provider provides such notice and exercises its option under this paragraph. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.610. Abandonment.**

A small wireless facility that is not operated for a continuous period of twelve (12) months shall be considered abandoned. The owner of the facility shall remove the small wireless facility within ninety (90) days after receipt of written notice from the City notifying the wireless provider of the abandonment.

The notice shall be sent by certified or registered mail, return receipt requested, by the City to the owner at the last known address of the wireless provider. If the small wireless facility is not removed within ninety (90) days of such notice, the City may remove or cause the removal of such facility pursuant to the terms of its pole attachment agreement for Municipal utility poles or through whatever actions are provided for abatement of nuisances or by other law for removal and cost recovery.

A wireless provider shall provide written notice to the City if it sells or transfers small wireless facilities within the jurisdiction of the City. Such notice shall include the name and contact information of the new wireless provider. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.611. Dispute Resolution.**

The Circuit Court of the Eighteenth Judicial Circuit, Wheaton, Illinois, shall have exclusive jurisdiction to resolve all disputes arising under the Small Wireless Facilities Deployment Act. Pending resolution of a dispute concerning rates for collocation of small wireless facilities on Municipal utility poles within the right-of-way, the City shall allow the collocating person to collocate on its poles at annual rates as specified in ~~the master fee schedule~~ section 16.606 of this article, with rates to be determined upon final resolution of the dispute. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.612. Indemnification.**

A wireless provider shall indemnify and hold the City harmless against any and all liability or loss from personal injury or property damage resulting from or arising out of, in whole or in part, the use or occupancy of the City improvements or right-of-way associated with such improvements by the wireless provider or its employees, agents, or contractors arising out of the rights and privileges granted under this article and the Act. A wireless provider has no obligation to indemnify or hold harmless against any liabilities and losses as may be due to or caused by the sole negligence of the City or its employees or agents. A wireless provider shall further waive any claims that they may have against the City with respect to consequential, incidental, or special damages, however caused, based on the theory of liability. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.613. Insurance.**

The wireless provider shall carry, at the wireless provider's own cost and expense, the following insurance in amounts consistent with those set forth in section 16.308 of this chapter, unless altered by the terms of its agreement with the City:

- A. Property insurance for its property's replacement cost against all risks;
- B. Workers' compensation insurance, as required by law; or
- C. Commercial general liability insurance with respect to its activities on the City improvements or rights-of-way to afford minimum protection limits.

The wireless provider shall include the City as an additional insured on the commercial general liability policy and provide certification and documentation of inclusion of the City in a commercial general liability policy prior to the collocation of any wireless facility.

A wireless provider may self-insure all or a portion of the insurance coverage and limit requirement required by the City. A wireless provider that self-insures is not required, to the extent of the self-insurance, to comply with the requirement for the name of additional insureds under this section. A wireless provider that elects to self-insure shall provide to the City evidence sufficient to demonstrate its financial ability to self-insure the insurance coverage limits required by the City. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

#### **Sec. 16.614. Maintenance.**

A wireless provider shall maintain all small wireless facilities in the City in a condition that maintains the safety, integrity and aesthetics of such facilities. In the event of failure to maintain such facilities, the City shall notify the wireless provider, in writing, addressed to the individual set forth in the application for permit, of the identified maintenance issue. Except in the event of an emergency affecting the public health and safety which requires an immediate response, the wireless provider shall have thirty (30) days from the date of notice to cure the condition complained of, or to secure additional time for performance of said work, if needed. Failure to cure the condition to the satisfaction of the City in the time set forth in any notice may result in revocation of the permit. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

#### **Sec. 16.615. Permit Suspension And Revocation.**

The City retains the right to suspend or revoke any permit issued under this article for one or more of the following reasons:

- A. Fraudulent, false, misrepresenting, or materially incomplete statements in the permit application;
- B. Noncompliance with this article;
- C. Permittee's physical presence or presence of permittee's facilities over, on, above, along, upon, under, across, or within the rights-of-way presents a direct or imminent threat to the public health, safety and welfare; or
- D. Permittee's failure to construct the facilities substantially in compliance with the permit and approved plans. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

#### **Sec. 16.616. Exceptions To Applicability.**

Nothing in this article authorizes a person to collocate small wireless facilities on:

- A. Property owned by a private party or property owned or controlled by the City or another unit of local government that is not located within rights-of-way, or a privately owned utility pole or wireless support structure without the consent of the property owner;
- B. Property owned, leased, or controlled by a park district, forest preserve district, or conservation district for public park, recreation or conservation purposes without the consent of the affected district, excluding the placement of facilities on rights-of-way located in an affected district that are under the

jurisdiction and control of a different unit of local government as provided by the Illinois Highway Code;  
or

- C. Property owned by a rail carrier registered under section 18c-7201 of the Illinois Vehicle Code, Metra Commuter Rail or any other public commuter rail service, or an electric utility as defined in section 16-102 of the Public Utilities Act, without the consent of the rail carrier, public commuter rail service, or electric utility. The provisions of this article do not apply to an electric or gas public utility or such utility's wireless facilities if the facilities are being used, developed and maintained consistent with the provisions of subsection (i) of section 16-108.5 of the Public Utilities Act.

For the purposes of this subsection, "public utility" has the meaning given to that term in section 3-105 of the Public Utilities Act. Nothing in this article shall be construed to relieve any person from any requirement: 1) to obtain a franchise or a State-issued authorization to offer cable service or video service or 2) to obtain any required permission to install, place, maintain, or operate communications facilities, other than small wireless facilities subject to this article. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

**Sec. 16.617. Severability.**

If any provision of this article or application thereof to any person or circumstances is ruled unconstitutional or otherwise invalid, such invalidity shall not affect other provisions or applications of this article that can be given effect without the invalid application or provision, and each invalid provision or invalid application of this article is severable. (Ord. 0-18-029, 8-16-2018, eff. retroactive to 8-1-2018)

## **EXHIBIT B**

New Section 16.324 (Colocation of City  
Infrastructure) added to Chapter 16,  
Article III

## Article III

### Construction of Utility Facilities in Rights-Of-Way

#### SECTION:

16.301. Purpose And Scope

16.302. Definitions

16.303. Annual Registration Required

16.304. Permit Required; Applications And Fees

16.305. Action On Permit Applications

16.306. Effect Of Permit

16.307. Revised Permit Drawings

16.308. Insurance

16.309. Indemnification

16.310. Security

16.311. Permit Suspension And Revocation

16.312. Change Of Ownership Or Owner's Identity Or Legal Status

16.313. General Construction Standards

16.314. Traffic Control

16.315. Location Of Facilities

16.316. Construction Methods And Materials

16.317. Vegetation Control

16.318. Removal, Relocation, Or Modifications Of Utility Facilities

16.319. Cleanup And Restoration

16.320. Maintenance And Emergency Maintenance

16.321. Variances

16.322. Penalties

16.323. Enforcement

**16.324. Colocation of City Infrastructure**

#### Sec. 16.324. Colocation of City Infrastructure.

The City recognizes that it is within its police power to preserve the physical integrity of its streets and highways, control the orderly flow of vehicles and pedestrians, and efficiently manage the gas, electric, water, cable, broadband, telephone, and other facilities that crisscross its streets and public rights-of-way. It is the City's policy to efficiently use public rights-of-way for a variety of infrastructure and utilities in order to provide public services; increase the opportunities for access to traffic control, communication, and broadband services; limit the frequency of street closures and cutting of public streets; and reduce road degradation caused by repeated boring and trenching of public rights-of-way. To this end, the City requires any individual or company (Permit Holder) seeking to install a utility system, including but not limited to fiber optic cables or other private similar systems, that involves directional boring or open trenching within a public right-of-way that extends for more than 500 feet in length to collocate and install City conduit simultaneously with any individual or company's installation of a utility system in compliance with the following:

A. Applications to install a utility system that involves directional boring or open trenching within a public right-of-way associated with the same improvement shall not be divided into multiple applications for the purpose of evading obligations for colocation of City conduit.

B. The City shall not be restricted in its use of City conduit installed through a colocation pursuant to this Section.

C. The City will review all permit applications in a competitively neutral manner and make all permit decisions based on substantial evidence.

D. The City may, upon initial review of the permit application, determine that the proposed installation of a utility system that involves directional boring or open trenching within a public right-of-way does not demonstrate a need for colocation of City infrastructure.

E. For any installation of a utility system that involves directional boring or open trenching within a public right-of-way that requires colocation of City conduit, the City shall, as a condition of the issuance of the Permit or continued validity of a Permit, require the Entity/Permit Holder to install City conduit with tracer wire and associated infrastructure, as identified by the City, concurrent with the installation of the Permit Holder's infrastructure. The requirement for the Entity/Permit Holder to install City conduit with tracer wire and the associated infrastructure shall be completed after the City has reviewed and approved all estimated costs associated with the co-location of the City conduit. The Permit Holder shall install the City conduit with tracer wire adjacent to the Permit Holder's infrastructure and within the same bore or trench alignment.

F. The City will bear all costs associated with the colocation, including the City conduit, pull boxes, and all other materials and infrastructure to be installed, including the incremental labor and equipment cost incurred by the Permit Holder (or its contractor or subcontractor) that are reasonably (true industry costs) and directly attributable to the required colocation of City conduit, materials and infrastructure.

G. A completion inspection with the City's designated representative is required. When a colocation of City conduit is required, this completion inspection shall include physical verification of the installed City conduit.

H. Upon the City's request, the Permit Holder shall submit to the City signed as-built documentation of the City's conduit and provide the City with a City-approved bill-of-sale or similar document evidencing City conduit ownership following the colocation. The as-built documentation should also be delivered in the form of 3D GIS data, to within a few inches' accuracy, that can be imported into the City's GIS system.

I. The City may waive Application Fees set forth in the master fee Schedule for any individual or company seeking to install a utility system that involves directional boring or open trenching within a public right-of-way associated with a City colocation project.

J. All applicable fees to restore and/or repair pavement, as establish by the City or as set forth in the City's master fee schedule, shall apply unless and until a written waiver is obtained from the City.

K. A Permit Holder may appeal a colocation condition imposed by the City in accordance with the appeals procedure set forth in Section 16.321 of this Code.

L. The Colocation of City Infrastructure with the installation of a utility system, including but not limited to fiber optic cables or other private similar systems, that involves directional boring or open trenching within a public right-of-way shall comply with all the requirements of: chapter 6, article XI, "Streets, Sidewalks and Public Ways", of this Code; chapter 6, article XII, "Excavations of Public Rights-of-Way", of this Code; and chapter 16, article III, "Construction of Utility Facilities in Rights-of-Way", of this Code.

DRAFT

# **EXHIBIT C**

## **Small Cell Design Standards**



CITY OF  
WOOD DALE

*SMALL WIRELESS FACILITIES  
DESIGN STANDARDS*

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## 1. Executive Summary

### 1.1 Background

The City of Wood Dale, as with communities across the country and around the world, is facing the next wave of communications technology. While the economic benefits are immense, it has the potential to impact the safety, aesthetic values, and enjoyment of our community in a manner and to a degree that is far more extensive than cellular phones and other types of recent technology.

Small wireless communications, also known as 5G technology, utilizes higher frequencies with the capability to accommodate significantly higher data needs than current 4G/LTE technologies. The physical limits of the higher frequencies require that the transmitters be installed at the spacing of street lights or fire hydrants rather than 2+/- miles or greater distances that 4G/LTE technologies accommodate. The result of this physical need is that the public rights-of-way are the optimal location to install the required equipment.

In September of 2018, the Federal Communications Commission (FCC) adopted the Declaratory Ruling and Third Report and Order, known as FCC 18-133. The Order outlines the extent to which local agencies may or may not regulate the installation of small wireless facilities within the public rights-of-way and the use of existing public infrastructure.

A few months prior to the adoption of the FCC Order, in June of 2018, Public Act 100-0585, the State of Illinois, Small Wireless Facilities Deployment Act (the Act), previously known as Senate Bill 1451, became effective. In general, the Act specifies how local authorities throughout Illinois, may regulate the attachment of small wireless facilities.

Similar to the advent of the telephone which required extensive wires, switch boxes, poles and other structures to provide these services, small wireless communications technology will require a structure to mount a transmitter approximately every 300 to 500 feet with fiber and power connections to each one.

Absent the adoption of standards to assure that installations are context sensitive, service providers would be free to install equipment with no concern for the visual impact that they create. This document seeks to accommodate the implementation of the new technology while assuring that the new infrastructure is installed using context sensitive solutions.

In addition, the equipment needs to be located where it will not interfere with visibility for drivers or use of sidewalks, or other common amenities found in public rights-of-way.

Other issues such as safety, noise and accommodating multiple providers at each location are also addressed within these standards.

### 1.2 Regulatory Matters

On September 27, 2018, the Federal Communications Commissions (FCC) adopted the *Order*. Among other things, the *Order* limits the amount and types of fees that local governments can charge for the use of the ROW; constrains their ability to impose aesthetic, undergrounding, minimum-spacing, and other requirements; imposes timelines – “shot clocks” – for reviewing applications for siting wireless facilities; and regulates various other matters related to the control and management of the public ROW and publicly-owned facilities.

Under the *Order*, local government aesthetic requirements (a.k.a. Design Standards) for small wireless facilities are subject to preemption unless they are (1) reasonable; (2) no more burdensome than those applied to other types of infrastructure deployments; (3) objective; and (4) published in advance.

The *Act*, 50 ILCS 840, became effective June 1, 2018. In a similar manner to the FCC *Order*, the *Act* establishes fees, “shot clocks,” and provides limits on local governments’ control of small wireless infrastructure. Also, similar to the *Order*, under the *Act*, local government aesthetic requirements (a.k.a. Design Standards) must be: (1) written; (2) generally applicable for decorative utility poles, or reasonable stealth, concealment, and aesthetic requirements; and (3) applicable to other occupiers of the rights-of-way.

Various provisions of the Illinois and FCC actions provide similar but sometimes conflicting direction on issues such as fees, shot clocks, aesthetics and other considerations. One foundational principle that is similar under both the *Order* and the *Act*, is that aesthetic design requirements must be reasonable and non-discriminatory vis-à-vis other users of the ROW (not just other wireless providers). The City has demonstrated its sensitivity to this principle throughout this document.

The City has established the governing structures in Article IV of Chapter 16 of its City Code, and all references to these items are governed by (a) Article IV of Chapter 16 of the City Code and subsequently (b) by definition in this Design Standards manual.

### **1.3 Goal Statement**

The City of Wood Dale Small Wireless Facilities Design Standards are hereby established with the goal of accommodating the installation of small wireless (4G, LTE, 5G, and other systems currently under development) technology within City of Wood Dale public rights-of-way provided that the installations are completed in the most context sensitive manner through the establishment of minimum standards for:

- Aesthetics
- Location
- Accommodation of two providers at each location
- Safety
- Noise

## 2. General Information

### 2.1 Introduction and Purpose

These Small Wireless Facilities Design Standards provide objective, technically feasible criteria applied in a non-discriminatory manner that reasonably match the aesthetics and character of the immediate area regarding all of the following, which the City shall consider in reviewing an application.

- (a) The location of any small wireless facilities including their relationship to other existing or planned small wireless sites
- (b) The location of a small wireless facility on a wireless support structure
- (c) The appearance and concealment of small wireless facilities, including those relating to materials used for arranging, screening, and landscaping
- (d) The design and appearance of a wireless support structure including any height requirements adopted in accordance with this document.

It is the goal of the City to allow the installation of a small wireless infrastructure with a minimum foot print. The City's strong preference is that this be accomplished by small wireless siting and the use of multi-cell poles that can accommodate multiple applicants, where technically feasible and financially reasonable.

It is also a goal of the City to demonstrate its sensitivity to the principle that the aesthetic design standards throughout this document are reasonable and non-discriminatory vis-à-vis other users of its right-of-way (not just other wireless providers).

The provisions of these Standards shall not limit or prohibit the City's discretion to promulgate and make publicly available other information, materials or requirements in addition to, and separate from these Small Wireless Facilities Design Standards that do not conflict with state or federal law.

### 2.2 Definitions

The following words, terms and phrases, when used in this document, shall have the meanings ascribed to them in this section, except where the context clearly indicates a different meaning:

**AASHTO** means the American Association of State Highway and Transportation Officials, which is a standards setting body that publishes specifications, test protocols, and guidelines that are used in highway design and construction throughout the United States.

**Administrative review** means ministerial review of an Application by the City relating to the review and issuance of a Permit, including review by the City Manager or designee, if desired, to determine whether the issuance of a Permit is in conformity with the applicable provisions of these Design Standards and all City Codes.

**Antenna** means communications equipment that transmits or receives electromagnetic radio frequency signals used in the provision of wireless services.

**Applicable codes** means Codes as adopted and amended by the City in Chapter 12, Articles III and IV of the City Code, and including the National Electric Safety Code.

**Applicant** means any person who submits an application and is a wireless provider.

**Application** means a request submitted by an applicant to the City for a permit to collocate small wireless facilities, and a request that includes the installation of a new utility pole for such collocation, as well as any applicable fee for the review of such application.

**Authority** means the City of Wood Dale that has jurisdiction and control for use of public rights-of way as provided by the Illinois Highway Code for placements within public rights-of-way or has zoning or land use control for placements not within public rights-of-way.

**City** means the City of Wood Dale.

**City cost** means all costs borne by the City for the administration of this document.

**City Council** means the Wood Dale City Council that consist of the Mayor, City Clerk, City Treasurer, and eight Alderman.

**City Manager** means the Wood Dale City Manager or designee.

**City-Owned infrastructure** means infrastructure within the boundaries of the City, including, but not limited to, street lights, traffic signals, towers, structures, or buildings owned, operated or maintained by the City.

**Code** means the Wood Dale City Code.

**Collocate or collocation** means to install, mount, maintain, modify, operate, or replace wireless facilities on or adjacent to a wireless support structure or utility pole.

**Communications service** means a Cable service, as defined in 47 USC 522(6), as amended; information service, as defined in 47 USC 153(24), as amended; telecommunications service, as defined in 47 USC 153(53), as amended; mobile service, as defined in 47 USC 153(53), as amended; or wireless service other than mobile service.

**Communications service provider** means a cable operator, as defined in 47 USC 522(5), as amended; a provider of information service, as defined in 47 USC 153(24), as amended; a telecommunications carrier, as defined in 47 USC 153(51), as amended; or a wireless provider.

**Consolidated applications** means the submission of multiple siting applications at one time. Consolidated applications shall not exceed 25 individual Small Wireless Facilities if the collocations each involve substantially the same type of small wireless facility and substantially the same type of structure.

**Contractor** means a person, partnership, corporation, or other legal entity who undertakes to construct, install, alter, move, remove, trim, demolish, repair, replace, excavate, or add to any improvements or public improvements covered by this document, that requires work to be undertaken and workers, and/or equipment to be in the ROW in the process of performing the above-named operations. Contractor, as the term is defined herein, should include any and all types of general contractor and subcontractor and successors or assigns of said contractor.

**Development Code** means Chapter 17, Unified Development Code of [City Code](#), as amended.

**Director** means the Community Development Director for the City or designee.

**Distributed Antenna System (DAS)** means a type of small wireless facility consisting of a network of spatially separated antenna nodes connected to a common source via a transport medium that provides wireless service within a geographic area. Generally, serves multiple carriers.

**Effectively screen** means aesthetically pleasing construction meant to conceal small wireless facility equipment. Shall be required where needed to improve the aesthetics of the local environment.

**Equipment concealed** means whenever technically feasible, antennas, cabling, and equipment shall be fully concealed within a Pole, or otherwise camouflaged to appear to be an integrated part of a Pole.

**Excavation or Excavate** means any opening and/or tunneling in or under the surface of any public place or public rights-of-way in the City. The exception is an opening into a lawful structure below the surface of a public place or public right-of-way (e.g., a manhole), the top of which is flush with the adjoining surface and so constructed as to allow frequent openings without injury or damage to the public place or public rights-of-way.

**Facility(ies)** means a pipe, sewer, pipeline, tube, main, service, trap, vent, vault, manhole, meter, gauge, regulator, valve, conduit, wire, tower, pole, pole line, anchor, cable, fiber optic, public irrigation system, junction box, transformer or any other material, structure, sign, traffic control device, or object of any kind or character, whether enumerated herein or not, which is or may be lawfully constructed, left, placed or maintained in, upon, along, across, under or over any public place or public right-of-way. Facilities shall include, as the context dictates, small wireless facilities, as defined herein.

**FCC** means the Federal Communications Commission of the United States.

**FCC Order** means the FCC's [Declaratory Ruling and Third Report and Order](#), WT Docket No. 17-79, WC Docket No. 17-84, FCC-18-133, released September 27, 2018, which is incorporated herein by this reference.

**Fee** means a one-time charge.

**Franchise** means an authorization granted by the City to a person to construct, maintain, or emplace facilities generally upon, across, beneath and over a public place or the public right-of-way in the City.

**Franchise agreement** means a contract entered into between the City and a franchisee that sets forth the terms and conditions under which the franchise may be exercised.

**Height** means maximum height of the small wireless facility, including antenna, above established grade measured at the base of the structure

**Indemnification** means that any provider who owns or operates Small Wireless Facilities or Wireless Support Structures in the ROW shall indemnify, protect, defend, and hold the City and its elected officials, officers, employees, agents, and volunteers harmless against any and all claims, lawsuits, judgments, costs, liens, losses, expenses, fees to include reasonable attorney fees and costs of defense, proceedings, actions, demands, causes of action, liability and suits of any kind and nature, including personal or bodily injury or death, property damage or other harm for which recovery of damages is sought, to the extent that it is caused by the negligence of the Operator who owns or operates Small Wireless Facilities and wireless service in the ROW, any agent, officer, director, representative, employee, affiliate, or subcontractor of the Operator, or their respective officers, agents, employees, directors, or representatives while installing, repairing, or maintaining facilities in the Rights-of-Way.

**Landscape** means any combination of living plant material, such as trees, shrubs, vines, ground covers, flowers, vegetables, turf or grass; natural features, such as land and water forms; and structural features, including but not limited to landscaped pedestrian plazas, fountains, reflecting pools, screening, walls, fences and benches.

**Landscape screening** means the installation at grade of plantings, shrubbery, bushes or other foliage intended to screen the base of a small wireless facility from public view.

**Lattice tower** means an antenna support tower that is self-supporting with multiple legs and cross-bracing of structural steel.

**Law** means a federal or State statute, common law, code, rule, regulation, order, or City ordinance or resolution.

**Macro telecommunication facility(ies) or macrocell** means telecommunication towers, poles or similar structures greater than 50 feet in height, including accessory equipment such as transmitters, repeaters, microwave dishes, horns, and other types of equipment for the transmission or receipt of such signals, as well as support structures, equipment buildings and parking areas.

**Micro wireless facility** means a small wireless facility that is not larger in dimension than 24 inches in length, 15 inches in width, and 12 inches in height and that has an exterior antenna, if any, no longer than 11 inches.

**Minimum height** means the lowest vertical distance at which the structure can still operate at an efficient level of service. An efficient level of service is deemed to be 95% or greater of possible service levels.

**Modification** means the collocation, removal, or replacement of an antenna or any other transmission equipment associated with the supporting structure.

**Monopole** means a standing antenna support structure with no guy wires placed directly on the ground to support one or more small wireless facilities.

**Municipal utility pole** means a utility pole owned or operated by the City in public rights-of-way.

**Ordinance** means Article VI, Chapter 16, Small Wireless Facilities of the Wood Dale City Code as amended, which is incorporated herein by this reference.

**Ordinary maintenance and repair** means inspections, testing and/or repair that maintain functional capacity, aesthetic and structural integrity of a wireless facility and/or the associated support structure, pole or tower, that does not require blocking, damaging or disturbing any portion of the ROW.

**Permit** means a written authorization required by the City to perform an action or initiate, continue, or complete a project.

**Person** means an individual, corporation, limited liability company, partnership, association, trust, or other entity or organization.

**Public Act 100-0585 or Act** means the State of Illinois, [Small Wireless Facilities Deployment Act](#), which is incorporated herein by this reference.

**Public improvements** means any item placed or constructed in public rights-of-way intended for public use including, but not limited to: roadways, streets, alleys, sidewalks, curbs, gutters, trails, crosswalk or other traffic markings or traffic structures, utilities (water, sanitary sewer, or storm sewer) either owned by or dedicated to the City, or over which the City has or there is recorded a public easement, any private access either owned or dedicated to the City, parking lots, or landscaping, whether privately or publicly owned or maintained, unless otherwise specifically exempted within this document.

**Public place** means property owned or controlled by the City and dedicated to public use, including but not limited to any park, square or plaza.

**Publicly owned property** means property that is owned by a government entity, such as a park district, library district, school district or a municipality.

**Rate** means a recurring charge.

**Replacement** means exchanging of transmission equipment; not to include the structure on which the equipment is located.

**Responsible party** means any person or entity who owns facilities or structures located or to be located in the City rights-of-way and/or who is liable, whether financially or otherwise, for any installation, repair, or maintenance of facilities, or public improvements, either public or private, placed on or to be placed in the City rights-of-way.

**Right-of-way or ROW** means the area on, below, or above a public roadway, highway, street, public sidewalk, alley, or utility easement dedicated for compatible use. "Right-of-way" does not include City-owned aerial lines.

**Sidewalk** means a paved walkway or pathway for the purpose of pedestrian traffic abutting or running parallel or adjacent to a street.

**Signage** means that on all small wireless facilities and wireless support structures signage is prohibited, including stickers, logos, and other non-essential graphics and information unless required by the FCC, except for a small placard identifying the service provider and contact information, which shall be placed at 6-feet above grade, facing away from the public rights-of-way or as otherwise directed by the City.

**Small wireless facility** means a wireless facility that meets both of the following qualifications: a) each antenna is located inside an enclosure of no more than six (6) cubic feet in volume or, in the case of an antenna that has exposed elements, the antenna and all of its exposed elements could fit within an imaginary enclosure of no more than six (6) cubic feet; and b) all other wireless equipment attached directly to a utility pole associated with the facility is cumulatively no more than twenty five (25) cubic feet in volume. The following types of associated ancillary equipment are not included in the calculation of equipment volume: electric meter, concealment elements, telecommunications demarcation box, ground-based enclosures, grounding equipment, power transfer switch, cut-off switch, and vertical cable runs for the connection of power and other services.

**Small wireless facility installation** means all equipment required for the operation and maintenance of so-called "small cell" wireless communications systems that transmit and/or receive signals but are not "Macro Telecommunications Facilities," including antennas, microwave dishes, power supplies, transformers, electronics, and other types of equipment required for the transmission or receipt of such signals.

**Stealth facility** means any commercial wireless communications facility that is designed to blend into the surrounding environment by means of screening, concealment, or camouflage. The antenna and supporting antenna equipment are either not readily visible beyond the property on which they are located, or, if visible, appear to be part of the existing landscape or environment rather than identifiable as a wireless communications facility. Stealth facilities may be installed, but such installation methods are not limited to, undergrounding, partially undergrounding and landscaping.

**Street, highway or roadway** means the entire width between the boundary lines of every ROW or easement publicly or privately maintained and open to the use of the public for the purposes of vehicular travel.

**Substructure** means any pipe, conduit, duct, tunnel, manhole, vault, buried cable or wire, or any other similar structure located below the surface of any public place or public right-of way.

**Structure** means anything constructed or erected with a fixed location below, on, or above grade, including, without limitation, service cabinets, junction boxes, foundations, fences, retaining walls, awnings, balconies, and canopies.

**Structure height** means the vertical distance measured from the base of the antenna support structure at grade to the highest point of the structure. If the support structure is on a sloped grade, then the average between the highest and lowest grades of the cell site shall be used in calculating the height.

**Telecommunications** means the transmission, between or among points specified by the user, of information of the user's choosing, without change in the form or content of the information as sent and received.

**Telecommunication system** means the offering of telecommunications for a fee directly to the public, or to such classes of users as to be effectively available directly to the public, regardless of the facilities used. A system that provides both cable and telecommunications or information services may be considered both as a cable system and a telecommunications system pursuant to this Code.

**Tower** means any structure that is designed and constructed primarily for the purpose of supporting one or more antennas, including self-supporting lattice towers, guy towers, or monopole towers, and that is

not a utility pole, an alternative antenna structure, or a City-owned infrastructure. Except as otherwise provided for by this document, the requirements for a tower and associated antenna facilities shall be those required in this document.

**Unacceptable interference** means any level of radio frequency (RF) interference from a source outside of the City's public safety communications network that has the effect of partially or completely impeding aural and/or visual signals received or transmitted by City personnel. Any RF interference from a wireless provider as defined by this Ordinance and state law, shall be measured, corrected, and eliminated in accordance with the requirements of Section 15(d)(6)(A) of Public Act 100-0585.

**Utility pole** means a pole or similar structure that is used in whole or in part by a communications service provider or for electric distribution, lighting, traffic control, or a similar function.

**Wi-Fi antenna** means an antenna used to support Wi-Fi broadband Internet access service based on the IEEE 802.11 standard that typically uses unlicensed spectrum to enable communication between devices.

**Wireless facility(ies)** means equipment at a fixed location that enables wireless communications between user equipment and a communications network, including: a) equipment associated with wireless communications; and b) radio transceivers, antennas, coaxial or fiber-optic cable, regular and backup power supplies, and comparable equipment, regardless of technological configuration. Wireless facility includes small wireless facilities. Wireless facility does not include: a) the structure or improvements on, under, or within which the equipment is collocated; or b) wireline backhaul facilities, coaxial or fiber optic cable that is between wireless support structures or utility poles or coaxial, or fiber optic cable that is otherwise not immediately adjacent to or directly associated with an antenna.

**Wireless infrastructure provider** means any person authorized to provide telecommunications service in the State that builds or installs wireless communication transmission equipment, wireless facilities, wireless support structures, or utility poles and that is not a wireless services provider but is acting as an agent or a contractor for a wireless services provider for the application submitted to the City.

**Wireless provider** means a wireless infrastructure provider or a wireless services provider.

**Wireless services** means any services provided to the general public, including a particular class of customers, and made available on a nondiscriminatory basis using licensed or unlicensed spectrum, whether at a fixed location or mobile, provided using wireless facilities.

**Wireless services provider** means a person who provides wireless services.

**Wireless support structure** means a freestanding structure, such as a monopole; tower, either guyed or self-supporting; billboard; or other existing or proposed structure designed to support or capable of supporting wireless facilities. "Wireless support structure" does not include a utility pole.

### **2.3 Permit, Application Process.**

The complete application process can be found in Section 16.604, Article IV, Chapter 16, *Small Wireless Facilities* of the City of Wood Dale Code as amended.

### 3. Pole Design Standards

#### 3.1 General Pole Design Standards

Where technically feasible and financially reasonable, the City strongly prefers that every small wireless facility collocation shall comply with the following standards:

1. Antennas shall be mounted at heights that shall not exceed the heights as authorized by Section 16.608, Article IV, Chapter 16 of the City Code.
2. Antennas shall be designed and installed to appear hidden within the utility pole or to appear like an original part of the utility pole or wireless support structure.
3. Antennas not hidden within a utility pole shall be located entirely within a shroud enclosure not more than six (6) cubic feet in volume that is capable of accepting paint to match the approved color of the small wireless facility.
4. Top-mounted antennas and their enclosures are the preferred deployment alternative. Such deployments should not increase the diameter of the utility pole or wireless support structure at the level of the antenna attachment more than necessary to accommodate provider equipment and any shrouds or camouflaging deployed.
5. If top-mounted antennas are not feasible or financially reasonable, side-mounted small wireless facility antennas may be deployed within a shroud enclosure. Side-mounted deployments shall be flush mounted to the utility pole or wireless support structure at the level of the attachment. Metal flaps or “wings” may be utilized to extend from the enclosure to the utility pole or wireless support structure to conceal any gap between the small wireless facility and the utility pole or wireless support structure. The design of the flaps should be integrated with the design of the small wireless facility.
6. Small wireless facilities located on street light poles or traffic control structures shall not block light emanating from the street light fixture or otherwise interfere with the purpose of the street light fixture or traffic control structure.
7. Small wireless facilities shall be attached to the utility pole or wireless support structure using rigid steel clamping mounts or stainless-steel banding to the exterior of any metal pole. All mounts and banding shall be of the same color as the utility pole or wireless support structure, except as otherwise approved by the City. Care should be taken to integrate the mounting elements into the small wireless facility design. Through-bolting or use of lag bolts on City-owned utility poles is prohibited.
8. For attachments to existing utility poles, wires serving the small wireless facility shall be concealed within the hollow interior of the utility pole, or if concealment is not technically feasible, flush mounted to an existing utility pole in an enclosed wire chase on which the facilities are collocated. For new utility poles or wireless support structures, wires serving the small wireless facility shall be concealed within the hollow interior of the utility pole or wireless support structure.
9. All small wireless facilities shall be installed in accordance with all applicable City codes. No wiring or cabling shall interfere with any existing wiring or cabling installed by the City, a utility or a wireless services provider.
10. No guy or other support wires will be used in connection with a small wireless facility unless the small wireless facility is to be attached to an existing utility pole or wireless support structure that incorporates guy wires prior to the date the applicant has applied for a permit.
11. The small wireless facility, including the antenna, and all related equipment when attached to an

existing or new utility pole or wireless support structure, must be designed to withstand a wind force and ice loads in accordance with the applicable standards established in Chapter 25 of the National Electric Safety Code for utility poles, Rule 250-B and 250-C standards governing wind, ice, and loading forces on utility poles, in the American National Standards Institute (ANSI) in TIA/EIA Section 222-G established by the Telecommunications Industry Association (TIA) and the Electronics Industry Association (EIA) for steel wireless support structures and the applicable industry standard for other existing structures. For any small wireless facility attached to a pole or wireless support structure, the operator of the small wireless facility must provide the City with a structural evaluation of each specific location containing a recommendation that the proposed installation passes the standards described above. The evaluation must be prepared by a professional structural engineer licensed in the State of Illinois.

12. The City will not authorize any attachments of small wireless facilities to a City-owned utility pole that negatively impacts the structural integrity of the pole. The City may conditionally approve of the collocation on replacement or modification of the City-owned utility pole if necessary, to meet City standards.
13. Ground mounted enclosures, including backup power supply, and electric meters must be concealed within the pole, in existing above-ground cabinets, or placed in a flush-to-grade underground equipment vault. The wireless provider shall comply with local code provisions or regulations concerning undergrounding requirements that prohibit the installation of new or the modification of existing utility poles in a right-of-way without prior approval if the requirements include a waiver, zoning or other process that addresses requests to install such new utility poles or modify such existing utility poles and do not prohibit the replacement of utility poles (see Section 16.608, Article IV, Chapter 16 of City Code). The City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, ground equipment must be placed in new, landscaped above ground pedestals or enclosures. When a new above-ground cabinet is required, the applicant is required to ensure that ground equipment meets the design criteria described in section 4.3 *Related Ground Equipment* in this document to minimize the aesthetic and safety impacts of supporting equipment on the public.
14. Small wireless facilities shall be located in a manner that meets Americans with Disabilities Act (ADA) requirements and does not obstruct, impede or hinder the usual pedestrian or vehicular path of travel.
15. Small wireless facilities collocated on City-owned utility poles may not use the same power or communication source providing power and/or communication for the existing infrastructure. The wireless provider shall coordinate, establish, maintain and pay for all power and communication connections with private utilities.
16. Signage is prohibited on all small wireless facilities and wireless support structures, except for a four (4) inch by six (6) inch plate with the wireless provider's name, location identifying information, and emergency telephone number shall be permanently fixed to the small wireless facility equipment enclosure or shroud. The provider is required to update this information whenever it changes.

### **3.2 City-Owned Street Light Poles**

Where technically feasible and financially reasonable, the City strongly prefers that the following standards be applied when replacing an existing city-owned street light pole with a combination small wireless facility and street light pole. Such replacements should only be located where an existing city-owned street light pole can be removed and replaced, or at a new location where it has been identified that a city-owned street light is necessary. A map of the existing city-owned street light poles can be

found in **Appendix B** of this document, pictures of the current street lights can be found in **Appendix C** of this document, and the current City street light design and construction specifications can be found **Appendix D** of this document. Where technically feasible and financially reasonable, the City strongly prefers that all such replacements shall meet the following standards:

1. All replacement street light poles shall be a similar design, material, and color as the replaced existing city-owned street light pole and other poles within the immediate area.
2. All replacement street light poles and pole foundations shall conform to the City's standards and specifications for city-owned street light design and construction.
3. Replacement street light poles shall be an equal distance from other street light poles, regardless of who owns the street light, based upon the average distance between existing street light poles within the designated area.
4. Street light poles shall be designed and engineered to support a luminaire and mast arm of length equal to that of the existing city-owned pole to be replaced, as well as future planned or reserved banners/street sign loads, or of a length approved by the City based upon the location of the replacement street light pole.
5. All luminaires and mast arms shall match the arc and style of the original luminaire and mast arm, unless otherwise approved by the City.
6. The replacement luminaire and mast arm shall be at the same height above the ground as the existing luminaire and mast arm.
7. All replacement street light poles shall have new light emitting diode (LED) light fixtures of the same manufacturer, model and light output as the removed fixture and nearby light fixtures, or as otherwise approved by the City.
8. Replacement street light poles shall have a five (5) year manufacturer's replacement warranty.
9. Replacement street light poles shall meet AASHTO structural guidelines for roadway applications and the American National Standards Institute requirements for vibrations.
10. Street light pole height shall be measured from the ground to the top of the street light pole.
11. All replacement street light pole heights shall be consistent with those of existing city-owned street lights.
12. The small wireless facility components shall be sized appropriately to the scale of the street light pole.
13. Where required by district, the replacement pole shall include internally integrated wireless components. A decorative transition shall be installed over the equipment enclosure upper bolts, or a decorative base cover shall be installed to match the equipment enclosure size. All hardware connections shall be hidden from view. Each street light pole component shall be architecturally compatible to create a cohesive aesthetic.
14. Replacement street light poles shall continue to be owned by the City.

### **3.3 ComEd-Owned Street Light Poles**

ComEd allows 3rd party attachments to their street light poles. ComEd reviews each request to determine if it is possible to accommodate each attachment. A map of the existing ComEd-owned street light poles can be found in **Appendix B** of this document and pictures of the current street lights can be found in **Appendix C** of this document.

ComEd's process includes:

1. Submission of an application that includes drawings, and specific equipment type and model numbers for the antennas and all other wireless equipment associated with the small wireless facility.
2. Applications will be reviewed and processed by both the ComEd New Business Department and the ComEd Real Estate Department.
3. Re-work may be required to accommodate requests, where a replacement pole may be needed. If a replacement pole is required than the applicant shall be responsible for all costs related to the replacement of an existing pole. The application cannot move forward until the applicant agrees to fund the replacement of the existing pole.
4. A City permit is required if the wireless support structure is going to be located in the City's ROW.
5. To initiate this process, applicants should call 866-NEW-ELEC.

### **3.4 County-Owned Street Light Poles**

Effective June 26, 2018, DuPage County's Division of Transportation (DOT) adopted a new ordinance, "[Wireless Telecommunications Facilities Ordinance](#)" #DT-O-0037-18. This ordinance allows for the installation of Wireless Telecommunication Facilities on existing, new, privately or publicly-owned structures within the County Highway right-of-way. The ordinance was developed in accordance with the Small Wireless Facilities Deployment Act, PA 100-0585.

Applications must be submitted using the County's [application form](#). A completed [checklist](#) is required with each application which lists the requirements for all applications. Please note that incomplete applications will be denied. If an application is deemed to be incomplete, DOT staff will notify the applicant within 10 days including a list of any items missing from the application. A map of the existing County-owned street light poles can be found in **Appendix B** of this document.

### **3.5 Installation of New Poles**

Where technically feasible and financially reasonable, the City strongly prefers that every new wireless support structures shall comply with the following standards:

1. If a replacement pole design is not possible, then a new wireless support structure shall be designed to minimize the visual and aesthetic impact of the new vertical element and associated small wireless facilities upon the surrounding area and shall blend in with the surrounding streetscape with minimal visual impact. New wireless support structures shall be constructed of a specific material that will enhance the stealth and concealment of the structure. New poles shall be designed as Monopoles, consistent with the pole design concepts detailed in **Appendix A** of this document.
2. All New wireless support structures are required to be breakaway, as long as the breakaway pole(s) requested is under 992lbs as described in Section 12-6 of the current edition of AASHTO's *LRFD Structural Supports for Highway Signs, Luminaires, and Traffic Signal* manual.
3. New wireless support structures shall match the design, type, material and color of existing utility poles, including street light poles, within the immediate area, except as otherwise approved by the City.
4. New wireless support structures be equal distance from other utility poles based upon the average distance between existing utility poles within the designated area. If a new wireless support structure cannot be located the average distance from other utility poles, a new wireless

support structure may be approved if such wireless support structure is designed as a stealth pole.

5. The centerline of a new wireless support structure shall be in alignment with existing utility poles where present, or with street or parkway trees along the same side of the right-of-way.
6. New wireless support structures shall not obscure vision from driveways and entryways.
7. New wireless support structures shall be located 10-15 feet away from trees to keep the structures outside of the canopy line and prevent disturbance within the critical root zone.
8. The outside diameter of any new wireless support structure shall not exceed the diameter of existing utility poles located within 300 feet of the location of the new wireless support structure. The City recognizes that larger poles may be required to allow for the internal integration of equipment as discussed in this document and Article IV, Chapter 16 of the City Code.
9. New wireless support structures shall not exceed the heights as authorized by Section 16.608, Article IV, Chapter 16 of the City Code.
10. New wireless support structures shall be round in shape with a smooth pole shaft unless otherwise directed by the City.
11. New wireless support structures incorporating small wireless facilities in an equipment enclosure within a base may utilize poles tapered in diameter or poles having a consistent outside diameter.
12. All new wireless support structures must be supported with a reinforced concrete foundation designed, stamped, sealed and signed by a professional structural engineer licensed in the State of Illinois, and subject to the City's approval.
13. All anchor bolts must be concealed from public view, with an appropriate pole boot or cover powder-coated to match the wireless support structure color.
14. For all new pole installations, the City strongly prefers that a second applicant for the same general space be allowed by the first applicant to install a new pole capable of collocating both applicants internally in the pole. Additionally, the first applicant allows the subsequent applicant to replace the pole with a multi-cell pole. The original pole shall be made available to the first applicant to salvage. If not retrieved in 30 days, the pole shall be declared abandoned and disposed.

## 4. Pole Siting Requirements

### 4.1 Location

The City reserves the right to approve all proposed pole locations, and to recommend modifications to those locations as necessary for future City needs as defined in state code. If the City recommends a modification to the location, it will work with the applicant to find a location that is suitable for the City, and that is technically feasible and financially reasonable for the applicant.

Wireless communication facilities shall not be located on historically or architecturally significant structures unless visually and architecturally integrated with the structure and shall not interfere with prominent vistas or significant public view corridors. Where technically feasible and financially reasonable, the City strongly prefers that new small wireless poles not be located closer than 300 feet to other poles containing a small wireless facility from the same provider without City approval.

Where technically feasible and financially reasonable, the City strongly prefers that small wireless facilities be placed on city-owned street light poles.

Poles shall be located where ever possible on property lines and not in sidewalks, and shall not obscure vision from driveways and entryways. Wherever possible the poles shall be located to take advantage of existing screening.

All equipment located within the public ROW shall be located such that it meets ADA requirements and does not obstruct, impede, or hinder usual pedestrian or vehicular travel.

The City understands that small wireless facilities are classified as permitted uses and shall not be subject to zoning review, if collocated in rights-of-way in any zoning district. However, where technically feasible and financially reasonable, the City strongly prefers that in general, Small Wireless Facilities be located in zoning districts, which are defined in Section 17.501, Article V, Chapter 17 of [City Code](#), as follows (Most Preferred to Least Preferred):

1. Industrial Districts including I-1 and I-2, if not adjacent to a public park or residential area.
2. Commercial Districts including C-1, C-2, and C-3, if not adjacent to a public park or residential area.
3. Town Center Business District (TCB), if not adjacent to a public park or residential area.
4. Residential Districts and Parks including R-1, R-2, R-3, R-4, and R-G.

#### 4.1.1 Industrial Districts

Small Wireless Facilities are permitted to be placed on property within Industrial Districts, including but not limited to I-1 and I-2 districts. Small Wireless Facilities in these zoning districts are subject to compliance with the Public Act 100-0585, the FCC Order, and to administrative staff review for each site to ensure compliance with the City's Small Wireless Facilities Ordinance and the general design standards in this manual, as well as the following additional zone-specific design standards:

1. Where technically feasible and financially reasonable, the City strongly prefers that the Wireless Provider shall comply with design standards approved as part of the development of the property and provide reasonable stealth concealment.
2. External attachments, including antennas, are allowed in Industrial Districts as long as all other requirements are met. Where possible, the City encourages the use of stealth technology to create improved aesthetics.
3. Where technically feasible and financially reasonable, the City strongly prefers that ground

mounted enclosures, including backup power supply, and electric meters must be concealed within the pole, in existing above-ground cabinets, or placed in a flush-to-grade underground equipment vault. The City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, ground equipment must be placed in new, landscaped above ground pedestals or enclosures. When a new above-ground cabinet is required, the applicant is required to ensure that ground equipment meets the design criteria described in section *4.3 Related Ground Equipment* in this document to minimize the aesthetic and safety impacts of supporting equipment on the public.

4. In order to prevent visual obstruction, small cell sites shall obtain power from underground lines buried in conduits. Fiber connections shall also be provided from underground sources. No power and fiber cables servicing Small Wireless Facilities shall be provided from above ground sources.
5. Photographic “before and after” simulations of the proposed location of the Small Wireless Facility shall be provided to the City as part of the permit application.

#### **4.1.2 Commercial Districts**

Small Wireless Facilities are permitted to be placed on property within Commercial Districts, including but not limited to C-1, C-2, and C-3 districts. Small Wireless Facilities in these zoning districts are subject to compliance with the Public Act 100-0585, the FCC Order, and to administrative staff review for each site to ensure compliance with the City’s Small Wireless Facilities Ordinance and the general design standards in this manual, as well as the following additional zone-specific design standards:

1. Where technically feasible and financially reasonable, the City strongly prefers that Small Wireless Facilities be collocated on an existing utility pole, building or structure. Where technically feasible and financially reasonable, the City strongly prefers that the Wireless Provider shall comply with design standards approved as part of the development of the property and provide reasonable stealth concealment.
2. Small Wireless Facilities located in Commercial Districts must include concealment or stealth efforts, as follows:
  - a. Concealment efforts should use fiberglass, plastic or other synthetic materials, and replacement of street lights with modular combinations street light and antenna units. Exposed small cells on utility poles or galvanized steel macrocell sites are not allowed.
  - b. Where technically feasible and financially reasonable, the City strongly prefers that ground mounted enclosures, including backup power supply, and electric meters must be concealed within the pole, in existing above-ground cabinets, or placed in a flush-to-grade underground equipment vault. The City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, ground equipment must be placed in new, landscaped above ground pedestals or enclosures. When a new above-ground cabinet is required, the applicant is required to ensure that ground equipment meets the design criteria described in section *4.3 Related Ground Equipment* in this document to minimize the aesthetic and safety impacts of supporting equipment on the public.
  - c. In order to prevent visual obstruction, small cell sites shall obtain power from underground lines buried in conduits. Fiber connections shall also be provided from underground sources. No power and fiber cables servicing Small Wireless Facilities shall be provided from above ground sources.

- d. Photographic “before and after” simulations of the proposed location of the Small Wireless Facility demonstrating concealment efforts shall be provided to the City as part of the permit application, and shall be modified according to reasonable requests from the City to better blend with the surrounding area.

#### **4.1.3 Town Center Business District**

Small Wireless Facilities are permitted to be placed on property within the Town Center Business District (TCB). Small Wireless Facilities in this zoning district are subject to compliance with the Public Act 100-0585, the FCC Order, and to administrative staff review for each site to ensure compliance with the City’s Small Wireless Facilities Ordinance and the general design standards in this manual, as well as the following additional zone-specific design standards:

1. Where technically feasible and financially reasonable, the City strongly prefers that Small Wireless Facilities be collocated on an existing utility pole, building or structure. Where technically feasible and financially reasonable, the City strongly prefers that the Wireless Provider shall comply with design standards approved as part of the development of the property and provide reasonable stealth concealment.
2. Small Wireless Facilities located in Town Center Business District must include concealment or stealth efforts, as follows:
  - a. Concealment efforts should use fiberglass, plastic or other synthetic materials, and replacement of street lights with modular combinations street light and antenna units. Exposed small cells on utility poles or galvanized steel macrocell sites are not allowed.
  - b. Where technically feasible and financially reasonable, the City strongly prefers that ground mounted enclosures, including backup power supply, and electric meters must be concealed within the pole, in existing above-ground cabinets, or placed in a flush-to-grade underground equipment vault. The City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, ground equipment must be placed in new, landscaped above ground pedestals or enclosures. When a new above-ground cabinet is required, the applicant is required to ensure that ground equipment meets the design criteria described in section *4.3 Related Ground Equipment* in this document to minimize the aesthetic and safety impacts of supporting equipment on the public.
  - c. In order to prevent visual obstruction, small cell sites shall obtain power from underground lines buried in conduits. Fiber connections shall also be provided from underground sources. No power and fiber cables servicing Small Wireless Facilities shall be provided from above ground sources.
  - d. Photographic “before and after” simulations of the proposed location of the Small Wireless Facility demonstrating concealment efforts shall be provided to the City as part of the permit application, and shall be modified according to reasonable requests from the City to better blend with the surrounding area.

#### **4.1.4 Residential Zoning Districts**

Small Wireless Facilities are permitted to be placed on property within Residential Districts, including but not limited to R-1, R-2, R-3, R-4, and R-G districts. Small Wireless Facilities in these zoning districts are subject to compliance with the Public Act 100-0585, the FCC Order, and to administrative staff review for each site to ensure compliance with the City’s Small Wireless Facilities Ordinance and the general design standards in this manual, as well as the following additional zone-specific design standards:

1. Where technically feasible and financially reasonable, the City strongly prefers that within residentially zoned areas, new wireless support structure installations shall be located where the shared property line between two residential parcels intersect the right-of-way whenever possible, unless an unsafe condition, cluttered appearance, or other violation of these standards will result.
2. Modification of existing street lights is preferred, if the street lights can be modified to accommodate one or more small cells. Where technically feasible and financially reasonable, the City strongly prefers Wireless Providers place the Small Wireless Facilities on new poles or to collocate on an existing street light pole.
3. Small Wireless Facilities located in the Right-of-Way in Residential Districts must include concealment or stealth efforts, as follows:
  - a. Concealment efforts should use fiberglass, plastic or other synthetic materials, and replacement of street lights with modular combination street light and antenna units. Exposed small cells on utility poles or galvanized steel macrocell sites are not allowed.
  - b. Where technically feasible and financially reasonable, the City strongly prefers that ground mounted enclosures, including backup power supply, and electric meters must be concealed within the pole, in existing above-ground cabinets, or placed in a flush-to-grade underground equipment vault. The City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, ground equipment must be placed in new, landscaped above ground pedestals or enclosures. When a new above-ground cabinet is required, the applicant is required to ensure that ground equipment meets the design criteria described in section *4.3 Related Ground Equipment* in this document to minimize the aesthetic and safety impacts of supporting equipment on the public.
  - c. In order to prevent visual obstruction, small cell sites shall obtain power from underground lines buried in conduits. Fiber connections shall also be provided from underground sources. No power and fiber cables servicing Small Wireless Facilities shall be provided from aboveground sources.
  - d. Photographic “before and after” simulations of the proposed location of the Small Wireless Facility demonstrating concealment efforts shall be provided to the City as part of the permit application, and shall be modified according to reasonable requests from the City to better blend with the surrounding area.

#### **4.2 Noise**

The maximum allowable noise emitted by the Small Wireless Facility shall comply with the rules and regulations of the State of Illinois as set forth in [Title 35, Subtitle H: Noise, Chapter I Pollution Control Board](#), as amended from time to time. The City reserves the right to take action if the Small Wireless Facility is making more noise than is permissible under these rules and regulations.

#### **4.3 Related Ground Equipment**

In certain circumstances, the City recognizes that existing infrastructure, etc. may make undergrounding ground equipment infeasible. When these situations arise, the applicant is required to ensure that ground equipment meets the following design criteria to minimize the aesthetic and safety impacts of supporting equipment on the public.

1. Ground equipment near street corners and intersections: Ground equipment should be minimal and the least intrusive. To minimize any obstruction, impediment, or hindrance to the usual travel or public safety on a ROW the maximum line of sight required to add to safe travel of vehicular

and pedestrian traffic and in order to maximize that line of sight at street corners and intersections and to minimize hazards at those locations, ground equipment may not be installed within the visibility triangle or as prohibited by sight distance calculations set out in other applicable law.

2. Ground equipment near public parks. For the safety of public park patrons, particularly small children, and to allow full line of sights near public park property, the wireless service provider shall not install ground equipment in a ROW that is within a public park or within 250 feet of the boundary line of a public park, unless approved by the City in writing.
3. Minimize ground equipment density: To enhance the public safety requirements of line of sight of pedestrians, particularly small children, the City's designee may deny a request for a proposed location if the telecommunication service provider installs Small Wireless Facility ground equipment where existing ground equipment within 100 feet radius already occupies a footprint of a total of 25 sq. ft. or more. The aggregate measurement shall include any foundation pads, vaults, or other utility appurtenances. Additional landscaping and fencing is required to help mitigate the visual effects of the installation of any ground-mounted equipment.

#### **4.4 Landscape Standards**

Wireless providers shall comply with the landscape standards that are set forth below:

1. Plan Requirements:

The following shall establish the standards for the preparation and submission of plans for landscape screening around small cell installations.

*Contents of Plan.* All landscape plans shall include the following information:

- North arrow, scale, date of plan, and any subsequent revisions.
- The landscape plan shall be drawn at a scale not smaller than one inch equals 10 feet.
- The location of all existing and proposed structures, parking lots, roadways and rights-of-way, sidewalks, ground signs, freestanding electrical equipment, light fixtures, fire hydrants, surface utility structures, existing adjacent landscaping, and other freestanding structural features as necessary to determine proper placement of landscape screening.
- Limits of sight-line triangles. Ensure proposed plant material does not impede sight-lines and abides by requirements set forth.
- The location, quantity, size, and both scientific and common names of all proposed plant material.
- Installation detail for perennial plant installation indicating typical spacing, soil amendments and mulch application.
- Symbols representing proposed plant material shall be drawn to a scale showing two-thirds mature size and labeled as to quantity and type.

2. Required Landscaping:

The following shall establish standards for the landscape improvements required to be installed as part of small cell installations.

- A minimum planting area of three feet extending from the perimeter of the small cell cabinet concrete pad shall be provided. In the event a three-foot-wide planting bed is not possible along a portion of the cabinet perimeter due to obstructions the area shall be compensated for in an area less restrictive immediately surrounding the small cell cabinet. Every effort shall be made to effectively screen the cabinet from all viewing angles.
- A variety of ornamental grasses shall be used to effectively screen the small cell cabinet,

without obstructing sight-lines at intersections.

- Shrubs and Trees shall not be utilized for screening.
- All surrounding landscaping and turf areas shall be restored to original condition.

### 3. Standards for Plant Materials and Planting Guidelines:

The following guidelines shall be considered in reviewing design and implementation of landscape plans.

- The quality and size of plant materials selected shall comply with the latest edition of the American Standards of Nursery Stock, published by the American Association of Nurserymen.
- Plant material shall be healthy, free of insects and diseases.
- The use of stone, rock or gravel shall not be used as ground cover within any landscaped area.
- Minimum sizes for plant materials at time of installation for landscape area shall be equal to a #1 container.
- A spade cut edge to the depth of three inches shall be provided around the perimeter of the planting bed.
- A three-inch layer of compost shall be applied to the plant bed area and rototilled to a minimum depth of eight inches until soil is in a loose and friable state. All rocks and debris shall be removed and disposed of prior to plant installation.
- A three-inch layer of shredded hardwood mulch shall be applied to planting bed after plant installation.
- All plant material shall have a one year guarantee from the time of planting and shall be replaced by the contractor should it die within that period.

#### **4.5 Stealth and Concealment Requirements**

Wireless providers shall comply with the design and construction standards that are generally applicable to utility installations in the public right-of-way, as set forth in Article III of Chapter 16 of the [City Code](#), as well as these standards, any other written design standards for decorative utility poles, or reasonable stealth, concealment, and aesthetic requirements that are otherwise identified by the City in an ordinance, written policy adopted by the City Council, in the City's comprehensive plan, or in another written design plan that applies to other occupiers of the rights-of-way. In addition to the design requirements found in Section 4.1 of this Manual, where technically feasible and financially reasonable, the City strongly prefers that providers shall follow the criteria for stealth found below as a minimum requirement:

1. The use of stealth technology in the location and construction of small wireless facilities is required. Stealth technology means using the least visually and physically intrusive design and equipment that is not technologically or commercially impractical under the facts and circumstances, to employ methods that blend into surroundings and not be visible; and to minimize adverse aesthetic and visual impacts on the right-of-way, property, building and/or other facilities adjacent to, surrounding and in generally the same area as the requested location of such small wireless facilities.
2. Small wireless facilities, including but not limited to antennas, equipment enclosures, mounting brackets and hardware, mounting posts, cables, and shrouds, shall be of a color that is identical to the utility pole or of a neutral color compatible with the color of the utility pole and any surrounding elements so as to camouflage or conceal their appearance, create consistency among right-of-way infrastructure, and to make such small wireless facilities as unobtrusive as possible. The City may approve compatible color schemes for antennas and small wireless facilities.

3. Mechanical equipment and devices shall be concealed underground or mounted within a concealment box designed as a decorative pole base except as noted and allowed for in section 4.3 *Related Ground Equipment* in this document.
4. Small wireless facilities must be located and oriented in such a way as to minimize view blockage.
5. The wireless provider shall use the smallest suitable wireless facilities currently in industry use, regardless of location, for the particular application.
6. Small wireless facilities shall not be artificially lighted or marked, except as required by law.
7. Small wireless facilities, other than top-mounted antennas, shall be mounted on the side of the utility pole or wireless support structure opposite the direction of vehicular traffic along the same side of the right-of-way or as otherwise directed by the City.
8. Alternative measures for concealment may be proposed by the wireless provider and approved by the City, if the City determines that the optional measures will be at least as effective in concealing the small wireless facilities as the measures required above.

## 5. Safety Requirements

**Prevention of failures and accidents.** Any Person who owns a Small Wireless Facility and/or Wireless Support Structure sited in the ROW shall at all times employ ordinary and reasonable care and install and maintain in use industry standard technology for preventing failures and accidents which are likely to cause damage, injury, or nuisance to the public.

**Compliance with fire safety regulations.** Small Wireless Facilities, wires, cables, fixtures, and other equipment shall be installed and maintained in substantial compliance with the requirements of the National Electric Code, state, and local regulations, and in such manner that will not interfere with the use of other property.

**Compliance with FCC regulations.** Small wireless facilities must not result in human exposure to radio frequency radiation in excess of applicable safety standards specified in [47 CFR Rule 1.1307\(b\)](#). As specified in Section 16.604, Article IV, Chapter 16 of City Code, permit requests shall include a complete site-specific Non-Ionizing Electromagnetic Radiation (NIER) Report certified by a licensed Professional Engineer in the State of Illinois. In addition, as specified in Section 16.608, Article IV, Chapter 16 of City Code, after transmitter and antenna system optimization, but prior to unattended operations of the facility, the wireless provider or its representative must conduct on-site post-installation RF emissions testing to demonstrate actual compliance with the [FCC OET Bulletin 65](#) RF emissions safety rules for general population/uncontrolled RF exposure in all sectors. This testing shall also occur annually.

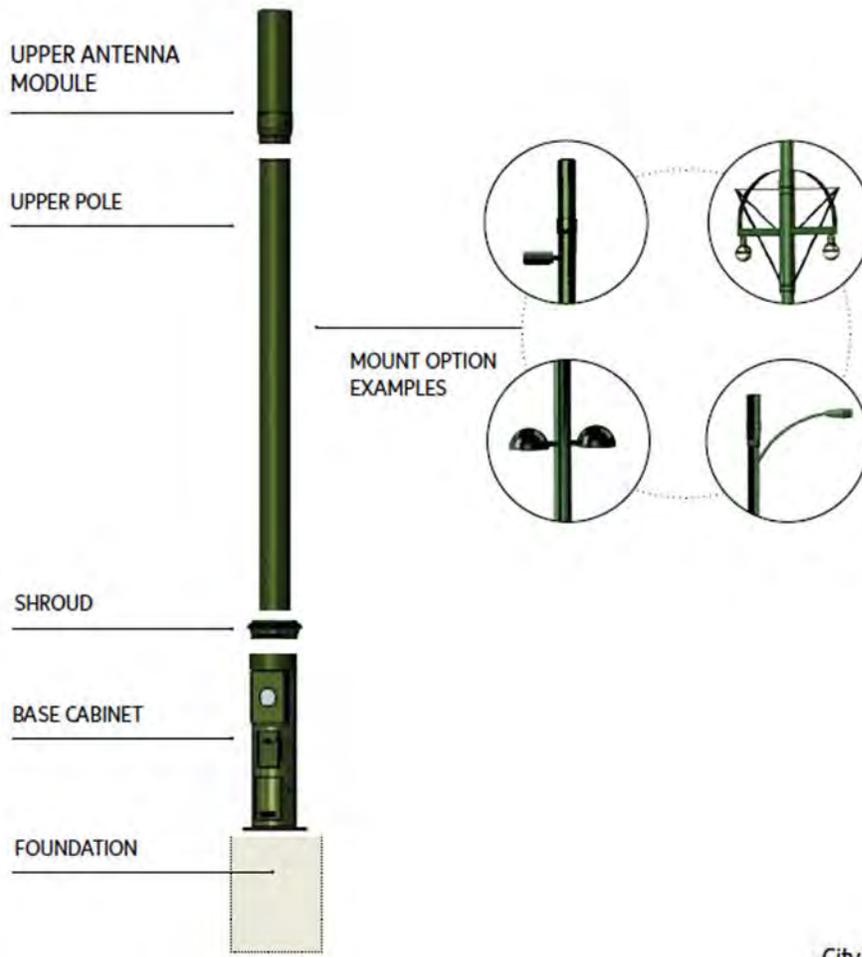
**Changes in state or federal standards and regulations.** If state or federal standards and regulations are amended, the owners of the Small Wireless Facilities and/or Wireless Support Structures governed by this document shall bring any facilities and/or structures into compliance with the revised standards and regulations within six months of the effective date of the standards and regulations, unless a different compliance schedule is mandated by the regulating agency. Failure to bring Small Wireless Facilities and/or Wireless Support Structures into compliance with any revised standards and regulations shall constitute grounds for removal at the owner's expense.

**Compliance with engineering and safety codes and standards.** All permitting decisions exercised by the City are subject to all applicable engineering and safety codes and standards.

## Appendix A: Design Concepts

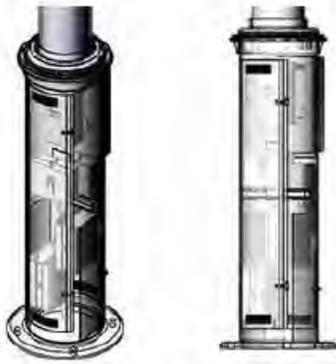
The following diagrams and information were provided by, and used with the permission of, Comptek Technologies/CityPole®. The inclusion of this information in no way indicates that the City endorses CityPole or its products. Self-contained poles from other manufacturers will be considered as long as the structure meets the other standards outlined in the Small Wireless Facilities Ordinance and this document.

### A.1 Small Wireless Facility Pole



CityPole.com

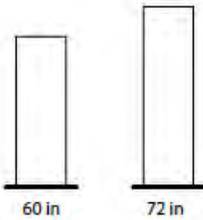
## A.2 Base Cabinet



Integrated wireless equipment in base cabinet.

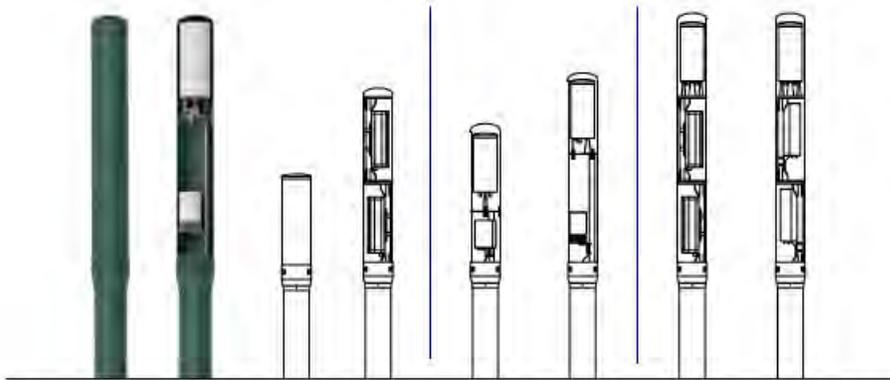


The base cabinet can be configured with a wide range of electrical disconnects to meet local building codes and preferences.



The base cabinet height can be chosen to house future equipment and complement local cityscapes.

### A.3 Upper Antenna Module



The upper antenna module can be easily reconfigured for a number of technology generations. These includes multiple configurations of cellular technology, various backhaul and low power options such as WiFi, Bluetooth, or Zigbee, and as many as three different technology generations.

### A.4 Foundation Selection



CityPole® pre-cast foundation speeds work in the Right of Way.



Caisson and custom designs are available.

Cast in place foundations are acceptable, as long as the foundation meets accepted guidelines for structural integrity required by the attached equipment.

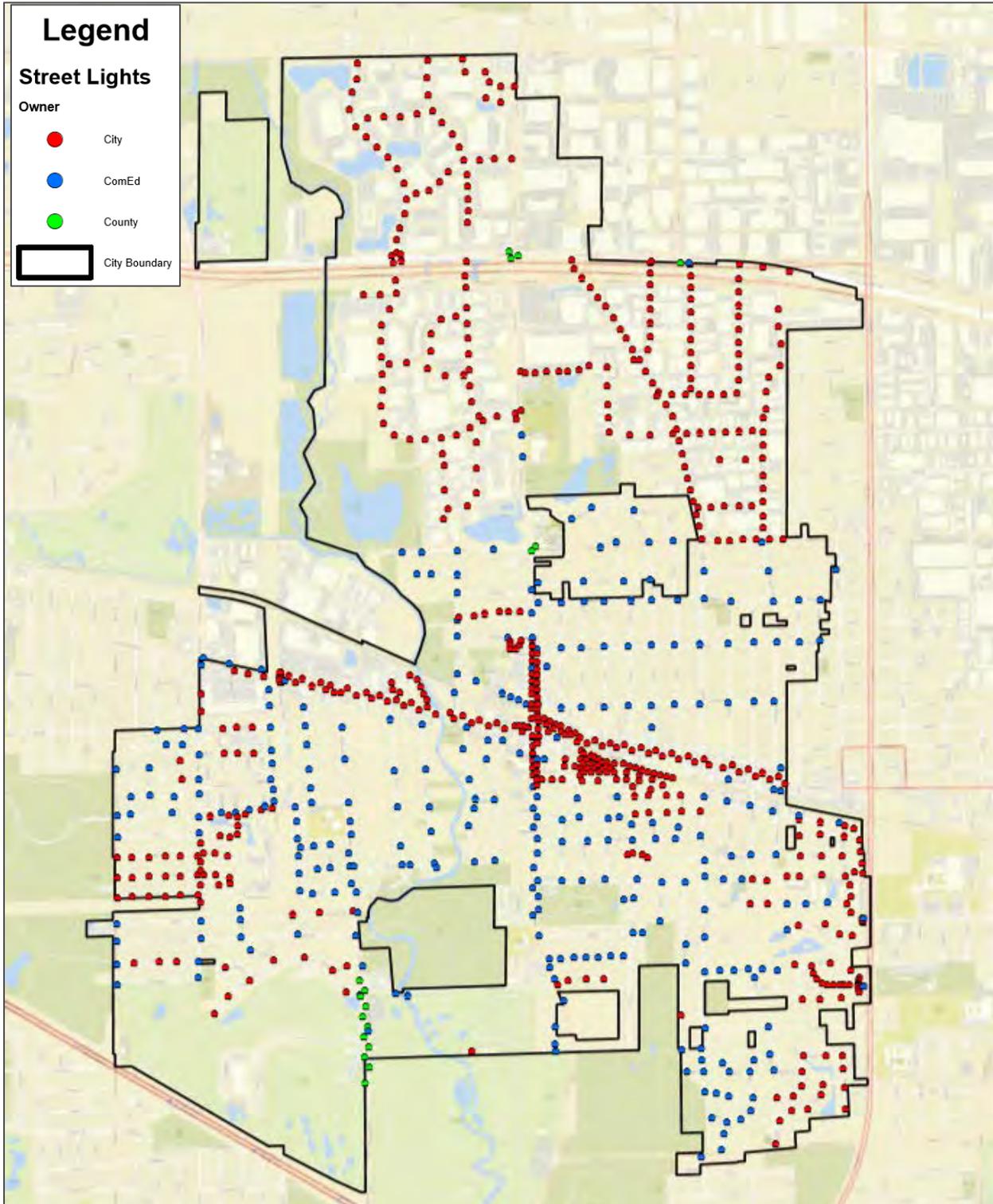
**A.5 Lighting Accessories**



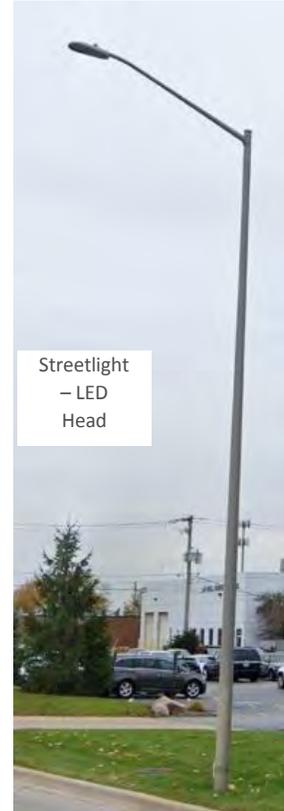
### A.6 Product Selection Matrix

		Standard CityPole® System Offering	Custom Options
Overall Pole Height		25', 30', 35', and 40' Above Ground Level (AGL)	Available ↓
Color Choices		9 Color Choices are Standard (Custom colors are available.)	
Base Cabinet	Technology Types	1, 2, or 3 Different Technologies can be Accommodated	
	Dimension	Ground Diameter: 18", 20", 24"   Height: 60", Optional 72"	
	Flexible Mount System	FlexMount™ system to reconfigure internals for future equipment sizes.	
	Electrical Options	No Disconnect, Disconnect Only, or Meter and Disconnect.	
	Universal Meter Bay	Accommodates power meter and meter screen requirements as determined by local utility provider; fits meter boxes of all sizes.	
Upper Pole Antenna Module	Rad Center Location	Variable and Based on Pole Height and Other Options	
	Technology Types	1, 2, or 3 Different Technologies can be Accommodated	
	Auxiliary Bay Options	Low Power RF, Backhaul, and Wifi Options can be Accommodated. Multiple and reconfigurable 12¾ inch modules with RlexRail™ universal equipment track system optional.	
	Antenna Mount and Shroud Options	Separate and Secure Bays with RF Transparent Materials to accommodate 4G/5G Equipment. Omni and Panel Types available.	
Accessory Selection	Lighting	Pole can be ordered without lighting or with 1, 2, 3, or 4 lights.	
	Light Mounts	Standard Plate or Offset Arms depending on light selection	
	Lighting	Shoebox, Cobrahead, Cylindrical, Dome and Acorn	
	Other Technology	Gun Shot Sensors, Video, Weather, Traffic Mgmt	
	Lower Shroud Details	Multiple Options are Available	
	Base Plate Details	Multiple Options are Available	
	Foundation Options	Pre-cast, or Cast-In-Place	
Environmental Control	Thermal Management	All Equipment and Antenna Bays Monitored for Temperature. Passive and Forced Air Standard; Heat Pipe and Thermoelectrical Optional	
	Security	External and Internal Locking Features. CityPole® FlexSmart™ Control and Connectivity Optional.	
	Monitoring and Control	Industrial Controller with 24 Digital and 12 Analog Inputs with FlexSmart™	

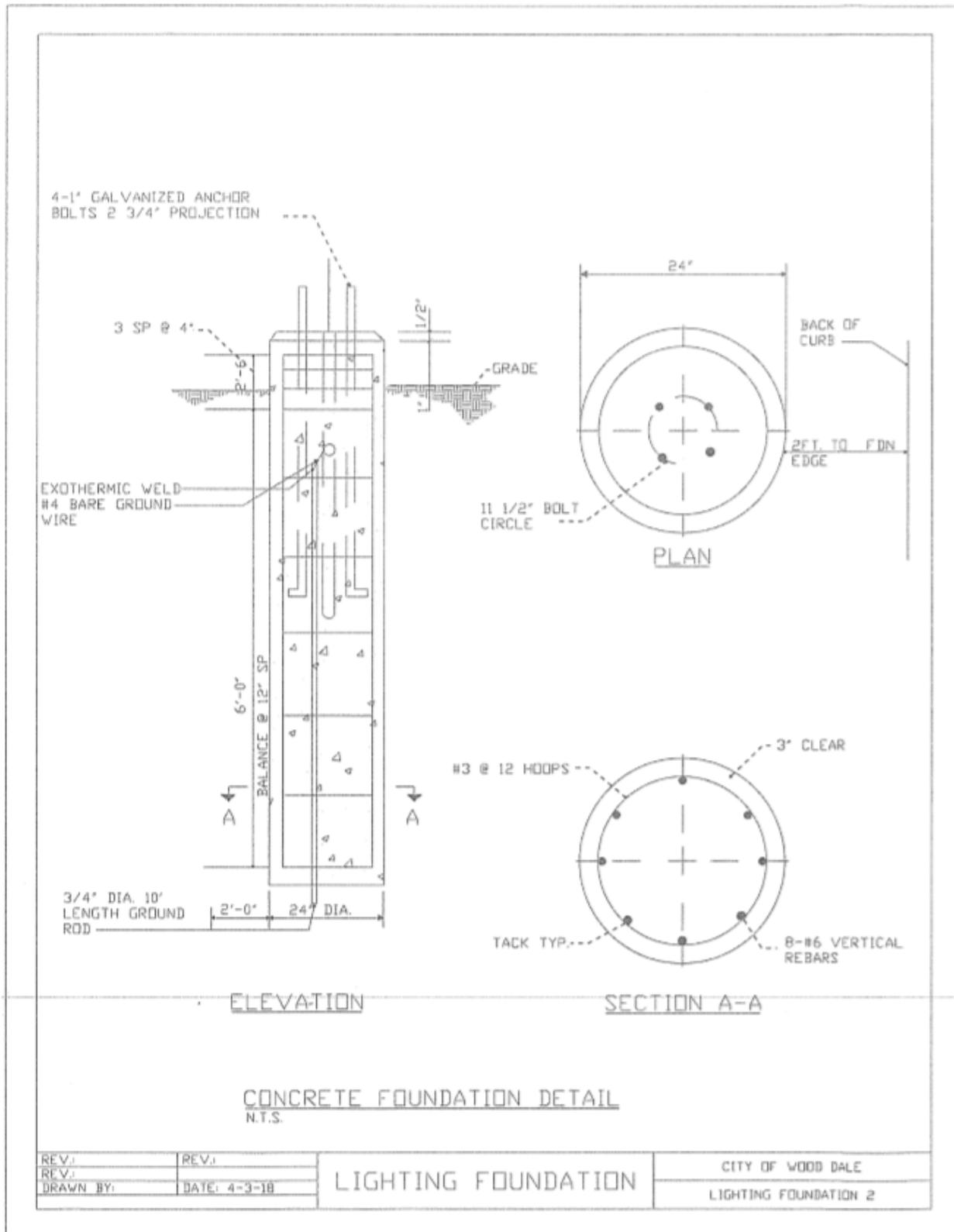
## Appendix B: Street Light Map

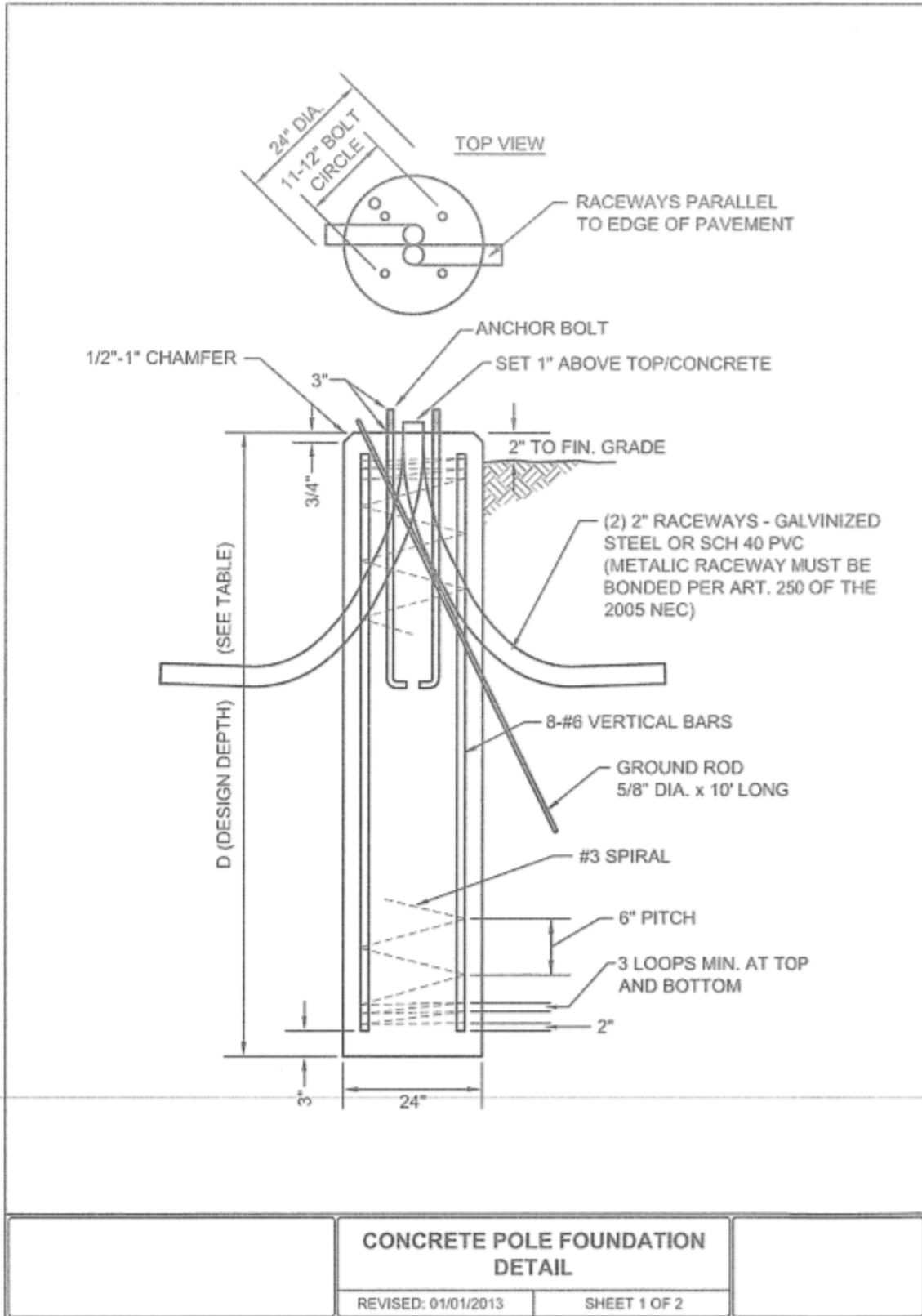


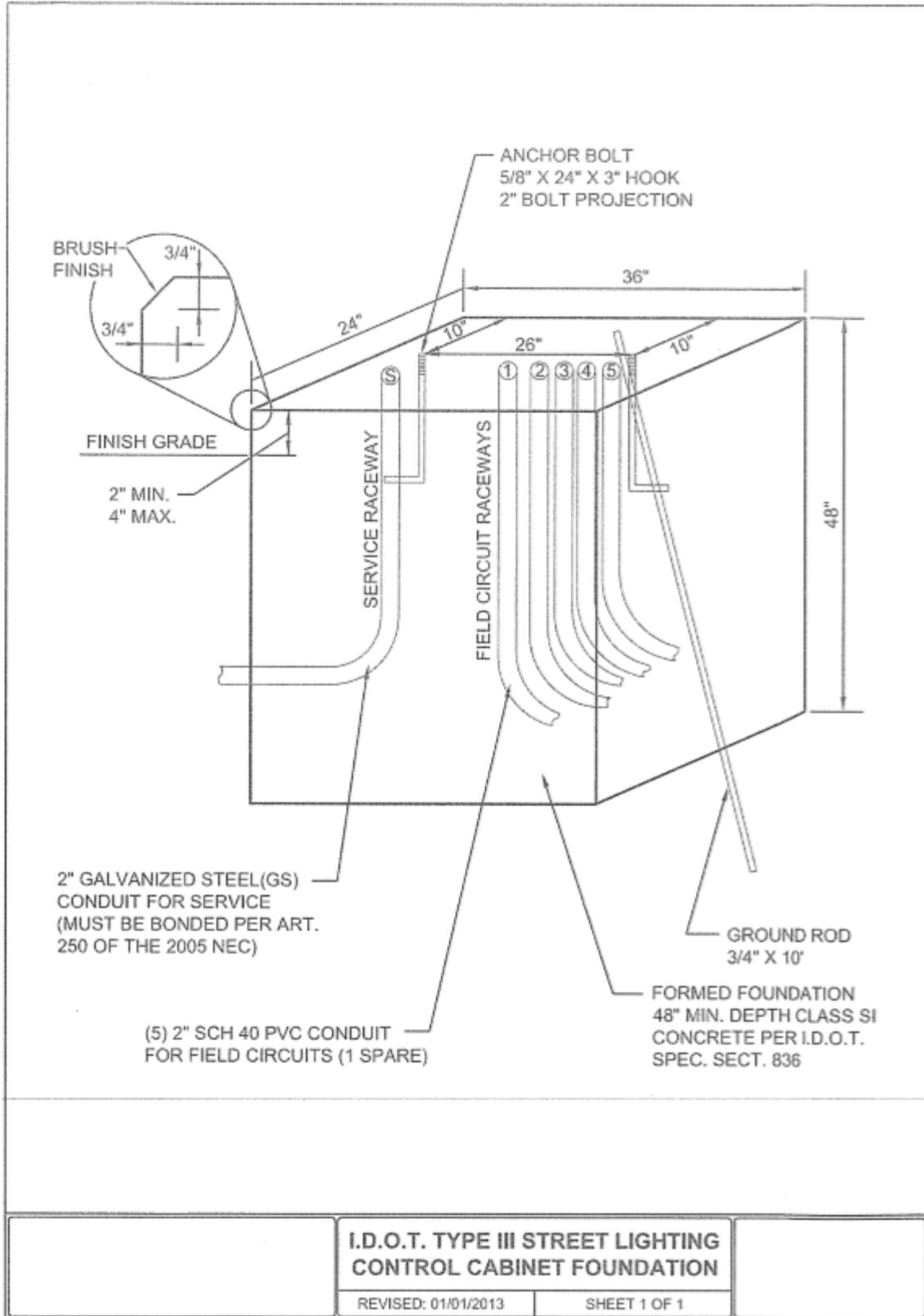
### Appendix C: Street Light Pictures

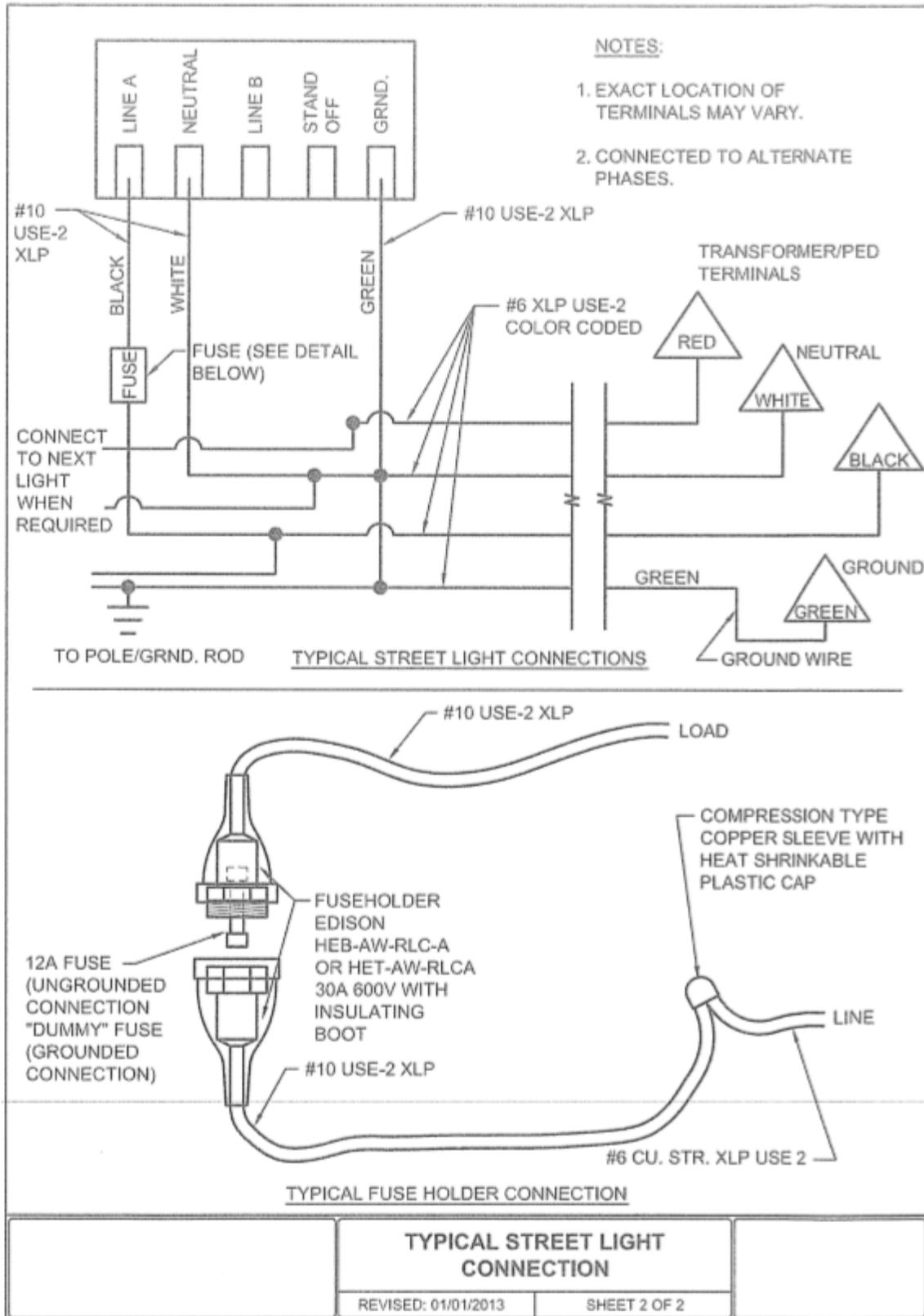


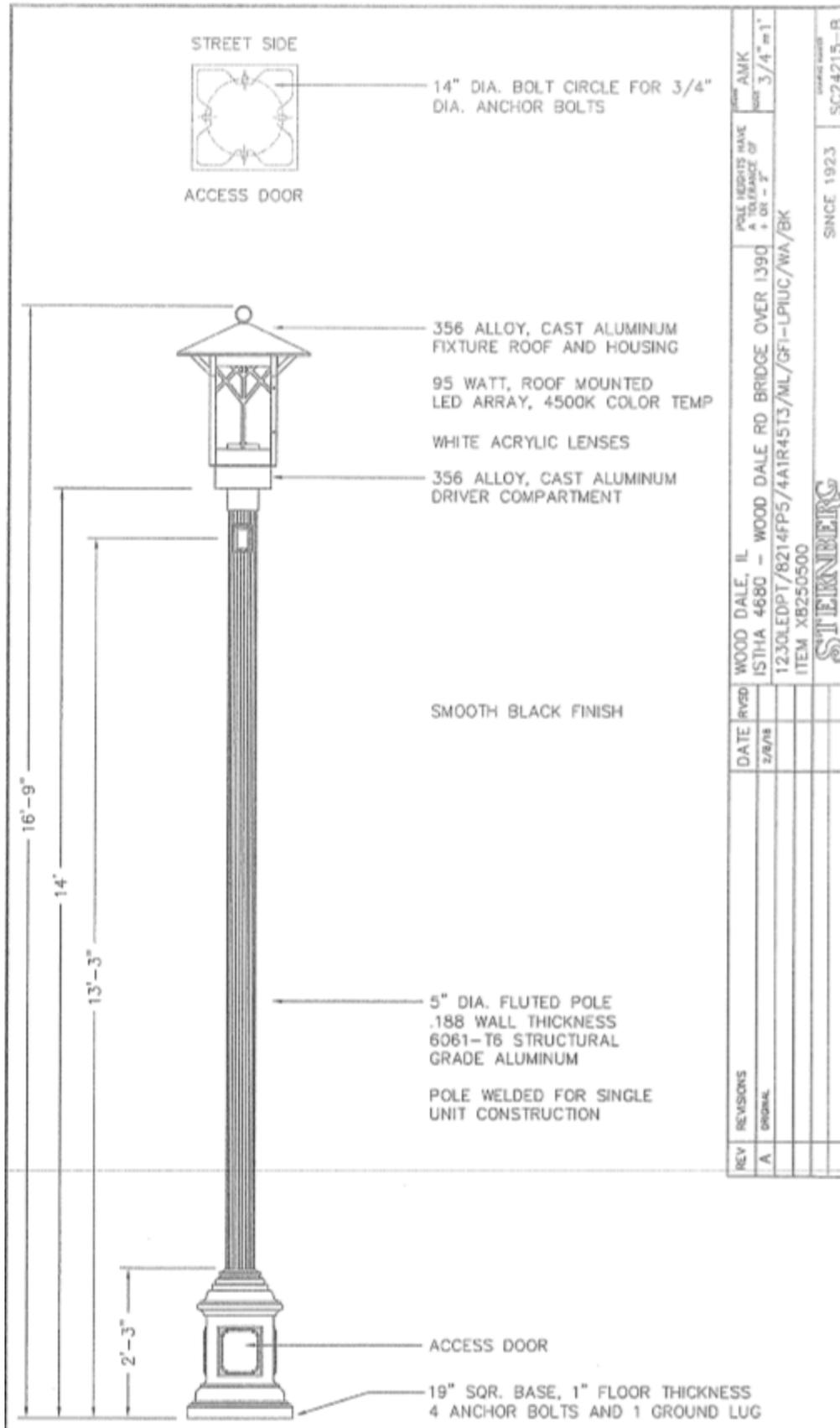
Appendix D: Street Light Design and Construction Specifications

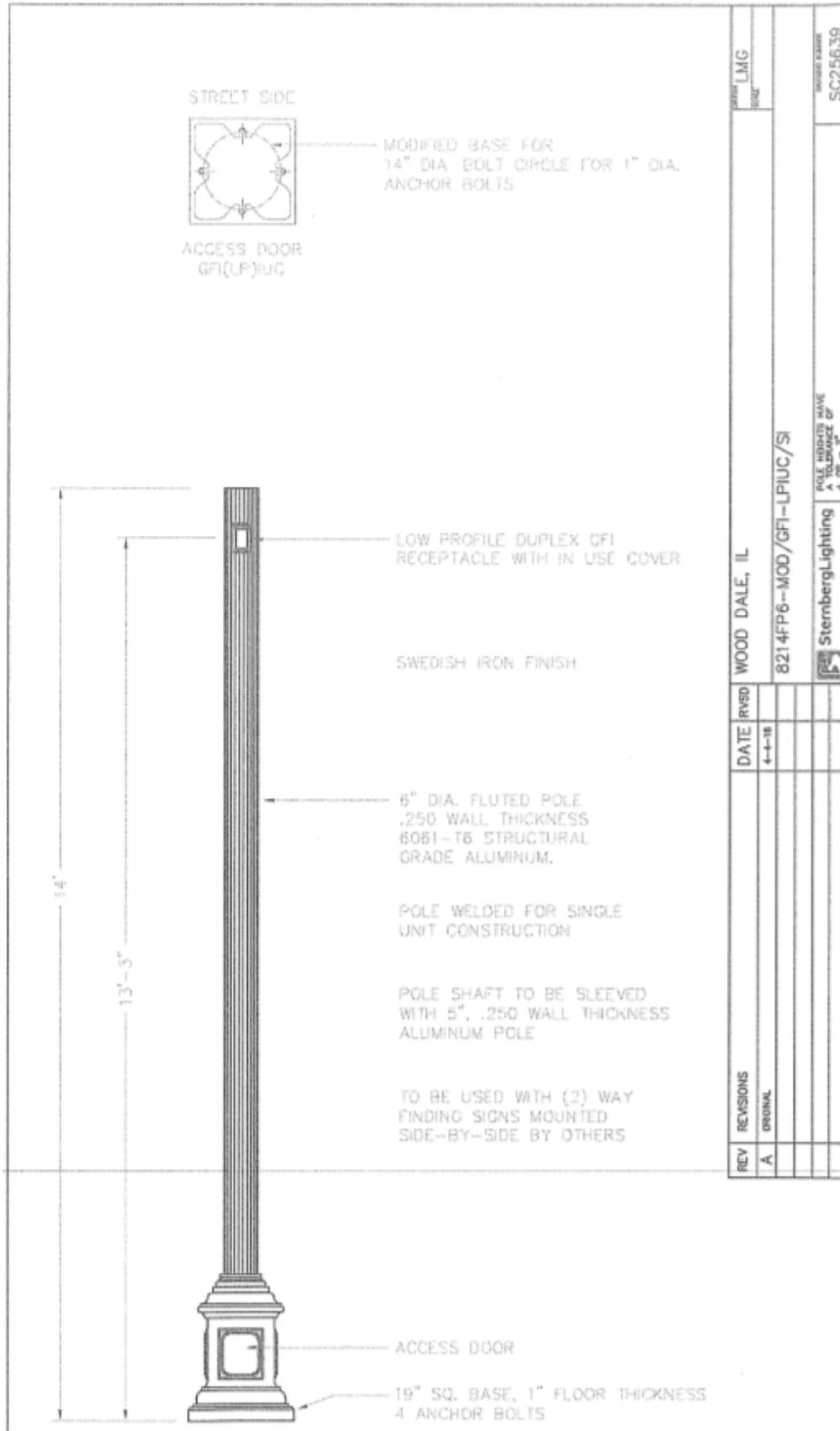


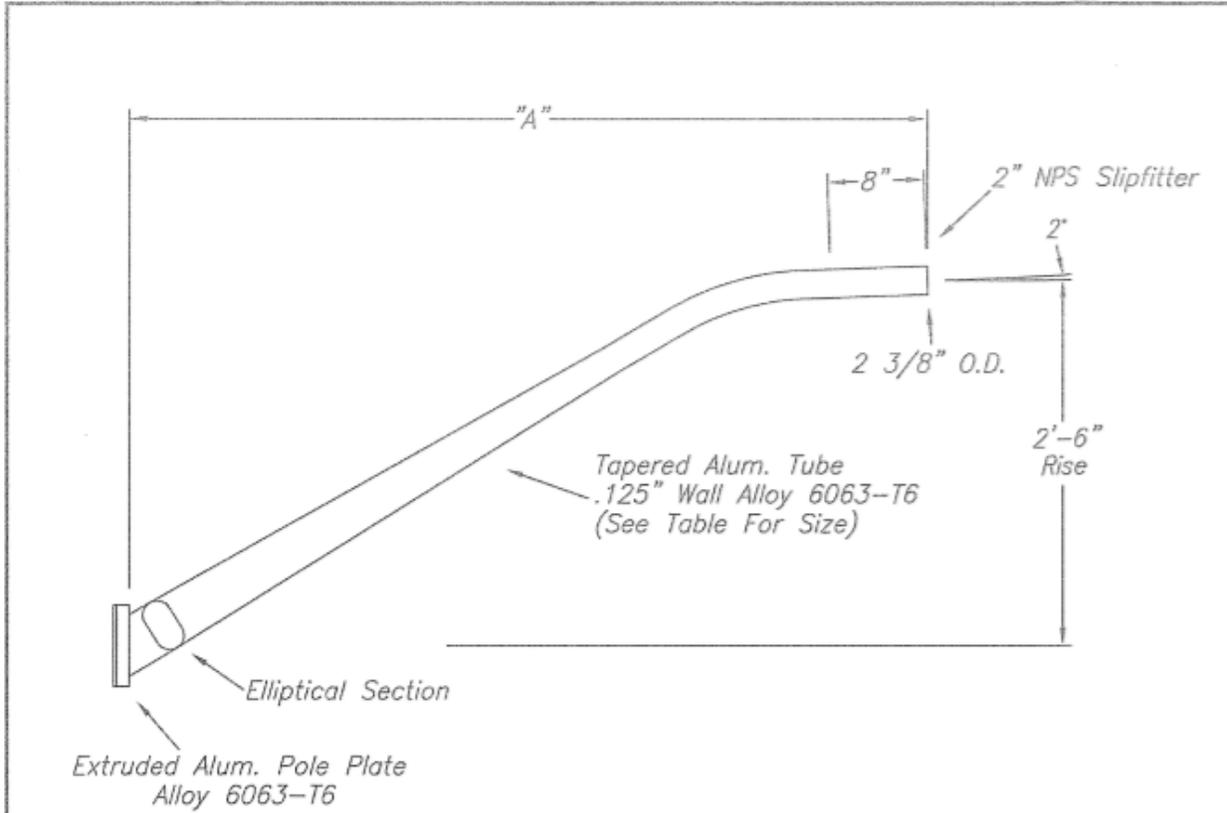












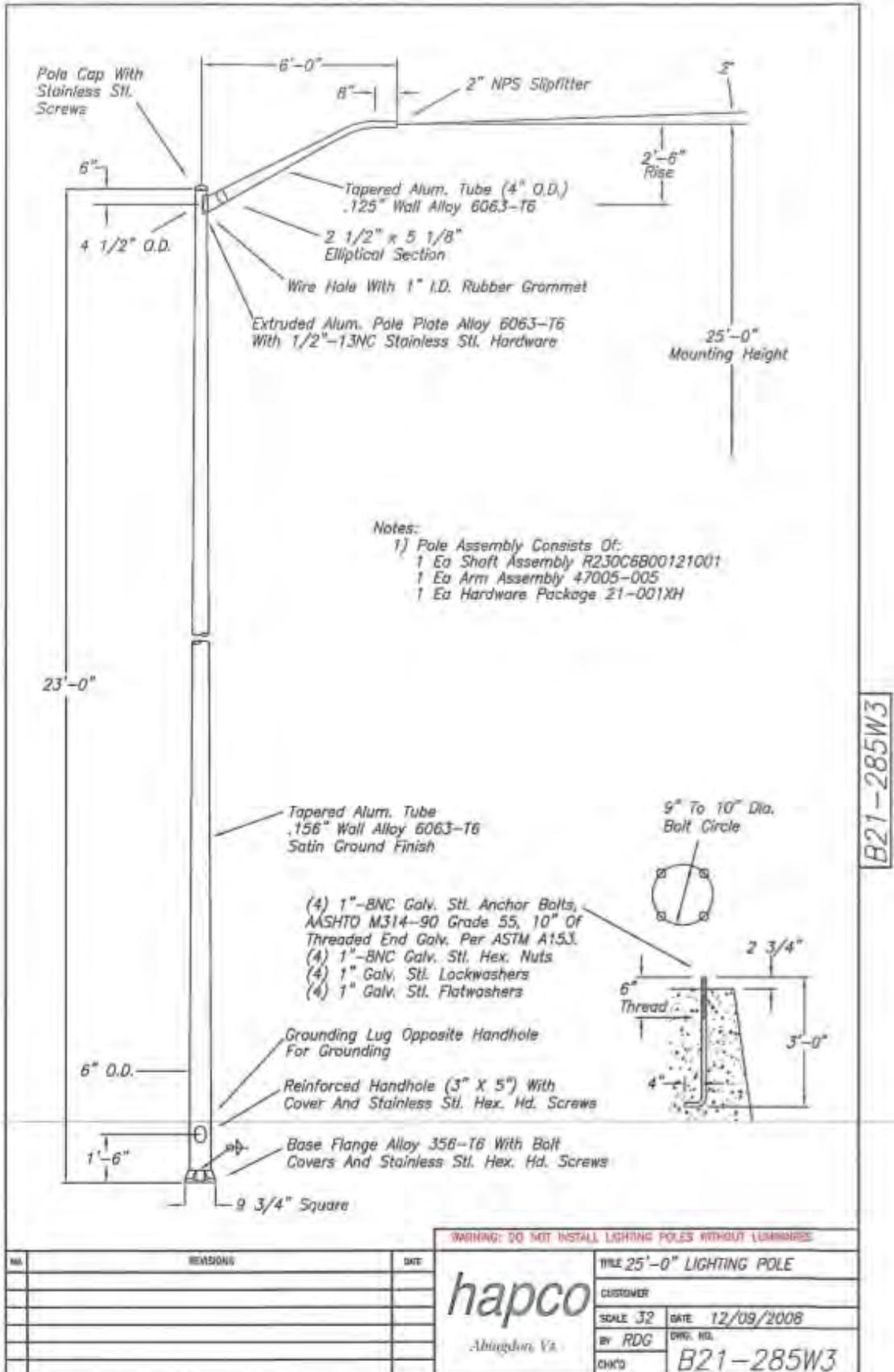
Item No.	A	Tube Size	Max. Lum. Wt. @ Cq=Length+1 Ft.
50004-001	3'-6"	3 1/2" O.D.	75#
50004-002	5'-6"	4" O.D.	71#
→ 50004-003	7'-6"	4" O.D.	52#
50004-004	9'-6"	5" O.D.	55#

Furnish The Following Stainless Steel Hardware With Each Arm:  
 (4) 1/2"-13NC x 1 1/2" Long Hex. Hd. Bolts  
 (4) 1/2"-13NC Hex. Nuts  
 (4) 1/2" Lockwashers  
 (1) 1" I.D. Rubber Grommet

NO.	REVISIONS	DATE
5	Redrawn LW	01/02

**hapco**  
Abingdon, Va.

TITLE TAPERED ARMS (4.5" O.D. Pole)	
CUSTOMER	
SCALE NTS	DATE 10/11/66
BY LW	DWG. NO.
CHK'D	A50004



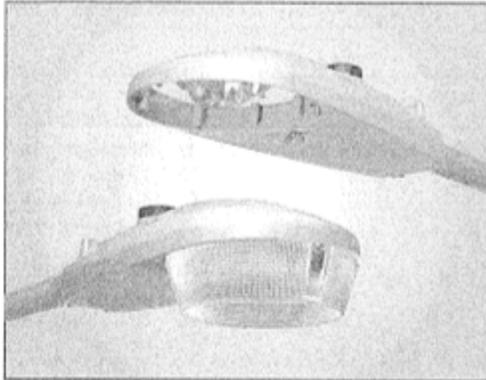
B21-285W3



Compliant with LEED® goals & Green Globes® criteria for light pollution reduction

## Autobahn Series ATBS Roadway & Security Lighting

### PRODUCT OVERVIEW



#### Applications:

- Residential streets
- Parking lots
- General security lighting

#### Features:

##### OPTICAL

**Same Light:** Performance is comparable to 50W – 150W HPS and up to 175W Mercury Vapor roadway and security lighting luminaires.

**White Light:** Correlated color temperature - standard 4000K, 70 CRI minimum or optional 5000K, 70 CRI minimum.

IP66 rated borosilicate glass optics ensure longevity and minimize dirt depreciation. Unique IP66 rated LED light engines provide 0% uplight and restrict backlight to within sidewalk depth, providing optimal application coverage and optimal pole spacing.

Available distributions are Type II, III, and V roadway distributions. When used with the optional acrylic refractor the unit provides approximately 10% uplight and increased vertical foot-candles

##### ELECTRICAL

**Expected Life:** LED light engines are rated >100,000 hours at 25°C, L70.

Electronic driver has an expected life of 100,000 hours at a 25°C ambient.

**Lower Energy:** Saves an expected 40-60% over comparable HID luminaires.

**Robust Surge Protection:** Three different surge protection options provide a minimum of IEEE/ANSI C62.41 Category C (10kV/5kA) protection.

##### MECHANICAL

Includes standard AEL lineman-friendly features such as tool-less entry, 3 station terminal block and quick disconnects. Bubble level located inside the electrical compartment for easy leveling at installation.

Rugged die-cast aluminum housing and door are polyester powder-coated for durability and corrosion resistance. Rigorous five-stage pre-treating and painting process yields a finish that achieves a scribe creepage rating of 8 (per ASTM D1654) after over 5000 hours exposure to salt fog chamber (operated per ASTM B117).

Most arm mount is adjustable for arms from 1-1/4" to 2" (1-5/8" to 2-3/8" O.D.) diameter. The 2 – bolt clamping mechanism provides 3G vibration rating per ANSI C136.

The Wildlife shield is cast into the housing (not a separate piece).

##### CONTROLS

NEMA 3 pin photocontrol receptacle is standard, with the Acuity designed ANSI standard 5 pin and 7 pin receptacles optionally available.

Premium solid state locking-style photocontrol – PCSS (10 year rated life)

Extreme long life solid state locking-style photocontrol – PCL1 (20 year rated life)

Optional onboard Adjustable Output module allows the light output and input wattage to be modified to meet site specific requirements, and also can allow a single fixture to be flexibly applied in many different applications.

##### STANDARDS

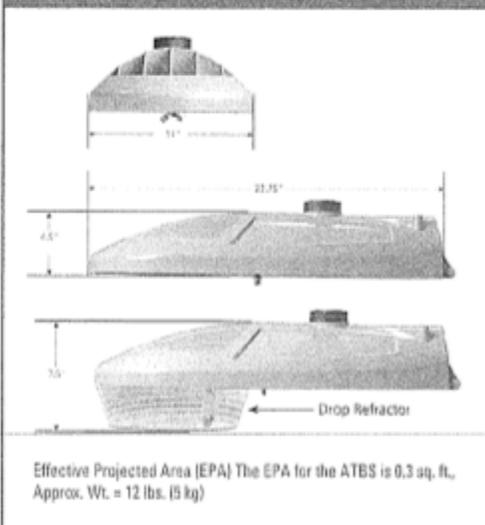
Rated for -40°C to 40°C ambient

CSA Certified to U.S. and Canadian standards

Complies with ANSI: C136.2, C136.10, C136.14,

C136.31, C136.15, C136.37

### DIMENSIONS



Note: Specifications subject to change without notice. Actual performance may differ as a result of end-user environment and application.

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## Autobahn Series ATBS Roadway & Security Lighting

### PERFORMANCE PACKAGE

Performance Package	Distribution	Lumens	LPW	Input Watts
A	R2	1,761	98	18
	R3	1,755	98	
	R5	1,838	102	
	D2	1,685	94	
	D3	1,658	92	
	D5	1,767	98	
B	R2	2,302	96	24
	R3	2,309	96	
	R5	2,411	100	
	D2	2,203	92	
	D3	2,182	91	
	D5	2,318	97	
E	R2	3,962	102	39
	R3	3,979	102	
	R5	4,246	109	
	D2	3,791	97	
	D3	3,760	96	
	D5	4,089	105	
F	R2	4,563	93	49
	R3	4,477	91	
	R5	4,795	98	
	D2	4,366	89	
	D3	4,231	86	
	D5	4,612	94	
G	R2	5,629	88	64
	R3	6,030	85	
	R5	5,837	91	
	D2	5,386	84	
	D3	5,118	80	
	D5	5,590	87	
H	R2	6,249	87	72
	R3	6,321	88	
	R5	6,739	94	
	D2	5,979	83	
	D3	5,973	83	
	D5	6,436	89	

Note: Information shown above is based on nominal system data. Individual fixture performance may vary. Specifications subject to change without notice.



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**Warranty:** Five-year limited warranty. Complete warranty terms located at [www.ael.com](http://www.ael.com) and [www.acuitybrands.com](http://www.acuitybrands.com).  
Actual performance may differ as a result of end-user environment and application. All values are design or typical values, measured under laboratory conditions at 25 °C. Specifications subject to change without notice.

Please contact your sales representative for the latest product information.



Qualified with DLC's 1000  
hour warranty program  
for LED outdoor applications

# Autobahn Series ATB0 Roadway Lighting

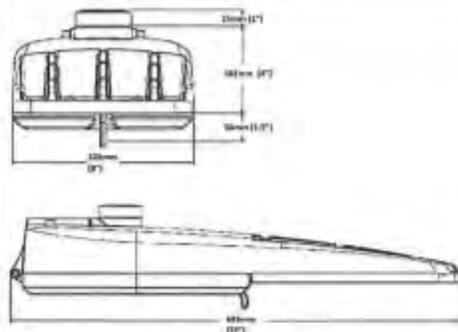
## PRODUCT OVERVIEW



### Applications:

- Roadways
- Off ramps
- Residential streets
- Parking lots

### DIMENSIONS



Effective Projected Area (EPA): The EPA for this ATB0 is 0.75 sq. ft.  
Approx. Wt. = 14 lbs.

### STANDARDS

DesignLights Consortium™ (DLC) qualified product. Not all versions of this product may be DLC qualified. Please check the DLC Qualified Products List at [www.designlights.org/DPL](http://www.designlights.org/DPL) to confirm which versions are qualified.

Color temperatures of  $\leq 3000K$  must be specified for International Dark-Sky Association certification.

Rated for  $-40^{\circ}C$  to  $40^{\circ}C$  ambient

CSA Certified to U.S. and Canadian standards

Complies with ANSI: C136.2, C136.10, C136.14, C136.31, C136.15, C136.37

Note: Specifications subject to change without notice. Actual performance may differ as a result of field-use environment and application.

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### Features:

#### OPTICAL

Same Light: Performance is comparable to 70-250W HPS roadway luminaires.

White Light: Correlated color temperature - 4000K, 70 CRI minimum, 3800K, 70 CRI minimum or optional 5000K, 70 CRI minimum.

Unique IP66 rated LED light engines provided 0% uplight and restrict backlight to within sidewalk depth, providing optimal application coverage and optimal pole spacing. Available in Type II, III, IV, and Y roadway distributions.

#### ELECTRICAL

Expected Life: LED light engines are rated  $> 100,000$  hours at  $25^{\circ}C$ , L70. Electronic driver has an expected life of 100,000 hours at a  $25^{\circ}C$  ambient.

Lower Energy: Saves an expected of 40-60% over comparable H10 luminaires.

Robust Surge Protection: Three different surge protection options provide a minimum of ANSI C136.2 10kV/5kA protection. 20kV/10kA protection is also available.

#### MECHANICAL

Includes standard AEL lineman-friendly features such as tool-less entry, 3 station terminal block and quick disconnects. Bubble level located inside the electrical compartment for easily leveling at installation.

Rugged die-cast aluminum housing and door are polyester powder-coated for durability and corrosion resistance. Rigorous five-stage pre-treating and painting process yields a finish that achieves a scribe creepage rating of 7 (per ASTM D1654) after over 5000 hours exposure to salt fog chamber (operated per ASTM B117).

Mast arm mount is adjustable for arms from 1-1/4" to 2" (1-5/8" to 2-3/8" O.D.) diameter. Provides a 3G vibration rating per ANSI C136.31

Wildlife shield is cast into the housing (not a separate piece).

#### CONTROLS

NEMA 3 pin photocontrol receptacle is standard, with the Acuity designed ANSI standard 7 pin receptacle optionally available.

Premium solid state locking style photocontrol - PCS5 (10 year rated life) Extreme long life solid state locking style photocontrol - PCLL (20 year rated life).

Multi-level dimming available to provide scheduled dimming as specified by the customer.

Optional onboard Adjustable Output module allows the light output and input wattage to be modified to meet site specific requirements, and also can allow a single fixture to be flexibly applied in many different applications.



# Autobahn Series ATB0 Roadway Lighting

PERFORMANCE PACKAGE									
Performance Package	Drive Current (mA)	Input Watts	Optic	4000K CCT		LLD @ 25°C			
				Delivered Lumens	Efficacy (LPW)	50k Hours	75k Hours	100k Hours	
108	700	25	R2	2994	120	0.98	0.98	0.97	
	1000	37		4293	116	0.98	0.98	0.97	
	1500	54		5688	105	0.97	0.97	0.96	
	700	25	R3	3009	120	0.98	0.98	0.97	
	1000	37		4313	117	0.98	0.98	0.97	
	1500	54		5742	106	0.97	0.97	0.96	
	700	25	R4	2992	120	0.98	0.98	0.97	
	1000	37		4232	114	0.98	0.98	0.97	
	1500	54		5653	105	0.97	0.97	0.96	
	700	25	R5	3065	123	0.98	0.98	0.97	
	1000	37		4422	120	0.98	0.98	0.97	
	1500	54		5844	108	0.97	0.97	0.96	
	208	525	36	R2	4638	129	0.98	0.98	0.97
		700	48		5956	124	0.98	0.98	0.97
		1000	71		8506	120	0.98	0.98	0.97
1300		87	9922		114	0.96	0.94	0.92	
1500		99	11038		111	0.95	0.92	0.90	
525		36	R3	4704	131	0.98	0.98	0.97	
700		48		6114	127	0.98	0.98	0.97	
1000		71		8606	121	0.98	0.98	0.97	
1300		87		10065	116	0.96	0.94	0.92	
1500		99		11181	113	0.95	0.92	0.90	
525		36	R4	4676	130	0.98	0.98	0.97	
700		48		6022	125	0.98	0.98	0.97	
1000		72		8589	119	0.98	0.98	0.97	
1300		87		10053	116	0.96	0.94	0.92	
1500		99		11160	113	0.95	0.92	0.90	
525		36	R5	4869	135	0.98	0.98	0.97	
700		48		6287	131	0.98	0.98	0.97	
1000		71		8880	125	0.98	0.98	0.97	
1300	87	10397		120	0.96	0.94	0.92		
1500	99	11593		117	0.95	0.92	0.90		
308	700	70	R2	9174	131	0.98	0.98	0.97	
	850	83		10457	126	0.98	0.98	0.97	
	1000	105		12414	118	0.96	0.96	0.95	
	1300	126		14964	119	0.96	0.94	0.92	
	1500	145		16251	112	0.94	0.91	0.89	
	700	70	R3	8893	127	0.98	0.98	0.97	
	850	83		10825	130	0.98	0.98	0.97	
	1000	105		12748	121	0.98	0.96	0.95	
	1300	126		14850	118	0.96	0.94	0.92	
	1500	145		16193	112	0.94	0.91	0.89	
	700	70	R4	8971	128	0.98	0.98	0.97	
	850	83		10589	128	0.98	0.98	0.97	
	1000	105		12782	122	0.96	0.96	0.95	
	1300	126		14889	118	0.96	0.94	0.92	
	1500	145		16463	114	0.94	0.91	0.89	
	700	70	R5	9329	133	0.98	0.98	0.97	
	850	83		11209	135	0.98	0.98	0.97	
	1000	105		13296	127	0.96	0.95	0.94	
	1300	126		15254	121	0.96	0.94	0.92	
	1500	145		16871	116	0.94	0.91	0.89	

Note: Information shown above is based on IESNA nominal system data. Individual fixture performance may vary. To calculate 3000K lumen values, multiply the 4000K lumens by .83. Specifications subject to change without notice.

ATB0 LLD Multiplier	15°C	20°C	25°C	30°C	35°C	40°C
	1.02	1.01	1	0.98	0.97	0.95

To calculate the LLD for a temperature other than 25°C, multiply the LLD @ 25°C (shown in the performance package table) by the LLD multiplier for the selected temperature.



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Warranty Five-year limited warranty. Complete warranty terms located at [www.americanelectriclighting.com](http://www.americanelectriclighting.com). Actual performance may differ as a result of end-user environment and application. All values are design or typical values, measured under laboratory conditions at 25 °C. Specifications subject to change without notice.

Please contact your sales representative for the latest product information.



Consistent with LED Lighting & Design Standards for Light Pollution Reduction

# Autobahn Series ATBM Roadway

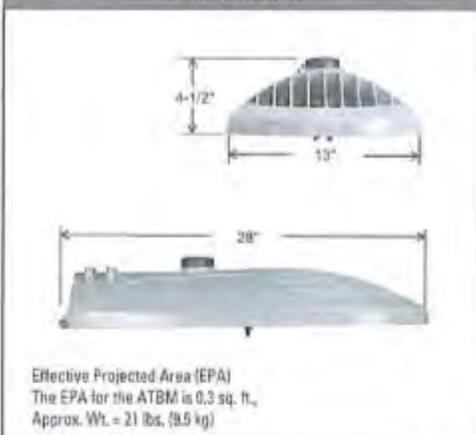
## PRODUCT OVERVIEW



### Applications:

- Residential streets
- Parking lots
- High speed roadways

### DIMENSIONS



### STANDARDS

DesignLights Consortium™ (DLC) qualified product. Not all versions of this product may be DLC qualified. Please check the DLC Qualified Products List at [www.designlights.org/DFL](http://www.designlights.org/DFL) to confirm which versions are qualified.

Color temperatures of  $\leq 3000K$  must be specified for International Dark-Sky Association certification.

Rated for  $-40^{\circ}C$  to  $40^{\circ}C$  ambient

CSA Certified to U.S. and Canadian standards

Complies with ANSI: C136.2, C136.10, C136.14, C136.31, C136.15, C136.37

### Features:

#### OPTICAL

Same Light: Performance is comparable to 150W – 250W HPS

White Light: Correlated color temperature – 4000K, 70 CRI minimum, 3000K, 70 CRI minimum or optional 5000K, 70 CRI minimum.

IP66 rated borosilicate glass optics ensure longevity and minimize dirt deposition. Unique IP66 rated LED light engines provide 0% uplight and restrict backlight to within sidewalk depth, providing optimal application coverage and optimal pole spacing.

Available distributions are Type II, III, IV, & V roadway distributions.

#### ELECTRICAL

Expected Life: LED light engines are rated  $> 100,000$  hours at  $25^{\circ}C$ , L70.

Electronic driver has an expected life of 100,000 hours at a  $25^{\circ}C$  ambient.

Lower Energy: Saves an expected 40–60% over comparable HID luminaires.

Robust Surge Protection: Three different surge protection options provide a minimum of ANSI C136.2 10kV/50kA protection. 20kV/100kA surge protection is also available.

#### MECHANICAL

Includes standard AEL lineman-friendly features such as tool-less entry, 3 station terminal block and quick disconnects. Bubble level located inside the electrical compartment for easy leveling at installation.

Rugged die-cast aluminum housing and door are polyester powder-coated for durability and corrosion resistance. Rigorous five-stage pre-treating and painting process yields a finish that achieves a scribe creepage rating of 8 (per ASTM D1654) after over 5000 hours exposure to salt fog chamber (operated per ASTM B117).

Mount arm mount is adjustable for arms from 1-1/4" to 2" (1-5/8" to 2-3/8" O.D.) diameters. The 2-bolt and optional 4 bolt clamping mechanisms provide 3G vibration rating per ANSI C136.

The Wildlife shield is cast into the housing (not a separate piece).

#### CONTROLS

NEMA 3 pin photocontrol receptacle is standard, with the Avuity designed ANSI standard 5 pin and 7 pin receptacles optionally available.

Premium solid state locking-style photocontrol – PCCS (10 year rated life) Extreme long life solid state locking-style photocontrol – PCL1 (20 year rated life).

Extreme long life solid state locking-style photocontrol with on demand remote on/off control – PCCC (15 year rated life).

Optional onboard Adjustable Output module allows the light output and input wattage to be modified to meet site specific requirements, and also can allow a single fixture to be flexibly applied in many different applications.

# Autobahn Series ATBM Roadway

## PERFORMANCE PACKAGE

Performance Package	Distribution	4000 K CCT			LLD @ 25°C		
		Lumens	Input Watts	LPW	50K Hours	75K Hours	100K Hours
A	R2	7,114	60	118	89	84	80
	R3	7,024		117			
	R4	6,958		116			
	R5	7,469		124			
B	R2	8,090	70	115	89	84	80
	R3	8,016		114			
	R4	7,924		113			
	R5	8528		121			
C	R2	9031	81	112	89	84	80
	R3	8,942		111			
	R4	8,827		110			
	R5	9,517		118			
D	R2	11,769	95	124	90	87	84
	R3	11,890		123			
	R4	11,534		121			
	R5	12,388		130			
E	R2	13,601	115	118	90	87	84
	R3	13,416		117			
	R4	13,323		116			
	R5	14,263		124			
F	R2	15,932	133	120	90	86	83
	R3	15,741		118			
	R4	15,476		116			
	R5	16,691		125			
G	R2	17,102	150	114	90	86	83
	R3	16,974		113			
	R4	16,635		111			
	R5	17,938		119			
H	R2	18,085	164	111	90	86	83
	R3	17,929		110			
	R4	17,439		107			
	R5	18,966		116			

Note: Information shown above is based on 4000K nominal system data. Individual fixture performance may vary. Specifications subject to change without notice.

**AEL American Electric Lighting**  
 AEL Headquarters, 3825 Columbus Road, Granville, OH 43020  
 www.americanelectrictlighting.com  
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**Warranty** Five-year limited warranty. Complete warranty terms located at [www.ael.com](http://www.ael.com) or [www.acuitybrands.com](http://www.acuitybrands.com). Actual performance may differ as a result of end-user environment and application. Specifications subject to change without notice.

Please contact your sales representative for the latest product information.

ATBM1

## CITY OF WOODDALE GY3 (Reference=L59667-2)

**Optical System:** 0% uplight and U0 per IESNA TM-15.

**Driver:** High power factor of 90% minimum. Electronic driver, operating range 50/60 Hz. Auto-adjusting universal voltage input from 120 to 277 VAC rated for both application line to line or line to neutral. Class I, THD of 20% max. **Driver comes with dimming compatible 0-10 volts.**

The current supplying the LEDs will be reduced by the driver if the driver experiences internal overheating as a protection to the LEDs and the electrical components. Output is protected from short circuits, voltage overload and current overload. Automatic recovery after correction. Standard built-in driver surge protection of 2.5kV (min).

**Driver Options: (DMG) Integrated Feature,** Dimming compatible 0-10 volts. For applicable warranty, certification and operation guide see Philips Lumec dimmable luminaire specification document for unapproved device installed by other. To get document, click on this link: [Specification document](#) or go on web site on this address: [http://www.lumec.com/Lumec3DV2/PdfWebLink/Philips Lumec dimmable luminaire specification document for unapproved device installed by other.pdf](http://www.lumec.com/Lumec3DV2/PdfWebLink/Philips%20Lumec%20dimmable%20luminaire%20specification%20document%20for%20unapproved%20device%20installed%20by%20other.pdf)

**Surge Protector: Integrated Feature,** Surge protector tested in accordance with ANSI/IEEE C62.45 per ANSI/IEEE C62.41.2 Scenario I Category C High Exposure 10kV/10kA waveforms for Line-Ground, Line-Neutral and Neutral-Ground, and in accordance with U.S. DOE (Department of Energy) MSSLC (Municipal Solid-State Street Lighting Consortium) model specification for LED roadway luminaires electrical immunity requirements for High Test Level 10kV / 10kA.

**Luminaire Options: (RCD) Integrated Feature.** Receptacle with 5 pins enabling dimming, can be used with a twist-lock control device or photoelectric cell or a shorting cap. Use of photocell or shorting cap is required to ensure proper illumination.

**Luminaire Useful Life:** Refer to IES files for energy consumption and delivered lumens for each option. Based on ISTMT in-situ thermal testing in accordance with UL1598 and UL8750, Philips System Reliability Tool, Philips Advance data LM-80/TM-21 data, expected to reach 100,000 + hours with >L70 lumen maintenance @ 25°C. Luminaire Useful Life accounts for LED lumen maintenance AND all of these additional factors including: LED life, driver life, PCB substrate, solder joints, on/off cycles, burning hours and corrosion.

CITY OF WOODDALE GY3 (Reference=L59667-2)

LED's Wattage and Lumens Values - 3000K

Ordering Code	Total LEDs	LED current (mA)	Average system watts (W)	Type R25			Type R2M			Type R3S			Type R3M		
				delivered lumens	Efficacy (LPW)	BIG rating	delivered lumens	Efficacy (LPW)	BIG rating	delivered lumens	Efficacy (LPW)	BIG rating	delivered lumens	Efficacy (LPW)	BIG rating
RFL-145W64LED3K-G2	64	700	157	16815	111.7	B3-UD-G2	16458	110.1	B3-UD-G3	16181	118.1	B2-UD-G6	16117	117.7	B3-UD-G3
RFL-90W80LED3K-G2	80	350	93	11541	124.5	B2-UD-G2	11287	121.8	B2-UD-G2	11107	119.8	B2-UD-G2	11070	119.4	B3-UD-G2
RFL-135W80LED3K-G2	80	530	136	16501	121.7	B5-UD-G2	16251	119.1	B3-UD-G3	15977	117.1	B2-UD-G3	15924	116.7	B5-UD-G3
RFL-180W80LED3K-G2	80	700	174	21016	120.8	B3-UD-G2	20571	118.2	B3-UD-G3	20326	118.2	B2-UD-G3	20139	115.9	B3-UD-G3
RFL-160W96LED3K-G2	96	550	191	19921	123.9	B3-UD-G2	19501	121.3	B3-UD-G3	19171	119.5	B2-UD-G3	19109	118.9	B5-UD-G3
RFL-215W96LED3K-G2	96	700	207	25219	121.8	B5-UD-G5	24887	119.3	B8-UD-G5	24271	117.3	B2-UD-G4	24180	116.5	B3-UD-G3
RFL-335W96LED3K-G2	96	1050	323	35084	108.9	B4-UD-G4	34354	106.1	B4-UD-G4	33775	104.6	B3-UD-G4	33663	104.1	B4-UD-G4
RFL-190W112LED3K-G2	112	530	188	23241	122.9	B3-UD-G2	22751	121.3	B3-UD-G3	22366	119.3	B3-UD-G4	22284	118.9	B3-UD-G3
RFL-241W112LED3K-G2	112	700	243	29432	121.1	B3-UD-G3	28801	118.5	B3-UD-G3	28316	116.5	B3-UD-G4	28223	116.1	B3-UD-G4
RFL-350W112LED3K-G2	112	950	340	37731	111.1	B4-UD-G4	36955	108.8	B4-UD-G4	36513	107.0	B3-UD-G5	36195	106.6	B4-UD-G4

Ordering Code	Total LEDs	LED current (mA)	Average system watts (W)	Type 4			Type 5		
				delivered lumens	Efficacy (LPW)	BIG rating	delivered lumens	Efficacy (LPW)	BIG rating
RFL-145W64LED3K-G2	64	700	157	16210	118.2	B2-UD-G3	16851	128.0	B4-UD-G2
RFL-90W80LED3K-G2	80	350	93	11127	120.0	B2-UD-G2	11557	124.8	B4-UD-G2
RFL-135W80LED3K-G2	80	530	136	16006	117.3	B2-UD-G3	16638	121.9	B4-UD-G2
RFL-180W80LED3K-G2	80	700	174	20263	116.5	B3-UD-G4	21054	121.1	B5-UD-G3
RFL-160W96LED3K-G2	96	530	161	19207	119.3	B5-UD-G4	19987	124.2	B5-UD-G3
RFL-215W96LED3K-G2	96	700	207	24315	117.5	B3-UD-G4	25277	122.1	B5-UD-G3
RFL-335W96LED3K-G2	96	1050	323	33836	104.8	B3-UD-G5	35175	108.9	B5-UD-G4
RFL-190W112LED3K-G2	112	530	188	22408	119.5	B5-UD-G4	23295	124.2	B5-UD-G3
RFL-241W112LED3K-G2	112	700	243	28368	118.7	B3-UD-G4	29489	121.4	B5-UD-G4
RFL-350W112LED3K-G2	112	950	340	36379	107.2	B3-UD-G5	37818	111.4	B5-UD-G4

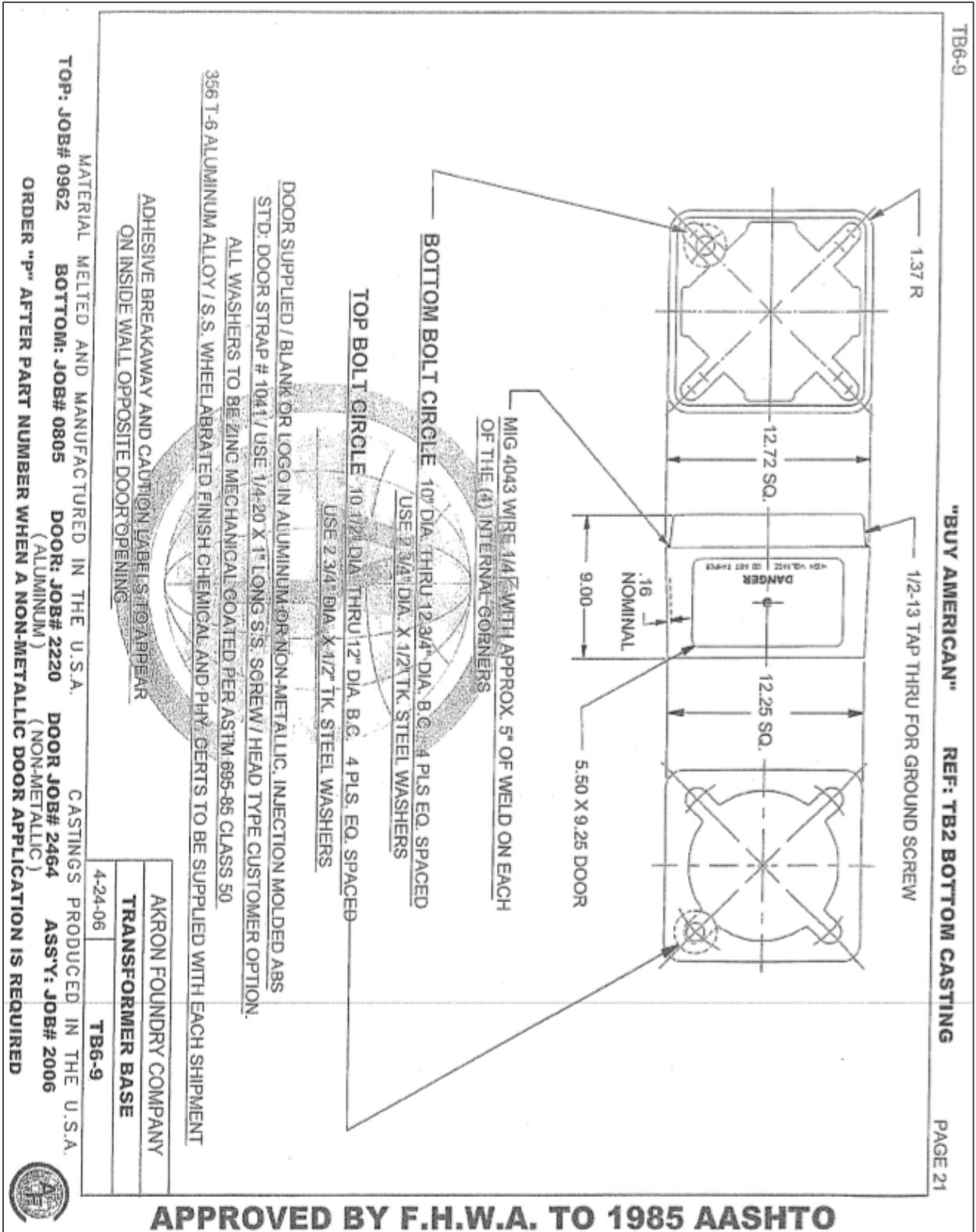
Table 4 - Lumens (delivered) vs. Power (W)

Power (W)	Lumens (delivered)	Efficacy (LPW)
1	16815	111.7
2	11287	121.8
3	16251	119.1
4	20571	118.2
5	19501	121.3
6	24887	119.3
7	34354	106.1
8	22751	121.3
9	28801	118.5
10	36955	108.8

Note: Typical color efficacy is ~80

Actual performance may vary due to installation variables including optics, mounting/ceiling height, dirt depreciation, light loss factor, etc.; highly recommended to confirm performance with a layout - contact Applications: outdoorlightingapplications@philips.com  
 Note: Some data may be scaled based on tests of similar, but not identical luminaires







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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Master Pole Attachment Agreement  
Staff Contact: Kate Buggy, Management Analyst  
Department: Administration

**TITLE:** Master Pole Attachment Agreement with Chicago SMSA Limited Partnership  
D/B/A/ Verizon Wireless

### **RECOMMENDATION:**

Staff recommends the Committee approve the master pole attachment agreement with Chicago SMSA Limited Partnership D/B/A/ Verizon Wireless.

### **BACKGROUND:**

Verizon has approached City staff regarding the placement of small wireless telecommunication facilities in the City. The City Code currently regulates location and design of small wireless telecommunication facilities in order to protect the public health, safety, and welfare of its citizens. The City Code also authorizes the City to enter into a Master Pole Attachment Agreement with telecommunications providers for these purposes.

### **ANALYSIS:**

The City's Master License Agreement is attached. The Council's approval of the agreement with Verizon will be contingent upon review and approval by the City Attorney and City Engineer including, but not limited to, approval of a submitted site plan as being in conformance with the City's small wireless facilities regulations and design standards.

### **DOCUMENTS ATTACHED**

- ✓ Resolution
- ✓ Master Pole Attachment Agreement

**RESOLUTION NO. R-20- \_\_\_\_\_**

**A RESOLUTION APPROVING A MASTER POLE ATTACHMENT AGREEMENT WITH CHICAGO SMSA LIMITED PARTNERSHIP D/B/A VERIZON WIRELESS**

**WHEREAS**, the City of Wood Dale (“City”) is a body politic and corporate, organized and existing pursuant to the Illinois Municipal Code, 65 ILCS 5/1-1-1 *et seq.*; and

**WHEREAS**, the City is authorized and empowered under the Illinois Municipal Code, and its Ordinances adopted pursuant thereto, to enter into license agreements for the use of its real property; and

**WHEREAS**, the City possesses the authority, pursuant to the Illinois Municipal Code, 65 ILCS 5/1-1-1 *et seq.*, to adopt ordinances pertaining to public health, safety and welfare; and

**WHEREAS**, the City Code currently regulates the location and design of small wireless telecommunication facilities located in the City in order to protect the public health, safety and welfare of the Citizens of the City and authorizes the City to enter into Master Pole Attachment Agreements with telecommunications providers in order to protect the public health, safety and welfare of the Citizens of the City; and

**WHEREAS**, a Master Pole Attachment Agreement (hereinafter the “Agreement”) has been negotiated with Chicago SMSA Limited Partnership d/b/a Verizon Wireless, a copy of which is attached hereto and incorporated herein by reference as Exhibit “A;” and

**WHEREAS**, the City Council has reviewed the Agreement and deems it in the best interests of the City and its residents to authorize execution of the Agreement on the terms set forth therein.

**NOW, THEREFORE, BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF WOOD DALE, DUPAGE COUNTY, ILLINOIS**, as follows:

SECTION 1: The recitals set forth above are incorporated herein and made a part hereof.

SECTION 2: The Agreement with Chicago SMSA Limited Partnership d/b/a Verizon Wireless, in substantially the same form as attached to this Resolution as Exhibit “A” is approved and accepted by the City of Wood Dale subject to City Attorney and City Engineer approval including but not limited to approval of a submitted site plan as being in conformance with the City’s Small Wireless Facilities regulations and Design Standards.

SECTION 3: The Mayor is authorized to execute said Agreement on behalf of the City of Wood Dale, which signature shall be attested to by the City Clerk.

SECTION 4: The City Manager, staff and/or the City Attorney shall take the steps

necessary to put the terms and conditions of the Agreement into effect.

SECTION 5: That all ordinances and resolutions, or parts thereof in conflict with the provisions of this Resolution are, to the extent of such conflict, hereby repealed.

SECTION 6: That this Resolution shall be in full force and effect, from and after its adoption, approval and publication in pamphlet form, as provided by law.

PASSED this \_\_\_\_\_ day of December, 2020.

AYES: \_\_\_\_\_

NAYS: \_\_\_\_\_

ABSENT: \_\_\_\_\_

APPROVED this \_\_\_\_\_ day of December, 2020.

SIGNED: \_\_\_\_\_  
Annunziato Pulice, Mayor

ATTEST: \_\_\_\_\_  
Lynn Curiale, City Clerk

## MASTER POLE ATTACHMENT AGREEMENT

This Master Pole Attachment Agreement ("Agreement") made this \_\_\_\_ day of \_\_\_\_\_, 2020, between the City of Wood Dale, with its principal offices located at 404 N. Wood Dale Road, Wood Dale, IL 60190, hereinafter designated LICENSOR and Chicago SMSA Limited Partnership d/b/a Verizon Wireless, an Illinois limited partnership, whose principal place of business is One Verizon Way, Mail Stop 4AW100, Basking Ridge, New Jersey, 07320, hereinafter designated LICENSEE. LICENSOR and LICENSEE are at times collectively referred to hereinafter as the "Parties" or individually as the "Party."

### WITNESSETH

**WHEREAS**, LICENSOR is the owner, of certain utility poles, wireless support structures, and/or real property, which are located within the geographic area of a license to provide wireless services licensed by the Federal Communications Commission (FCC) to LICENSEE; and

**WHEREAS**, LICENSEE desires to install, maintain and operate small wireless facilities in and/or upon certain of LICENSOR's utility poles, wireless support structures and/or real property; and

**WHEREAS**, LICENSOR and LICENSEE acknowledge that any term used in this Agreement that is defined in the LICENSOR's Small Wireless Facilities regulations, (as now or hereafter amended) shall have the meaning provided therein; and

**WHEREAS**, LICENSOR and LICENSEE acknowledge that the terms of this Agreement are nondiscriminatory, competitively neutral and commercially reasonable.

**WHEREAS**, LICENSOR and LICENSEE desire to enter into this Agreement to define the general terms and conditions which would govern their relationship with respect to particular sites at which LICENSOR may wish to permit LICENSEE to install, maintain and operate small wireless facilities as hereinafter set forth; and

**WHEREAS**, the LICENSOR and LICENSEE intend to promote the expansion of communications services in a manner consistent with the Small Wireless Facilities Deployment Act, the Illinois Cable and Video Competition Act, the Illinois Telephone Company Act, the Telecommunications Act of 1996, the Middle Class Tax Relief and Job Creation Act of 2012, the Simplified Municipal Telecommunications Tax Act, 35 ILCS 636/5-1, *et. seq.* and Federal Communication Commission Regulations; and

**WHEREAS**, LICENSOR and LICENSEE acknowledge that they will enter into a License Supplement (Supplement), a copy of which is attached hereto as Exhibit A, with respect to any particular location or site which the Parties agree to license; and

**WHEREAS**, the Parties acknowledge that different related entities may operate or conduct the business of LICENSEE in different geographic areas and as a result, each Supplement may be signed by LICENSEE affiliated entities as further described herein, as appropriate based upon the entity holding the FCC license in the subject geographic location.

**NOW THEREFORE**, in consideration of the mutual covenants contained herein and intending to be legally bound hereby, the Parties hereto agree as follows:

- 1) PREMISES. Pursuant to all of the terms and conditions of this Agreement and the applicable Supplement, LICENSOR agrees to license to LICENSEE that certain space on or upon LICENSOR's utility poles, and/or wireless support structures as more fully described in each Supplement to be executed by the Parties hereinafter referred to as the "Premises", for the installation, operation, maintenance, repair and modification of small wireless facilities; together with the non-exclusive right of ingress and egress from a public right-of-way, seven (7) days a week, twenty four (24) hours a day, over the Property (as defined below) and to and from the Premises for the purpose of installation, operation, maintenance, repair and modification of LICENSEE's small wireless facilities. The LICENSOR's utility poles, wireless support structures and other poles and towers are hereinafter referred to as "Pole" and the entirety of the LICENSOR's property is hereinafter referred to as "Property". In the event there are not sufficient electric and telephone, cable or fiber utility sources located at the Premises or on the Property, LICENSOR agrees to grant LICENSEE the right to install such utilities on, over and/or under the Property and to the Premises as necessary for LICENSEE to operate its communications facility, but only from duly authorized provider of such utilities, provided the location of such utilities shall be designated by LICENSOR.
- 2) PERMIT APPLICATION. For each small wireless facility, LICENSEE shall submit an application to LICENSOR for permit that includes:
  - a) Site specific structural integrity and, for LICENSOR'S utility pole or wireless support structure, make-ready analysis prepared by a structural engineer, as that term is defined in Section 4 of the Structural Engineering Practice Act of 1989;
  - b) The location where each proposed small wireless facility or utility pole would be installed and photographs of the location and its immediate surroundings depicting the utility poles or structures on which each proposed small wireless facility would be mounted or location where utility poles or structures would be installed. This should include a depiction of the completed facility;
  - c) Specifications and drawings prepared by a structural engineer, as that term is defined in Section 4 of the Structural Engineering Practice Act of 1989, for each proposed small wireless facility covered by the application as it is proposed to be installed;
  - d) The equipment type and model numbers for the antennas and all other wireless equipment associated with the small wireless facility;
  - e) A proposed schedule for the installation and completion of each small wireless facility covered by the application, if approved; and
  - f) Certification that the collocation complies with LICENSOR's Small Wireless Facilities Ordinance requirements, to the best of the applicant's knowledge.
  - g) The application fee due.
- 3) APPLICATION FEES. Application fees are subject to the following requirements:
  - a) LICENSEE shall pay an application fee of Six Hundred-Fifty and 00/100 Dollars (\$650.00) for an application to collocate a single small wireless facility on an existing utility pole or wireless support structure and Three Hundred-Fifty and 00/100 Dollars (\$350.00) for each small wireless facility addressed in a consolidated application to collocate more than one small wireless facility on existing utility poles or wireless support structures.

- b) LICENSEE shall pay an application fee of One Thousand and 00/100 Dollars (\$1,000.00) for each small wireless facility addressed in an application that includes the installation of a new utility pole for such collocation.
- c) Notwithstanding any contrary provision of State law or local ordinance, applications pursuant to this Section must be accompanied by the required application fee.
- d) LICENSOR shall not require an application, approval, or permit, or require any fees or other charges, from LICENSEE, for:
  - i) routine maintenance; or
  - ii) the replacement of wireless facilities with wireless facilities that are substantially similar, the same size, or smaller if LICENSEE notifies LICENSOR at least 10 days prior to the planned replacement and includes equipment specifications for the replacement of equipment consistent with the requirements of this Agreement; or
  - iii) the installation, placement, maintenance, operation, or replacement of small wireless facilities that are suspended on cables that are strung between existing utility poles in compliance with applicable safety codes, provided this provision does not authorize such facilities to be suspended from municipal electric lines, if any.

#### 4) REQUIREMENTS.

- a) LICENSEE's operation of the small wireless facilities shall not interfere with the frequencies used by a public safety agency for public safety communications. LICENSEE shall install small wireless facilities of the type and frequency that will not cause unacceptable interference with a public safety agency's communications equipment. Unacceptable interference will be determined by and measured in accordance with industry standards and the FCC's regulations addressing unacceptable interference to public safety spectrum or any other spectrum licensed by a public safety agency. If a small wireless facility causes such interference, and LICENSEE has been given written notice of the interference by the public safety agency, LICENSEE, at its own expense, shall take all reasonable steps necessary to correct and eliminate the interference, including, but not limited to, powering down the small wireless facility and later powering up the small wireless facility for intermittent testing, if necessary. The LICENSOR may terminate a permit for a small wireless facility based on such interference if LICENSEE is not making a good faith effort to remedy the problem in a manner consistent with the abatement and resolution procedures for interference with public safety spectrum established by the FCC including 47 CFR 22.970 through 47 CFR 22.973 and 47 CFR 90.672 through 47 CFR 90.675.
- b) LICENSEE shall not install devices on the existing utility pole or wireless support structure that extend beyond ten feet (10') of the poles existing height.
- c) LICENSEE shall install pole mounted equipment at a minimum of eight feet (8') from the ground.
- d) LICENSEE shall be limited to one (1) cabinet or other ground mounted device for ground mounted installations.
- e) LICENSEE shall paint antennas, mounting hardware, and other devices to match the structure upon which they are being mounted. In the event a color match is not possible, the color shall be black or silver.

- f) LICENSEE shall install landscaping at the base of poles with respect to any ground equipment installed by LICENSEE on which devices are being installed as required by the LICENSOR.
- g) LICENSEE shall comply with all the terms and conditions of the Municipal Code, Chapter 6, Article XII, and Chapter 16, Article III, except as the provisions of either Article may conflict with Chapter 16, Article VI, in which case, the provisions of Chapter 16, Article V shall prevail and LICENSOR's Small Wireless Facilities Design Standards.
- h) LICENSEE shall comply with requirements that are imposed by a contract between the LICENSOR and a private property owner that concern design or construction standards applicable to utility poles and ground-mounted equipment located in the right-of-way.
- i) LICENSEE shall comply with requirements for location of facilities as set forth in Sec. 16.315, as now or hereafter amended, concerning the location of ground-mounted equipment located in the right-of-way. As need arises, the LICENSEE may apply for a variance from any requirement, pursuant to the variance provisions set forth in Sec. 16.321.
- j) LICENSEE shall comply with Chapter 6, Article XII, as now or hereafter amended, concerning undergrounding requirements or determinations from the municipal officer or employee in charge of municipal utilities, if any. As need arises, the LICENSEE may apply for a variance from any requirement, pursuant to the variance provisions set forth in Sec. 16.321.
- k) LICENSEE shall comply with Chapter 6, Article XII, and Chapter 16, Article III, as now or hereafter amended, for construction and public safety in the rights-of-way, including, but not limited to, wiring and cabling requirements, grounding requirements, utility pole extension requirements, and signage limitations; and shall comply with reasonable and nondiscriminatory requirements that are consistent with PA 100-0585 and adopted by LICENSOR regulating the location, size, surface area and height of small wireless facilities, or the abandonment and removal of small wireless facilities.
- l) LICENSEE shall not collocate small wireless facilities within the communication worker safety zone of the pole or the electric supply zone of the pole on LICENSOR utility poles that are part of an electric distribution or transmission system. However, the antenna and support equipment of the small wireless facility may be located in the communications space on the LICENSOR utility pole and on the top of the pole, if not otherwise unavailable, if LICENSEE complies with all applicable regulations relative to work involving the top of the pole. For purposes of this subparagraph, the terms "communications space", "communication worker safety zone", and "electric supply zone" have the meanings given to those terms in the National Electric Safety Code as published by the Institute of Electrical and Electronics Engineers.
- m) LICENSEE shall comply with the provisions of Chapter 6, Article XII and Chapter 16, Article III, as now or hereafter amended, that concern public safety.
- n) LICENSEE shall install, maintain, repair and modify its small wireless facilities in safe condition and good repair and in compliance with the requirements and conditions of this Agreement. LICENSEE shall ensure that its employees, agents or contractors that perform work in connection with its small wireless facilities are adequately trained and skilled in accordance with all applicable industry and governmental standards and regulations.

o) At a minimum, LICENSEE's installation shall complement existing poles in the immediate neighborhood, for purposes of design and stealth. At a minimum, each new pole or installation shall be black. Additionally, LICENSEE shall comply with design standards for decorative utility poles, or stealth, concealment, and aesthetic requirements that are identified by LICENSOR as follows:

p) \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_.

q)

5) APPLICATION PROCESS. LICENSOR shall process applications as follows:

a) An application to collocate a small wireless facility on an existing utility pole, replacement of an existing utility pole or wireless support structure owned or controlled by LICENSOR shall be processed by LICENSOR and deemed approved if LICENSOR fails to approve or deny the application within ninety (90) days. However, if LICENSEE intends to proceed with the permitted activity on a deemed approved basis, LICENSEE must notify LICENSOR in writing of its intention to invoke the deemed approved remedy no sooner than seventy-five (75) days after the submission of a completed application. The permit shall be deemed approved on the latter of the ninetieth (90th) day after submission of the complete application or the 10th day after the receipt of the deemed approved notice by LICENSOR. The receipt of the deemed approved notice shall not preclude LICENSOR's denial of the permit request within the time limits as provided under the City Code.

b) An application to collocate a small wireless facility that includes the installation of a new utility pole shall be processed and deemed approved if LICENSOR fails to approve or deny the application within 120 days. However, if LICENSEE applicant intends to proceed with the permitted activity on a deemed approved basis, the applicant must notify LICENSOR in writing of its intention to invoke the deemed approved remedy no sooner than 105 days after the submission of a completed application. The permit shall be deemed approved on the latter of the one hundred-twentieth (120th) day after submission of the complete application or the tenth (10th) day after the receipt of the deemed approved notice by LICENSOR. The receipt of the deemed approved notice shall not preclude LICENSOR's denial of the permit request within the time limits as provided under the City Code.

c) LICENSOR shall approve an application unless the application does not meet the requirements of the City Code and LICENSOR's Small Wireless Facilities Design Standards.

d) If LICENSOR determines that applicable codes, local code provisions or regulations that concern public safety, or the requirements of the City Code require that the utility pole or wireless support structure be replaced before the requested collocation, approval may be conditioned on the replacement of the utility pole or wireless support structure at the cost of LICENSEE. LICENSOR must document the basis for a denial, including the specific code provisions or application conditions on which the denial was based, and send the documentation to LICENSEE on or before the day LICENSOR denies an application. LICENSEE may cure the deficiencies identified by LICENSOR and resubmit the revised application once within thirty (30) days after notice of denial is sent to the

applicant without paying an additional application fee. LICENSOR shall approve or deny the revised application within thirty (30) days after LICENSEE resubmits the application or it is deemed approved. However, LICENSEE must notify LICENSOR in writing of its intention to proceed with the permitted activity on a deemed approved basis, which may be submitted with the resubmitted application. Any subsequent review shall be limited to the deficiencies cited in the denial. However, this revised application cure does not apply if the cure requires the review of a new location, new or different structure to be collocated upon, new antennas, or other wireless equipment associated with the small wireless facility.

- e) COMPLETENESS OF APPLICATION. Within thirty (30) days after receiving an application, the LICENSOR shall determine whether the application is complete and notify the applicant. If an application is incomplete, the LICENSOR shall specifically identify the missing information. An application shall be deemed complete if the LICENSOR fails to provide notification to the applicant within thirty (30) days after all documents, information and fees specifically enumerated in the LICENSOR's permit application form are submitted by the application to the LICENSOR. Processing deadlines are tolled from the time the LICENSOR sends the notice of incompleteness to the time the applicant provides the missing information.
  - f) TOLLING. The time period for applications may be further tolled by the express agreement in writing by both LICENSOR and LICENSEE; or a local, State or federal disaster declaration or similar emergency that causes the delay.
  - g) CONSOLIDATED APPLICATIONS. A LICENSEE seeking to collocate small wireless facilities within the jurisdiction of LICENSOR shall be allowed, at LICENSEE's discretion, to file a consolidated application and receive a single permit for the collocation of up to twenty-five (25) small wireless facilities if the collocations each involve substantially the same type of small wireless facility and substantially the same type of structure. If an application includes multiple small wireless facilities, LICENSOR may remove small wireless facility collocations from the application and treat separately small wireless facility collocations for which incomplete information has been provided or that do not qualify for consolidated treatment or that are denied. LICENSOR may issue separate permits for each collocation that is approved in a consolidated application.
- 6) COLLOCATION COMPLETION DEADLINE. Collocation for which a permit is granted shall be completed within one hundred-eighty (180) days after issuance of the permit, unless LICENSOR and LICENSEE agree to extend this period or a delay is caused by make-ready work for a LICENSOR utility pole or by the lack of commercial power or backhaul availability at the site, provided LICENSEE has made a timely request within 60 days after the issuance of the permit for commercial power or backhaul services, and the additional time to complete installation does not exceed three hundred-sixty (360) days after issuance of the permit. Otherwise, the permit shall be void unless LICENSOR grants an extension in writing to the LICENSEE.
- 7) DURATION OF AGREEMENT. The duration of this agreement and the initial Supplement shall be for a period of (not less than five (5) years), and the agreement and Supplement shall be renewed for equivalent durations unless LICENSOR makes a finding that the small wireless facilities or the new or modified utility pole do not comply with the applicable codes or local code provisions or the City Code, as now or hereafter amended.

- 8) EXTENSIONS. Each Supplement may be extended for additional five (5) year terms unless LICENSEE terminates it at the end of the then current term by giving LICENSOR written notice of the intent to terminate at least three (3) months prior to the end of the then current term. The initial term and all extensions under a Supplement shall be collectively referred to herein as the "Term". Notwithstanding anything herein, after the expiration of this Agreement, its terms and conditions shall survive and govern with respect to any remaining Supplements in effect until their expiration or termination.
- 9) RENTAL. Each Supplement shall be effective as of the date of execution by both Parties (the "Effective Date"), provided, however, the initial term of each Supplement shall be for five (5) years and shall commence on the first day of the month following the day that LICENSEE commences installation of the equipment on the Premises (the "Commencement Date") at which time rental payments shall commence and be due at a total annual rental as set forth in the Supplement, to be paid in advance annually on the Commencement Date and on each anniversary of it in advance, to the LICENSOR in the Supplement (unless LESSOR otherwise designates another payee and provides notice to LICENSEE). LICENSOR and LICENSEE acknowledge and agree that the initial rental payment for each Supplement shall not actually be sent by LICENSEE until thirty (30) days after the Commencement Date. LICENSOR and LICENSEE agree that they shall acknowledge in writing the Commencement Date of each Supplement. Rental for the use of any poles pursuant to this Agreement, shall be an annual fee of \$200.00 per each wireless facility which LICENSEE attaches to LICENSOR's pole. Thereafter, rent will be due at each annual anniversary of the "Commencement Date" of the applicable Supplement. Upon agreement of the Parties, LICENSEE may pay rent by electronic funds transfer and in such event, LICENSOR agrees to provide to LICENSEE bank routing information for such purpose upon request of LICENSEE.
- 10) ABANDONMENT. A small wireless facility that is not operated for a continuous period of 12 months shall be considered abandoned and the LICENSEE must remove the small wireless facility within 90 days after receipt of written notice from LICENSOR notifying LICENSEE of the abandonment.
- The notice shall be sent by certified or registered mail, return receipt requested, by LICENSOR to the LICENSEE at the last known address of LICENSEE. If the small wireless facility is not removed within ninety (90) days of such notice, LICENSOR may remove or cause the removal of such facility and charge said costs to the LICENSEE.
- LICENSEE shall provide written notice to LICENSOR of any sale or transfer of small wireless facilities not less than thirty (30) days prior to such transfer and said notice shall include the name and contact information of the new wireless provider.
- 11) CONDITION OF PREMISES. Where the Premises includes one or more Poles, LICENSOR covenants that it will keep the Poles in good repair as required by all federal, state, county and local laws. If the LICENSOR fails to make such repairs including maintenance within sixty (60) days, of any notification to LICENSOR, the LICENSEE shall have the right to cease annual rental for the effected poles, but only if the poles are no longer capable of being used for the purpose originally contemplated in this Agreement or otherwise do not comply with existing law. If LICENSEE terminates, LICENSEE shall remove its small wireless facility. Termination of this Agreement shall be the LICENSEE's sole remedy.

- 12) MAKE READY TERMS. LICENSOR shall not require more make-ready work than required to meet applicable codes or industry standards. Make-ready work may include work needed to accommodate additional public safety communications needs that are identified in a documented and approved plan for the deployment of public safety equipment as specified and included in an existing or preliminary LICENSOR or public service agency plan. Fees for make-ready work, including any LICENSOR utility pole attachment, shall not exceed actual costs or the amount charged to communications service providers for similar work and shall not include any consultants' fees or expenses for LICENSOR utility poles that do not support aerial facilities used to provide communications services or electric service. Make-ready work, including any pole replacement, shall be completed within sixty (60) days of written acceptance of the good-faith estimate by the LICENSOR at the LICENEE's sole cost and expense.
- 13) AERIAL FACILITIES. For LICENSOR utility poles that support aerial facilities used to provide communications services or electric services, LICENEE shall comply with the process for make-ready work under 47 U.S.C. 224 and its implementing regulations. LICENSOR shall follow a substantially similar process for such make-ready work except to the extent that the timing requirements are otherwise addressed in the City Code, as now or hereafter amended. The good-faith estimate of the person owning or controlling LICENSOR's utility pole for any make-ready work necessary to enable the pole to support the requested collocation shall include LICENSOR utility pole replacement, if necessary. Make-ready work for utility poles that support aerial facilities used to provide communications services or electric services may include reasonable consultants' fees and expenses.
- 14) NO AERIAL FACILITIES. For LICENSOR utility poles that do not support aerial facilities used to provide communications services or electric services, LICENSOR shall provide a good-faith estimate for any make-ready work necessary to enable the LICENSOR utility pole to support the requested collocation, include pole replacement, if necessary, within 90 days after receipt of a complete application. Make-ready work, including any LICENSOR utility pole replacement, shall be completed within sixty (60) days of written acceptance of the good-faith estimate by LICENEE at LICENEE's sole cost and expense. Alternatively, if LICENSOR determines that applicable codes or public safety regulations require the LICENSOR's utility pole to be replaced to support the requested collocation, LICENSOR may require LICENEE to replace LICENSOR's utility pole at LICENEE's sole cost and expense.
- 15) GENERAL RESTRICTIONS. In the event LICENSOR, in its reasonable discretion deems it necessary to remove, relocate or replace a Pole, LICENSOR shall notify LICENEE at least one hundred eighty (180) days prior of the need to remove or relocate its small wireless facility. In such event, LICENSOR shall provide options for alternative locations for LICENEE relocation of equipment which shall be in a mutually agreeable location ("Alternative Premises"). LICENEE shall be solely responsible for all costs related to the relocation of its small wireless facility to the Alternative Premises. In the event that a suitable Alternative Premises cannot be identified, LICENEE may terminate the applicable Supplement. In the event of an emergency, which for purposes of this Agreement shall be considered any imminent threat to health, safety and welfare of the public, LICENSOR must provide as much notice as reasonably practical under the circumstances. LICENEE may terminate this Agreement by giving written notice to the other party specifying the date of

termination, such notice to be given not less than one hundred eighty (180) days prior to the date specified therein.

- 16) ELECTRICAL. LICENSEE shall be permitted to connect its equipment to necessary electrical and telephone service, at LICENSEE's expense. LICENSEE shall secure a separate meter. so that the LICENSEE shall pay the utility directly for its power consumption. In the event that separate electrical service is not possible or practical under the circumstances, LICENSEE may use existing service, at LICENSEE's expense, upon the reasonable approval of LICENSOR. In the event that LICENSEE uses existing utility service at an individual Premises, the Parties agree to either: (i) attempt to have a sub-meter installed, at LICENSEE's expense, which shall monitor LICENSEE's utility usage (with a reading and subsequent bill for usage delivered to LICENSEE by either the applicable utility company or LICENSOR); or (ii) provide for an additional fee in the applicable Supplement which shall cover LICENSEE's utility usage. The Parties agree to reflect power usage and measurement issues in each applicable Supplement.
  
- 17) TEMPORARY POWER. LICENSEE shall be permitted at any time during the Term of each Supplement, to install, maintain and/or provide access to and use of, as necessary (during any power interruption at the Premises), a temporary power source, and all related equipment and appurtenances within the Premises, or elsewhere on the Property in such locations as reasonably approved by LICENSOR. Such temporary power source shall be operated in such fashion as not to unduly interrupt the peaceful enjoyment of adjacent properties. LICENSEE shall be permitted to connect the temporary power source to its equipment on the Premises in areas and manner approved by LICENSOR.
  
- 18) USE; GOVERNMENTAL APPROVALS. LICENSEE shall use the Premises for the purpose of constructing, maintaining, repairing and operating small wireless facilities and uses incidental thereto. LICENSEE shall have the right to replace, repair and modify equipment, antennas and/or conduits or any portion thereof and the frequencies over which the equipment operates, in conformance with the original Supplement. It is understood and agreed that LICENSEE's ability to use the Premises is contingent upon its obtaining after the execution date of each Supplement all of the certificates, permits and other approvals (collectively "Governmental Approvals") that may be required by any Federal, State or Local authorities as well as a satisfactory building structural analysis which will permit LICENSEE use of the Premises as set forth above. In the event that (i) any of such applications for such Governmental Approvals should be finally rejected; (ii) any Governmental Approval issued to LICENSEE is canceled, expires, lapses, or is otherwise withdrawn or terminated by governmental authority; and (iii) LICENSEE determines that such Governmental Approvals may not be obtained in a timely manner, LICENSEE shall have the right to terminate the applicable Supplement. Notice of LICENSEE's exercise of its right to terminate shall be given to LICENSOR in accordance with the notice provisions set forth in Paragraph 23 and shall be effective upon the mailing of such notice by LICENSEE, or upon such later date as designated by LICENSEE. All rentals paid to said termination date shall be retained by LICENSOR. Upon such termination, the applicable Supplement shall be of no further force or effect except to the extent of the representations, warranties and indemnities made by each Party to the other thereunder. Otherwise, the LICENSEE shall have no further obligations for the payment of rent to LICENSOR for the terminated Supplement. Notwithstanding anything to the contrary in this Paragraph, LICENSEE shall continue to be liable for all rental payments to the LICENSOR until all equipment is removed from the Property.

19) INSURANCE. LICENSEE shall carry, at LICENSEE's own cost and expense, the following insurance: (i) property insurance for its property's replacement cost against all risks; (ii) workers' compensation insurance, as required by law; or (iii) commercial general liability insurance with respect to its activities on LICENSOR improvements or rights-of-way to afford LICENSEE agrees that at its own cost and expense, the insurance set forth in the City's Master Fee Schedule.

LICENSEE may self-insure all or a portion of the insurance coverage and limit requirements required by LICENSOR. If LICENSEE self-insures it is not required, to the extent of the self-insurance, to comply with the requirement for the naming of additional insureds under this Section. If LICENSEE elects to self-insure it shall provide to LICENSOR evidence sufficient to demonstrate LICENSEE'S financial ability to self-insure the insurance coverage and limits required by LICENSOR.

20) INDEMNIFICATION. LICENSEE shall indemnify and hold LICENSOR harmless against any and all liability or loss from personal injury or property damage resulting from or arising out of, in whole or in part, the use or occupancy of LICENSOR'S improvements or right-of-way associated with such improvements by LICENSEE or its employees, agents, or contractors arising out of the rights and privileges granted under this Agreement and PA 100-0585. LICENSEE has no obligation to indemnify or hold harmless against any liabilities and losses as may be due to or caused by the sole negligence of LICENSOR or its employees or agents. LICENSEE hereby further waives any claims that LICENSEE may have against the LICENSOR with respect to consequential, incidental, or special damages, however caused, based on the theory of liability.

21) REMOVAL AT END OF TERM. LICENSEE shall, upon expiration of the Term, or within ninety (90) days after any earlier termination of a Supplement, remove its equipment, conduits, fixtures and all personal property and restore the Premises to its original condition, reasonable wear and tear and casualty damage not caused by LICENSEE excepted. LICENSOR agrees and acknowledges that all of the equipment, conduits, fixtures and personal property of LICENSEE shall remain the personal property of LICENSEE and LICENSEE shall have the right to remove the same at any time during the Term, whether or not said items are considered fixtures and attachments to real property under applicable laws. If such time for removal causes LICENSEE to remain on the Premises after termination of the Supplement, LICENSEE shall pay rent at the then existing monthly rate or on the existing monthly pro-rata basis if based upon a longer payment term, until such time as the removal of the antenna structure, fixtures and all personal property are completed.

22) RIGHTS UPON SALE. Should LICENSOR, at any time during the Term of any Supplement decide to sell or transfer all or any part of the Property such sale or grant of an easement or interest therein shall be under and subject to the Supplement and any such purchaser or transferee shall recognize LICENSEE's rights hereunder and under the terms of the Supplement.

23) NOTICES. All notices hereunder must be in writing and shall be deemed validly given if sent by certified mail, return receipt requested or by commercial courier, provided the courier's regular business is delivery service and provided further that it guarantees delivery to the addressee by the end of the next business day following the courier's receipt from the sender, addressed as follows (or any other address that the Party to be notified may have designated to the sender by like notice):

LICENSOR:  
City Manager  
City of Wood Dale  
404 N. Wood Dale Road  
Wood Dale, IL 60190

Copy to:  
Bond, Dickson & Conway  
400 S. Knoll Street, Unit C  
Wheaton, IL 60187

LICENSEE:  
Chicago SMSA Limited Partnership d/b/a Verizon Wireless  
One Verizon Way, Mail Stop 4AW100  
Basking Ridge, New Jersey, 07320

Copy to:  
Name  
Company  
Address  
City, State Zip

Either Party may change the addressee and/or location for the giving of notice to it by providing a thirty (30) days' prior written notice to the other Party.

Notice shall be effective upon actual receipt or refusal as shown on the receipt obtained pursuant to the foregoing.

24) CASUALTY. In the event of damage by fire or other casualty to the Pole or Premises that cannot reasonably be expected to be repaired within forty-five (45) days following same or, if the Pole or Property is damaged by fire or other casualty so that such damage may reasonably be expected to disrupt LICENSEE's operations at the Premises for more than forty-five (45) days, then LICENSEE may, at any time following such fire or other casualty, provided LICENSOR has not completed the restoration required to permit LICENSEE to resume its operation at the Premises, terminate the Supplement upon fifteen (15) days prior written notice to LICENSOR. Any such notice of termination shall cause the Supplement to expire with the same force and effect as though the date set forth in such notice were the date originally set as the expiration date of the Supplement and the Parties shall make an appropriate adjustment, as of such termination date, with respect to payments due to the other under the Supplement. Notwithstanding the foregoing, the rent shall abate during the period of repair following such fire or other casualty in proportion to the degree to which LICENSEE's use of the Premises is impaired.

25) DEFAULT. In the event there is a breach by a Party with respect to any of the provisions of this Agreement or its obligations under it, the non-breaching Party shall give the breaching Party written notice of such breach. After receipt of such written notice, the breaching Party shall have thirty (30) days in which to cure any breach, provided the breaching Party shall have such extended period, not to exceed ninety (90) days, as may be required beyond the thirty (30) days if the breaching Party commences the cure within the thirty (30) day period and thereafter continuously and diligently pursues to cure to completion. The non-breaching Party may maintain any action or affect any remedies for default against the breaching Party

subsequent to the thirty (30) day cure period, as potentially extended to ninety (90) days based on circumstances.

- 26) **REMEDIES.** In the event of a default by either Party with respect to a material provision of this Agreement, without limiting, other than by the specific terms of this Agreement, the non-defaulting Party in the exercise of any right or remedy which the non-defaulting Party may have by reason of such default, the non-defaulting Party may terminate the applicable Supplement and/or pursue any remedy now or hereafter available to the non-defaulting Party under the Laws or judicial decisions of the state of Illinois. Further, upon a default, the non-defaulting Party may at its option (but without obligation to do so), perform the defaulting Party's duty or obligation on the defaulting Party's behalf, including but not limited to the obtaining of reasonably required insurance policies. The costs and expenses of such performance by the non-defaulting Party shall be due and payable by the defaulting Party upon invoice therefor.
- 27) **APPLICABLE LAWS.** During the Term, LICENSOR shall maintain the Property and the Pole in compliance with all applicable laws, rules, regulations, ordinances, directives, covenants, easements, zoning and land use regulations, and restrictions of record, permits, building codes, (collectively "Laws"). LICENSEE shall, in respect to the condition of the Premises and at LICENSEE's sole cost and expense, comply with (a) all Laws relating solely to LICENSEE's specific and unique nature of use of the Premises; and (b) all building codes requiring modifications to the Premises due to the improvements being made by LICENSEE in the Premises. It shall be LICENSOR's obligation to comply with all Laws relating to the Pole in general, without regard to specific use (including, without limitation, modifications required to enable LICENSEE to obtain all necessary building permits).
- 28) **BOND.** LICENSEE shall deposit with LICENSOR on one occasion prior to the commencement of the first Supplement a bond in a form reasonably acceptable to LICENSOR as set forth in the Master Fee Schedule to guarantee the safe and efficient removal of any equipment from any Premises subject to this Agreement, which equipment remains more than thirty (30) days after rental payment has ceased and Licensee has failed to remove the equipment. The funds may also be used to restore the premises to original condition, if LICENSEE fails to do so.
- 29) **MISCELLANEOUS.** This Agreement and the Supplements that may be executed from time to time hereunder contain all agreements, promises and understandings between the LICENSOR and the LICENSEE regarding this transaction, and no oral agreement, promises or understandings shall be binding upon either the LICENSOR or the LICENSEE in any dispute, controversy or proceeding. This Agreement may not be amended or varied except in a writing signed by all Parties. This Agreement shall extend to and bind the heirs, personal representatives, successors and assigns hereto. The failure of either party to insist upon strict performance of any of the terms or conditions of this Agreement or to exercise any of its rights hereunder shall not waive such rights and such party shall have the right to enforce such rights at any time. The performance of this Agreement via each Supplement shall be governed interpreted, construed and regulated by the laws of the state of Illinois.
- 30) **EXECUTION IN COUNTERPARTS.** This Agreement and any Supplements may be executed in multiple counterparts, including by counterpart facsimiles or scanned email counterpart signature, each of which shall be deemed an original, and all such counterparts once assembled together shall constitute one integrated instrument.

31) AUTHORIZATION. LICENSEE certifies and warrants that it has the authority to enter into this Agreement.

**REMAINDER OF PAGE LEFT INTENTIONALLY BLANK**

**IN WITNESS WHEREOF**, the Parties hereto have set their hands and affixed their respective seals the day and year first above written.

**LICENSOR:**

**CITY OF WOOD DALE,  
an Illinois Municipal Corporation**

BY: \_\_\_\_\_

Name: Annunziato Pulice

Title: Mayor

Date: \_\_\_\_\_

**LICENSEE:**

**Chicago SMSA Limited Partnership d/b/a Verizon Wireless,  
an Illinois limited partnership,**

BY: \_\_\_\_\_

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## EXHIBIT "A"

### LICENSE SUPPLEMENT

This License Supplement ("Supplement"), is made this \_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_, between **the City of Wood Dale**, whose principal place of business is 404 N. Wood Dale Road, Wood Dale, IL 60190, ("LICENSOR"), and Chicago SMSA Limited Partnership d/b/a Verizon Wireless, an Illinois limited partnership, whose principal place of business is One Verizon Way, Mail Stop 4AW100, Basking Ridge, New Jersey, 07320 ("LICENSEE").

1. **Master License Agreement.** This Supplement is a Supplement as referenced in that certain Master License Agreement between the City of Wood Dale and Chicago SMSA Limited Partnership d/b/a Verizon Wireless, an Illinois limited partnership, whose principal place of business is One Verizon Way, Mail Stop 4AW100, Basking Ridge, New Jersey, 07320, dated \_\_\_\_\_, 20\_\_\_\_, ("Agreement"). All of the terms and conditions of the Agreement are incorporated herein by reference and made a part hereof without the necessity of repeating or attaching the Agreement. In the event of a contradiction, modification or inconsistency between the terms of the Agreement and this Supplement, the terms of this Supplement (note – Supplement should govern because there may be some site specific items that might have to be addressed at an individual location which might create a conflict with Agreement terms) shall govern. Capitalized terms used in this Supplement shall have the same meaning described for them in the Agreement unless otherwise indicated herein.
2. **Premises.** The Property owned by LICENSOR is located in the City of Wood Dale. The Premises licensed by the LICENSOR to the LICENSEE hereunder is described on Exhibit "1" attached hereto and made a part hereof.
3. **Term.** The Commencement Date and the Term of this Supplement shall be as set forth in Paragraph 7 of the Agreement.
4. **Consideration.** Rent under this Supplement shall be Two Hundred and 00/100 Dollars (\$200.00) per year, payable to LICENSOR c/o City Manager, City of Wood Dale, 404 N. Wood Dale Road, Wood Dale, IL 60190. Thereafter, rent will be due at each annual anniversary of the "Commencement Date" of this Supplement. LESSEE shall obtain electrical service and provide for a separate meter and billing from the applicable utility provider.
5. **Site Specific Terms.** (Include any site-specific terms)

**IN WITNESS WHEREOF**, the Parties hereto have set their hands and affixed their respective seal the day and year first above written.

**LICENSOR**

**City of Wood Dale,  
an Illinois Municipal Corporation**

BY:

Name: Annunziato Pulice

Title: Mayor

Date: \_\_\_\_\_

**LICENSEE**

BY:

Name: \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

EXHIBIT 1  
**Premises**

(see attached site plans)



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Grass Cutting Assistance Program  
Staff Contact: Patrick Hastings, Assistant Public Works  
Director  
Department: Public Works

**TITLE:** Recommendation and Approval of Guidelines for the Grass Cutting Assistance Program

### **RECOMMENDATION:**

Staff recommends approval of the grass cutting assistance program guidelines.

### **BACKGROUND:**

The City Council has previously asked staff to look into providing a grass cutting assistance program for senior residents. After reviewing neighboring municipality's similar programs, the guidelines in the attached document were developed. The program would assist those that qualify with basic grass cutting services for the duration of the landscaping season. Residents who are receiving or meet the requirements for reduced water billing rates and own the property would qualify for this program. The contractor would be retained by and invoice the City and the City would then invoice the resident at 50% of the cost.

Once guidelines are approved staff will use the finalized guidelines to issue a competitive bid to retain a contractor to perform these services.

### **ANALYSIS:**

There are approximately two hundred (200) residents receiving reduced water billing and the potential for thirty (30) weeks of grass cutting per property for the stipulated growing season. Based upon neighboring communities pricing structure for their program, \$10 - \$12.50 per cut is the average price. This would amount to \$375 per participating resident for the season. Therefore, staff suggests limiting the program to fifty (50) participants for this initial year of the program which would total \$18,750. The

program would then be budgeted out of the Capital Projects Fund in the Strategic Plan account.

**DOCUMENTS ATTACHED**

- ✓ Program Guidelines



## **Senior/Disabled Grass Cutting**

### **Qualified Discount Program**

The City of Wood Dale has initiated a Senior/Disabled Grass Cutting Program with the purpose of assisting those in the community who need grass cutting services who do not have the means of completing it on their own.

#### About the Program:

- The City has obtained a private contractor to fulfill the grass cutting services specified in this program.
- The City will subsidize the cost per visit price at \*\*\*\*\*
- Participants must be qualified to receive the reduced water billing rates which are in attachment A and must own the property.
- Grass cutting services will begin on or about the last week of April 2021 and will continue to the last week of October 2020. Grass cutting services will occur on a weekly basis unless conditions warrant an extension of time between visits at the discretion of the City.

#### The services includes:

- Spring Cleanup. This will include a standard spring cleanup of leaves, twigs, branches, debris, etc. that has accumulated on the property over the winter months. The contractor will be responsible to blow debris away from the house, out of landscaping beds, and remove from the property
- Cutting of grass. This will include standard lawn mowing of the property, regardless of size. The contractor shall utilize grass clipping collecting mowers and haul off all debris
- Edging of hardscape. This will include edging/weed whipping along any hardscape including but not limited to sidewalk, driveway, patio, etc. This does not include edging of landscaping beds, tree mulch rings, or other soft scape features
- Fall cleanup. This service will include a standard fall cleanup of leaves, twigs, branches, etc. that have accumulated on the property over the summer/fall months. The contractor will be responsible to blow debris away from the house, out of landscaping beds, and remove from the property. This will be done after grass cutting is complete and scheduled with the Public Works Department. It is anticipated that this work will be completed during the first two weeks of November.
- This service DOES NOT include fertilizing, trimming of bushes, trees or other plants, watering or pulling of weeds.

#### To Participate:

- Fill out the attached application and return to The City of Wood Dale Public Works located at 720 N. Central Wood Dale, IL.
- Provide most recent tax bill showing proof of ownership
- Agree to the Terms on the back of the application.

# City of Wood Dale

## Senior/Disabled Grass Cutting Program Application

Please complete the form below and return, along with all relevant documentation of eligibility. Please note that this program has limited availability and will be on a first come, first serve basis.

Name: \_\_\_\_\_  
Street Address: \_\_\_\_\_  
Phone Number: \_\_\_\_\_

Reason for Participation: (Please Check all that Apply)

- I am Head of Household entitled to and receiving benefits under the Social Security Act or benefits under other pension plans and be at least sixty-two (62) years of age
- Widower or widows having dependent children
- Totally Disabled
- United States Military Veteran

Program Checklist: (Please Read and Initial)

	I have read, understand, and accept the program Criteria and Requirements.
	I understand that my lawn must be free of hazards (i.e. pet waste, any obstruction) or the contractor may not provide service.
	I understand that I will be billed monthly for the service at a cost of \$*** per visit.
	I understand that the City may terminate my service if I violate any of the guidelines presented.

The resident(s) hereby release and hold the City, its officers, officials, employees, and contractors harmless for any damage to property or other liability that arises directly or indirectly from the City's grass cutting program.

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

For Office Use Only

Application Received: \_\_\_\_\_ Approved: \_\_\_\_\_

## Terms, Conditions and Requirements

### QUALIFICATIONS:

1. Resident(s) must be qualified to receive the Senior/Disabled discount for water and garbage services. (Attachment A)
2. Resident must own the property.

### TERMS:

An application must be completed and signed on an annual basis, to enroll in the program. The application is to be mailed or delivered to the Department of Public Works, 720 N. Central Street, Wood Dale, IL 60191, and Attention: Grass Cutting Service along with any applicable materials.

1. The Department will review the application and accompanying documentation and determine if the applicant qualifies for the program.
2. The following service will be provided to an applicant upon completion of the application and approval by the Department:
  - The lawn will be cut as needed, as determined by the City, weather permitting, but in no event will the lawn be cut more than once per week. Cutting will begin no earlier than first week of April, and will continue through the last week of October. The intent of the program is to cut the grass weekly. The City, at its own discretion, may choose to change the frequency of the cutting due to weather. The contractor will utilize grass clipping collecting mowers and remove grass clippings/debris from the site.
  - Hardscapes (sidewalk, driveway, patios, etc.) will be edged (weed whipping) and power blew of excess grass clippings.
  - A Spring Cleanup will be performed the first week of service and will include general cleaning of the yard and bagging/disposal of all accumulated materials.
  - A Fall Cleanup will be performed at the end of the season (at a time determined by the City) and will include general cleaning of the yard and bagging/disposal of all accumulated materials.
  - A monthly bill will be sent to you from the City's Finance Department. The charge is \$\*\*\*\* per cut. In the event payment is not received within thirty (30) days of the date of the invoice, the applicant hereby authorizes and agrees that the City shall have the unilateral right to add the delinquent amount due to the water bill for the property and has the further right to disqualify the applicant and property from the program and discontinue future service.
3. The applicant agrees to the following:
  - The lawn must be free of all pet waste. The City and/or their contractor reserves the right to not perform grass cutting services due to pet waste on the lawn. If the service is not performed in two consecutive weeks due to pet waste, the applicant will be terminated from the program.
  - The basic program does not include:
    - a. Fertilizing the grass.
    - b. Trimming the bushes, trees or other plants.
    - c. Watering the grass, plants, bushes, trees, etc.
4. The applicant may withdraw from the program by providing the Department with written notice. Such notice shall include a specific withdrawal date which shall not be less than seven (7) days from the date the notice is received by the City. The applicant shall pay the City for any services rendered prior to the withdrawal date.
5. The City may terminate, suspend or close the enrollment period for the program, at any time.
6. The City may limit the number of participants in the program. In such case, space in the program will be filled on a first come, first serve basis. Completed applications must be received by the Public Works Department.
7. If there are any issues with service for a particular event, the City requires the resident(s) to call the City promptly after each grass cutting. This will alleviate any discrepancies between resident and contractor for billing purposes.
8. The applicant hereby agrees to hold the City, its officers, officials, employees, contractors, and agents harmless for any damage or loss to the property, which arises directly or indirectly from the City's grass cutting program.

Attachment A

**SENIOR/DISABLED DISCOUNT FOR WATER AND GARBAGE SERVICES APPLICATION**

PLEASE CHECK THE CATEGORY WHICH ENTITLES YOU TO THE WATER AND GARBAGE RATE REDUCTION (Per City of Wood Dale Ordinance):

- Head of Household** entitled to and receiving benefits under the Social Security Act or benefits under other pension plans and be at least sixty-two (62) years of age
- Widowers or widows** having dependent children
- Totally disabled**
- United States Military Veteran**

Part I: Complete the following information.

\_\_\_\_\_  
Last Name of Applicant (Please Print) First Name Middle Initial

\_\_\_\_\_  
Mailing Address (Please Print)

\_\_\_\_\_  
City of Wood Dale (Please Print) State Zip Code

Part 2: Complete the following information regarding each resident of your household. If you need additional space, please attach a separate piece of paper.

Name \_\_\_\_\_ Last 4 digits of Social Security No. \_\_\_\_\_ Income \_\_\_\_\_

Name \_\_\_\_\_ Last 4 digits of Social Security No. \_\_\_\_\_ Income \_\_\_\_\_

Name \_\_\_\_\_ Last 4 digits of Social Security No. \_\_\_\_\_ Income \_\_\_\_\_

Name \_\_\_\_\_ Last 4 digits of Social Security No. \_\_\_\_\_ Income \_\_\_\_\_

The income limits that qualify you for the discount program are published by the HUD. They are the "Very Low Income" standard. If your total household income is below these levels, you qualify for the discount program. One-Person Household - \$35,250; Two-Person Household - \$41,250.

**PRIVACY NOTICE**

The City of Wood Dale requires this information to determine your eligibility for the Senior Discount Program. All information and documentation you provide will be used solely for that purpose. A file is maintained in the City of Wood Dale Finance Department and access is limited to employees who work with the Senior Discount Program.

**Part 3:**

**Provide current (most recent tax year) income information for the entire household. You must include the income of the ENTIRE HOUSEHOLD on each line. See instructions for definition of household income.**

- 1. Social Security, SSI benefits (Box 5 amount) \$ \_\_\_\_\_
- 2. Railroad benefits (include Medicare deductions) \$ \_\_\_\_\_
- 3. Civil Service benefits \$ \_\_\_\_\_
- 4. Pensions, annuity, and IRA benefits \$ \_\_\_\_\_
- 5. Veteran's benefits \$ \_\_\_\_\_
- 6. Public Aid benefits \$ \_\_\_\_\_
- 7. Wages, salaries, and tips \$ \_\_\_\_\_
- 8. Interest, dividends, capital gains \$ \_\_\_\_\_
- 9. Business income \$ \_\_\_\_\_
- 10. Income from rental real estate, royalties, partnerships,  
S Corporations, Trusts, etc. \$ \_\_\_\_\_
  
- TOTAL (Add lines 1 through 8) \$ \_\_\_\_\_

I affirm that to the best of my knowledge, the foregoing information is true, correct and complete.

Signature of Applicant \_\_\_\_\_ Date \_\_\_\_\_

In accordance with Sec. 7.308 D of the City of Wood Dale Municipal Code, please submit the following proof of you household income:

- 1. A copy of the current Illinois driver's license or Illinois I.D. card for the head of your household, **AND**
- 2. A copy of your most recent U.S. Federal Income Tax Return for each member of your household

(To protect your privacy, please black out all but the last four digits of you social security number on any forms you submit)

**NOTE: IF YOU ARE NOT REQUIRED TO FILE A U.S. FEDERAL INCOME TAX RETURN, PLEASE SUBMIT DOCUMENTATION DETAILING THE AMOUNTS ON EACH LINE OF YOUR APPLICATION.**

For example, submit a copy of each form listed below if they pertain to you or to a member of your household:

- |                             |  |
|-----------------------------|--|
| Form SSA-1099               | Social Security Benefits   |
| For RRB-1099 and RRB-1099-R | Railroad Retirement Benefits   |
| Form W-2                    | Wage and tax statement   |
| Form 1099-B                 | Proceeds from Broker and Barter Exchange Transactions                            |
| Form 1099-G                 | Certain Government payments  |
| Form 1099-INT               | Interest income  |
| Form 1099-Div               | Dividends and distributions  |
| Form 1099-Misc              | Miscellaneous Income   |
| Form 1099-R                 | Distributions from Pensions, Annuities, Retirement or Profit-Sharing Plans, etc. |

**APPROVAL**

APPROVED BY \_\_\_\_\_

DATE \_\_\_\_\_



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Parkway Tree Pruning Program  
Staff Contact: Patrick Hastings, Assistant Public Works  
Director  
Department: Public Works

**TITLE:** Approval of a Four-Year Contract for the Parkway Tree Pruning Program with Ciosek Tree Services in an Amount Not to Exceed \$151,045.40

### **RECOMMENDATION:**

Staff Recommends Approval of a Four Year Contract for the Parkway Tree Pruning Program with Ciosek Tree Services in an Amount Not to Exceed \$151,045.40.

### **BACKGROUND:**

Regular pruning of trees allows for proactive management of the City's urban forest stock. Regular pruning cycles can help with reducing emergency clean-up efforts after storms, reduce the amount of tree trimming work orders called in by residents and increase the positive appearance of parkway trees.

On November 11, 2020 staff published a bid for Parkway Tree Pruning and opened bids on December 3, 2020. Three companies submitted bids with Ciosek Tree Service, Inc. submitting the lowest bid. The Contract is set up to perform pruning in one Ward per fiscal year between the months of November to March with pruning set to occur in Ward Four this Fiscal Year. The remaining years would consist of Ward Three in 21/22, Ward One in years 22/23 and Ward Two in years 22/23. The contract also established hourly rates for emergency work if needed.

### **ANALYSIS:**

The City budgets for tree pruning through the Forest Program account in the Street Maintenance General Fund. While the cost of this cycle of pruning would exceed the amount of available funds for this fiscal year, there are enough funds in the Parkway Tree Replacement account to cover any costs as needed.

The cost per Ward per Fiscal Year are as follows:

Ward	Year	Total
Ward 4	20/21	\$43,241.00
Ward 3	21/22	\$37,565.00
Ward 1	22/23	\$40,664.50
Ward 2	23/24	\$29,577.90

**DOCUMENTS ATTACHED**

- ✓ Original Bid Document
- ✓ Bid Results
- ✓ Ciosek Bid Document

**RETURN WITH BID**

Submitted By: \_\_\_\_\_

Company Name: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

Fax: \_\_\_\_\_

**CITY OF WOOD DALE  
DUPAGE COUNTY, ILLINOIS**

**NOTICE TO CONTRACTORS  
CONTRACT DOCUMENTS  
SPECIFICATIONS**

**FOR**

**CITY OF WOOD DALE – PARKWAY TREE PRUNING PROGRAM  
October 30, 2020**

Annunziato Pulice, Mayor

Lynn Curiale, City Clerk

Prepared By:

City of Wood Dale, Administration  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

NOTICE TO BIDDERS

Sealed bids for the “**PARKWAY TREE PRUNING PROGRAM**” will be received in the office of the City Clerk, City of Wood Dale, IL 60191 until 10:00 a.m. on the 2nd day of December, 2020, at which time all bids will be publicly opened and read. All bids must be submitted in a sealed envelope marked in the lower left hand corner “SEALED BID, DO NOT OPEN; PROPOSAL OF [NAME OF BIDDER] FOR THE CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM.” Specifications may be obtained at the Clerk’s office or by mail/email upon request.

Please contact Patrick Hastings, Assistant Public Works Director, by phone 630.787.3765, or by email at [phastings@wooddale.com](mailto:phastings@wooddale.com), with any questions regarding the bid.

All proposals must be accompanied by a bid guarantee consisting of a bid bond, a cashier's check, or certified check in the amount of not less than ten percent (10%) of the amount of the bid.

Failure of the U.S. Post Office or any other messenger service to deliver the bid on time will not be the responsibility of the City of Wood Dale. The bidders accept full responsibility for timely delivery of their bids. The City of Wood Dale is not liable for any costs incurred in submitting a bid.

The City Council reserves the right to reject any or all bids and to waive any technicalities. The City of Wood Dale also reserves the right to delay the bid opening for a reasonable time and/or to make changes to the project’s specifications by means of bid addendum which will be mailed to all interested parties that have obtained bid documents.

Lynn Curiale  
City Clerk

Dated this 5th day of November, 2020.

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**DEFINITIONS**

1. Owner - The officials, employees, and agents of the City of Wood Dale, Illinois.
2. Director - The City of Wood Dale's Director of Public Works or designee.
3. City - The geographic area of the City of Wood Dale, Illinois.
4. Contract - The agreement created by and consisting of the Contract Documents.
5. Contract Documents - The following documents including the Notice to Bidders, Definitions, General Terms and Conditions and Instructions to Bidders, Special Instructions, Proposal, Specifications, Special Provisions, Disclosure of Beneficiaries. Certifications, and attachments, together with all addenda issued prior to the award of the Contract supplementing or modifying any of those documents.
6. Contractor or General Contractor - The party contracting for the work.
7. Days - Unless otherwise stated, days as used herein will be understood to mean calendar days.
8. Completion Date - Date on which the work as described herein is to be completed, as set forth in the Contract.
9. Final Acceptance - The work shall be deemed to have been finally accepted after it has been determined that the Contractor has complied with the Specifications and other Contract Documents.
10. Specifications - Specifications identified in the Contract.
11. Subcontractor - Secondary Contractor engaged by the Contractor.
12. Supplier - Any vendor supplying materials, equipment, or apparatus.

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**GENERAL TERMS AND CONDITIONS AND INSTRUCTIONS TO BIDDERS**

THE GENERAL RULES AND CONDITIONS THAT FOLLOW APPLY TO EACH FORMAL INVITATION TO BID ISSUED BY THE CITY OF WOOD DALE, UNLESS OTHERWISE SPECIFIED. BIDDERS OR THEIR AUTHORIZED REPRESENTATIVES ARE EXPECTED TO FULLY INFORM THEMSELVES OF THE TERMS, CONDITIONS, REQUIREMENTS AND SPECIFICATIONS BEFORE SUBMITTING BIDS. FAILURE TO DO SO WILL BE AT THE BIDDER'S OWN RISK AND NO RELIEF WILL BE GRANTED OR SECURED ON A PLEA OF ERROR.

INSTRUCTIONS TO BIDDERS

1. PROPOSAL FORMS HAVE BEEN FURNISHED: Proposals shall be submitted on the forms provided, properly signed in the appropriate place and submitted in a sealed envelope.
2. LATE BIDS: Bids will opened precisely at the assigned time. Bids received after the assigned time will be rejected and returned unopened to the sender. Formal bids, amendments thereto, or requests for withdrawal of bids after the time specified for the bid opening will not be considered.
3. WITHDRAWAL OF BIDS: A written request for the withdrawal of a bid will be granted if the request is received by the City prior to the specified time of opening.
4. SUBMISSION OF BIDS: All bids are to be placed in a sealed, opaque envelope addressed to the City Clerk, City of Wood Dale, Illinois, clearly marked "**SEALED BID, DO NOT OPEN. PROPOSAL OF (NAME OF BIDDER) FOR CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM**".
5. SIGNATURES: All signatures shall be in handwriting, and no proposal shall be considered unless properly signed by the bidder or its legally authorized agent or representative, with addresses given in the correct spaces provided in the Proposal and in accordance with the directions set forth.
6. ERRORS IN BIDS: When an error is made in extending total prices, the unit bid price will govern. Erasures, etc., must be initialed by the bidder prior to submission of the bid.
7. TIME FOR RECEIVING BIDS: Bids received prior to the time of opening will be kept secure and unopened. No responsibility will attach to the City Clerk or her representative for the premature opening of a bid not properly addressed or identified. The City Clerk or her representative, whose duty it is to open the bids, will decide when the specified time for opening has arrived, and no bid received after that time will be considered.

8. BIDDERS PRESENT: At the time assigned for the opening of formal bids, the bids will be opened and read aloud. Bidders are encouraged to attend all openings and to offer constructive suggestions for improvements to bid format or any way in which greater savings can be realized.
9. NO BID RESPONSE: In the event you cannot submit a bid on the Owner's requirements, please return the Proposal with an explanation as to why you are unable to bid and mark it "NO BID."
10. BIDDER INTERESTED IN MORE THAN ONE BID: Only one bid can be offered by any one vendor. A party who has quoted prices for work, materials, or supplies to a bidder is not thereby disqualified from quoting prices to other bidders or from submitting a bid directly for the work, materials, or supplies.

Unless the proposal form states that an alternate proposal is permissible, each vendor may submit only ONE proposal. If an alternate is included in the bid and it was not requested by the Owner, the first proposal seen by the Owner will be read, and the other will not be considered.

11. CERTIFICATIONS AND DISCLOSURE OF BENEFICIARIES: The Bidder is required to complete the forms listed above and return with the Bid Proposal. Failure to complete and return these forms may be considered sufficient reason for rejection of the bid.
12. BID DEPOSIT: When a bid deposit (bid guarantee) is required as indicated in the Invitation to Bid, each bid must be accompanied by a bid bond signed by a surety company authorized to do business in the State of Illinois, or by a cashier's check or certified check in an amount equal to five percent (5%) of the total bid price or the specific amount indicated in the Invitation to Bid.
13. RETURN OF CHECKS: The bid deposit of all except the three (3) lowest responsible, responsive bidders on each contract will be returned within fifteen (15) days after the opening of bids. The remaining bid deposits of each contract will be returned within fifteen (15) days after the City Council has awarded the contract and the required appurtenances to the contract have been received.
14. ACCEPTANCE OF PROPOSALS: The owner will accept, in writing, one of the proposals within sixty (60) days from the date of opening of bids, or the time specified within the specifications, unless the lowest responsible, responsive bidder extends the time of acceptance to the Owner.
15. TAX EXEMPTION: The City of Wood Dale is exempt from Illinois Retailers Occupational Tax (Sec. IROETA); the Illinois use tax (Sec. 3, IUTA), and the federal excise tax as an exempt entity (Sec. 4222, IRC). The City's Tax Exemption Identification Number is E9997-4282-03.
16. PREVAILING WAGE: This is not a prevailing wage project under IDOL standards.
17. CHANGE ORDER AUTHORIZATIONS: All Change Orders which authorize a net increase or decrease in the cost of the contract by \$10,000 or more or in the time of completion by 30 days

or more require a written determination supporting the change, executed first by the Contractor, then by the City Council.

All Change Orders which authorize a net increase or decrease in the cost of the contract by less than \$10,000, or in the time of completion by less than 30 days, require a written determination supporting the change, executed first by the Contractor, then by the City Manager.

Requests for Change Orders must state that the circumstances said to necessitate the change were not reasonably foreseeable at the time the contract was signed and were not within the contemplation of the contract as signed.

18. EQUAL EMPLOYMENT OPPORTUNITY: In the event of the contractor's noncompliance with any provision of the Equal Employment Opportunity Act, the Americans with Disabilities Act (ADA), the Illinois Fair Employment Practices Act, or the Fair Employment Practices Commission's Rules and Regulations for Public Contracts, the contractor may be declared non-responsible and therefore ineligible for future contracts or subcontracts with the State of Illinois or any of its political subdivisions or municipal corporations, and the contract may be canceled or avoided, in whole or in part, and such other sanctions or penalties may be imposed or remedies involved as provided by statute or regulation.
19. AWARD OR REJECTION OF BIDS: The contract will be awarded to the lowest responsible, responsive bidder or any other bidder determined by the Owner to be in the best interest of the City of Wood Dale complying with all the provisions of the invitation, provided the bid price is reasonable and it is in the interest of the City to accept it. No proposal will be accepted from or contract awarded to any person, firm, or corporation that is in arrears or is in default to the City of Wood Dale upon any debt or contract, or that is a defaulter, as surety or otherwise, upon any obligation to said City of Wood Dale, or had failed to perform faithfully any previous contract with the City of Wood Dale. The Owner reserves the right to reject any or all bids and to waive any informality in bids received whenever such rejection or waiver is in the interests of the City.

In determining responsibility, the following qualifications, in addition to price, will be considered by the Owner:

- A. The ability, capacity and skill of the bidder to perform the service required within the specified time;
- B. The character, integrity, reputation, judgment, experience, and efficiency of the bidder;
- C. The quality of performance of previous contracts or services with the City of Wood Dale or other clients;
- D. The previous and existing compliance by the bidder with laws and ordinances relating to previous contracts with the City of Wood Dale, the bidder's employment practices and

compliance with ADA requirements;

- E. The sufficiency of the financial resources and ability of the bidder to perform the contract or provide the service;
  - F. The quality, availability, and adaptability of the supplies or contractual services to the particular use required;
  - G. The ability of the bidder to provide future maintenance and service for the use of the subject of the contract;
  - H. The number and scope of conditions attached to the bid; and
  - I. Such other information as may be secured by the Owner having a bearing on the decision to make the award.
20. ESTIMATED BID QUANTITIES: On "Estimated Bid Quantities," acceptance will bind the Owner to pay for, at unit bid prices, only quantities ordered and delivered. Any reference to forecasted or estimated quantities within the bidding documents is intended to inform the bidder of approximate annual requirements. The Owner may purchase as little as zero (0) percent or as much as one hundred fifty (150) percent of the forecasted or estimated quantities.
21. CONTRACTOR PAYMENTS: Contractor will be paid from funds allocated to the project. Payments will be made according to the Local Government Prompt Payment Act (50 ILCS 505).
22. GENERAL GUARANTY: Contractor agrees to save the City of Wood Dale, its agents, and employees harmless from liability of any nature or kind for the use of any copyrighted or uncopyrighted composition, secret process, patented or unpatented invention, article, or appliance furnished or used in the performance of the contract of which the Contractor is not the patentee, assignee, licensee, or owner.

Contractor agrees to protect the City of Wood Dale against latent defective material or workmanship and to repair or replace any damages or marring occurring in transit or delivery.

Contractor agrees to pay for all permits, licenses, and fees; and give all notices and comply with all laws, ordinances, and rules of the City of Wood Dale and State of Illinois.

23. ASSIGNMENT: Assignment of this contract or any part thereof, or any funds to be received thereunder by the contractor shall be subject to written approval of the Owner.
24. DEFAULT: The contract may be canceled or annulled by the Owner in whole or in part by written notice of default to the Contractor upon nonperformance or violation of contract terms.

An award made to the next low Bidder or materials/services specified may be procured on the open market similar to those so terminated. In either event, the defaulting Contractor (or his surety) shall be liable to the City of Wood Dale for costs to the City in excess of the defaulted contract prices provided, that the Contractor shall continue the performance of this contract to the extent not terminated under the provisions of this clause. Failure of the contractor to deliver materials or services within the time stipulated in the bid, unless extended in writing by the Owner, shall constitute contract default.

25. INSURANCE: The Contractor shall procure, and maintain for the duration of the contract, insurance against claims for injuries to persons or damages to property which may arise from or in connection with the performance of the work hereunder by the Contractor, his agents, representatives, employees, or subcontractors.

A. Minimum Scope of Insurance

Policy shall include the following coverage types:

1. Commercial General Liability Occurrence form with the City of Wood Dale named as additional insured;
2. Business Auto Liability Coverage;
3. Worker's Compensation as required by the Worker's Compensation Act of the State of Illinois and Employer's Liability insurance;
4. Environmental Impairment/Pollution Liability Coverage for pollution incidents as a result of a claim for bodily injury, property damage or remediation costs from an incident at, on or migrating beyond the contracted work site. Coverage shall be extended to Non-Owned Disposal sites resulting from a pollution incident at, on or mitigating beyond the site; and also provide coverage for incidents occurring during transportation of pollutants. (Contract Specific).

B. Minimum Limits of Insurance: See attachment "A"

26. QUESTIONS OF THE BIDDER DIRECTED TO THE CITY REGARDING SPECIFICATIONS: If the question pertains to information which is provided in the specifications or the bidder is requesting a clarification of a point which is answerable within the context of the specification, the City Clerk, or designee, may refer the bidder to the location within the specification providing the information which will readily answer the contractor's question.

If the question is a request to deviate from the terms and conditions of the specification or if the bidder needs clarification that is not apparent in the specification such as an interpretation of the drawings, specifications, or the bid documents, the bidder must make such an inquiry in writing to Patrick Hastings, Assistant Public Works Director, City of Wood Dale, 404 N.

Wood Dale Road, Wood Dale, IL 60191. Phone Number: (630)787-3765. The City will then respond in writing in the form of an addendum to the specifications to all those who receive bid packages. Only inquiries received a minimum of three (3) working days prior to the date set for the opening of bids will be given any consideration. Oral answers will not be binding on the City of Wood Dale.

27. SPECIAL CONDITIONS: Whenever special conditions are written into the Specifications, Special Provisions, or Special Instructions which conflict with conditions stated in these General Terms and Conditions and Instructions to Bidders, the conditions stated in the Specifications, Special Provisions, or Special Instructions shall take precedence.

**ATTACHMENT "A"**  
**INSURANCE REQUIREMENTS**

<u>Type of Insurance</u>	<u>Limits of Liability</u>
General Liability: Comprehensive Form Premises – Operations Products/Completed Operations Hazard Contractual Insurance Broad Form Property Damage Independent Contractors Personal Injury Explosion and Collapse Hazard Underground Hazard	Property Damage: \$1,000,000 each occurrence  Bodily Injury: \$1,000,000 aggregate
Automobile Liability: Comprehensive Form Owned Hired Non-owned	Bodily Injury and Property Damage Combined: \$1,000,000 each occurrence
Excess Liability: Umbrella Form	Bodily Injury and Property Damage Combined: \$2,000,000 each occurrence \$2,000,000 aggregate
Worker's Compensation and Employer's Liability:	\$500,000 each accident

The coverage afforded the additional insureds shall be primary insurance for the additional insureds with respect to claims or suits arising out of operations performed by or on behalf of the Contractor.

If the additional insureds have other insurance which is applicable to the loss, such other insurance shall be on an excess or contingent basis. The amount of the company's liability under the insurance policy shall not be reduced by the existence of such other insurance.

A. Workers' Compensation and Employers' Liability Coverages

The insurer shall agree to waive all rights of subrogation against the City of Wood Dale, its officials, agents, employees and volunteers for losses arising from work performed by Contractor for the City of Wood Dale.

## B. All Coverages

Each insurance policy required by this clause shall be endorsed to state that coverage shall not be suspended, voided, cancelled, reduced in coverage or in limits except after thirty (30) days prior written notice by certified mail, return receipt requested, has been given to the City.

## C. Verification of Coverage

Contractor shall furnish the City with certificates of insurance naming the member, its officials, agents, employees and volunteers as additional insureds, and with original endorsements affecting coverage required by this clause. The certificates and endorsements for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf. The certificates and endorsements may be on the forms provided by the City and are to be received and approved by the City before any work commences.

## D. Assumption of Liability

The contractor assumes liability for all injury or death of any person or persons including employees of the contractor, or any sub-contractor, any supplier or any other person and assumes liability for all damage to property sustained by any person or persons so occasioned by or in any way arising out of any work performed pursuant to this agreement.

## E. Regulatory Requirements

Contractor bidder must comply with all applicable laws, regulations, and rules promulgated by any Federal, State, County, Municipal and/or other governmental unit or regulatory body now in effect or which may be in effect during the performance of the work. Included within the scope of the laws, regulations, and rules referred to in this paragraph but in no way to operate as a limitation, are Occupational Safety and Health Act (OSHA), Illinois Department of Labor (IDOL), Department of Transportation, all forms of traffic regulations, public utility, Intrastate and Interstate Laws, the Social Security Act of the Federal Government and any of its titles, the Illinois Department of Human Rights, Human Rights Commission, or EEOC statutory provisions and rules and regulations.

## F. Contractor's Drug-Free Workplace Certification

Pursuant to Chapter 30, Section 580/1 of the Illinois compiled Statutes (30 ILCS 580/1) "Drug Free Workplace Act", the Contractor must certify to the contracting agency that it will provide a drug free workplace that will be included in the bid packet.

## G. Sexual Harassment Policy Certification

The contractor, pursuant to Illinois compiled statutes 775 ILCS 5/2-105 (A) (4), must be in full compliance and have a written sexual harassment policy in place and provide a copy of such written policy to the Illinois Department of Human Rights upon request.

#### H. Indemnity/Hold Harmless

The Contractor hereby agrees to indemnify and defend the City of Wood Dale, its officers, agents, and employees and each of them, against and hold it and them harmless from any and all lawsuits, claims, demands, liabilities, losses and expenses, including court costs and attorneys' fees, for or on account of any injury to any person, or any death at any time resulting from such injury, or any damage to any property, which may arise (or which may be alleged to have arisen) out of or in connection with the work covered by the Agreement. The foregoing indemnity (together with Contractor's obligation to defend) shall apply unless it shall be found by a court of competent jurisdiction that such injury, death, or damage shall have been caused solely by the negligence of the City of Wood Dale, its officers and employees, or any of them. The City of Wood Dale shall be entitled to withhold from any payment otherwise due pursuant to the Agreement such amount or amounts as may be reasonably necessary to protect it against liability from any personal injury, death, or property damage resulting from the performance of the work hereunder.

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**-SPECIAL INSTRUCTIONS-**

1. Return With Bid:
  - a) Cover Sheet;
  - b) Signed Proposal;
  - c) Bid guarantee consisting of a bid bond, a cashier's check, or certified check in an amount not less than five percent (5%) of the amount of the bid;
  - d) Completed Disclosure of Beneficiaries Form;
  - e) Signed Certification Forms;
  - f) Completed References Form listing similar projects; and
  - g) Location and description of Bidder's office or permanent place of business.

**RETURN WITH BID**

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**-PROPOSAL-**

Honorable Mayor and City Council  
City of Wood Dale  
404 N. Wood Dale Road  
Wood Dale, IL 60191

Ladies and Gentlemen:

The undersigned does hereby state he has examined the Notice to Bidders, Instructions to Bidders, Special Instructions, General Requirements, Proposal, Sample Contract, Technical Specifications, Certifications, and all other documents, and all work shall be done in accordance with the documents contained herein.

The undersigned does hereby propose to furnish all labor, services, materials, supplies, equipment, apparatus, appliances and to do all work and pay all costs and expenses connected therein required to complete this order in accordance with the documents named in the foregoing paragraph, on the basis of the quantities of work and services actually performed and for the unit prices stated herein below.

Name of Company: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

Title: \_\_\_\_\_

**\*\*Continued on next page\*\***

# RETURN WITH BID

## BID SHEET

The undersigned, having become familiar with the specifications and with local conditions affecting the cost of the work, hereby proposes and agrees, if this bid is accepted, to enter into an agreement with the City in the form included in the contract documents for the contract sum and within the contract time indicated in this bid and in accordance with other terms and conditions of the contract documents, and in so doing, to provide and furnish all the labor, equipment, materials, supplies, hardware, necessary tools, expendable equipment and supplies, and all utility and transportation services necessary to perform and complete, in a first-class manner, the entire work in conjunction with the Parkway Tree Pruning Program.

### **PARKWAY TREE PRUNING WARD 4**

**A.** BIDDER will complete the Work for the following prices(s) from **November 30, 2020** through **March 31, 2021**.

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	251		
10-19"	278		
20-29"	186		
30-39"	51		
40" & greater	16		
<b>Total Pruning Cost WARD 4 \$</b>			

**PARKWAY TREE PRUNING WARD 3  
(Optional Renewal)**

**B.** BIDDER will complete the Work for the following prices(s) from **November 30, 2021** through **March 31, 2022**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	285		
10-19"	306		
20-29"	111		
30-39"	18		
40" & greater	2		
<b>Total Pruning Cost WARD 3 \$</b>			

**PARKWAY TREE PRUNING WARD 1  
(Optional Renewal)**

**C.** BIDDER will complete the Work for the following prices(s) from **November 30, 2022** through **March 31, 2023.**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	352		
10-19"	255		
20-29"	95		
30-39"	19		
40" & greater	2		
<b>Total Pruning Cost WARD 1 \$</b>			

**PARKWAY TREE PRUNING WARD 2  
(Optional Renewal)**

D. BIDDER will complete the Work for the following prices(s) from  
**November 30, 2023** through **March 31, 2024.**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	183		
10-19"	174		
20-29"	76		
30-39"	39		
40" & greater	16		
<b>Total Pruning Cost WARD 2 \$</b>			

<b>TOTAL MULTI-YEAR PRUNING COST \$</b>
---

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK  
HOURLY RATES FOR EMERGENCY PURPOSES**

- a. BIDDER will complete the Work for the following prices(s) from  
**November 30, 2020** through **December 1, 2021.**

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$	\$
SKILLED LABOR	\$	\$
COMMON LABOR	\$	\$
<b>TOTAL PER LABOR HOUR</b>	\$	\$

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$	\$
CLAM	\$	\$
LOG TRUCK	\$	\$
CHIPPER	\$	\$
STUMPER	\$	\$
SEMI	\$	\$
DUMP DISPOSAL	\$	\$
PICK-UP TRUCK	\$	\$
CRANE	\$	\$

- b. BIDDER will complete the Work for the following prices(s) from **November 30, 2021** through **December 1, 2022**.

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$	\$
SKILLED LABOR	\$	\$
COMMON LABOR	\$	\$
<b>TOTAL PER LABOR HOUR</b>	\$	\$

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$	\$
CLAM	\$	\$
LOG TRUCK	\$	\$
CHIPPER	\$	\$
STUMPER	\$	\$
SEMI	\$	\$
DUMP DISPOSAL	\$	\$
PICK-UP TRUCK	\$	\$
CRANE	\$	\$

- c. BIDDER will complete the Work for the following prices(s) from **November 30, 2022** through **December 1, 2023**.

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$	\$
SKILLED LABOR	\$	\$
COMMON LABOR	\$	\$
<b>TOTAL PER LABOR HOUR</b>	\$	\$

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$	\$
CLAM	\$	\$
LOG TRUCK	\$	\$
CHIPPER	\$	\$
STUMPER	\$	\$
SEMI	\$	\$
DUMP DISPOSAL	\$	\$
PICK-UP TRUCK	\$	\$
CRANE	\$	\$

- d. BIDDER will complete the Work for the following prices(s) from **November 30, 2023** through **December 1, 2024**.

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$	\$
SKILLED LABOR	\$	\$
COMMON LABOR	\$	\$
<b>TOTAL PER LABOR HOUR</b>	\$	\$

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$	\$
CLAM	\$	\$
LOG TRUCK	\$	\$
CHIPPER	\$	\$
STUMPER	\$	\$
SEMI	\$	\$
DUMP DISPOSAL	\$	\$
PICK-UP TRUCK	\$	\$
CRANE	\$	\$

## RETURN WITH BID

Accompanying this Proposal is a proposal guarantee in the amount of \$ \_\_\_\_\_ (10%) which is hereby tendered in accordance with the requirement of the Instructions to Bidders and the Specifications and/or Special Provisions. If this proposal is accepted and the undersigned fails to execute a contract as required herein, it is hereby agreed that the proposal guarantee shall become the property of the City of Wood Dale, and shall be considered as payment of damages due to delay and other consequences suffered by the City of Wood Dale due to the failure to execute said contract.

The undersigned acknowledges receipt of addenda as follows:

Addendum, No. \_\_\_\_\_, dated \_\_\_\_\_

No. \_\_\_\_\_, dated \_\_\_\_\_

No. \_\_\_\_\_, dated \_\_\_\_\_

This bid is an offer which shall be considered accepted only after the Corporate Authorities authorize the execution of the contract. In the event that this proposal is accepted and an award of contract is made to the undersigned bidder, the undersigned does hereby covenant and agree to deliver to the Owner the signed and executed Contract as specified in the Instructions to Bidders and Specifications within ten (10) days after the date of such acceptance and notification thereof.

The proposal shall be binding for sixty (60) days following the bid opening date unless the bidder, upon request of the City of Wood Dale, agrees to an extension.

THIS BID, WHEN ACCEPTED AND SIGNED BY AN AUTHORIZED SIGNATORY OF THE CITY, SHALL BECOME A CONTRACT BINDING UPON BOTH THE PERSON, PARTNERSHIP, OR CORPORATION TO SUPPLY OR PERFORM AS SPECIFIED AND UPON THE CITY TO ACCEPT THE PRODUCT OR SERVICE.

## RETURN WITH BID

The undersigned further agrees to begin work within ten (10) working days after the executions and acceptance of the Contract, and thereafter to carry on the work diligently and continuously in such manner as to insure final completion and delivery to the Owner of the entire work under contract in accordance with the provisions of the Contract and Detailed Specifications.

Witness \_\_\_\_\_ Hand(s) and Seal this \_\_\_\_ day of \_\_\_\_\_, 2020.  
my/our

If an individual, sign \_\_\_\_\_  
and give address.  
Address \_\_\_\_\_

If partnership, sign all \_\_\_\_\_  
individual names and \_\_\_\_\_  
give address of each \_\_\_\_\_  
partner. Partnership Name

Name and address of \_\_\_\_\_  
individual partners. \_\_\_\_\_  
\_\_\_\_\_

If corporation, officers duly \_\_\_\_\_  
authorized should sign, \_\_\_\_\_  
attach corporate seal. Corporate Name

ATTEST: \_\_\_\_\_

Address: \_\_\_\_\_

By: \_\_\_\_\_  
Secretary

-CORPORATE SEAL-

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CONTRACT**

This CONTRACT, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2020, by and between the CITY OF WOOD DALE, an Illinois municipal corporation (hereinafter “City”), and \_\_\_\_\_, an Illinois corporation (hereinafter “Contractor”);

**RECITALS**

WHEREAS, the City desires to engage the Contractor to provide (hereinafter “Work”), located within the corporate limits of the City of Wood Dale; and

WHEREAS, the Contractor represents itself to be in compliance with Illinois Statutes relating to all matters affecting its status as a corporation operating as a general contractor within the State of Illinois and to have the necessary expertise and experience to furnish such services for the Work upon the terms and conditions set forth herein below:

NOW, THEREFORE, in consideration of the mutual promises herein contained, it is hereby agreed by and between the City and the Contractor that:

**I. SCOPE OF SERVICES**

The Scope of Services shall be as set forth in the “Notice to Bidders”, “Instructions to Bidders”, “Special Instructions”, “Technical Specifications”, “General Requirements”, “Specifications”, and “Special Provisions” prepared by the City. Should there be a conflict in terms between this Contract and the other documents, which are a component part hereof, this Document shall control.

**II. PERFORMANCE OF WORK**

The Contractor shall perform all work, furnishing all materials and labor, and shall abide by the terms of this Contract and the requirements of the City. Contractor must complete, in its entirety, the project within one (1) calendar month, weather permitting, from the date the City provides Contractor with notice to proceed.

**III. PAYMENT TO THE CONTRACTOR**

For the Work, the Contractor shall be paid in accordance with the Proposal. The City shall make payments to the Contractor within 30 days after completion of the Work and upon receipt of an invoice in a format approved by the City.

This is not a prevailing wage project.

**IV. NO CO-PARTNERSHIP OR AGENCY**

It is understood that nothing herein contained is intended or shall be construed to, in any respect, create or establish the relationship of co-partners between the City and the

Contractor, or as constituting the Contractor as the general representative or general agent for the City for any purpose whatsoever.

V. CONTRACT DOCUMENTS

It is further understood that this Contract consists of the following documents which are hereby made a part hereof: the Notice to Bidders, Instructions to Bidders, Specifications, Special Instructions, Special Provisions, Proposal, Performance Bond, Anti-Collusion Affidavit of Compliance, Americans with Disabilities Act of 1990 Certificate, Policy Against Sexual Harassment Certificate, Hold Harmless Agreement, Anti-bid Rigging Certificate, and Drug-free Workplace Certificate.

VI. MATERIALS AND LABOR/WORKMANSHIP WARRANTY

Upon completion of the Work, and satisfactory acceptance by the City, the Contractor will provide a written one (1) year warranty covering both parts and labor/workmanship. Warranty shall include, but is not limited by this provision, that any defective material(s) and/or defective installation or workmanship will be repaired and/or replaced by Contractor at no cost to the City.

VII. SEVERABILITY

The parties intend and agree that, if any paragraph, subparagraph, phrase, clause, or other provision of this Contract, or any portion thereof, shall be held to be void or otherwise unenforceable, all other portions of this Contract shall remain in full force and effect.

VIII. HEADINGS

The headings of the several paragraphs of this Contract are inserted only as a matter of convenience and for reference and in no way are they intended to define, limit, or describe the scope or intent of any provision of this Contract, nor shall they be construed to affect in any manner the terms and provisions hereof or the interpretation or construction thereof.

IX. MODIFICATION OR AMENDMENT

This Contract constitutes the entire contract of the parties on the subject matter hereof and may not be changed, modified, discharged, or extended except by written amendment duly executed by the parties. Each party agrees that no representations or warranties shall be binding upon the other party unless expressed in writing herein or in a duly executed amendment hereof, or Change Order as herein provided.

XX. APPLICABLE LAW

This Contract shall be deemed to have been made in, and shall be construed in accordance with the laws of the 18<sup>th</sup> Judicial Circuit Court of DuPage County, State of Illinois.

XXI. NEWS RELEASES

The Contractor may not issue any news releases without prior approval from the City Manager nor will the Contractor make public proposals developed under this Contract without prior written approval from the City Manager prior to said documentation becoming a matter of public record.

XXII. COOPERATION WITH OTHER CONTRACTORS

The Contractor shall cooperate with any other Contractors in the City's employ or any matter associated with the Work.

XXIII. NOTICES

All notices, reports and documents required under this Contract shall be in writing and shall be mailed by first class mail, postage prepaid, addressed as follows:

If to City:

Jeffrey Mermuys  
City Manager  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

With a copy to:

Lynn Curiale  
City Clerk  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

If to Contractor:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

IN WITNESS WHEREOF, the undersigned have placed their hands and seals hereto on the date first above written.

CITY OF WOOD DALE:

ATTEST:

\_\_\_\_\_  
Annunziato Pulice, Mayor

\_\_\_\_\_  
City Clerk

CONTRACTOR:

ATTEST:

By \_\_\_\_\_

By \_\_\_\_\_

Its \_\_\_\_\_

# RETURN WITH BID

## CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM

### -DISCLOSURE OF BENEFICIARIES-

In compliance with City of Wood Dale Purchasing Procedures requiring the disclosure of certain interests by persons applying for permits, licenses, approval, or benefits from the City of Wood Dale:

1. Applicant: \_\_\_\_\_  
Name

\_\_\_\_\_  
Address

2. Nature of Transaction Sought; for example, license permit approval or sale of products, services. or miscellaneous (explain miscellaneous):

\_\_\_\_\_  
\_\_\_\_\_

3. Nature of Applicant: (Please check one)

- a. Natural Person: \_\_\_\_\_
- b. Corporation: \_\_\_\_\_
- c. Land Trust/Trustee: \_\_\_\_\_
- d. Trust/Trustee: \_\_\_\_\_
- e. Partnership: \_\_\_\_\_
- f. Joint Venture: \_\_\_\_\_

4. If applicant is an entity other than described in Section 3, briefly state the nature and characteristics of the applicant:

\_\_\_\_\_  
\_\_\_\_\_

5. If in your answer to Section 3 you have checked Box b, c, d, or e, identify by name and address each person or entity who is a 7.5 percent shareholder in the case of a corporation, a beneficiary in the case of a trust or land trust, a joint venturer in the case of a joint venture, or who otherwise has a proprietary interest, interest-in profits and losses, or right to control such entity.

	Name	Address	Interest
--	------	---------	----------

- |    |       |       |       |
|----|-------|-------|-------|
| a. | _____ | _____ | _____ |
| b. | _____ | _____ | _____ |
| c. | _____ | _____ | _____ |

6. Name, address, and capacity of person making this disclosure on behalf of the applicant:

\_\_\_\_\_

IMPORTANT NOTE: In the event your answer to Section 5 identifies entities other than a natural person, additional disclosures are required for each such entity.

VERIFICATION

I, \_\_\_\_\_, being first duly sworn under oath, depose and state that I am the person making this disclosure on behalf of the applicant, that I am duly authorized to make this disclosure, that I have read the above and foregoing Disclosure of Beneficiaries, and that the statements contained therein are true in both substance and fact.

By: \_\_\_\_\_  
(Authorized Signature and Title)

Subscribed and sworn to before me this \_\_\_\_\_ day  
of \_\_\_\_\_, 2020.

\_\_\_\_\_  
Notary Public

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**BID CERTIFICATION FORM**

RE: CERTIFICATION OF BIDDER, COMPLIANCE WITH SECTION 33E-11  
OF ILLINOIS CRIMINAL CODE OF 1961

I/we hereby certify that \_\_\_\_\_ is not barred from bidding on this contract as the result of a violation of either Section 33E-3 or 33E-4 of this Article of the Illinois Criminal Code of 1961.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

Title: \_\_\_\_\_

**INTERFERENCE WITH PUBLIC CONTRACTING -- BID RIGGING AND  
ROTATING -- KICKBACKS -- BRIBERY**

**PUBLIC ACT 85-1295  
S.B. 2002**

AN ACT to add Article 33E to the "Criminal Code of 1961", approved July 28, 1961, as amended. Be it enacted by the People of the State of Illinois, represented in the General Assembly:  
Section 1: Article 33E is added to the "Criminal Code of 1961", approved July 28, 1961, as amended, the added Article to read as follows:

**ARTICLE 33E. PUBLIC CONTRACTS**

Sec. 33E-3 Bid-rigging. A person commits the offense of bid-rigging when he knowingly agrees with any person who is, or but for such agreement would be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of State or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) Provides such person or receives, from another, information be disclosed to a competitor in an independent, noncollusive submission of bids or (2) Submits a bid that is off such a price, or other material terms, that he does not intend the bid to be accepted.

Bid-rigging is a Class 3 felony. Any person convicted of this offense shall be barred for 5 years from the date of conviction from bidding on any contract offered for bid by any unit of State or local government.

Sec 33E-4. Bid rotating. A person commits the offense of bid rotating when pursuant to any collusive scheme or agreement with another. He engages in a patter over time (which, for the purposes of this Section, shall include at least 3 contract bids within a period of 10 years, the most

recent of which occurs after the effective date of this amendatory Act of 1988) of submitting sealed bids to units of State or local government with the intent that the award of such bids rotates, or is distributed among persons or business entities which submit bids on a substantial number of the same contracts. Bid rotating is a Class 2 felony. Any person convicted of this offense shall be permanently barred from bidding on public contracts in the State of Illinois.

Bidder hereby certifies:

- A. That this bid is genuine and it not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation.
- B. That he has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid.
- C. That he has not solicited or induced any person, firm, or corporation to refrain from bidding.
- D. That he has not sought by collusion or otherwise to obtain for himself any advantage over any other bidder or over the Owner.
- E. That he is not barred from bidding for this Contract as a result of a violation of Section 33E-3 or Section 33E-4 of the Illinois Criminal Code of 1961 (Ill. Rev Stat. ch. 38, Paragraph 33E-1 et seq.).

SUBMITTED: \_\_\_\_\_

DATE: \_\_\_\_\_

FIRM NAME: \_\_\_\_\_ (SEAL)

ADDRESS: \_\_\_\_\_

SIGNED BY: \_\_\_\_\_

(Signature and Date)

\_\_\_\_\_  
(Title)

ATTEST: \_\_\_\_\_

(Secretary)

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 2020.

(Notary Public)

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CERTIFICATION**

\_\_\_\_\_ (hereinafter referred to as “Contractor”)  
having submitted a bid/proposal for \_\_\_\_\_ to the City of Wood Dale,  
DuPage County, Illinois, for \_\_\_\_\_, hereby certifies  
that:

5/2-105(A) (4) including the following information:

1. An acknowledgement of the illegality of sexual harassment.
2. The definition of sexual harassment under State law.
3. A description of sexual harassment, utilizing examples.
4. The contractor’s internal complaint process, including penalties.
5. The legal recourse, investigative and complaint process available through the Illinois Department of Human Rights and the Human Rights Commission.
6. Directions on how to contact the Department of the Commission.
7. An acknowledgement of protection of a complainant against retaliation as provided in Section 6-101 of the Human Rights Act.

Each contractor must provide a copy of such written policy to the Illinois Department of Human Rights upon request.

By: \_\_\_\_\_  
Authorized Agent of Contractor

Subscribed and sworn to before me on this \_\_\_\_\_ day of \_\_\_\_\_ 2020.

\_\_\_\_\_  
Notary Public

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CONTRACTOR’S DRUG-FREE WORKPLACE CERTIFICATION**

Pursuant to Ill. Rev. Stat. ch. 127 paragraph 132.311 et. seq. (“Drug Free Workplace Act), the undersigned contractor hereby certifies to the contracting agency that it will provide a drug-free workplace by:

- (a) Publishing a statement:
  - (1) Notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance, including cannabis, is prohibited in the grantee’s of contractor’s workplace.
  - (2) Specifying the actions that will be taken against employees for violations of such prohibition.
  - (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
    - (A) Abide by the terms of the statement; and
    - (B) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.
- (b) Establishing a drug free awareness program to inform employees about:
  - (1) The dangers of drug abuse in the workplace;
  - (2) The grantee’s or contractor’s policy of maintaining drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance program; and
  - (4) The penalties that may be imposed upon employees for drug violation.
- (c) Making it a requirement to give a copy of the statement required by subsection (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.
- (d) Notifying the contracting agency within ten (10) days after receiving notice under par (B) of paragraph (3) of subsection (a) from an employee or otherwise receiving actual notice of such conviction.

Dated: \_\_\_\_\_

By: \_\_\_\_\_  
Authorized Agent of Contractor

# RETURN WITH BID

## CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM

### CERTIFICATIONS

\_\_\_\_\_, being first duly sworn, deposes and states that he is \_\_\_\_\_ of \_\_\_\_\_ (Partner, Officer, Owner, etc.)

\_\_\_\_\_  
(Corporation / Company)

and that he is cognizant of the following statutory requirements and under penalty of perjury and certifies the following:

Anti-Collusion Affidavit of Compliance: That bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed directly or indirectly with any bidder or person to put in a sham bid or to refrain from bidding; and has not in any manner directly or indirectly sought by agreement or collusion or communication or conference with any person to fix the bid price element of said bid or that of any other bidder; or to secure any advantage against any other bidder or any person interested in the proposed contract.

Public Act 85-1295: That bidder is not barred from bidding on this contract as a result of a violation of either Section 33E-3 or 33E-4 of P.A. 85-1295 (720ILCS 5).

Public Act 86-1039: That bidder is not barred from contracting with the City of Wood Dale because of any delinquency in the payment of any tax administered by the Department of Revenue unless the individual or entity is contesting, in accordance with the procedures established by the appropriate revenue act, liability for the tax, or the amount of the tax (65ILCS 5/11-42.1-1).

Public Act 86-1459: That bidder will provide a drug free workplace in accordance with the Illinois Drug Free Workplace Act (30ILCS 580/2).

Illinois Human Rights Act: That bidder is presently in compliance and agrees to comply with all applicable provisions of the Illinois Human Rights Act, together with all rules and regulations promulgated and adopted pursuant thereto (775ILCS 5/1 -101 et seq.).

Equal Employment Opportunities-Affirmative Action: That bidder is presently in compliance and agrees to comply with all applicable provisions of Equal Employment Opportunities--Affirmative Action (775ILCS 5/2-105 [A]).

Americans with Disabilities Act of 1990: That bidder is presently in compliance and agrees to comply with all applicable provisions of the Americans with Disabilities Act of 1990 together with all rules and regulations promulgated and adopted pursuant thereto.

**INDIVIDUAL:**

Signature of Bidder: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Phone Number: \_\_\_\_\_

**PARTNERSHIP:**

Partnership Name: \_\_\_\_\_

Signed By: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Phone Number: \_\_\_\_\_

Insert Names and Addresses of All Partners: \_\_\_\_\_

\_\_\_\_\_

**CORPORATION:**

Corporate Name: \_\_\_\_\_

Signed By: \_\_\_\_\_

Title: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Phone Number: \_\_\_\_\_

Insert Names of Corporate Officers

President: \_\_\_\_\_

Secretary: \_\_\_\_\_

Treasurer: \_\_\_\_\_

Attest: \_\_\_\_\_

# RETURN WITH BID

## CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM

### -REFERENCES-

Name of Bidding Firm: \_\_\_\_\_  
(Please print)

The Contractor must list three (3) references with needs similar to the City of Wood Dale for whom Contractor has supplied the materials and services for which he is bidding on this contract within the last three years. Please include name, address, telephone number, contact person, and type of work you performed for that entity.

1. Company Name/Municipality: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Type of Work: \_\_\_\_\_

2. Company Name/Municipality: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Type of Work: \_\_\_\_\_

3. Company Name/Municipality: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Type of Work: \_\_\_\_\_

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**GENERAL REQUIREMENTS AND TECHNICAL SPECIFICATIONS**

**BASE WORK:**

THE WORK TO BE COMPLETED UNDER THIS CONTRACT CONSISTS OF PRUNING OF TREES FOR THE PARKWAY TREE PRUNING PROGRAM FOR THE CITY OF WOOD DALE.

**PART 1 GENERAL SPECIFICATIONS**

**1. CONDITIONS**

Bidders are advised to become familiar with all conditions, instructions, and specifications governing their proposal. Once the award has been made, failure to have read all the conditions, instructions, and specifications of their contract shall not be cause to alter the original contract, or to request additional compensation.

**2. BID SECURITY**

**2.1** Each Bid must be accompanied by Bid security made payable to the City in the amount of ten percent (10%) of the Bidder's maximum Bid price, and in the form of a certified check, bank check, or a Bid Bond

**2.2** Bid bonds shall be duly executed by the Bidder as principal and having as surety therein a surety company approved by the OWNER, HAVING THE MINIMUM EQUIVALENT OF A Best and Co. 5A Rating.

**3. ADJUSTMENTS TO THE CONTRACT**

Prospective Bidders are forewarned that the city of Wood Dale reserves the right to adjust the quantities of work to be accomplished, either up or down, dependent on the current budget or until budgeted funds are depleted without prejudice to the Contract. Payment will be based on measured quantities and accepted unit prices

**4. PRE-WORK MEETING**

Upon execution of the contract with the successful Bidder, the City will schedule a meeting with the CONTRACTOR. In attendance shall be the CONTRACTOR'S foreman and sale representative that will be working on this job. The purpose of the pre-work meeting is to review the scope of work. In addition, the City will review, with the CONTRACTOR, the required equipment, (including signs and safety equipment) personnel requirements, pruning procedures,

and other activities related to execution of the Contract.

## **5. DAMAGE TO PROPERTY**

**5.1** The CONTRACTOR shall be responsible for any damage to [properties caused by the acts of their work in the course of performance of this contract and shall replace, or restore to its original condition any such damaged property at no cost to the occupant, or owner, or the City. The City shall be held harmless for all liability under the Scope of Work of this contract.

**5.2** The Contractor is not authorized to drive equipment on to private property without proper written authority from the property owner.

**5.3** It is recommended that, for the Contractor's protection, if any damage exists before work begins (including sidewalk, driveway cracks) that the Director of Public Works, or appointed representative, be notified of such prior to the work beginning. Visual records (pictures) shall be taken by the contractor of any re-existing damage before work begins.

## **6. TIME OF COMPLETION, PENALTY, AND LIQUIDATED DAMAGES**

**6.1** The CONTRACTOR understands that all contract times are of the essence. Penalties will be imposed for non-completion of the set dates.

**6.2** Should the CONTRACTOR fail to complete the work within the time specified in the Contract, or within such extended time as may have been allowed, the CONTRACTOR shall be liable to the OWNER in the amount of **Two Hundred Fifty Dollars (\$250.00)**, as liquidated damages, for each day of overrun in the contract time, or such extended time as may have been allowed. The daily charge shall be made for every day shown on the calendar beyond the specified completion date.

**6.3** Any penalty or liquidated damages owed the City may be deducted from any payments to the CONTRACTOR. If the deduction does not satisfy the full extent of the CONTRACTOR'S penalty obligation, then the CONTRACTOR shall pay the difference to the OWNER. The parties further agree that the liquidated damages represent the minimum damage the City will sustain for each calendar beyond the specified completion date.

## **7. OPTIONAL CONTRACT RENEWAL**

The City will award year 1 of the contract with optional yearly renewals at the discretion of the City for the remainder of the contract set forth below. The contract will be awarded to the overall lowest responsible bidder for the 4 years of the contract term.

## **PART II TECHNICAL SPECIFICATIONS**

### **1. SCOPE OF WORK**

1.1 The work included in this Contract shall be for cleaning, thinning, raising and specialty pruning of trees ten inches (10”) in diameter and larger located on public property (R-O-W) within the City of Wood Dale. The City has also requested optional pricing for cleaning, thinning, raising and specialty pruning of trees under ten inches (<10”) in diameter on public property (R-O-W). Work shall include hauling and disposing of all wood, wood chips, and debris and cleaning up by raking lawn areas and sweeping sidewalks, streets and gutters and restoring the premises to its original condition (unless otherwise specified by the Director of Public Works, or designee).

1.2 Pruning quantities may change up or down, based on the proposed budget for that year.

### **2. PROSECUTION OF THE WORK**

2.1 Once work has commenced, the CONTRACTOR will be expected to have a minimum of two, and a maximum of three crews working every weekday, weather permitting, until the project is completed. Final completion requires the pruning of all trees identified on the list in addition to the correction of any tree identified by the Director of Public Works, or his designee as deficient.

2.2 A pruning crew shall consist of not less than three people per each crew (*the foreman will be included in this number*) with the required equipment to perform tree pruning operations satisfactorily.

2.3 It is required that at least one member of the crew be an I.S.A. Certified Arborist.

2.4 Foreman –This person shall have responsibility for participating for all work completed at all times during pruning operation by the CONTRACTOR. Each foreman shall be authorized by the CONTRACTOR to accept and act upon all directives issued by the Director of Public Works of his Designee.

2.5 Pruning must begin by the end of January each year, unless other arrangements are made with the City. In order to meet the deadlines, a minimum of one-third of the trees shall be pruned by February 1st, two-thirds of the trees shall be pruned by February 28th, and all of the trees shall be pruned by the last week of March.

### **3. PRUNING OPERATIONS**

3.1 Pruning will be done in accordance with the most current edition of the **American National Standard for Tree Care Operations – ANSI A300 (part 1) Pruning.**

**3.2** This work will be accomplished within the following specifications:

- a) Crown cleaning and thinning of branches 1” – 2” inches in diameter and greater.
- b) To remove under branches to permit clearance of approximately fourteen feet (14’) where practical to allow passage of second class motor vehicles on the street side of the tree, and approximately eight feet (8’) on the sidewalk, or pedestrian side of the tree. This height is a guide and can be adjusted by the Director of Public Works, or designee.
- c) To remove trunk suckers and water sprouts especially where they are present on the trunk of the tree.
- d) Limbs shall be removed which overhang houses with the objective to raise the trees aesthetically to maintain clearance until the scheduled time to return. Exceptions to this requirement may be made when the removal of a sound limb will greatly detract from the overall appearance of the tree. In those cases where determinations cannot be easily made, the Director of Public Works, or his designee shall be consulted.
- e) Smaller limbs shall be removed within 3’ of house drops, or as necessary for proper clearance.
- f) Removal of large limbs may be required to achieve the above standards, and will be addressed at no additional cost to the City (this is rare).
- g) Remove all dead, dying, diseased, interfering, objectionable and weak branches and stubs greater than two inches in diameter from all trees.
- h) Remove one of two or more crossed and/or rubbing branches greater than 2 inches in diameter from all trees where practical.
- i) All cuts to be made sufficiently close to parent limb, without cutting into the branch collar or leaving a protruding stub, so that closure can readily start under normal conditions.
- j) Rope down all branches where damage could be incurred by gouging of a sodded area and/or damage to public walks. Use caution where there is the possibility of damaging adjacent privately owned shrubs, trees, or flowers.
- k) Pre-cut all limbs being removed whenever there is a possibility of stripping the bark.
- l) No person working in trees shall use shoes with spikes or any other footwear, which will, in the City’s opinion, injure the trees while work is being performed. At no time shall any person working in trees for pruning purposes wear spurs of climbing irons.
- m) Clear all street light and traffic control devices including non-illuminated signage to allow adequate lighting and sign visibility for the length of the trim cycle.

Clear small parkway trees to allow natural growth habit without severely altering the form of the larger tree.

**3.3** The CONTRACTOR shall use all safety devices and procedures which will conform to the most current editions of American National Standards Institute, Standard Z 133.1 (for Pruning, Repairing, Maintaining and Removing Trees and Cutting Brush and for Arboricultural Operations – Safety Requirements).

**3.4** It shall be necessary to rake and sweep the area after the tree has been pruned. In the event that the ground is covered with snow, it shall be the CONTRACTORS responsibility to properly clean the area of debris after snow melt. An amount of \$1,000 will be held from the last invoice to ensure cleanup and will be paid after all cleanup is completed.

**3.5** It is the responsibility of the CONTRACTOR to notify the utility company's tree pruning contractor of any extensive utility pruning that is needed. The utility company's contractor must perform the work before the CONTRACTOR prunes the parkway trees.

#### **4. INSPECTION**

All phases of the pruning operations performed under this contract will be subject to inspection by and with the approval of the Director of Public Works or his designee.

#### **5. REPORTS TO THE CITY**

**5.1** Duties of the foreman: On a weekly basis the CONTRACTOR shall prepare and submit to the Director of Public Works or his designee a report including identification of defective trees:

- a) Any structural weakness, large quantity of deadwood – 50%, decayed trunk or branches, split crotches, or branches, etc. Report of structural weakness or major deadwood must be made before pruning of tree to determine necessity of tree removal. No pruning will be done on questionable trees (*50% dead, split, etc.*) until after the Director of Public Works or his designee has been notified and inspects the tree. Payment will not be made on questionable trees that the contractor prunes before the Director of Public Works or his designee reviews the.
- b) An updated pruning list will be turned in every Friday identifying those trees that were pruned in addition to any comments.
- c) The foreman will be required to note any problems such as: wrong species, wrong address, diameter, number of trees, etc. on the tree inventory sheets and report it in writing to the Director of Public Works or his designee as soon as it is noticed and before the tree is pruned.

**5.2** The CONTRACTOR shall immediately report to the City any accidents. This accident

report shall include the location of the accident, nature of the accident, the vehicle involved, identification number and any other information requested by the City. A summary of the same information shall also be reported to the City.

**5.3** The City reserves the right to alter any forms it issues for use under this contract, as needed in order to ensure the collection of all data necessary to evaluate the CONTRACTOR'S performance. While the City shall provide a model form to the CONTRACTOR, it is the obligation of the CONTRACTOR to print and make available for his use a sufficient number of copies of all required forms.

## **6. SPECIAL PROVISION FOR ASH WOOD**

**6.1** It is responsibility of the successful bidder to provide documentation to the City of Wood Dale that a compliance agreement has been signed with the Illinois Department of Agriculture.

**6.2** The contractor shall ensure that the chips produced are no bigger than 1" X 1".

**6.3** The City may request the CONTRACTOR to cut and label branches for EAB sampling.

## **7. MEASUREMENT (DBH)**

All measurements will be made by the Director of Public Works or his designee, measuring the circumference at a point approximately 4 ½ feet above the ground using a diameter tape. Measurements are made prior to pruning of the tree. Measurements will be made to the nearest tenth of an inch rounding off the nearest whole diameter inch. If there are any discrepancies those must be verified in the filed by the Director of Public Works or his designee before payment is made.

Multi-stem or forked tree shall be measured 4 ½ feet above the ground and each stem will be measured separately and then added together to determine the total DBH measurement. If the tree starts to fork at 4 ½ feet above the ground, then the measurement shall be taken just below the start of the fork.

## **8. DEBRIS REMOVAL**

**8.1** The CONTRACTOR shall arrange to keep sidewalks open for traffic when possible. Signs or cones must be properly used if closing sidewalks.

**8.2** All debris from tree pruning operations shall be removed from the site and from the City of Wood Dale the same day it is placed. No debris is to remain in the street or on the sidewalk overnight. Under **NO** circumstances will debris be left on the parkway over the weekend unless otherwise specified by the Director of Public Works or his designee. Payment for removal and disposal of debris is to be included in the unit prices for tree pruning.

**8.3** All applicable State and Local laws and ordinances related to the hauling, handling and disposition of such material will be followed by the CONTRACTOR.

**8.4** The CONTRACTOR shall furnish a letter for each contemplated final disposal site that such site meets all state, local and Environmental Protection Agency requirements for the disposal of landscape waste.

**8.5** Any change in disposal site (s) throughout the term of the Contract must have the prior written approval of the Director of Public Works or his designee and conform to all requirements stated herein.

**8.6** The CONTRACTOR shall remove all surplus materials and debris from the streets as the work progresses so that the public may have the use of the street a maximum amount of time.

**8.7** The CONTRACTOR shall provide proof of their IDOA compliance agreement with this bid.

## **9. NOTIFICATION OF UTILITY COMPANIES**

All pruning and removal work will be made on City parkways at various addresses. It will be the CONTRACTORS responsibility to ensure that overhead lines are cleared by the respective agency so that the final pruning of these trees will not be delayed.

## **10. TRAFFIC CONTROL**

**10.1** Proper warning signs, barricades, and other protective devices shall be on hand and used by the CONTRACTOR before work may commence. These shall be in accordance with the Manual of Uniform Traffic Control Devices, e.g. Tree Trimming, One Lane Ahead, Road Closed, etc.

**10.2** During tree pruning, the **CONTRACTOR shall have the responsibility** to block the street (if need be) at each intersection, using proper signs and barricades to prevent any motorized vehicle from entering, with the exception of State or County highways, which will not be completely blocked off. Prior to working on County or State highways, the CONTRACTOR shall have the responsibility of notifying the City of Wood Dale Police (630-766-2060). Coordination with the Public Works Department may be necessary.

**10.3** If the street is not properly barricaded and proper signs installed when performing tree removal and pruning operations, the City will stop work until the proper street barricading is accomplished. If this happens more than two times this can be cause for termination of contract.

**10.4** The CONTRACTOR shall also be required to contact the appropriate State or County agency when engaged in removal work on their rights-of-way: flagmen will be necessary when working on main roads.

## **11. PESDESTRIAN SIDEWALK CONTROL**

While overhead removal work is taking place, the bidder shall block off the sidewalk to pedestrian traffic immediately under the trees being timed.

## **12. EQUIPMENT**

**12.1** The equipment used for pruning shall be of sufficient type, capacity and quantity to safely and efficiently perform the pruning work as specified.

**12.2** No off-street parking for equipment shall be provided by the City of Wood Dale on any of our public properties. Unless authorized by the Owner under no circumstances shall any motorized equipment be permitted to be driven on the parkways, driveways, or public walks while performing work under the provisions of the Contract. If access is needed to the parkway or private property the Contractor must first obtain permission by the property owner.

**12.3** All equipment (including support equipment) to be used by the CONTRACTOR shall be listed on the CONTRACTOR'S Information Report at the time of the bid opening. All such equipment can be subject to the inspection and final approval of the City. Such approval may require on-site demonstration of the capability of any proposed equipment to provide satisfactory performance.

## **13. RESTORATION**

The CONTRACTOR shall take all necessary precautions to eliminate damage to the trees, ground, driveways, streets and curbs, sidewalk, structures, and utilities on or adjacent to the work site. Any damage shall be reported in writing to the property owner and the Assistant Public Works Directors' Office located at, 720 N. Central Ave. Wood Dale, Illinois 60191, **on the day of occurrence**. Any damage shall be repaired at the CONTRACTORS expense within ten (10) days of the occurrence (unless demonstrable reason for a delay can be shown with the consent of the Assistant Public Works Works). Should the damage not be rectified within the agreed time or to the satisfaction of the Director of Public Works or his Designee, the City can reserve the right to repair or replace that which was damaged, or assess the CONTRACTOR such costs as may be reasonable and related to damage caused by the CONTRACTOR, and deduct these costs from any payment due the CONTRACTOR or failure may be cause for termination of the Contract.

## **14. WEATHER**

**14.1** For the purpose of this Contract, the National Weather Service at O'Hare International Airport shall be the weather forecasting and reporting agency. Any forecast by the National Weather Service shall be deemed to extend only 12 hours into the future.

**14.2** CONTRACTOR may suspend operations if weather conditions are such that pruning operations cannot be carried out in a safe and effective manner. If such suspension occurs, the

CONTRACTOR shall immediately notify the Director of Public Works or his designee.

**14.3** The Director of Public Works or his designee shall at their discretion have the right to order the suspension of pruning OR removal operations whenever, in his judgment, present weather conditions or impending weather conditions are such that pruning operations cannot be carried out in an effective and safe manner.

## **EMERGENCY WORK**

**Labor Definitions shall be classified as follows:**

- A. **Common Labor** – This classification describes labor having minimal skills and used in ground operations such as loading trucks, cutting limbs on the ground, operating chipper, raking and cleaning up area.
- B. **Skilled Labor** – This classification describes labor proficient in the proper pruning of trees, with at least 2 years of experience using an aerial tower truck or by rope and saddle. There will be no training of contractor’s employees on City of Wood Dale trees.
- C. **Foreman** – This person or another crew member **must** be an *International Society of Arboriculture Certified Arborist*. This person shall have responsibility for participating for all work completed at all times during pruning operation by the CONTRACTOR. Each foreman shall be authorized by the CONTRACTOR to accept and act upon all directives issued by the Director of Public Works of his Designee.

### **1. NEED & RESPONSE**

Throughout the term of the contract it may become necessary for the CONTRACTOR to assist the City in providing emergency tree services. The CONTRACTOR shall provide telephone numbers at which it can be reached on a 24 hour emergency basis. When sever winds, ice, storms, or other conditions require emergency assistance, the CONTRACTOR shall respond and commence work within six hours of receiving the emergency request notification. The CONTRACTOR shall provide emergency assistance in the manner as set forth by the Director of Public Works and / Assistant Public Works Director.

### **2. EQUIPMENT**

When the request for emergency assistance has been issued, the CONTRACTOR must be able to furnish, as a minimum, the following quantity and types of equipment:

- A.1 Aerial Tower
- B.1 Clam
- C.2 Chippers
- D.2 Chipper Trucks
- E.1 Stumper

### **3. PERSONNEL**

The Director of Public Works or his designee will determine the number of crews required for emergency work.

### **4. RECORDS**

**4.1** All emergency assistance work orders shall be originated by the Director of Public Works or his Designee. Completed work orders shall be returned to the Director of Public Works or his designee and shall indicate the date, time and location at which the emergency assistance was given, the name, classification, and hours worked of each major piece of

equipment used in performing the work.

**4.2** When engaged in providing emergency assistance, the CONTRACTOR shall provide daily records of the hours worked for all equipment and personnel. The daily work records shall be signed by the Foreman and approved by the Director of Public Works or his Designee. All daily records shall be submitted in triplicate with the following distribution:

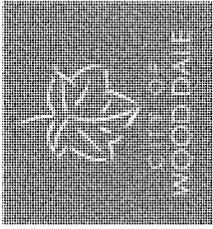
- a) **Original** copy to be submitted with periodic invoice.
- b) **Second** copy to be retained by the CONTRACTOR for files.
- c) **Third** copy to be given to the Assistant Public Works Director after his verification that the work has been performed.

## **5. MEASUREMENT & PAYMENT**

**5.1** The payment for services rendered under emergency assistance shall be made for only those hours actually worked in giving assistance. No allowances will be given for travel time to and from the work site.

**5.2** Payment for work accomplished shall be made at the hourly labor rate for each labor classification as set forth in the Proposal. Payment for equipment rental shall be made only at the equipment rental rate as set forth in the proposal.





404 N. Wood Dale Road  
 Wood Dale, IL 60191  
 PHONE: 630-787-3709  
 FAX: 630-766-3898

Location: The City of Wood Dale, 404 N. Wood Dale Road

Project: Parkway Tree Pruning Program

Date: December 2, 2020

Time: 10:00 A.M.

Contractor:	Bid Amount:	Bid Bond:	Addendum:
LCM	156,955.00	✓	✓
Crosby Tree Service	151,045.40	✓	
Winklers Tree	187,399	✓	✓

**RETURN WITH BID**

Submitted By: Ciosek Tree Service / Dave Ciosek

Company Name: Ciosek Tree Service

Contact Person: Dave Ciosek

Address: 21W430 Park Avenue

City, State, Zip: Lombard, IL 60148

Telephone: 630-624-6301

Fax: —

**CITY OF WOOD DALE  
DUPAGE COUNTY, ILLINOIS**

**NOTICE TO CONTRACTORS  
CONTRACT DOCUMENTS  
SPECIFICATIONS**

FOR

**CITY OF WOOD DALE – PARKWAY TREE PRUNING PROGRAM  
October 30, 2020**

Annunziato Pulice, Mayor

Lynn Curiale, City Clerk

Prepared By:

City of Wood Dale, Administration  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

**RETURN WITH BID**

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**-PROPOSAL-**

Honorable Mayor and City Council  
City of Wood Dale  
404 N. Wood Dale Road  
Wood Dale, IL 60191

Ladies and Gentlemen:

The undersigned does hereby state he has examined the Notice to Bidders, Instructions to Bidders, Special Instructions, General Requirements, Proposal, Sample Contract, Technical Specifications, Certifications, and all other documents, and all work shall be done in accordance with the documents contained herein.

The undersigned does hereby propose to furnish all labor, services, materials, supplies, equipment, apparatus, appliances and to do all work and pay all costs and expenses connected therein required to complete this order in accordance with the documents named in the foregoing paragraph, on the basis of the quantities of work and services actually performed and for the unit prices stated herein below.

Name of Company: Ciosek Tree Service, Inc.

Address: 21W430 Park Avenue

City, State, Zip: Lombard, IL 60148

Signed:  Date: 12-1-2020

Title: Secretary / Owner

**\*\*Continued on next page\*\***

## RETURN WITH BID

### BID SHEET

The undersigned, having become familiar with the specifications and with local conditions affecting the cost of the work, hereby proposes and agrees, if this bid is accepted, to enter into an agreement with the City in the form included in the contract documents for the contract sum and within the contract time indicated in this bid and in accordance with other terms and conditions of the contract documents, and in so doing, to provide and furnish all the labor, equipment, materials, supplies, hardware, necessary tools, expendable equipment and supplies, and all utility and transportation services necessary to perform and complete, in a first-class manner, the entire work in conjunction with the Parkway Tree Pruning Program.

#### **PARKWAY TREE PRUNING WARD 4**

**A.** BIDDER will complete the Work for the following prices(s) from **November 30, 2020** through **March 31, 2021**.

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	251	45.00	11,295.00
10-19"	278	53.00	14,734.00
20-29"	186	62.00	11,532.00
30-39"	51	80.00	4,080.00
40" & greater	16	100.00	1,600.00
<b>Total Pruning Cost WARD 4 \$</b>			<b>43,241.00</b>

**PARKWAY TREE PRUNING WARD 3  
(Optional Renewal)**

**B. BIDDER will complete the Work for the following prices(s) from November 30, 2021 through March 31, 2022**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	285	45.00	12,825.00
10-19"	306	53.00	16,218.00
20-29"	111	62.00	6,882.00
30-39"	18	80.00	1,440.00
40" & greater	2	100.00	200.00
<b>Total Pruning Cost WARD 3 \$</b>			<b>37,565.00</b>

**PARKWAY TREE PRUNING WARD 1  
(Optional Renewal)**

**C. BIDDER will complete the Work for the following prices(s) from November 30, 2022 through March 31, 2023.**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	352	49.50	17,424.00
10-19"	255	58.30	14,866.50
20-29"	95	68.20	6,479.00
30-39"	19	88.00	1,672.00
40" & greater	2	110.00	220.00
<b>Total Pruning Cost WARD 1 \$</b>			<b>40,661.50</b>

**PARKWAY TREE PRUNING WARD 2  
(Optional Renewal)**

D. BIDDER will complete the Work for the following prices(s) from  
**November 30, 2023** through **March 31, 2024.**

<b>DBH SIZE CLASS</b>	<b>ESTIMATED NUMBER OF TREES</b>	<b>COST PER TREE</b>	<b>TOTAL COST PER CLASS</b>
Less than 10"	183	49.50	9,058.50
10-19"	174	58.30	10,144.20
20-29"	76	68.20	5,183.20
30-39"	39	88.00	3,432.00
40" & greater	16	110.00	1,760.00
<b>Total Pruning Cost WARD 2 \$</b>			<b>29,577.90</b>

<b>TOTAL MULTI-YEAR PRUNING COST \$</b>	<b>151,045.40</b>
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**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK  
HOURLY RATES FOR EMERGENCY PURPOSES**

- a. BIDDER will complete the Work for the following prices(s) from  
**November 30, 2020** through **December 1, 2021.**

JOB CLASS	LABOR REGULAR TIME	LABOR OVERTIME
FOREMAN	\$ 55.00	\$ 82.50
SKILLED LABOR	\$ 45.00	\$ 67.50
COMMON LABOR	\$ 25.00	\$ 37.50
<b>TOTAL PER LABOR HOUR</b>	\$ 125.00	\$ 187.50

EQUIPMENT	COST PER HOUR	EQUIPMENT SUBSTITUTION / COST
AERIAL TOWER	\$ 125.00	\$
CLAM	\$ 145.00	\$
LOG TRUCK	\$ 100.00	\$
CHIPPER	\$ 115.00	\$
STUMPER	\$ 45.00	\$
SEMI	\$ 200.00	\$
DUMP DISPOSAL	\$ 100.00	\$
PICK-UP TRUCK	\$ 25.00	\$
CRANE	\$ _____	\$ _____

- b. BIDDER will complete the Work for the following prices(s) from **November 30, 2021** through **December 1, 2022**.

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$ 55.00	\$ 82.50
SKILLED LABOR	\$ 45.00	\$ 67.50
COMMON LABOR	\$ 25.00	\$ 37.50
<b>TOTAL PER LABOR HOUR</b>	\$ 125.00	\$ 187.50

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$ 125.00	\$
CLAM	\$ 145.00	\$
LOG TRUCK	\$ 100.00	\$
CHIPPER	\$ 115.00	\$
STUMPER	\$ 45.00	\$
SEMI	\$ 200.00	\$
DUMP DISPOSAL	\$ 100.00	\$
PICK-UP TRUCK	\$ 25.00	\$
CRANE	\$ _____	\$ _____

- c. BIDDER will complete the Work for the following prices(s) from **November 30, 2022** through **December 1, 2023**.

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$ 60.50	\$ 90.75
SKILLED LABOR	\$ 49.50	\$ 74.25
COMMON LABOR	\$ 25.00	\$ 37.50
<b>TOTAL PER LABOR HOUR</b>	\$ 125.00	\$ 202.50

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$ 125.00	\$
CLAM	\$ 145.00	\$
LOG TRUCK	\$ 100.00	\$
CHIPPER	\$ 115.00	\$
STUMPER	\$ 45.00	\$
SEMI	\$ 200.00	\$
DUMP DISPOSAL	\$ 100.00	\$
PICK-UP TRUCK	\$ 25.00	\$
CRANE	\$ —	\$ —

- d. BIDDER will complete the Work for the following prices(s) from  
**November 30, 2023** through **December 1, 2024.**

**TIME & MATERIAL BASIS FOR AUTHORIZED EMERGENCY WORK**  
*HOURLY RATES FOR EMERGENCY PURPOSES*

<b>JOB CLASS</b>	<b>LABOR REGULAR TIME</b>	<b>LABOR OVERTIME</b>
FOREMAN	\$ 60.50	\$ 90.75
SKILLED LABOR	\$ 49.50	\$ 74.25
COMMON LABOR	\$ 25.00	\$ 37.50
<b>TOTAL PER LABOR HOUR</b>	\$ 135.00	\$ 202.50

<b>EQUIPMENT</b>	<b>COST PER HOUR</b>	<b>EQUIPMENT SUBSTITUTION / COST</b>
AERIAL TOWER	\$ 125.00	\$
CLAM	\$ 145.00	\$
LOG TRUCK	\$ 100.00	\$
CHIPPER	\$ 115.00	\$
STUMPER	\$ 45.00	\$
SEMI	\$ 200.00	\$
DUMP DISPOSAL	\$ 100.00	\$
PICK-UP TRUCK	\$ 25.00	\$
CRANE	\$ —	\$ —

## RETURN WITH BID

Accompanying this Proposal is a proposal guarantee in the amount of \$ 151,045.40 (10%) which is hereby tendered in accordance with the requirement of the Instructions to Bidders and the Specifications and/or Special Provisions. If this proposal is accepted and the undersigned fails to execute a contract as required herein, it is hereby agreed that the proposal guarantee shall become the property of the City of Wood Dale, and shall be considered as payment of damages due to delay and other consequences suffered by the City of Wood Dale due to the failure to execute said contract.

The undersigned acknowledges receipt of addenda as follows:

Addendum, No. 1, dated 11-25-20

No.     , dated           

No.     , dated           

This bid is an offer which shall be considered accepted only after the Corporate Authorities authorize the execution of the contract. In the event that this proposal is accepted and an award of contract is made to the undersigned bidder, the undersigned does hereby covenant and agree to deliver to the Owner the signed and executed Contract as specified in the Instructions to Bidders and Specifications within ten (10) days after the date of such acceptance and notification thereof.

The proposal shall be binding for sixty (60) days following the bid opening date unless the bidder, upon request of the City of Wood Dale, agrees to an extension.

THIS BID, WHEN ACCEPTED AND SIGNED BY AN AUTHORIZED SIGNATORY OF THE CITY, SHALL BECOME A CONTRACT BINDING UPON BOTH THE PERSON, PARTNERSHIP, OR CORPORATION TO SUPPLY OR PERFORM AS SPECIFIED AND UPON THE CITY TO ACCEPT THE PRODUCT OR SERVICE.

**RETURN WITH BID**

The undersigned further agrees to begin work within ten (10) working days after the executions and acceptance of the Contract, and thereafter to carry on the work diligently and continuously in such manner as to insure final completion and delivery to the Owner of the entire work under contract in accordance with the provisions of the Contract and Detailed Specifications.

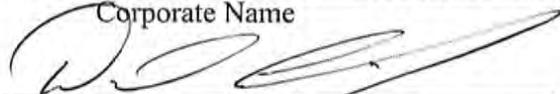
Witness \_\_\_\_\_ Hand(s) and Seal this \_\_\_\_\_ day of \_\_\_\_\_, 2020.  
my/our

If an individual, sign \_\_\_\_\_  
and give address. Address \_\_\_\_\_

If partnership, sign all individual names and give address of each partner. \_\_\_\_\_  
Partnership Name

Name and address of individual partners. \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

If corporation, officers duly authorized should sign, attach corporate seal.

Ciosek Tree Service  
Corporate Name  


ATTEST:

Address: 21W430 Park Avenue, Lombard, IL.

By: Linda J Ciosek  
Secretary

-CORPORATE SEAL-

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CONTRACT**

This CONTRACT, made and entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2020, by and between the CITY OF WOOD DALE, an Illinois municipal corporation (hereinafter “City”), and \_\_\_\_\_, an Illinois corporation (hereinafter “Contractor”);

**RECITALS**

WHEREAS, the City desires to engage the Contractor to provide (hereinafter “Work”), located within the corporate limits of the City of Wood Dale; and

WHEREAS, the Contractor represents itself to be in compliance with Illinois Statutes relating to all matters affecting its status as a corporation operating as a general contractor within the State of Illinois and to have the necessary expertise and experience to furnish such services for the Work upon the terms and conditions set forth herein below:

NOW, THEREFORE, in consideration of the mutual promises herein contained, it is hereby agreed by and between the City and the Contractor that:

**I. SCOPE OF SERVICES**

The Scope of Services shall be as set forth in the “Notice to Bidders”, “Instructions to Bidders”, “Special Instructions”, “Technical Specifications”, “General Requirements”, “Specifications”, and “Special Provisions” prepared by the City. Should there be a conflict in terms between this Contract and the other documents, which are a component part hereof, this Document shall control.

**II. PERFORMANCE OF WORK**

The Contractor shall perform all work, furnishing all materials and labor, and shall abide by the terms of this Contract and the requirements of the City. Contractor must complete, in its entirety, the project within one (1) calendar month, weather permitting, from the date the City provides Contractor with notice to proceed.

**III. PAYMENT TO THE CONTRACTOR**

For the Work, the Contractor shall be paid in accordance with the Proposal. The City shall make payments to the Contractor within 30 days after completion of the Work and upon receipt of an invoice in a format approved by the City.

This is not a prevailing wage project.

**IV. NO CO-PARTNERSHIP OR AGENCY**

It is understood that nothing herein contained is intended or shall be construed to, in any respect, create or establish the relationship of co-partners between the City and the

Contractor, or as constituting the Contractor as the general representative or general agent for the City for any purpose whatsoever.

V. CONTRACT DOCUMENTS

It is further understood that this Contract consists of the following documents which are hereby made a part hereof: the Notice to Bidders, Instructions to Bidders, Specifications, Special Instructions, Special Provisions, Proposal, Performance Bond, Anti-Collusion Affidavit of Compliance, Americans with Disabilities Act of 1990 Certificate, Policy Against Sexual Harassment Certificate, Hold Harmless Agreement, Anti-bid Rigging Certificate, and Drug-free Workplace Certificate.

VI. MATERIALS AND LABOR/WORKMANSHIP WARRANTY

Upon completion of the Work, and satisfactory acceptance by the City, the Contractor will provide a written one (1) year warranty covering both parts and labor/workmanship. Warranty shall include, but is not limited by this provision, that any defective material(s) and/or defective installation or workmanship will be repaired and/or replaced by Contractor at no cost to the City.

VII. SEVERABILITY

The parties intend and agree that, if any paragraph, subparagraph, phrase, clause, or other provision of this Contract, or any portion thereof, shall be held to be void or otherwise unenforceable, all other portions of this Contract shall remain in full force and effect.

VIII. HEADINGS

The headings of the several paragraphs of this Contract are inserted only as a matter of convenience and for reference and in no way are they intended to define, limit, or describe the scope or intent of any provision of this Contract, nor shall they be construed to affect in any manner the terms and provisions hereof or the interpretation or construction thereof.

IX. MODIFICATION OR AMENDMENT

This Contract constitutes the entire contract of the parties on the subject matter hereof and may not be changed, modified, discharged, or extended except by written amendment duly executed by the parties. Each party agrees that no representations or warranties shall be binding upon the other party unless expressed in writing herein or in a duly executed amendment hereof, or Change Order as herein provided.

XX. APPLICABLE LAW

This Contract shall be deemed to have been made in, and shall be construed in accordance with the laws of the 18<sup>th</sup> Judicial Circuit Court of DuPage County, State of Illinois.

XXI. NEWS RELEASES

The Contractor may not issue any news releases without prior approval from the City Manager nor will the Contractor make public proposals developed under this Contract without prior written approval from the City Manager prior to said documentation becoming a matter of public record.

XXII. COOPERATION WITH OTHER CONTRACTORS

The Contractor shall cooperate with any other Contractors in the City's employ or any matter associated with the Work.

XXIII. NOTICES

All notices, reports and documents required under this Contract shall be in writing and shall be mailed by first class mail, postage prepaid, addressed as follows:

If to City:

Jeffrey Mermuys  
City Manager  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

With a copy to:

Lynn Curiale  
City Clerk  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

If to Contractor:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

IN WITNESS WHEREOF, the undersigned have placed their hands and seals hereto on the date first above written.

CITY OF WOOD DALE:

ATTEST:

\_\_\_\_\_  
Annunziato Pulice, Mayor

\_\_\_\_\_  
City Clerk

CONTRACTOR:

ATTEST:

By [Signature]  
Its Secretary / Owner

By [Signature]  
Adm. Asst.

**RETURN WITH BID**

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**-DISCLOSURE OF BENEFICIARIES-**

In compliance with City of Wood Dale Purchasing Procedures requiring the disclosure of certain interests by persons applying for permits, licenses, approval, or benefits from the City of Wood Dale:

1. Applicant: Ciosek Tree Service  
Name  
21W430 Park Avenue, Lombard, IL 60148  
Address

2. Nature of Transaction Sought; for example, license permit approval or sale of products, services, or miscellaneous (explain miscellaneous):  
Tree Pruning Services

3. Nature of Applicant: (Please check one)

- a. Natural Person: \_\_\_\_\_
- b. Corporation:  \_\_\_\_\_
- c. Land Trust/Trustee: \_\_\_\_\_
- d. Trust/Trustee: \_\_\_\_\_
- e. Partnership: \_\_\_\_\_
- f. Joint Venture: \_\_\_\_\_

4. If applicant is an entity other than described in Section 3, briefly state the nature and characteristics of the applicant:  
\_\_\_\_\_  
\_\_\_\_\_

5. If in your answer to Section 3 you have checked Box b, c, d, or e, identify by name and address each person or entity who is a 7.5 percent shareholder in the case of a corporation, a beneficiary in the case of a trust or land trust, a joint venturer in the case of a joint venture, or who otherwise has a proprietary interest, interest-in profits and losses, or right to control such entity.

	Name	Address	Interest
a.	David Ciosek	21W430 Park Ave. Lombard, IL 60148	50%
b.	Joseph Ciosek	3N174 Bruce Ave, Addison, IL 60101	50%
c.			

6. Name, address, and capacity of person making this disclosure on behalf of the applicant:  
Dave Ciosek, 21W430 Park Ave Lombard, Owner

IMPORTANT NOTE: In the event your answer to Section 5 identifies entities other than a natural person, additional disclosures are required for each such entity.

VERIFICATION

I, David Ciosek, being first duly sworn under oath, depose and state that I am the person making this disclosure on behalf of the applicant, that I am duly authorized to make this disclosure, that I have read the above and foregoing Disclosure of Beneficiaries, and that the statements contained therein are true in both substance and fact.

By: [Signature] Owner/Secretary  
(Authorized Signature and Title)

Subscribed and sworn to before me this 1<sup>st</sup> day  
of December, 2020.

Linda M. Ciosek  
Notary Public

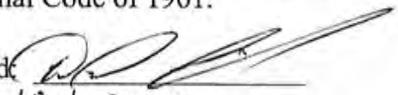


**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**BID CERTIFICATION FORM**

RE: CERTIFICATION OF BIDDER, COMPLIANCE WITH SECTION 33E-11  
OF ILLINOIS CRIMINAL CODE OF 1961

I/we hereby certify that Ciosek Tree Service is not barred from bidding on this contract as the result of a violation of either Section 33E-3 or 33E-4 of this Article of the Illinois Criminal Code of 1961.

Signed:   
Date: 12-1-2020  
Title: Owner/Secretary

**INTERFERENCE WITH PUBLIC CONTRACTING -- BID RIGGING AND  
ROTATING -- KICKBACKS -- BRIBERY**

**PUBLIC ACT 85-1295  
S.B. 2002**

AN ACT to add Article 33E to the "Criminal Code of 1961", approved July 28, 1961, as amended. Be it enacted by the People of the State of Illinois, represented in the General Assembly:  
Section 1: Article 33E is added to the "Criminal Code of 1961", approved July 28, 1961, as amended, the added Article to read as follows:

**ARTICLE 33E. PUBLIC CONTRACTS**

Sec. 33E-3 Bid-rigging. A person commits the offense of bid-rigging when he knowingly agrees with any person who is, or but for such agreement would be, a competitor of such person concerning any bid submitted or not submitted by such person or another to a unit of State or local government when with the intent that the bid submitted or not submitted will result in the award of a contract to such person or another and he either (1) Provides such person or receives, from another, information be disclosed to a competitor in an independent, noncollusive submission of bids or (2) Submits a bid that is off such a price, or other material terms, that he does not intend the bid to be accepted.

Bid-rigging is a Class 3 felony. Any person convicted of this offense shall be barred for 5 years from the date of conviction from bidding on any contract offered for bid by any unit of State or local government.

Sec 33E-4. Bid rotating. A person commits the offense of bid rotating when pursuant to any collusive scheme or agreement with another. He engages in a patten over time (which, for the purposes of this Section, shall include at least 3 contract bids within a period of 10 years, the most

recent of which occurs after the effective date of this amendatory Act of 1988) of submitting sealed bids to units of State or local government with the intent that the award of such bids rotates, or is distributed among persons or business entities which submit bids on a substantial number of the same contracts. Bid rotating is a Class 2 felony. Any person convicted of this offense shall be permanently barred from bidding on public contracts in the State of Illinois.

Bidder hereby certifies:

- A. That this bid is genuine and it not made in the interest of or on behalf of any undisclosed person, firm or corporation and is not submitted in conformity with any agreement or rules of any group, association, organization or corporation.
- B. That he has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid.
- C. That he has not solicited or induced any person, firm, or corporation to refrain from bidding.
- D. That he has not sought by collusion or otherwise to obtain for himself any advantage over any other bidder or over the Owner.
- E. That he is not barred from bidding for this Contract as a result of a violation of Section 33E-3 or Section 33E-4 of the Illinois Criminal Code of 1961 (Ill. Rev Stat. ch. 38, Paragraph 33E-1 et seq.).

SUBMITTED: \_\_\_\_\_

DATE: 12-1-2020

FIRM NAME: Ciosek Tree Service, Inc. (SEAL)

ADDRESS: 21W430 Park Avenue, Lombard, IL 60148

SIGNED BY: [Signature] 12-1-2020  
(Signature and Date)

Owner / Secretary  
(Title)

ATTEST: Linda M. Ciosek  
(Secretary)

Subscribed and sworn to before me this 1<sup>st</sup> day of December 2020.

(Notary Public)

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**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CERTIFICATION**

Ciosek Tree Service, (hereinafter referred to as "Contractor")  
having submitted a bid/proposal for Parkway Tree Pruning to the City of Wood Dale,  
DuPage County, Illinois, for \_\_\_\_\_, hereby certifies  
that:

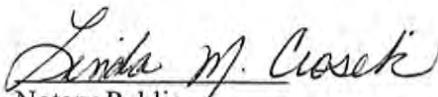
5/2-105(A) (4) including the following information:

1. An acknowledgement of the illegality of sexual harassment.
2. The definition of sexual harassment under State law.
3. A description of sexual harassment, utilizing examples.
4. The contractor's internal complaint process, including penalties.
5. The legal recourse, investigative and complaint process available through the Illinois Department of Human Rights and the Human Rights Commission.
6. Directions on how to contact the Department of the Commission.
7. An acknowledgement of protection of a complainant against retaliation as provided in Section 6-101 of the Human Rights Act.

Each contractor must provide a copy of such written policy to the Illinois Department of Human Rights upon request.

By:   
Authorized Agent of Contractor

Subscribed and sworn to before me on this 1st day of December 2020.

  
Notary Public

**CITY OF WOOD DALE  
PARKWAY TREE PRUNING PROGRAM**

**CONTRACTOR'S DRUG-FREE WORKPLACE CERTIFICATION**

Pursuant to Ill. Rev. Stat. ch. 127 paragraph 132.311 et. seq. ("Drug Free Workplace Act), the undersigned contractor hereby certifies to the contracting agency that it will provide a drug-free workplace by:

- (a) Publishing a statement:
  - (1) Notifying employees that the unlawful manufacture, distribution, dispensation, possession, or use of a controlled substance, including cannabis, is prohibited in the grantee's of contractor's workplace.
  - (2) Specifying the actions that will be taken against employees for violations of such prohibition.
  - (3) Notifying the employee that, as a condition of employment on such contract or grant, the employee will:
    - (A) Abide by the terms of the statement; and
    - (B) Notify the employer of any criminal drug statute conviction for a violation occurring in the workplace no later than five (5) days after such conviction.
- (b) Establishing a drug free awareness program to inform employees about:
  - (1) The dangers of drug abuse in the workplace:
  - (2) The grantee's or contractor's policy of maintaining drug-free workplace;
  - (3) Any available drug counseling, rehabilitation, and employee assistance program; and
  - (4) The penalties that may be imposed upon employees for drug violation.
- (c) Making it a requirement to give a copy of the statement required by subsection (a) to each employee engaged in the performance of the contract or grant and to post the statement in a prominent place in the workplace.
- (d) Notifying the contracting agency within ten (10) days after receiving notice under par (B) of paragraph (3) of subsection (a) from an employee or otherwise receiving actual notice of such conviction.

Dated: 12-1-2020

By:   
Authorized Agent of Contractor

# RETURN WITH BID

## CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM

### CERTIFICATIONS

Dave Ciosek, being first duly sworn, deposes and states that he is Owner / Secretary of  
(Partner, Officer, Owner, etc.)  
Ciosek Tree Service, Inc.  
(Corporation / Company)

and that he is cognizant of the following statutory requirements and under penalty of perjury and certifies the following:

Anti-Collusion Affidavit of Compliance: That bid is genuine and not collusive or sham; that said bidder has not colluded, conspired, connived or agreed directly or indirectly with any bidder or person to put in a sham bid or to refrain from bidding; and has not in any manner directly or indirectly sought by agreement or collusion or communication or conference with any person to fix the bid price element of said bid or that of any other bidder; or to secure any advantage against any other bidder or any person interested in the proposed contract.

Public Act 85-1295: That bidder is not barred from bidding on this contract as a result of a violation of either Section 33E-3 or 33E-4 of P.A. 85-1295 (720ILCS 5).

Public Act 86-1039: That bidder is not barred from contracting with the City of Wood Dale because of any delinquency in the payment of any tax administered by the Department of Revenue unless the individual or entity is contesting, in accordance with the procedures established by the appropriate revenue act, liability for the tax, or the amount of the tax (65ILCS 5/11-42.1-1).

Public Act 86-1459: That bidder will provide a drug free workplace in accordance with the Illinois Drug Free Workplace Act (30ILCS 580/2).

Illinois Human Rights Act: That bidder is presently in compliance and agrees to comply with all applicable provisions of the Illinois Human Rights Act, together with all rules and regulations promulgated and adopted pursuant thereto (775ILCS 5/1 -101 et seq.).

Equal Employment Opportunities-Affirmative Action: That bidder is presently in compliance and agrees to comply with all applicable provisions of Equal Employment Opportunities--Affirmative Action (775ILCS 5/2-105 [A]).

Americans with Disabilities Act of 1990: That bidder is presently in compliance and agrees to comply with all applicable provisions of the Americans with Disabilities Act of 1990 together with all rules and regulations promulgated and adopted pursuant thereto.

**INDIVIDUAL:**

Signature of Bidder: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Phone Number: \_\_\_\_\_

**PARTNERSHIP:**

Partnership Name: \_\_\_\_\_

Signed By: \_\_\_\_\_

Business Address: \_\_\_\_\_

Business Phone Number: \_\_\_\_\_

Insert Names and Addresses of All Partners: \_\_\_\_\_

**CORPORATION:**

Corporate Name: Ciosek Tree Service, Inc.

Signed By: [Signature]

Title: Owner/Secretary

Business Address: 21W430 Park Avenue, Lombard, IL 60148

Business Phone Number: 630-624-6301 or 630-832-8109

Insert Names of Corporate Officers

President: Joseph Ciosek

Secretary: David Ciosek

Treasurer: \_\_\_\_\_

Attest: Linda M. Ciosek

# RETURN WITH BID

## CITY OF WOOD DALE PARKWAY TREE PRUNING PROGRAM

### -REFERENCES-

Name of Bidding Firm: Ciosek Tree Service, Inc.  
(Please print)

The Contractor must list three (3) references with needs similar to the City of Wood Dale for whom Contractor has supplied the materials and services for which he is bidding on this contract within the last three years. Please include name, address, telephone number, contact person, and type of work you performed for that entity.

1. Company Name/Municipality: Village of Itasca  
Address: 411 N. Prospect Ave, Itasca  
Phone: 630-805-2895  
Contact Person: Dave Sloan  
Type of Work: Village Removals, Trimming, Christmas Light Installation
2. Company Name/Municipality: Village of Bloomingdale  
Address: 305 Glen Ellyn Rd, Bloomingdale, IL.  
Phone: 630-671-5804  
Contact Person: Jim Johnson  
Type of Work: Village Removals, Trimming, Stump grinding, + tub grinding
3. Company Name/Municipality: Village of Glendale Heights  
Address: 250 Civic Center, Glendale Heights, IL.  
Phone: 630-909-5164  
Contact Person: Chuck Dymbrowski  
Type of Work: Village Trimming, removals + Stumping



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: County Folding Stop Sign IGA  
Staff Contact: Alan Lange, Public Works Director  
Department: Public Works

**TITLE:** Approval of an Intergovernmental Agreement with DuPage County for Maintaining Folding Stop Signs at County Controlled Intersections

### **RECOMMENDATION:**

Staff Recommends Approval of an Intergovernmental Agreement with DuPage County for Maintaining Folding Stop Signs at County Controlled Intersections.

### **BACKGROUND:**

DuPage County Division of Traffic maintains several road within City of Wood Dale jurisdiction including the signage and traffic signals. The folding stop signs used for traffic control during traffic light power outage however, are owned and maintained by the City and used by the City's Police Department. Due to liability concerns relating to having the signs and traffic signals operating simultaneously, the County has requested that the City enter into an agreement to maintain the signs according to their specifications or remove them. Without the agreement the County will remove the signs and bill the City for the time. The Police Department desires to keep the signage in place thus making the execution of this agreement necessary. Additional locations can be added with approval of the County Engineer.

### **ANALYSIS:**

The signs are already installed and maintained by the City so this agreement does not directly lead to any additional increased costs. There may be some staff time implications for the Police Department to operate the signs in accordance with the IGA.

### **DOCUMENTS ATTACHED**

✓ IGA

**INTERGOVERNMENTAL AGREEMENT  
BETWEEN THE COUNTY OF DuPAGE  
AND THE CITY OF WOOD DALE  
FOR THE INSTALLATION AND MAINTENANCE OF FOLDING STOP SIGNS  
AT SIGNALIZED INTERSECTIONS AT COUNTY HIGHWAYS**

This Intergovernmental Agreement (hereinafter referred to as "AGREEMENT") is entered into this \_\_\_\_\_ day of \_\_\_\_\_, 2020, by and between the County of DuPage (hereinafter referred to as the "COUNTY"), a body corporate and politic, with offices at 421 N. County Farm Road, Wheaton, Illinois and the MUNICIPALITY of Wood Dale (hereinafter referred to as the "MUNICIPALITY"), a municipal corporation with offices at 404 N Wood Dale Road, Wood Dale, Illinois. The COUNTY and the MUNICIPALITY are hereinafter sometimes individually referred to as a "PARTY" or together as the "PARTIES."

**RECITALS**

WHEREAS, the COUNTY has jurisdiction over certain rights-of-way designated as County Highways as specified in DuPage County Resolution DT-0024D-07; and

WHEREAS, certain County Highways lie within the corporate limits of the MUNICIPALITY; and

WHEREAS, the MUNICIPALITY desires to install folding stop signs (hereinafter referred to individually as "SIGN" and in plurality as "SIGNS") on traffic signals at signalized intersections under the jurisdiction of, or maintained by, the County, or on County Highways

WHEREAS, the COUNTY by virtue of its power set forth in "Counties Code" (55 ILCS 5/1-1001 et seq.) and "Illinois Highway Code" (605 ILCS 5/1-101 et seq.) and the MUNICIPALITY by virtue of its power set forth in the "Illinois Municipal Code" (65 ILCS 5/1-1-1 et seq.) are authorized to enter into this AGREEMENT; and

WHEREAS, a cooperative intergovernmental agreement is appropriate and such an agreement is authorized and encouraged by Article 7, Section 10 of the Illinois Constitution and Intergovernmental Cooperation Act (5 ILCS 220/1 et seq.).

NOW, THEREFORE, in consideration of the premises, the mutual covenants, terms, and conditions herein set forth, and the

understanding of each party to the other, the parties do hereby mutually covenant, promise and agree as follows:

## 1.0 INCORPORATION

- 1.1 All recitals set forth above are incorporated herein and made part thereof, the same constituting the factual basis for this AGREEMENT.
- 1.2 The headings of the paragraphs and subparagraphs of this AGREEMENT are inserted for convenience of reference only and shall not be deemed to constitute part of this AGREEMENT or to the affect of the construction hereof.

## 2.0 SCOPE OF PROJECT

- 2.1 The SIGNS shall not be used except for emergency purposes and shall only be opened by MUNICIPALITY'S police officers. The SIGNS shall not be opened to traffic while traffic control signals are operating in a normal traffic control mode.
- 2.2 The SIGNS shall be mounted **only at locations listed in Exhibit A** on the near right signal post of each approach or on a separate sign post near the signal post within the COUNTY right-of-way.
  - 2.2.1 The SIGNS shall be mounted at a height of at least 5 feet, measured from the bottom of the SIGN to the near edge of the pavement. The SIGN shall be mounted so as not to cause a hazard to pedestrians or cyclists.
  - 2.2.2 The SIGN shall not interfere with the pedestrian pushbutton or other traffic control devices at the intersection. If the SIGN is required to be relocated to provide adequate mounting space for traffic signal equipment or other traffic control devices, including signage, the MUNICIPALITY will be responsible for relocating or removing the SIGN in accordance with Section 3.3 of this AGREEMENT.

- 2.2.3 The SIGNS shall be an octagon when opened with white retroreflective message and border on a red retroreflective background. The standard size shall be 30" x 30" as described in the "Manual on Uniform Traffic Control Devices for Streets and Highways".
- 2.2.4 The SIGNS may be of a bi-fold or tri-fold construction. Bi-folded SIGNS will fold from top to bottom. Tri-folded SIGNS will fold from left and right to the center.
- 2.2.5 Bi-folded SIGNS, shall be closed in the down position to ensure that damaged signs do not fall into the open position.
- 2.2.6 The closed SIGNS may show a municipal logo, but shall not show any other message, graphic, or advertisement.
- 2.3 When in the closed position, the SIGNS shall be secured with a cotter pin or other similar, reusable hardware, or, at the COUNTY's discretion, a lock and key provided by the COUNTY. Bi-folded SIGNS shall also be secured by the same method in the open position.
- 2.4 Any other lock assembly used by the MUNICIPALITY will be removed by the COUNTY's traffic signal maintenance crews, along with the SIGNS. Removal costs shall be billed directly to the MUNICIPALITY by the COUNTY's Electrical Maintenance Contractor and MUNICIPALITY shall pay said bill.
- 2.5 The police officer placing the SIGNS in the open condition must also switch the controller from a "run" mode to a "flash" mode. When the power is restored, the police officer must open the police door on the controller cabinet and place the signal switch in the "flashing" position to allow the signal to flash red in all directions. The MUNICIPALITY must notify the COUNTY's Electrical Maintenance Contractor to switch the controller to normal operation when power is restored. When power is restored and the traffic signals have resumed normal operation, the MUNICIPALITY is responsible for returning and securing the SIGNS to the closed position.

### **3.0 RESPONSIBILITY OF THE MUNICIPALITY**

- 3.1 The MUNICIPALITY is responsible for the entire cost of installing and maintaining the SIGNS.
- 3.2 The MUNICIPALITY will be responsible for the removal of the SIGNS if, in the opinion of the COUNTY, they are found to be the cause of operational problems or they are not used in compliance with this AGREEMENT. The MUNICIPALITY shall remove the SIGNS within 14 calendar days of notification by the COUNTY. If the MUNICIPALITY does not remove said SIGNS within that time, the COUNTY reserves the right to have its own forces remove the sign and bill the cost to the MUNICIPALITY. The MUNICIPALITY shall pay said bill.
- 3.3 The MUNICIPALITY will be responsible for the relocation of the SIGNS if the COUNTY determines that the proper placement, visibility, or accessibility of traffic signal equipment or other traffic control devices, including other signage, is inhibited by the placement of the SIGNS. The MUNICIPALITY shall remove the SIGNS within 14 calendar days of notification by the COUNTY, and may relocate said SIGNS subject to the terms of this AGREEMENT. If the MUNICIPALITY does not remove said SIGNS within that time, the COUNTY reserves the right to have its own forces remove the sign and bill the cost to the MUNICIPALITY. The MUNICIPALITY shall pay said bill.

### **4.0 MAINTENANCE**

- 4.1 Upon completion of the installation of the SIGNS, the MUNICIPALITY is responsible for future maintenance of the SIGNS installed on equipment under this AGREEMENT, including meeting all requirements set forth in the Manual on Uniform Traffic Control Devices.
- 4.2 The PARTIES hereto agree that this AGREEMENT only addresses the SIGNS; nothing in this AGREEMENT changes, alters or modifies existing jurisdiction or maintenance responsibilities for existing roadways, appurtenances, or traffic signals listed in Exhibit "A".

## 5.0 INDEMNIFICATION

5.1 The MUNICIPALITY shall indemnify, hold harmless and defend the COUNTY its officials, officers, employees, and agents from and against all liability, claims, suits, demands, proceedings and actions, including costs, fees and expense of defense, arising from, growing out of, or related to, any loss, damage, injury, death, or loss or damage to property resulting from, or connected with the MUNICIPALITY, or its agents' negligent or willful acts, errors or omissions in its performance under this Agreement.

Nothing contained herein shall be construed as prohibiting the COUNTY, its officials, directors, officers and employees, at their sole cost and expense, from defending through the selection and use of their own agents, attorneys and experts, any claims, suits, demands, proceedings and actions brought against them. Pursuant to Illinois law, any attorney representing the COUNTY, who is not already an Assistant State's Attorney, is to be appointed a Special Assistant State's Attorney, in accordance with applicable law. The COUNTY's participation in its defense shall not remove the MUNICIPALITY's duty to indemnify, defend, and hold the COUNTY harmless, as set forth above provided, however, that the MUNICIPALITY shall have the authority to direct the defense and to settle any claim, suit, demand, proceeding or action against the COUNTY for which the MUNICIPALITY would be required to indemnify the COUNTY hereunder subject to the approval of the State's Attorney to settle all claims. The State's Attorney shall not unreasonably withhold such approval. The COUNTY's participation in its defense shall not remove the MUNICIPALITY's duty to indemnify, defend, and hold the COUNTY harmless, as set forth above.

The MUNICIPALITY'S indemnification of the COUNTY shall survive the termination, or expiration, of this Agreement. Neither PARTY waives, by these indemnity requirements, any defenses or protections under the Local Government and Governmental Employees Tort Liability Act (745 ILCS 10/1 *et seq.*) or otherwise available to them under the law.

**6.0 NOTICES**

6.1 Any notice required shall be deemed properly given to the PARTY to be notified at the time it is personally delivered or mailed by certified mail, return receipt requested, or sent by e-mail, to the PARTY's address. The address of each PARTY is as specified below. Either PARTY may change its address for receiving notices by giving notices thereof in compliance with the terms of this subsection.

City of Wood Dale  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191  
ATTN: Alan Lange, Public Works Director  
Phone: (630)787-3761  
Email: alange@wooddale.com

County of DuPage  
Division of Transportation  
421 N. County Farm Road  
Wheaton, IL 60187  
ATTN: Christopher C. Snyder, P.E.  
Director of Transportation/County Engineer  
Phone: (630)407-6900  
Email: CHRISTOPHER.SNYDER@DUPAGECO.ORG

**7.0 AMENDMENT OR MODIFICATION OF THIS AGREEMENT**

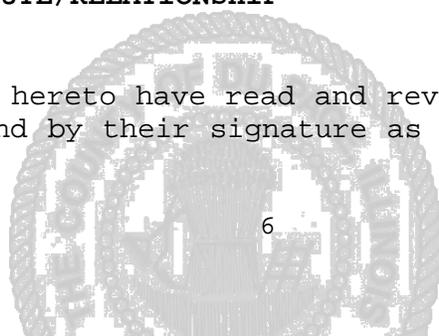
7.1 No modification or amendment to this AGREEMENT shall be effective until approved by the PARTIES in writing.  
7.2 If the modification to this AGREEMENT is solely to add additional locations to Exhibit A, the County Engineer is authorized to modify this AGREEMENT on behalf of the COUNTY to add the additional locations to Exhibit A.

**8.0 NON-ASSIGNMENT**

8.1 This AGREEMENT shall not be assigned by either PARTY without the written consent of the other PARTY, whose consent shall not be unreasonably withheld.

**9.0 AUTHORITY TO EXECUTE/RELATIONSHIP**

9.1 The PARTIES hereto have read and reviewed the terms of this AGREEMENT and by their signature as affixed below represent



that the signing PARTY has the authority to execute this AGREEMENT and that the PARTIES intend to be bound by the terms and conditions contained herein.

- 9.2 This AGREEMENT shall not be deemed or construed to create an employment, joint venture, partnership or other agency relationship between the PARTIES.

#### **10.0 GOVERNING LAW**

10.1 This AGREEMENT shall be governed by the laws of the State of Illinois as to both interpretation and performance.

10.2 The forum for resolving any disputes concerning the parties' respective performance, or failure to perform, under this AGREEMENT, shall be the Judicial Circuit Court for DuPage County.

#### **11.0 SEVERABILITY**

11.1 In the event, any provision of this AGREEMENT is held to be unenforceable or invalid for any reason, the enforceability thereof shall not affect the remainder of the AGREEMENT. The remainder of this AGREEMENT shall be construed as if not containing the particular provision and shall continue in full force, effect, and enforceability, in accordance with its terms.

#### **12.0 FORCE MAJEURE**

12.1 Neither PARTY shall be liable for any delay or non performance of their obligations caused by any contingency beyond their control including but not limited to Acts of God, war, civil unrest, strikes, walkouts, fires or natural disasters.

IN WITNESS whereof, the parties set their hands and seals as of the date first written above.

COUNTY OF DU PAGE

CITY OF WOOD DALE

\_\_\_\_\_  
Daniel J. Cronin, Chairman  
DuPage County Board

\_\_\_\_\_  
Annunziato "Nunzio" Pulice  
Mayor

ATTEST:

ATTEST:

\_\_\_\_\_  
Jean Kaczmarek,  
County Clerk

\_\_\_\_\_  
Lynn Curiale  
City Clerk

EXHIBIT "A"

- Wood Dale Road and Foster Avenue Northeast Corner
- Wood Dale Road and Foster Avenue Northwest Corner
- Wood Dale Road and Foster Avenue Southwest Corner
- Wood Dale Road and Elizabeth Drive Northeast Corner
- Wood Dale Road and Elizabeth Drive Northwest Corner
- Wood Dale Road and Elizabeth Drive Southeast Corner
- Wood Dale Road and Elizabeth Drive Southwest Corner
- Addison Road and Potter Street Northwest Corner
- Addison Road and Potter Street Southeast Corner
- Addison Road and Potter Street Southwest Corner
- Addison Road and Elizabeth Drive Northeast Corner
- Addison Road and Elizabeth Drive Northwest Corner
- Addison Road and Elizabeth Drive Southeast Corner
- Wood Dale Road and Mittel Drive Northwest Corner
- Wood Dale Road and Mittel Drive Southeast Corner
- Wood Dale Road and Mittel Drive Southwest Corner
- Devon Avenue and Mittel Drive Southeast Corner
- Devon Avenue and Mittel Drive Southwest Corner



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Approval of Quit Claim Deed  
Staff Contact: Alan Lange, Public Works Director  
Department: Public Works

**TITLE:** Approval of Quit Claim Deed for Land Conveyance of Parcels Relating to IL-390 Tollway Construction

### **RECOMMENDATION:**

Staff Recommends Approval of Quit Claim Deed for Parcels Relating to IL-390 Tollway Construction.

### **BACKGROUND:**

As part of the IL-390 Tollway construction project four areas of City-owned right-of-way along the corridor were acquired by the Illinois State Toll Highway Authority (Tollway) in exchange for land acquisition credits which were used for project enhancements requested by the City. Now that the project is complete and design refinements and jurisdictional limits were finalized, minor adjustments are needed to the rights-of-way previously transferred.

### **ANALYSIS:**

The additional land has been valued at the same rate as the previously transferred parcels for a total credit of \$74,500 which was added to the running of all credits and enhancement expenses. The net total of \$344,816.43 owed to the City was approved at the July 18, 2019 City Council meeting and will be paid out within 60 days of execution of a final wrap-up IGA which will be brought to the Council in the first quarter of 2021. The expenses for document preparation and recording are being paid by the Tollway.

### **DOCUMENTS ATTACHED**

- ✓ Quit Claim Deed
- ✓ HR Green Recommendation Letter
- ✓ Tollway Letter
- ✓ EO-1B-912.01, EO-1B-913.01, EO-1B-917.01, EO-1B-919.01 Parcel Plats

QUITCLAIM DEED

Upon Recording Mail to:  
Illinois State Toll Highway Authority  
Attn: Michael J. Woodward, Land  
Acquisition Manager  
2700 Ogden Avenue  
Downers Grove, IL 60515

Tollway Parcels:  
EO-1B-12-912.01; EO-1B-12-913.01;  
EO-1B-12-917.01; EO-1B-12-919.01

THE GRANTOR, the City of Wood Dale, a government entity organized and existing under the laws of Illinois and duly authorized to do business in Illinois, for and in consideration of Ten Dollars (\$10.00) and other good and valuable considerations in hand paid, CONVEYS AND QUIT CLAIMS to the Illinois State Toll Highway Authority, an Instrumentality and Administrative Agency of the State of Illinois, GRANTEE, with principal offices at 2700 Ogden Avenue, Downers Grove, Illinois 60515, any and all right title and interest in the following described real estate situated in the County of DuPage in the State of Illinois.

SEE ATTACHED LEGAL DESCRIPTION EXHIBIT "A" ATTACHED HERETO

Hereby releasing and waiving all rights under and by any virtue of the Homestead Exemption Laws of the State of Illinois. / THIS IS NOT HOMESTEAD PROPERTY.

PIN: No PIN assigned - ROW

ADDRESS: EO-1B-12-912.01 - A.E.C. Drive & Thorndale, Wood Dale, IL  
EO-1B-12-913.01 - Central Ave. & Thorndale, Wood Dale, IL  
EO-1B-12-917.01 - Lively Blvd. & Thorndale, Wood Dale, IL  
EO-1B-12-919.01 - Dillon Dr. & Thorndale, Wood Dale, IL

The Grantor, without limiting the interest above granted and conveyed, does hereby release the Grantee, its successors and assigns, forever, from all claims arising out of this acquisition as all such have been settled, including without limitation any diminution of value to any remaining property of the Grantor, its successors, lessees, mortgagees and assigns by reason of improving, operating and maintaining the above-described premises for toll highway purposes.

**EXEMPT FROM ILLINOIS TRANSFER TAX PURSUANT TO 35ILCS 200/31-45(e)**

IN WITNESS WHEREOF, said Grantor has caused these presents to be signed by its Mayor and attested by its City Clerk this \_\_\_\_\_ day of \_\_\_\_\_, 2020.

By: \_\_\_\_\_  
Annunziato Pulice  
Mayor

Attest: \_\_\_\_\_  
Lynn Curiale  
City Clerk

STATE OF ILLINOIS        )  
  )SS  
COUNTY OF COOK        )

I, the undersigned, a Notary Public in and for said County, in the State aforesaid, DO HEREBY CERTIFY that Annunziato Pulice and Shirley Siebert personally known to be the Mayor and City Clerk respectively, of the of the City of Wood Dale, appeared before me this day in person and severally acknowledged that they signed, sealed and delivered the said instrument.



Imprint Seal in Box

\_\_\_\_\_  
Notary Public

Commission Expires: \_\_\_\_\_

This instrument was prepared by and Mail Subsequent Tax Bills to:

The Illinois State Toll  
Highway Authority  
Attn: Michael J. Woodward, Land Acquisition Manager  
2700 Ogden Ave.  
Downers Grove, IL 60515

Exhibit A

PROPERTY DESCRIPTIONS:

EO-1B-12-912.01 (A.E.C. DRIVE):

THAT PART OF A.E.C. DRIVE AS DEDICATED AS PART OF FOREST CREEK UNIT 4, BEING A RESUBDIVISION IN PART OF THE SOUTHEAST QUARTER OF SECTION 4 AND PART OF THE NORTHEAST QUARTER OF SECTION 9, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ALSO BEING A RESUBDIVISION OF PART OF OUT-LOTS A AND B, IN FOREST CREEK UNIT 2, ALL ACCORDING TO THE PLAT THEREOF RECORDED JULY 10, 1984 AS DOCUMENT NUMBER R1984-053434, DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHWEST CORNER OF SAID A.E.C. DRIVE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT R1956-819693; THENCE NORTH 87 DEGREES 52 MINUTES 16 SECONDS EAST, ALONG SAID SOUTH LINE OF SAID THORNDALE AVENUE, 140.52 FEET TO THE NORTHEAST CORNER OF SAID A.E.C. DRIVE; THENCE SOUTH 43 DEGREES 20 MINUTES 53 SECONDS WEST, ALONG AN EAST LINE OF SAID A.E.C. DRIVE, 2.84 FEET TO THE NORTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-912 PER DOCUMENT R2014-077700; THENCE WESTERLY 44.93 FEET, ALONG SAID NORTH LINE BEING A NON-TANGENT CURVE TO THE LEFT, HAVING A RADIUS OF 2266.00 FEET THE CHORD OF SAID CURVE BEARS SOUTH 80 DEGREES 42 MINUTES 00 SECONDS WEST, 44.93 FEET TO A POINT OF TANGENCY; THENCE SOUTH 80 DEGREES 07 MINUTES 55 SECONDS WEST, ALONG SAID NORTH LINE, 76.21 FEET TO A POINT ON THE WEST LINE OF SAID A.E.C. DRIVE; THENCE NORTH 47 DEGREES 58 MINUTES 23 SECONDS WEST, ALONG SAID WEST LINE, 25.64 FEET TO THE TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-913.01 (CENTRAL AVENUE):

THAT PART OF CENTRAL AVENUE AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R1973-015596, DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SAID CENTRAL AVENUE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT R1953-700935; THENCE SOUTH 39 DEGREES 41 MINUTES 12 SECONDS EAST, ALONG THE CENTERLINE OF SAID CENTRAL AVENUE, ALSO BEING THE EASTERLY LINE OF PROPERTY CONVEYED TO THE PEOPLE OF THE STATE OF ILLINOIS, DEPARTMENT OF TRANSPORTATION, BY TRUSTEE'S DEED RECORDED AUGUST 9, 1999 AS DOCUMENT NUMBER R1999-173414, A DISTANCE OF 435.49 FEET TO A POINT ON THE SOUTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-913 PER DOCUMENT R2017-077700, SAID POINT ALSO BEING THE POINT OF BEGINNING;

THENCE NORTH 89 DEGREES 56 MINUTES 28 SECONDS EAST, ALONG SAID SOUTH LINE, 42.86 FEET TO A POINT ON THE EAST LINE OF SAID CENTRAL AVENUE; THENCE SOUTH 39 DEGREES 41 MINUTES 12 SECONDS EAST, ALONG SAID EAST LINE, 5.09 FEET; THENCE NORTH 89 DEGREES 33 MINUTES 50 SECONDS WEST, DEPARTING FROM SAID LINE, 43.16 FEET TO A POINT ON THE CENTERLINE OF SAID CENTRAL AVENUE; THENCE NORTH 39 DEGREES 41 MINUTES 12 SECONDS WEST, 4.60 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-917.01 (LIVELY BOULEVARD):

THAT PART LIVELY BOULEVARD AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R1973-15596, DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SAID LIVELY BOULEVARD AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 8, ALL IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED AUGUST 12, 1953 AS DOCUMENT NUMBER R1953-691663; THENCE SOUTH 88 DEGREES 10 MINUTES 19 SECONDS EAST ALONG THE SOUTH LINE OF SAID THORNDALE AVENUE, 66.03 FEET TO A POINT ON THE EAST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE WEST LINE OF LOT 9 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE SOUTH 00 DEGREES 00 MINUTES 23 SECONDS EAST ALONG SAID EAST LINE, ALSO BEING THE WEST LINE OF SAID LOT 9, A DISTANCE OF 251.02 FEET TO THE POINT OF BEGINNING;

THENCE CONTINUING SOUTH 00 DEGREES 00 MINUTES 23 SECONDS EAST, ALONG SAID LAST DESCRIBED LINE, 18.80 FEET; THENCE NORTH 77 DEGREES 09 MINUTES 24 SECONDS WEST, 48.14 FEET; THENCE NORTH 88 DEGREES 10 MINUTES 11 SECONDS WEST, 19.07 FEET TO A POINT ON THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF LOT 8 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE NORTH 00 DEGREES 00 MINUTES 23 SECONDS WEST ALONG THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF SAID LOT 8, A DISTANCE OF 9.51 FEET TO THE SOUTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-917 PER DOCUMENT R2014-112256; THENCE SOUTH 88 DEGREES 15 MINUTES 00 SECONDS EAST, ALONG SAID SOUTH LINE, 66.03 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-919.01:

THAT PART EDGEWOOD AVENUE AS DEDICATED AS PART OF THORNDALE BUSINESS PARK IN WOOD DALE, BEING A RESUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF SECTION 3, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 12, 1984 AS DOCUMENT NUMBER R1984-004337, DESCRIBED AS FOLLOWS: COMMENCING AT THE NORTHWEST CORNER OF SAID EDGEWOOD AVENUE AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 4, IN SAID THORNDALE BUSINESS PARK IN WOOD DALE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED JULY 8, 1953 AS DOCUMENT NUMBER R1953-687981; THENCE SOUTHEASTERLY ALONG SOUTHWESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT FOUR COURSES; (1) THENCE SOUTH 07 DEGREES 28 MINUTES 35 SECONDS WEST, 34.60 FEET TO A POINT OF TANGENCY; (2) THENCE SOUTHEASTERLY 196.10 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 115.00 FEET AND WHOSE CHORD BEARS SOUTH 41 DEGREES 22 MINUTES 30 SECONDS EAST, 173.19 FEET TO A POINT ON A NON-TANGENT LINE; (3) THENCE SOUTH 89 DEGREES 38 MINUTES 09 SECONDS EAST, 8.05 FEET; (4) THENCE SOUTHEASTERLY 135.25 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 105.00 FEET AND WHOSE CHORD BEARS SOUTH 53 DEGREES 09 MINUTES 44 SECONDS EAST, 126.09 FEET TO A POINT ON THE SOUTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-919 PER DOCUMENT R2014-077700, SAID POINT ALSO BEING THE POINT OF BEGINNING; THENCE SOUTH 85 DEGREES 23 MINUTES 15 SECONDS EAST, ALONG SAID SOUTH LINE, 96.67 FEET TO A POINT ON A SOUTHEASTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE SOUTHWESTERLY 44.09 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 135.00 FEET AND WHOSE CHORD BEARS SOUTH 20 DEGREES 10 MINUTES 46 SECONDS WEST, 43.09 FEET TO A POINT ON A NON-TANGENT LINE; THENCE NORTH 87 DEGREES 10 MINUTES 23 SECONDS WEST, 73.87 FEET TO A POINT ON A WESTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE NORTHERLY ALONG WESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT TWO COURSES; (1) THENCE NORTH 07 DEGREES 55 MINUTES 37 SECONDS WEST, 30.70 FEET TO A POINT OF TANGENCY; (2) THENCE NORTHERLY 15.27 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 105.00 FEET AND WHOSE CHORD BEARS NORTH 12 DEGREES 05 MINUTES 39 SECONDS WEST, 15.26 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.



## MEMO

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To: City of Wood Dale Mayor and City Council

From: Scott Marquardt, Associate / Project Manager

Subject: Additional Land Conveyance from City of Wood Dale to Illinois Tollway  
Recommendation for Approval of Quit Claim Deed for parcels  
EO-1B-12-912.01, EO-1B-12-913.01, EO-1B-12-917.01 and EO-1B-12-919.01

Date: December 10, 2020

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Mayor Pulice and City Council,

### BACKGROUND

As you are all well aware, the Tollway has previously acquired City-owned rights of way along AEC Drive, Central Avenue, Lively Boulevard and Dillon Drive. The City was compensated for these rights of way by land acquisitions credits which were used for various project enhancements including sidewalks, bikepaths, decorative lighting, enhanced right of way fencing, and aesthetic enhancements to the Wood Dale Road Bridge over Illinois Route 390.

As final agency jurisdictional limits were finalized, and along with minor refinements of the project design which have been incorporated into the construction which has been completed, the result has been slight adjustments to the right of way needs at the four locations listed above.

### HISTORY

The previous land conveyances were completed in conjunction with Intergovernmental Agreements associated with Contracts I-13-4630, I-13-4631, and I-14-4640 which were all executed in 2015 and 2016.

In 2017 the Tollway submitted additional information regarding the four additional parcels where slight adjustments in right of way needs were required. Exhibit 1 of the attached letter visually shows the locations of the additional parcels to be conveyed, and the conveyance of these slivers of City rights of way have no impact on City of Wood Dale operations. The additional land conveyances have been valued by the Tollway at the same values as the previously transferred parcels, with a resultant additional acquisition credits amounting to \$74,500.

Through an oversight, the associated Quit Claim Deed and parcel plats have not previously been presented to the City Council for final approval. As we are in the process of cleaning up the last few agreements, these are being submitted for City Council approval at this time, with the remainder of pending agreements scheduled for submittal in first quarter 2021.

### FUNDING

The additional \$74,500 in land acquisition credits has been included in the running total of all credits and enhancement expenses. As all project final costs have been submitted and previously agreed to

by the City Council, most recently at the July 18, 2019 City Council meeting, the net result is that the parcel acquisition credits exceeded the enhancement expenses by \$344,816.43.

Through a wrap-up IGA for the Illinois Route 390 project which is anticipated to be presented to the City Council for final approval in first quarter 2021, the Illinois Tollway will pay the difference of \$344,816.43 to the City of Wood Dale within 60 days following the execution of that IGA.

The expenses for all document preparation and recording have been and will be paid for by the Illinois Tollway.

#### REQUESTED ACTION

The City Council is requested to approve the attached Quit Claim Deed.

After execution of this deed, the Tollway will record this deed.

#### SUMMARY AND RECOMMENDATION

**I recommend that the City of Wood Dale approve the attached Quit Claim Deed to facilitate the conveyance of these parcels to the Illinois Tollway.**

I can be in attendance (virtually) at the December 10, 2020 Committee of the Whole meeting and will be available to answer questions.

# Move Illinois: *The Illinois Tollway Driving the Future*



October 27, 2017

Mayor Nunzio Pulice  
City of Wood Dale  
404 North Wood Dale Road  
Wood Dale, IL 60191

Attn: Jeff Mermuys, City Manager

Re: Elgin O'Hare Western Access (EOWA)  
Proposed Illinois Tollway Right-of-Way along Illinois Route 390  
Plat Transmittal – Parcels EO-1B-12-912, EO-1B-12-913, EO-1B-12-917 and  
EO-1B-12-919

Dear Mayor Pulice:

The City of Wood Dale (City) previously transferred City-owned right of way to the Illinois Tollway (Tollway) for construction of the EOWA project improvements. The IGA between the Tollway, DuPage County, Elk Grove Village and City for Construction Contract I-13-4630, executed January 12, 2016, described the transfer of Parcels EO-1B-12-913, EO-1B-12-917 and EO-1B-12-919 from the City to the Tollway. The IGA between the Tollway, DuPage County and the City for Construction Contract I-14-4640, executed June 22, 2016, described the transfer of Parcel EO-1B-12-912 from the City to the Tollway. Parcels EO-1B-12-913, EO-1B-12-912 and EO-1B-12-919 were recorded August 15, 2014 and Parcel EO-1B-12-917 was recorded October 2, 2014. The final actual costs associated with both IGAs were approved by the City on December 15, 2016 and July 14, 2017, respectively.

The final agency jurisdictional limits have since been defined. In addition, there have been minor refinements to the project design. As a result, the right of way need from the above referenced parcels has changed as shown on the attached Exhibit 1. The plats have been revised to reflect the changes. The total revisions result in an additional 0.137 acre valued at \$74,500 to be transferred from the City to the Tollway. Since the IGAs and the final actual costs associated with the original parcels have been finalized, once the conveyance is completed, the Tollway will add the credit for the additional right of way value (\$74,500) to the City's overall balance used to offset requested work along the corridor. The City's EOWA cost participation summary to date is also included for reference.

Please find enclosed revisions to the right of way documentation described as follows:



- Revised plat and legal description for Parcel EO-1B-12-912 to be conveyed from the City to the Tollway. The parcel revision is required for the Illinois Route 390 ramps located at AEC Drive and Ramp N2 (eastbound exit ramp to Wood Dale Road) and at AEC Drive and Ramp N1 (Wood Dale Road westbound entrance ramp).
- Revised plat and legal description for Parcel EO-1B-12-913 to be conveyed from the City to the Tollway. The parcel revision is required for the Illinois Route 390 eastbound mainline.
- Revised plat and legal description for Parcel EO-1B-12-917 to be conveyed from the City to the Tollway. The parcel is required for the Illinois Route 390 eastbound mainline located just north of the easterly Lively Boulevard and South Thorndale Avenue intersection.
- Revised plat and legal description for Parcel EO-1B-12-919 to be conveyed from the City to the Tollway. The parcel is required for the Illinois Route 390 ramp located at Edgewood Avenue and Ramp O2 (eastbound exit ramp to Illinois Route 83).

The Tollway respectfully requests the City's review of the enclosed documents by November 23, 2017. After the City's review and approval, the Tollway will prepare the conveyance documents for the transfer. Note that separately the Tollway is also preparing documentation to support the conveyance of right of way from the Tollway to the City for the City's ultimate maintenance and jurisdiction responsibilities along the project corridor.

If you have any questions or need further information, please contact me at (630) 241-6800 ext. 3841 or via email at [mnashif@getipass.com](mailto:mnashif@getipass.com). We appreciate your cooperation and look forward to working with you as we move forward with the project.

Sincerely,

A handwritten signature in black ink, appearing to read 'Manar Nashif', is written over a light blue horizontal line.

Manar Nashif, P.E.  
Senior Project Engineer

cc: Rocco Zuccherro, Mike Woodward

02.4014.07.03

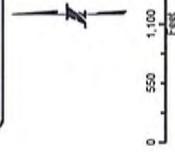
LT\_Tollway\_MN\_4014-WoodDaleRevisedPlats\_10272017



# Elgin O'Hare Western Access

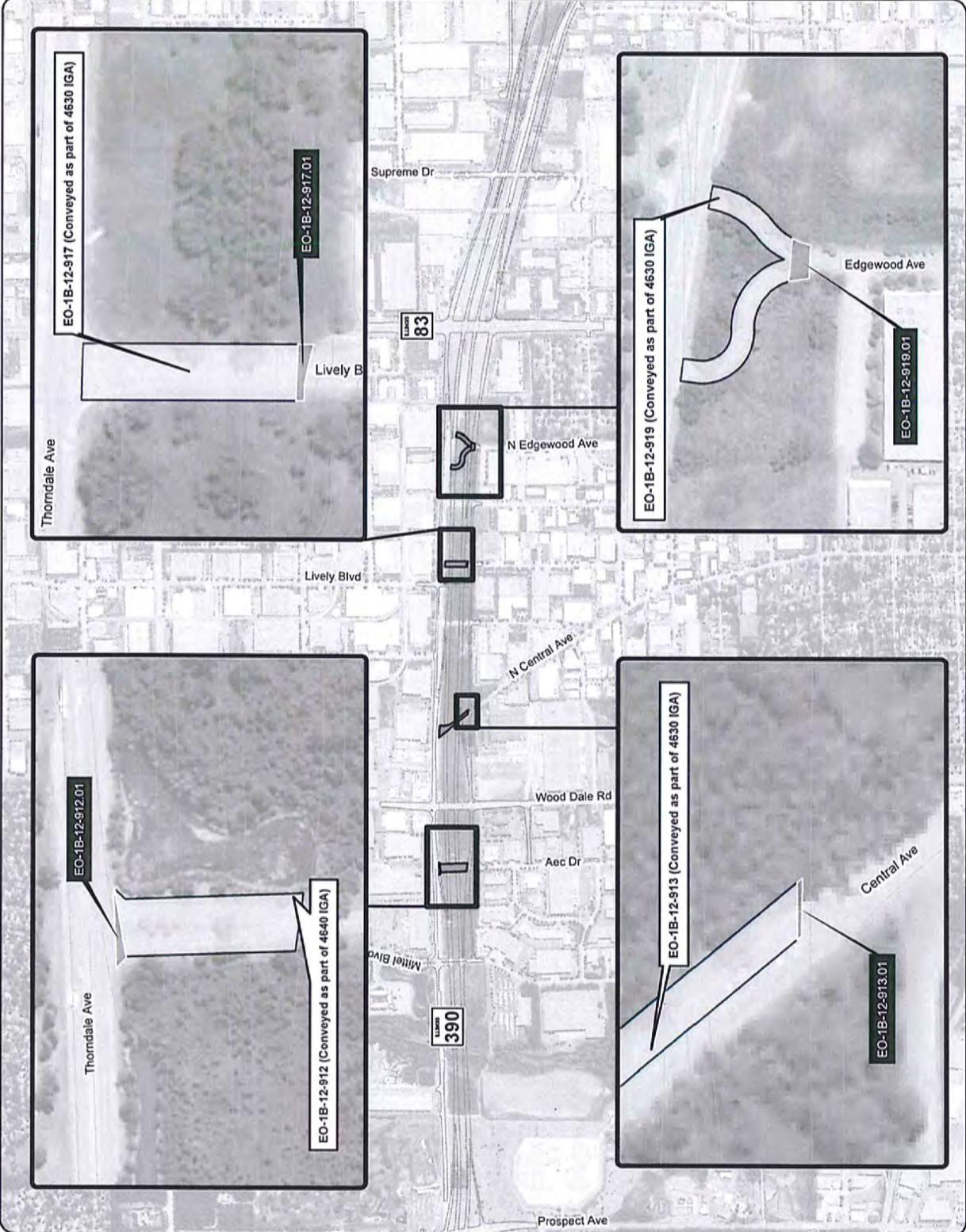
**LEGEND**

- City of Wood Dale Owned Parcels Transferred to Illinois Tollway (2014)
- Additional City of Wood Dale Owned Parcels to be Transferred to Illinois Tollway



## Exhibit 1

Elgin O'Hare Western Access Land Conveyance from the City of Wood Dale to the Illinois Tollway



TOPO LEGEND

- ☉ HYDRANT
- ☐ TELEPHONE SPLICE BOX
- GUY WIRE
- ⊕ TRAFFIC SIGNAL
- GUARD POST
- ⊙ TREE DECIDUOUS WITH SIZE
- ⊙ HANDHOLE (HH)
- ⊙ TREE EVERGREEN WITH SIZE
- ⊙ LIGHT POLE
- ⊙ FLARED END SECTION
- ⊙ MANHOLE (MH)
- ⊙ INLET
- ⊕ POWER POLE
- ⊙ CABLE TV SPLICE BOX
- ⊙ SIGN
- ⊙ CATCH BASIN
- ⊙ WATER VALVE

NOTE:  
IMPROVEMENTS SHOWN HEREON ARE FROM PROPOSED DESIGN, AS REQUESTED BY THE VILLAGE OF WOOD DALE. FIELD WORK COMPLETION DATE RELATES TO FOUND BOUNDARY EVIDENCE.

# PARCEL PLAT

ELGIN - O'HARE EXPRESSWAY  
SOUTHEAST QUARTER OF SECTION 4,  
TWP. 40 NORTH, RANGE 11 EAST, 3RD P.M.  
DuPAGE COUNTY, ILLINOIS

PROPERTY DESCRIPTION:

EO-1B-12-912:  
THAT PART OF A.E.C. DRIVE AS DEDICATED AS PART OF FOREST CREEK UNIT 4, BEING A RESUBDIVISION IN PART OF THE SOUTHEAST QUARTER OF SECTION 4 AND PART OF THE NORTHEAST QUARTER OF SECTION 9, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ALSO BEING A RESUBDIVISION OF PART OF OUT-LOTS A AND B, IN FOREST CREEK UNIT 2, ALL ACCORDING TO THE PLAT THEREOF RECORDED JULY 10, 1984 AS DOCUMENT NUMBER R1984-053434, DESCRIBED AS FOLLOWS:

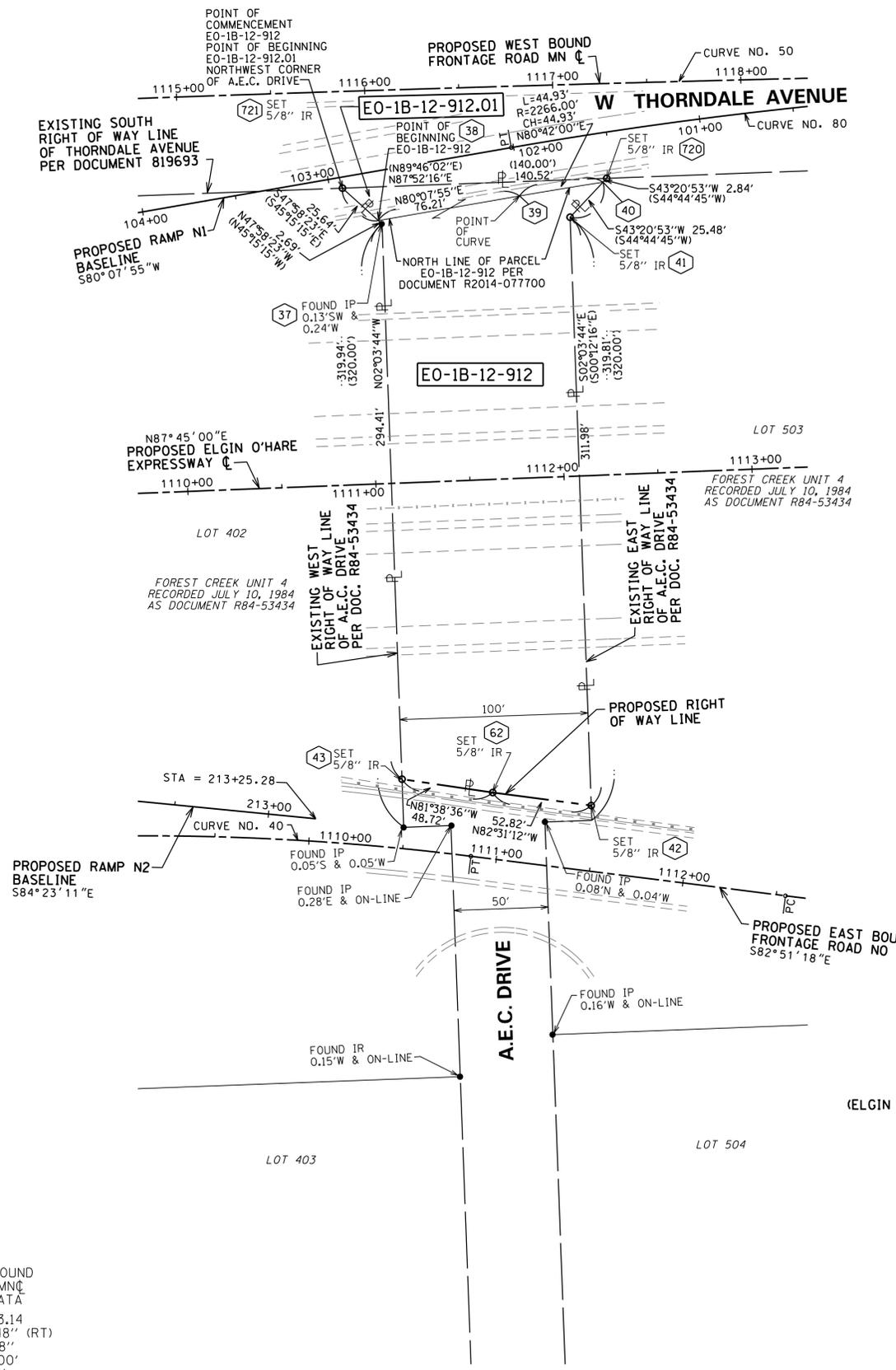
COMMENCING AT THE NORTHWEST CORNER OF SAID A.E.C. DRIVE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT R1956-819693; THENCE SOUTH 47 DEGREES 58 MINUTES 23 SECONDS EAST ALONG THE WEST LINE OF SAID A.E.C. DRIVE 25.64 FEET TO THE POINT OF BEGINNING;

THENCE NORTH 80 DEGREES 07 MINUTES 55 SECONDS EAST, 76.21 FEET TO A POINT OF CURVATURE; THENCE EASTERLY 44.93 FEET, ALONG A TANGENT CURVE TO THE RIGHT, HAVING A RADIUS OF 2266.00 FEET THE CHORD OF SAID CURVE BEARS NORTH 80 DEGREES 42 MINUTES 00 SECONDS EAST, 44.93 FEET TO A POINT ON THE EAST LINE OF SAID A.E.C. DRIVE; THENCE SOUTH 43 DEGREES 20 MINUTES 53 SECONDS WEST, ALONG SAID EAST LINE, 25.48 FEET TO A BEND POINT IN SAID EAST LINE; THENCE SOUTH 02 DEGREES 03 MINUTES 44 SECONDS EAST, ALONG SAID EAST LINE, 311.98 FEET; THENCE NORTH 82 DEGREES 31 MINUTES 12 SECONDS WEST, 52.82 FEET; THENCE NORTH 81 DEGREES 38 MINUTES 36 SECONDS WEST, 48.72 FEET TO A POINT ON THE WEST LINE OF SAID A.E.C. DRIVE; THENCE NORTH 02 DEGREES 03 MINUTES 44 SECONDS WEST, ALONG SAID WEST LINE, 294.41 FEET TO A BEND POINT IN SAID WEST LINE; THENCE NORTH 47 DEGREES 58 MINUTES 23 SECONDS WEST, ALONG SAID WEST LINE, 2.69 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-912.01 (A.E.C. DRIVE):  
THAT PART OF A.E.C. DRIVE AS DEDICATED AS PART OF FOREST CREEK UNIT 4, BEING A RESUBDIVISION IN PART OF THE SOUTHEAST QUARTER OF SECTION 4 AND PART OF THE NORTHEAST QUARTER OF SECTION 9, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ALSO BEING A RESUBDIVISION OF PART OF OUT-LOTS A AND B, IN FOREST CREEK UNIT 2, ALL ACCORDING TO THE PLAT THEREOF RECORDED JULY 10, 1984 AS DOCUMENT NUMBER R1984-053434, DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHWEST CORNER OF SAID A.E.C. DRIVE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT R1956-819693; THENCE NORTH 87 DEGREES 52 MINUTES 16 SECONDS EAST, ALONG SAID SOUTH LINE OF SAID THORNDALE AVENUE, 140.52 FEET TO THE NORTHEAST CORNER OF SAID A.E.C. DRIVE; THENCE SOUTH 43 DEGREES 20 MINUTES 53 SECONDS WEST, ALONG AN EAST LINE OF SAID A.E.C. DRIVE, 2.84 FEET TO THE NORTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-912 PER DOCUMENT R2014-077700; THENCE WESTERLY 44.93 FEET, ALONG SAID NORTH LINE BEING A NON-TANGENT CURVE TO THE LEFT, HAVING A RADIUS OF 2266.00 FEET THE CHORD OF SAID CURVE BEARS SOUTH 80 DEGREES 42 MINUTES 00 SECONDS WEST, 44.93 FEET TO A POINT OF TANGENCY; THENCE SOUTH 80 DEGREES 07 MINUTES 55 SECONDS WEST, ALONG SAID NORTH LINE, 76.21 FEET TO A POINT ON THE WEST LINE OF SAID A.E.C. DRIVE; THENCE NORTH 47 DEGREES 58 MINUTES 23 SECONDS WEST, ALONG SAID WEST LINE, 25.64 FEET TO THE TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

PROPOSED RAMP N1 BASELINE CURVE NO. 80 DATA	PROPOSED EAST BOUND FRONTAGE ROAD NO. 40 DATA	PROPOSED WEST BOUND FRONTAGE ROAD MNQ CURVE NO. 50 DATA
PI STA = 101+00.68	PI STA = 1009+52.34	PI STA = 1124+93.14
Δ = 05°01'49" (LT)	Δ = 11°00'41" (RT)	Δ = 03°53'48" (RT)
D = 02°29'59"	D = 04°06'15"	D = 00°11'28"
R = 2292.00'	R = 1396.00'	R = 29988.00'
T = 100.68'	T = 134.56'	T = 1020.13'
L = 201.22'	L = 268.29'	L = 2039.47'
E = 2.21'	E = 6.47'	E = 17.35'
PC STA = 100+00.00	PC STA = 1108+17.78	PC STA = 1114+73.01
PT STA = 102+01.22	PT STA = 1110+86.07	PT STA = 1135+12.48



STATION/OFFSET TABLE  
(ELGIN O'HARE EXPRESSWAY CENTERLINE)

Point	Station	Offset	
37	1111+08.69	137.58	L
38	1111+06.76	139.46	L
39	1111+82.30	149.57	L
40	1112+26.89	155.08	L
41	1112+08.69	137.26	L
42	1112+07.67	174.72	R
43	1111+07.72	156.83	R
62	1111+55.61	165.79	R
720	1112+28.92	157.07	L
721	1110+88.40	157.37	L

PARCEL	AREA		TYPE OF TAKE			
	ACRES	SQ.FT.	FEE	PERM. ESMT.	TEMP. ESMT.	PURPOSE
TOTAL HOLDING	0.751 ±	32,720 ±				
EO-1B-12-912	0.720 ±	31,382 ±	X			ROADWAY
EO-1B-12-912.01	0.031 ±	1,338 ±	X			ROADWAY
AREA IN EX ROW	0.751 ±	32,720 ±				
REMAINDER	0.000	0.000				

LEGEND

- QUARTER SECTION CORNER
- CENTER OF SECTION
- SECTION CORNER
- EXISTING CENTERLINE
- EXISTING PUBLIC RIGHT OF WAY LINE
- EXISTING ISTHA PERMANENT EASEMENT LINE
- PROPOSED CENTERLINE
- PROPOSED RIGHT OF WAY
- PROPOSED RIGHT OF WAY AND ACCESS CONTROL LINE
- PROPOSED ISTHA TEMPORARY EASEMENT LINE
- PROPOSED ISTHA PERMANENT EASEMENT LINE
- SECTION LINE
- QUARTER SECTION LINE
- QUARTER QUARTER SECTION LINE
- PLATTED LOT LINE
- PROPERTY (DEED) LINE
- APPARENT PROPERTY LINE
- FENCE LINE
- MEASURED DIMENSION
- CALCULATED DIMENSION
- RECORD DATA
- FOUND IRON PIPE (IP) OR IRON ROD (IR)
- SET 3/8 INCH IRON ROD WITH RED "ISTHA R.O.W." CAP
- FOUND PERMANENT SURVEY MARKER
- FOUND CONCRETE RIGHT-OF-WAY MARKER
- CUT CROSS FOUND OR SET
- SAME OWNERSHIP
- EXISTING ISTHA PARCEL NUMBER
- PROPOSED ISTHA PARCEL NUMBER

STATE OF ILLINOIS )  
COUNTY OF COOK ) SS

THIS IS TO CERTIFY THAT I, REBECCA Y. POPECK, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, HAVE SURVEYED THE PARCEL PLAT SHOWN HEREON, THAT THE SURVEY IS TRUE AND COMPLETE AS SHOWN TO THE BEST OF MY KNOWLEDGE AND BELIEF; THAT THE PLAT CORRECTLY REPRESENTS SAID SURVEY, THAT ALL MONUMENTS FOUND AND ESTABLISHED ARE OF PERMANENT QUALITY AND OCCUPY THE POSITIONS SHOWN THEREON AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED. MADE FOR THE ILLINOIS STATE TOLL HIGHWAY AUTHORITY.

FIELD WORK COMPLETED NOVEMBER 13, 2013  
DATED AT ROSEMONT, ILLINOIS THIS 4TH DAY OF JANUARY, 2018.

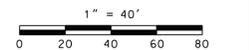
*Rebecca Y. Popeck*  
REBECCA Y. POPECK  
ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 035-3642  
LICENSE EXPIRATION DATE: 11/30/2022



THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

ALL DIMENSIONS ARE MEASURED UNLESS OTHERWISE SPECIFIED  
BEARINGS AND DISTANCES SHOWN HEREON REFERENCE THE ILLINOIS STATE PLANE COORDINATE SYSTEM, EAST ZONE, NORTH AMERICAN DATUM OF 1983, ADJUSTMENT - 2007 (CORS). ALL MEASURED AND CALCULATED VALUES ARE "GRID" NOT "GROUND". TO OBTAIN GROUND DISTANCES, DIVIDE GRID DISTANCES SHOWN, BY THE COMBINATION FACTOR OF 0.99998917358.

AREAS SHOWN ON THIS PLAT ARE GROUND. TO CONVERT AN AREA SHOWN ON THIS PLAT TO AN EQUIVALENT AREA AS MEASURED USING THE GRID BASED DISTANCES, MULTIPLY THE GROUND AREA BY THE SQUARE OF THE COMBINATION FACTOR (CF).



CAD DRAFTING BY: JMS  
CALCULATIONS BY: RYP  
CHECKED BY: RYP  
APPROVED BY: RYP



CONSULTING ENGINEERS  
SITE DEVELOPMENT ENGINEERS  
LAND SURVEYORS  
9575 W. Higgins Road, Suite 700,  
Rosemont, Illinois 60018  
Phone: (847) 696-4060 Fax: (847) 696-4065  
Illinois Professional Design Firm No. 184-001157



ILLINOIS STATE TOLL HIGHWAY AUTHORITY  
2700 OGDEN AVENUE  
DOWNERS GROVE, ILLINOIS 60515

NO.	DATE	DESCRIPTION
1	09/29/2017	ADDED PARCEL EO-1B-12-912.01
2	11/28/2017	CITY COMMENTS
3	01/04/2018	CITY COMMENTS

ELGIN - O'HARE EXPRESSWAY  
STA. 1112+29 TO STA. 1111+06  
DuPAGE COUNTY, ILLINOIS  
PARCEL EO-1B-12-912  
OWNER: CITY OF WOOD DALE

CONTRACT NO.  
1-12-4058  
DRAWING NO.  
1 OF 1

TOPO LEGEND

- ∅ HYDRANT
- GUY WIRE
- GUARD POST
- ⊕ HANDHOLE (HH)
- ⊕ LIGHT POLE (LP)
- MANHOLE (MH)
- ⊕ POWER POLE (PP)
- SIGN
- WATER VALVE
- TELEPHONE SPLICE BOX
- ⊕ TRAFFIC SIGNAL
- TREE DECIDUOUS WITH SIZE
- TREE EVERGREEN WITH SIZE
- ⊕ FLARED END SECTION
- INLET
- ⊕ CATCH BASIN
- HEADWALL

NOTE:

IMPROVEMENTS SHOWN HEREON ARE FROM PROPOSED DESIGN, AS REQUESTED BY THE VILLAGE OF WOOD DALE. FIELD WORK COMPLETION DATE RELATES TO FOUND BOUNDARY EVIDENCE.

# PARCEL PLAT

ELGIN - O'HARE EXPRESSWAY  
SOUTHWEST QUARTER OF SECTION 3  
TWP. 40 NORTH, RANGE 11 EAST, 3RD P.M.  
DuPAGE COUNTY, ILLINOIS

PROPERTY DESCRIPTION:

EO-1B-12-913:

THAT PART OF CENTRAL AVENUE AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R73-15596, DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHWEST CORNER OF SAID CENTRAL AVENUE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT 700935; THENCE SOUTH 88 DEGREES 10 MINUTES 19 SECONDS EAST, ALONG SAID SOUTH LINE, 139.14 FEET TO A POINT ON THE EAST LINE OF SAID CENTRAL AVENUE; THENCE SOUTH 01 DEGREES 49 MINUTES 41 SECONDS WEST, ALONG SAID EAST LINE, 25.15 FEET TO A POINT OF CURVATURE; THENCE SOUTHERLY 157.23 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 217.00 FEET AND WHOSE CHORD BEARS SOUTH 18 DEGREES 55 MINUTES 45 SECONDS EAST, 153.81 FEET TO A POINT OF TANGENCY; THENCE SOUTH 39 DEGREES 41 MINUTES 12 SECONDS EAST, ALONG SAID EAST LINE, 207.94 FEET; THENCE SOUTH 89 DEGREES 56 MINUTES 28 SECONDS WEST, DEPARTING FROM SAID LINE 42.86 FEET TO A POINT ON THE CENTERLINE OF SAID CENTRAL AVENUE, ALSO BEING THE EASTERLY LINE OF PROPERTY CONVEYED TO THE PEOPLE OF THE STATE OF ILLINOIS, DEPARTMENT OF TRANSPORTATION, BY TRUSTEE'S DEED RECORDED AUGUST 9, 1999 AS DOCUMENT NUMBER R1999-173414; THENCE NORTH 39 DEGREES 41 MINUTES 12 SECONDS WEST, ALONG SAID CENTERLINE, A DISTANCE OF 435.49 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-913.01 (CENTRAL AVENUE):

THAT PART OF CENTRAL AVENUE AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R1973-015596, DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SAID CENTRAL AVENUE AS DEDICATED, SAID POINT ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE PER DOCUMENT R1953-700935; THENCE SOUTH 39 DEGREES 41 MINUTES 12 SECONDS EAST, ALONG THE CENTERLINE OF SAID CENTRAL AVENUE, ALSO BEING THE EASTERLY LINE OF PROPERTY CONVEYED TO THE PEOPLE OF THE STATE OF ILLINOIS, DEPARTMENT OF TRANSPORTATION, BY TRUSTEE'S DEED RECORDED AUGUST 9, 1999 AS DOCUMENT NUMBER R1999-173414, A DISTANCE OF 435.49 FEET TO A POINT ON THE SOUTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-913 PER DOCUMENT R2017-077700, SAID POINT ALSO BEING THE POINT OF BEGINNING;

THENCE NORTH 89 DEGREES 56 MINUTES 28 SECONDS EAST, ALONG SAID SOUTH LINE, 42.86 FEET TO A POINT ON THE EAST LINE OF SAID CENTRAL AVENUE; THENCE SOUTH 39 DEGREES 41 MINUTES 12 SECONDS EAST, ALONG SAID EAST LINE, 5.09 FEET; THENCE NORTH 89 DEGREES 33 MINUTES 50 SECONDS WEST, DEPARTING FROM SAID LINE, 43.16 FEET TO A POINT ON THE CENTERLINE OF SAID CENTRAL AVENUE; THENCE NORTH 39 DEGREES 41 MINUTES 12 SECONDS WEST, 4.60 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

STATION/OFFSET TABLE  
(ELGIN O'HARE EXPRESSWAY CENTERLINE)

Point	Station	Offset	
75	1126+85.28	141.50	L
76	1129+72.65	185.34	R
77	1130+15.49	183.98	R
78	1128+77.87	28.10	R
79	1128+23.55	115.80	L
80	1128+23.58	140.95	L
140	1128+56.84	0.00	R
718	1129+75.69	188.79	R
719	1130+18.84	187.70	R

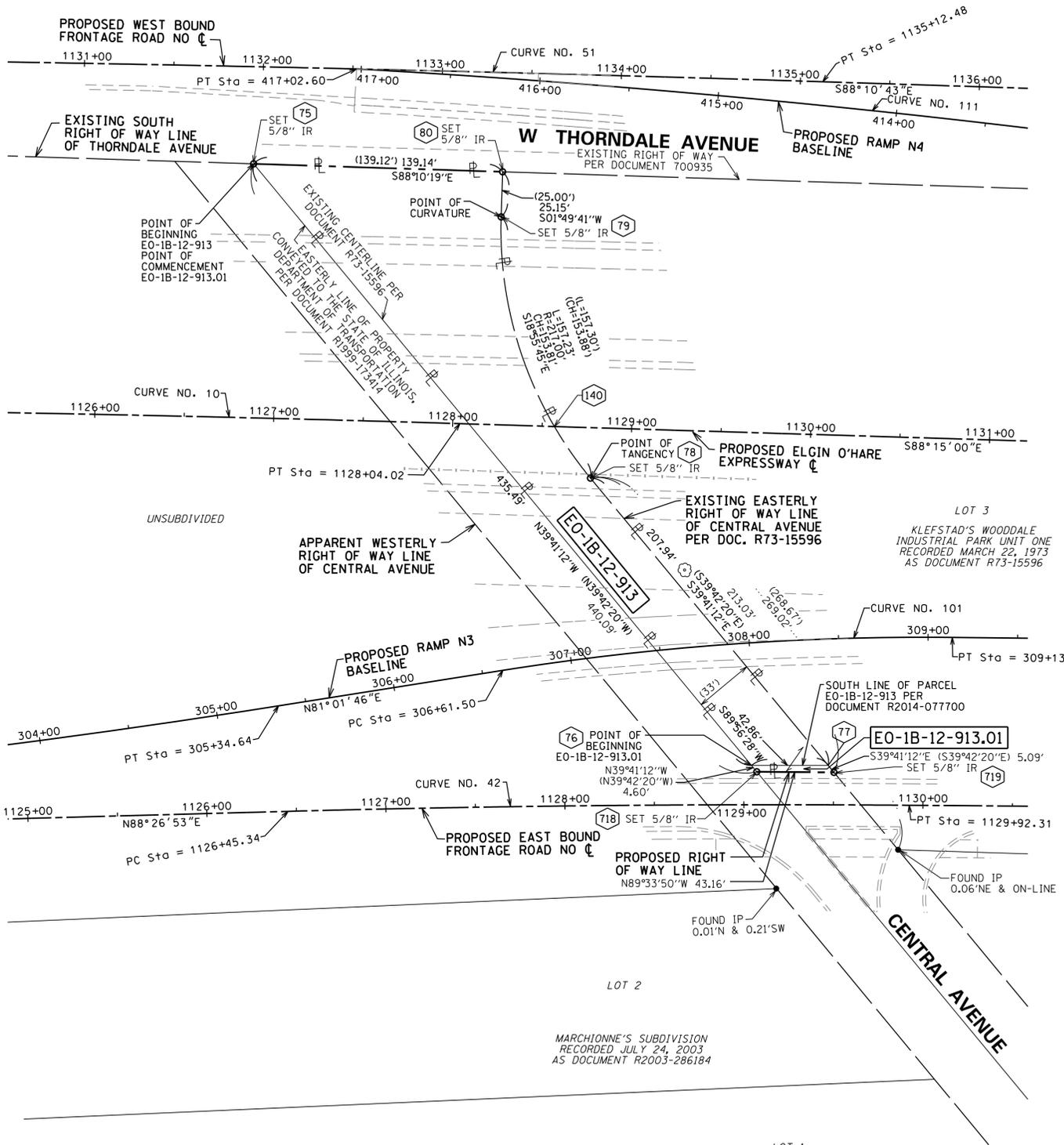
PROPOSED WEST BOUND FRONTAGE ROAD NO 4 CURVE NO. 51 DATA  
PI STA = 1124+93.14  
Δ = 03°53'48" (RT)  
D = 00°11'28"  
R = 29988.00'  
T = 1020.13'  
L = 2039.47  
E = 17.35  
PC STA = 1114+73.01  
PT STA = 1135+12.48

PROPOSED RAMP N4 BASELINE CURVE NO. 111 DATA  
PI STA = 414+86.60  
Δ = 04°07'38" (LT)  
D = 00°57'18"  
R = 6000.00'  
T = 216.19'  
L = 432.20'  
E = 3.89'  
PC STA = 412+70.40  
PT STA = 417+02.60

PROPOSED ELGIN O'HARE EXPRESSWAY CURVE NO. 10 DATA  
PI STA = 1121+06.17  
Δ = 04°00'00" (RT)  
D = 00°17'11"  
R = 20000.00'  
T = 698.42'  
L = 1396.26'  
E = 12.19'  
PC STA = 1114+07.75  
PT STA = 1128+04.02

PROPOSED RAMP N3 BASELINE CURVE NO. 101 DATA  
PI STA = 307+87.80  
Δ = 09°34'29" (RT)  
D = 03°47'58"  
R = 1508.00'  
T = 126.30'  
L = 252.01'  
E = 5.28'  
PC STA = 306+61.50  
PT STA = 309+13.51

PROPOSED EAST BOUND FRONTAGE ROAD NO 4 CURVE NO. 42 DATA  
PI STA = 1128+18.84  
Δ = 01°59'17" (RT)  
D = 00°34'23"  
R = 10000.00'  
T = 173.50'  
L = 346.97'  
E = 1.51  
PC STA = 1126+45.34  
PT STA = 1129+92.31



PARCEL	AREA		TYPE OF TAKE			
	ACRES	SQ.FT.	FEE	PERM. ESMT.	TEMP. ESMT.	PURPOSE
TOTAL HOLDING	0.468 ±	20,393 ±				
EO-1B-12-913	0.464 ±	20,233 ±	X			ROADWAY
EO-1B-12-913.01	0.004 ±	160 ±	X			ROADWAY
AREA IN EX ROW	0.468 ±	20,393 ±				
REMAINDER	0.000	0.000				

LEGEND

- EXISTING CENTERLINE
- EXISTING PUBLIC RIGHT OF WAY LINE
- PREVIOUS RIGHT OF WAY LINE
- EXISTING ISTHA PERMANENT EASEMENT LINE
- PROPOSED CENTERLINE
- PROPOSED RIGHT OF WAY
- PROPOSED RIGHT OF WAY AND ACCESS CONTROL LINE
- PROPOSED ISTHA TEMPORARY EASEMENT LINE
- PROPOSED ISTHA PERMANENT EASEMENT LINE
- SECTION LINE
- QUARTER SECTION LINE
- QUARTER QUARTER SECTION LINE
- PLATTED LOT LINE
- PROPERTY (DEED) LINE
- APPARENT PROPERTY LINE
- FENCE LINE
- MEASURED DIMENSION
- CALCULATED DIMENSION
- RECORD DATA
- FOUND IRON PIPE (IP) OR IRON ROD (IR)
- SET 3/8 INCH IRON ROD WITH RED "ISTHA R.O.W." CAP
- FOUND PERMANENT SURVEY MARKER
- FOUND CONCRETE RIGHT-OF-WAY MARKER
- CUT CROSS FOUND OR SET
- SAME OWNERSHIP
- EXISTING ISTHA PARCEL NUMBER
- PROPOSED ISTHA PARCEL NUMBER

STATE OF ILLINOIS )  
COUNTY OF COOK )

THIS IS TO CERTIFY THAT I, REBECCA Y. POPECK, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, HAVE SURVEYED THE PARCEL PLAT SHOWN HEREON, THAT THE SURVEY IS TRUE AND COMPLETE AS SHOWN TO THE BEST OF MY KNOWLEDGE AND BELIEF; THAT THE PLAT CORRECTLY REPRESENTS SAID SURVEY, THAT ALL MONUMENTS FOUND AND ESTABLISHED ARE OF PERMANENT QUALITY AND OCCUPY THE POSITIONS SHOWN THEREON AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED. MADE FOR THE ILLINOIS STATE TOLL HIGHWAY AUTHORITY.

FIELD WORK COMPLETED ON NOVEMBER 13, 2013  
DATED AT ROSEMONT, ILLINOIS THIS 8TH DAY OF JANUARY 2018.

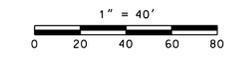
*Rebecca Y. Popeck*  
REBECCA Y. POPECK  
ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 035-3642  
LICENSE EXPIRATION DATE: 11/30/2022



THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

ALL DIMENSIONS ARE MEASURED UNLESS OTHERWISE SPECIFIED  
BEARINGS AND DISTANCES SHOWN HEREON REFERENCE THE ILLINOIS STATE PLANE COORDINATE SYSTEM, EAST ZONE, NORTH AMERICAN DATUM OF 1983, ADJUSTMENT - 2007 (CORS). ALL MEASURED AND CALCULATED VALUES ARE "GRID" NOT "GROUND". TO OBTAIN GROUND DISTANCES, DIVIDE GRID DISTANCES SHOWN, BY THE COMBINATION FACTOR OF 0.99998917358.

AREAS SHOWN ON THIS PLAT ARE GROUND. TO CONVERT AN AREA SHOWN ON THIS PLAT TO AN EQUIVALENT AREA AS MEASURED USING THE GRID BASED DISTANCES, MULTIPLY THE GROUND AREA BY THE SQUARE OF THE COMBINATION FACTOR (CF).



CAD DRAFTING BY: JMS			ILLINOIS STATE TOLL HIGHWAY AUTHORITY 2700 OGDEN AVENUE DOWNERS GROVE, ILLINOIS 60515	REVISIONS		ELGIN - O'HARE EXPRESSWAY STA. 1126+85 TO STA. 1130+19 DuPAGE COUNTY, ILLINOIS PARCEL EO-1B-12-913 OWNER: CITY OF WOOD DALE	CONTRACT NO. 1-12-4058	
CALCULATIONS BY: RYP				NO.	DATE		DESCRIPTION	DRAWING NO. 1 OF 1
CHECKED BY: RYP				1	09/29/2017		ADDED PARCEL EO-1B-12-913.01	
APPROVED BY: RYP				2	11/17/2017		CITY COMMENTS	
		3	01/08/2018	CITY COMMENTS				

TOPO LEGEND

- ⊕ HYDRANT
- ⊕ TELEPHONE SPLICE BOX
- GUY WIRE
- ⊕ TRAFFIC SIGNAL
- GUARD POST
- ⊕ TREE DECIDUOUS WITH SIZE
- ⊕ HANDHOLE (HH)
- ⊕ TREE EVERGREEN WITH SIZE
- ⊕ LIGHT POLE (LP)
- ⊕ FLARED END SECTION
- ⊕ MANHOLE (MH)
- ⊕ INLET
- ⊕ POWER POLE (PP)
- ⊕ CABLE TV SPLICE BOX
- SIGN
- ⊕ WATER VALVE

NOTE:  
IMPROVEMENTS SHOWN HEREON ARE FROM PROPOSED DESIGN, AS REQUESTED BY THE VILLAGE OF WOOD DALE. FIELD WORK COMPLETION DATE RELATES TO FOUND BOUNDARY EVIDENCE.

PROPERTY DESCRIPTION:

EO-1B-12-917:

THAT PART LIVELY BOULEVARD AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R1973-15596, DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHWEST CORNER OF SAID LIVELY BOULEVARD AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 8, ALL IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED AUGUST 12, 1953 AS DOCUMENT NUMBER R1953-691663; THENCE SOUTH 88 DEGREES 10 MINUTES 19 SECONDS EAST ALONG THE SOUTH LINE OF SAID THORNDALE AVENUE, 66.03 FEET TO A POINT ON THE EAST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE WEST LINE OF LOT 9 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE SOUTH 00 DEGREES 00 MINUTES 23 SECONDS EAST ALONG SAID EAST LINE, ALSO BEING THE WEST LINE OF SAID LOT 9, A DISTANCE OF 251.02 FEET; THENCE NORTH 88 DEGREES 15 MINUTES 00 SECONDS WEST, 66.03 FEET TO A POINT ON THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF LOT 8 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE NORTH 00 DEGREES 00 MINUTES 23 SECONDS WEST ALONG THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF SAID LOT 8, A DISTANCE OF 251.11 FEET TO THE POINT OF BEGINNING, IN DUPAGE COUNTY, ILLINOIS.

EO-1B-12-917.01 (LIVELY BOULEVARD):

THAT PART LIVELY BOULEVARD AS DEDICATED AS PART OF KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, BEING A SUBDIVISION IN SECTIONS 3 AND 10, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, IN THE VILLAGE OF WOODDALE, ACCORDING TO THE PLAT THEREOF RECORDED MARCH 22, 1973 AS DOCUMENT NUMBER R1973-15596, DESCRIBED AS FOLLOWS:

COMMENCING AT THE NORTHWEST CORNER OF SAID LIVELY BOULEVARD AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 8, ALL IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED AUGUST 12, 1953 AS DOCUMENT NUMBER R1953-691663; THENCE SOUTH 88 DEGREES 10 MINUTES 19 SECONDS EAST ALONG THE SOUTH LINE OF SAID THORNDALE AVENUE, 66.03 FEET TO A POINT ON THE EAST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE WEST LINE OF LOT 9 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE SOUTH 00 DEGREES 00 MINUTES 23 SECONDS EAST ALONG SAID EAST LINE, ALSO BEING THE WEST LINE OF SAID LOT 9, A DISTANCE OF 251.02 FEET TO THE POINT OF BEGINNING;

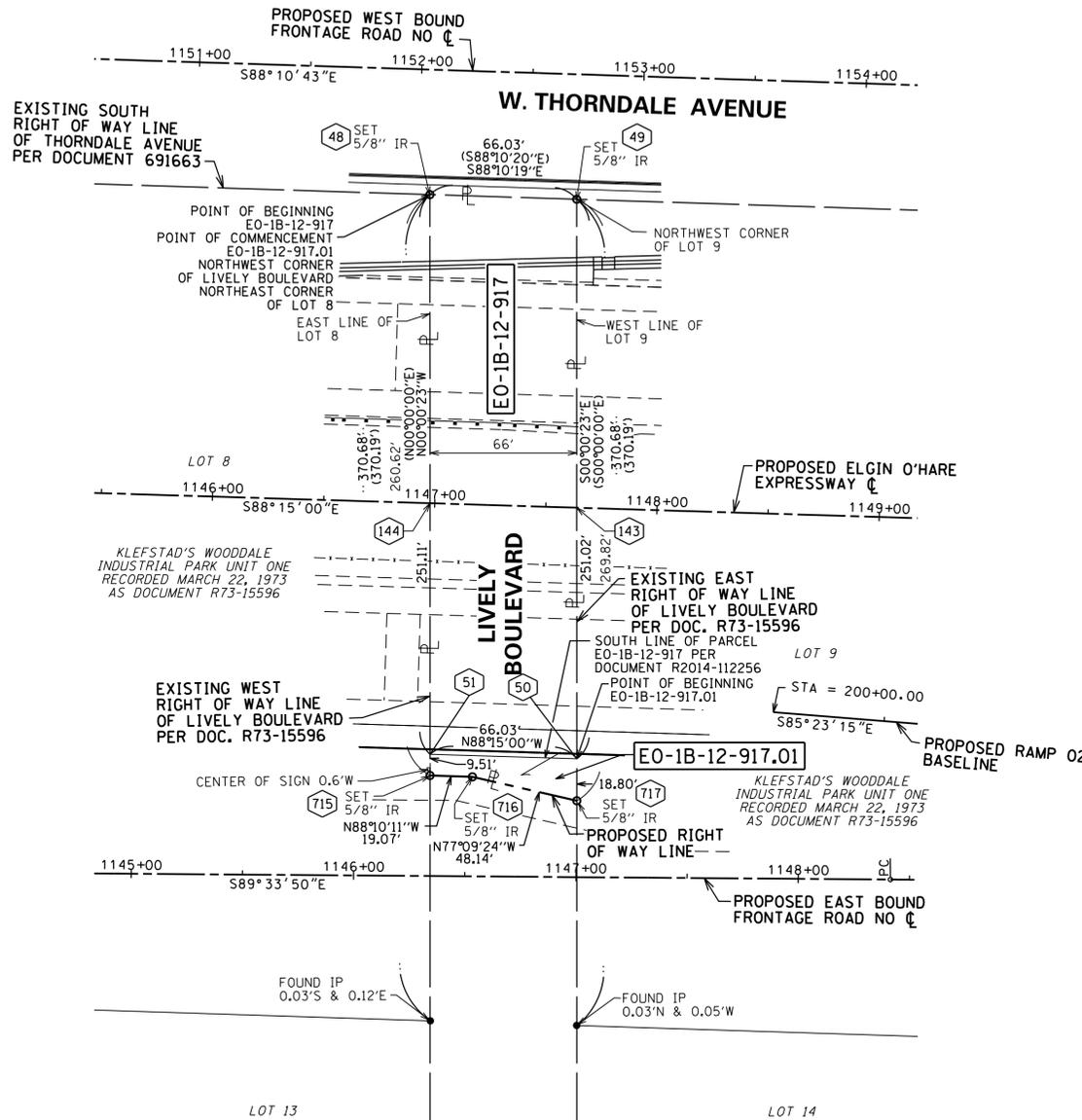
THENCE CONTINUING SOUTH 00 DEGREES 00 MINUTES 23 SECONDS EAST, ALONG SAID LAST DESCRIBED LINE, 18.80 FEET; THENCE NORTH 77 DEGREES 09 MINUTES 24 SECONDS WEST, 48.14 FEET; THENCE NORTH 88 DEGREES 10 MINUTES 11 SECONDS WEST, 19.07 FEET TO A POINT ON THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF LOT 8 IN SAID KLEFSTAD'S WOODDALE INDUSTRIAL PARK UNIT ONE; THENCE NORTH 00 DEGREES 00 MINUTES 23 SECONDS WEST ALONG THE WEST LINE OF SAID LIVELY BOULEVARD, ALSO BEING THE EAST LINE OF SAID LOT 8, A DISTANCE OF 9.51 FEET TO THE SOUTH LINE OF ILLINOIS STATE TOLL HIGHWAY AUTHORITY PARCEL EO-1B-12-917 PER DOCUMENT R2014-112256; THENCE SOUTH 88 DEGREES 15 MINUTES 00 SECONDS EAST, ALONG SAID SOUTH LINE, 66.03 FEET TO THE POINT OF BEGINNING, IN DUPAGE COUNTY, ILLINOIS.

STATION/OFFSET TABLE  
(ELGIN O'HARE EXPRESSWAY CENTERLINE)

Point	Station	Offset	
48	1146+93.62	138.41	L
49	1147+59.66	138.32	L
50	1147+67.35	112.58	R
51	1147+01.32	112.58	R
143	1147+63.90	0.00	L
144	1146+97.87	0.00	R
715	1147+01.70	122.09	R
716	1147+20.69	122.12	R
717	1147+67.93	131.38	R

# PARCEL PLAT

ELGIN - O'HARE EXPRESSWAY  
SECTION 3, TWP. 40 NORTH, RANGE 11 EAST, 3RD P.M.  
DuPAGE COUNTY, ILLINOIS



PARCEL	AREA		TYPE OF TAKE			
	ACRES	SQ.FT.	FEE	PERM. ESMT.	TEMP. ESMT.	PURPOSE
TOTAL HOLDING	0.399 ±	17,417 ±				
EO-1B-12-917	0.380 ±	16,570 ±	X			ROADWAY
EO-1B-12-917.01	0.019 ±	847 ±	X			ROADWAY
AREA IN EX ROW	0.399 ±	17,417 ±				
REMAINDER	0.000	0.000				

LEGEND

- SECTION CORNER
- QUARTER SECTION CORNER
- CENTER OF SECTION
- EXISTING CENTERLINE
- EXISTING PUBLIC RIGHT OF WAY LINE
- PREVIOUS RIGHT OF WAY LINE
- EXISTING ISTHA PERMANENT EASEMENT LINE
- PROPOSED CENTERLINE
- PROPOSED RIGHT OF WAY
- PROPOSED RIGHT OF WAY AND ACCESS CONTROL LINE
- PROPOSED ISTHA TEMPORARY EASEMENT LINE
- PROPOSED ISTHA PERMANENT EASEMENT LINE
- SECTION LINE
- QUARTER SECTION LINE
- QUARTER QUARTER SECTION LINE
- PLATTED LOT LINE
- PROPERTY (DEED) LINE
- APPARENT PROPERTY LINE
- FENCE LINE
- MEASURED DIMENSION
- CALCULATED DIMENSION
- RECORD DATA
- FOUND IRON PIPE (IP) OR IRON ROD (IR)
- SET 3/8 INCH IRON ROD WITH RED "ISTHA R.O.W." CAP
- FOUND PERMANENT SURVEY MARKER
- FOUND CONCRETE RIGHT-OF-WAY MARKER
- CUT CROSS FOUND OR SET
- SAME OWNERSHIP
- EXISTING ISTHA PARCEL NUMBER
- PROPOSED ISTHA PARCEL NUMBER

STATE OF ILLINOIS )  
                                  ) SS  
COUNTY OF COOK )

THIS IS TO CERTIFY THAT I, REBECCA Y. POPECK, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, HAVE SURVEYED THE PARCEL PLAT SHOWN HEREON, THAT THE SURVEY IS TRUE AND COMPLETE AS SHOWN TO THE BEST OF MY KNOWLEDGE AND BELIEF; THAT THE PLAT CORRECTLY REPRESENTS SAID SURVEY, THAT ALL MONUMENTS FOUND AND ESTABLISHED ARE OF PERMANENT QUALITY AND OCCUPY THE POSITIONS SHOWN THEREON AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED. MADE FOR THE ILLINOIS STATE TOLL HIGHWAY AUTHORITY.

FIELD WORK COMPLETED ON NOVEMBER 13, 2013

DATED AT ROSEMONT, ILLINOIS THIS 4TH DAY OF JANUARY, 2018.

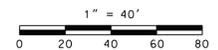
*Rebecca Y. Popeck*  
REBECCA Y. POPECK  
ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 035-3642  
LICENSE EXPIRATION DATE: 11/30/2022

THIS PROFESSIONAL SERVICE CONFORMS TO THE CURRENT ILLINOIS MINIMUM STANDARDS FOR A BOUNDARY SURVEY.

ALL DIMENSIONS ARE MEASURED UNLESS OTHERWISE SPECIFIED

BEARINGS AND DISTANCES SHOWN HEREON REFERENCE THE ILLINOIS STATE PLANE COORDINATE SYSTEM, EAST ZONE, NORTH AMERICAN DATUM OF 1983, ADJUSTMENT - 2007 (CORS). ALL MEASURED AND CALCULATED VALUES ARE "GRID" NOT "GROUND". TO OBTAIN GROUND DISTANCES, DIVIDE GRID DISTANCES SHOWN, BY THE COMBINATION FACTOR OF 0.99998917358.

AREAS SHOWN ON THIS PLAT ARE GROUND. TO CONVERT AN AREA SHOWN ON THIS PLAT TO AN EQUIVALENT AREA AS MEASURED USING THE GRID BASED DISTANCES, MULTIPLY THE GROUND AREA BY THE SQUARE OF THE COMBINATION FACTOR (CF).



CAD DRAFTING BY: JMS  
CALCULATIONS BY: RYP  
CHECKED BY: RYP  
APPROVED BY: RYP

CONSULTING ENGINEERS  
SITE DEVELOPMENT ENGINEERS  
LAND SURVEYORS

9575 W. Higgins Road, Suite 700,  
Rosemont, Illinois 60018  
Phone: (847) 696-4060 Fax: (847) 696-4066  
Illinois Professional Design Firm No. 184-001157

ILLINOIS STATE TOLL HIGHWAY AUTHORITY

2700 OGDEN AVENUE  
DOWNERS GROVE, ILLINOIS 60515

REVISIONS		
NO.	DATE	DESCRIPTION
1	09/29/2017	ADDED PARCEL EO-1B-12-917.01
2	11/17/2017	CITY COMMENTS
3	01/04/2018	CITY COMMENTS

ELGIN - O'HARE EXPRESSWAY  
STA. 1146+93 TO STA. 1147+68  
DuPAGE COUNTY, ILLINOIS

PARCEL EO-1B-12-917  
OWNER: CITY OF WOOD DALE

CONTRACT NO.  
I-12-4058

DRAWING NO.  
1 OF 1

PROPERTY DESCRIPTION:

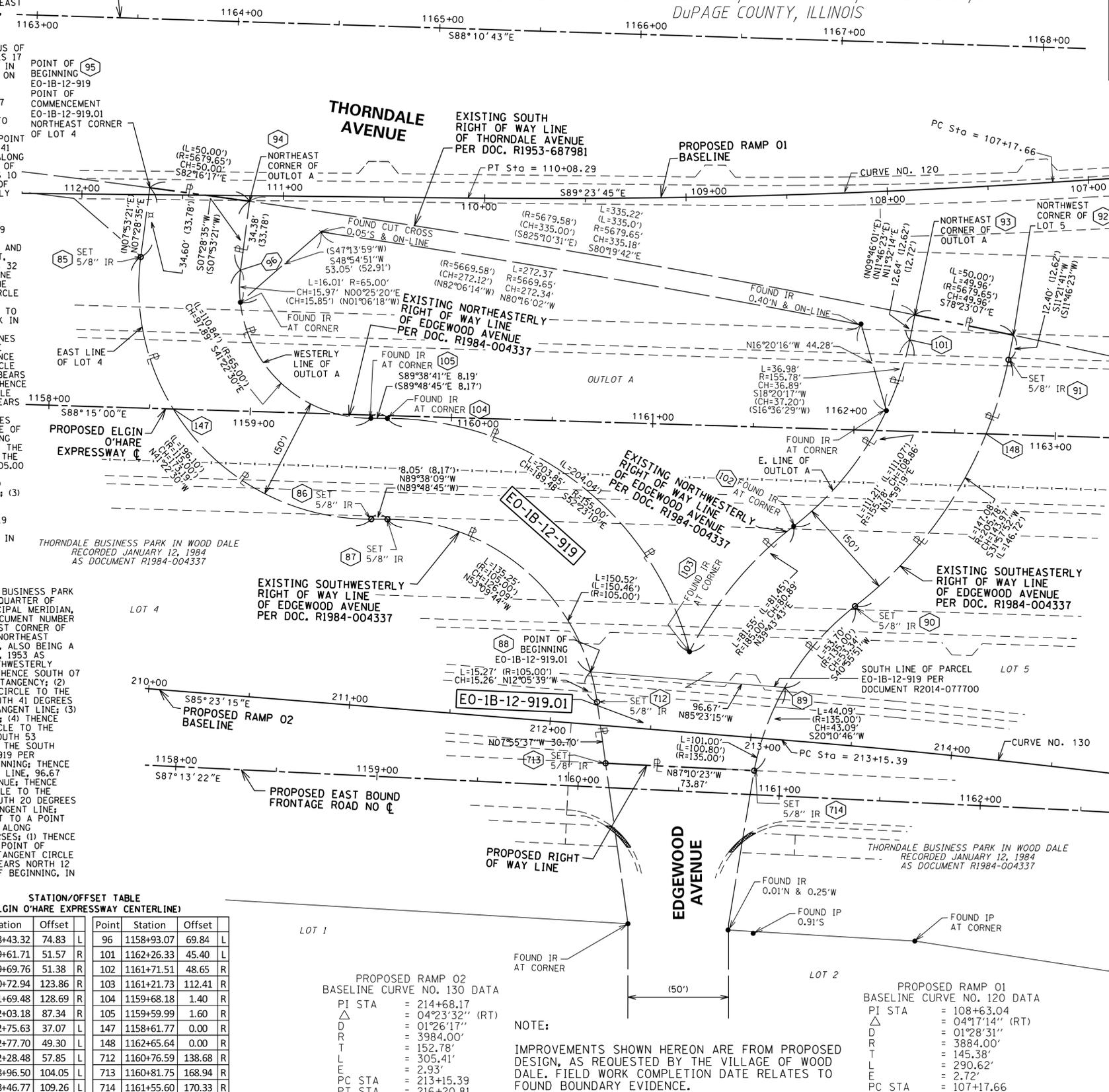
EO-1B-12-919;  
 THAT PART EDGEWOOD AVENUE AS DEDICATED AS PART OF THORNDALE BUSINESS PARK IN WOOD DALE, BEING A RESUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF SECTION 3, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 12, 1984 AS DOCUMENT NUMBER R1984-004337, DESCRIBED AS FOLLOWS: BEGINNING AT THE NORTHWEST CORNER OF SAID EDGEWOOD AVENUE AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 4, IN SAID THORNDALE BUSINESS PARK IN WOOD DALE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED JULY 8, 1953 AS DOCUMENT NUMBER R1953-687981; THENCE EASTERLY 50.00 FEET, ALONG THE SOUTH LINE OF THORNDALE AVENUE, BEING THE ARC OF A TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 5679.65 FEET AND WHOSE CHORD BEARS SOUTH 82 DEGREES 16 MINUTES 17 SECONDS EAST, 50.00 FEET TO THE NORTHWEST CORNER OF OUTLOT A IN SAID THORNDALE BUSINESS PARK IN WOOD DALE, ALSO BEING A POINT ON THE EAST LINE OF SAID EDGEWOOD AVENUE; THENCE SOUTHERLY AND SOUTHEASTERLY ALONG EASTERLY AND NORTHEASTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT FOUR COURSES; (1) THENCE SOUTH 07 DEGREES 28 MINUTES 35 SECONDS WEST, 34.38 FEET; (2) THENCE SOUTHERLY 110.84 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 65.00 FEET AND WHOSE CHORD BEARS SOUTH 41 DEGREES 22 MINUTES 30 SECONDS EAST, 97.89 FEET TO A POINT ON A NON-TANGENT LINE; (3) THENCE SOUTH 89 DEGREES 38 MINUTES 41 SECONDS EAST, 8.19 FEET; (4) THENCE SOUTHEASTERLY 203.85 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 155.00 FEET AND WHOSE CHORD BEARS SOUTH 52 DEGREES 23 MINUTES 10 SECONDS EAST, 189.48 FEET TO A POINT ON A NORTHWESTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE NORTHEASTERLY ALONG NORTHWESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT THREE COURSES; (1) THENCE NORTHEASTERLY 81.55 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 185.00 FEET AND WHOSE CHORD BEARS NORTH 39 DEGREES 43 MINUTES 43 SECONDS EAST, 80.89 FEET; (2) THENCE NORTHEASTERLY 111.21 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 155.78 FEET AND WHOSE CHORD BEARS NORTH 31 DEGREES 59 MINUTES 19 SECONDS EAST, 108.86 FEET TO A POINT OF TANGENCY; (3) THENCE NORTH 11 DEGREES 32 MINUTES 14 SECONDS EAST, 12.64 FEET TO A POINT ON THE SOUTH LINE OF SAID THORNDALE AVENUE; THENCE EASTERLY 49.96 FEET, ALONG THE SOUTH LINE OF THORNDALE AVENUE, BEING THE ARC OF A TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 205.78 FEET AND WHOSE CHORD BEARS SOUTH 78 DEGREES 23 MINUTES 07 SECONDS EAST, 49.96 FEET TO THE NORTHWEST CORNER OF LOT 5 IN SAID THORNDALE BUSINESS PARK IN WOOD DALE, ALSO BEING A POINT ON A SOUTHEASTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE SOUTHWESTERLY ALONG SOUTHEASTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT THREE COURSES; (1) THENCE SOUTH 11 DEGREES 14 MINUTES 41 SECONDS WEST, 12.40 FEET; (2) THENCE SOUTHWESTERLY 147.08 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 205.78 FEET AND WHOSE CHORD BEARS SOUTH 31 DEGREES 57 MINUTES 52 SECONDS WEST, 143.97 FEET; (3) THENCE SOUTHWESTERLY 53.70 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 135.00 FEET AND WHOSE CHORD BEARS SOUTH 40 DEGREES 55 MINUTES 51 SECONDS WEST, 53.34 FEET TO A POINT ON A NON-TANGENT LINE; THENCE NORTH 85 DEGREES 23 MINUTES 15 SECONDS WEST, 96.67 FEET TO A POINT ON A SOUTHWESTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE NORTHWESTERLY AND NORTHERLY ALONG SOUTHWESTERLY AND WESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT FOUR COURSES; (1) THENCE NORTHWESTERLY 135.25 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 105.00 FEET AND WHOSE CHORD BEARS NORTH 53 DEGREES 09 MINUTES 44 SECONDS WEST, 126.09 FEET TO A POINT ON A NON-TANGENT LINE; (2) THENCE NORTH 39 DEGREES 38 MINUTES 09 SECONDS WEST, 8.05 FEET; (3) THENCE NORTHWESTERLY 196.10 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 115.00 FEET AND WHOSE CHORD BEARS NORTH 41 DEGREES 22 MINUTES 30 SECONDS WEST, 173.19 FEET TO A POINT OF TANGENCY; (4) THENCE NORTH 07 DEGREES 28 MINUTES 35 SECONDS EAST, 34.60 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

EO-1B-12-919.01;  
 THAT PART EDGEWOOD AVENUE AS DEDICATED AS PART OF THORNDALE BUSINESS PARK IN WOOD DALE, BEING A RESUBDIVISION OF PART OF THE SOUTHEAST QUARTER OF SECTION 3, TOWNSHIP 40 NORTH, RANGE 11, EAST OF THE THIRD PRINCIPAL MERIDIAN, ACCORDING TO THE PLAT THEREOF RECORDED JANUARY 12, 1984 AS DOCUMENT NUMBER R1984-004337, DESCRIBED AS FOLLOWS: COMMENCING AT THE NORTHWEST CORNER OF SAID EDGEWOOD AVENUE AS DEDICATED, SAID POINT ALSO BEING THE NORTHEAST CORNER OF LOT 4, IN SAID THORNDALE BUSINESS PARK IN WOOD DALE, ALSO BEING A POINT ON THE SOUTH LINE OF THORNDALE AVENUE, DEDICATED JULY 8, 1953 AS DOCUMENT NUMBER R1953-687981; THENCE SOUTHEASTERLY ALONG SOUTHWESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT FOUR COURSES; (1) THENCE SOUTH 07 DEGREES 28 MINUTES 35 SECONDS WEST, 34.60 FEET TO A POINT OF TANGENCY; (2) THENCE SOUTHEASTERLY 196.10 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 115.00 FEET AND WHOSE CHORD BEARS SOUTH 41 DEGREES 22 MINUTES 30 SECONDS EAST, 173.19 FEET TO A POINT ON A NON-TANGENT LINE; (3) THENCE SOUTH 89 DEGREES 38 MINUTES 09 SECONDS EAST, 8.05 FEET; (4) THENCE SOUTHEASTERLY 135.25 FEET, ALONG THE ARC OF A NON-TANGENT CIRCLE TO THE RIGHT, HAVING A RADIUS OF 105.00 FEET AND WHOSE CHORD BEARS SOUTH 53 DEGREES 09 MINUTES 44 SECONDS EAST, 126.09 FEET TO A POINT ON A NON-TANGENT LINE; THENCE NORTH 87 DEGREES 10 MINUTES 23 SECONDS WEST, 73.87 FEET TO A POINT ON A WESTERLY LINE OF SAID EDGEWOOD AVENUE; THENCE NORTHERLY ALONG WESTERLY LINES OF SAID EDGEWOOD AVENUE FOR THE NEXT TWO COURSES; (1) THENCE NORTH 07 DEGREES 55 MINUTES 37 SECONDS WEST, 30.70 FEET TO A POINT OF TANGENCY; (2) THENCE NORTHERLY 15.27 FEET, ALONG THE ARC OF A TANGENT CIRCLE TO THE LEFT, HAVING A RADIUS OF 105.00 FEET AND WHOSE CHORD BEARS NORTH 12 DEGREES 05 MINUTES 39 SECONDS WEST, 15.26 FEET TO THE POINT OF BEGINNING, IN DuPAGE COUNTY, ILLINOIS.

# PARCEL PLAT

## ELGIN - O'HARE EXPRESSWAY

SOUTHEAST QUARTER OF SECTION 3, TWP. 40 NORTH, RANGE 11 EAST, 3RD P.M.  
 DuPAGE COUNTY, ILLINOIS



PARCEL	AREA		TYPE OF TAKE			
	ACRES	SQ.FT.	FEE	PERM. ESMT.	TEMP. ESMT.	PURPOSE
TOTAL HOLDING	0.758 ±	33,050 ±				
EO-1B-12-919	0.675 ±	29,420 ±	X			ROADWAY
EO-1B-12-919.01	0.083 ±	3,630 ±	X			ROADWAY
AREA IN EX ROW	0.758 ±	33,050 ±				
REMAINDER	0.000	0.000				

**LEGEND**

- EXISTING CENTERLINE
- EXISTING PUBLIC RIGHT OF WAY LINE
- EXISTING RIGHT OF WAY LINE
- EXISTING ISTHA PERMANENT EASEMENT LINE
- PROPOSED CENTERLINE
- PROPOSED RIGHT OF WAY
- PROPOSED RIGHT OF WAY AND ACCESS CONTROL LINE
- PROPOSED ISTHA TEMPORARY EASEMENT LINE
- PROPOSED ISTHA PERMANENT EASEMENT LINE
- SECTION LINE
- QUARTER SECTION LINE
- QUARTER QUARTER SECTION LINE
- PLATTED LOT LINE
- PROPERTY (DEED) LINE
- APPARENT PROPERTY LINE
- FENCE LINE
- MEASURED DIMENSION
- CALCULATED DIMENSION
- RECORD DATA
- FOUND IRON PIPE (IP) OR IRON ROD (IR)
- SET 3/8" INCH IRON ROD WITH RED "ISTHA R.O.W." CAP
- FOUND PERMANENT SURVEY MARKER
- FOUND CONCRETE RIGHT-OF-WAY MARKER
- CUT CROSS FOUND OR SET

123.45' (123.45') (123.45')

T-9A-1

T-9A-05-001

STATE OF ILLINOIS )  
 COUNTY OF COOK ) SS

THIS IS TO CERTIFY THAT I, REBECCA Y. POPECK, AN ILLINOIS PROFESSIONAL LAND SURVEYOR, HAVE SURVEYED THE PARCEL PLAT SHOWN HEREON, THAT THE SURVEY IS TRUE AND COMPLETE AS SHOWN TO THE BEST OF MY KNOWLEDGE AND BELIEF; THAT THE PLAT CORRECTLY REPRESENTS SAID SURVEY, THAT ALL MONUMENTS FOUND AND ESTABLISHED ARE OF PERMANENT QUALITY AND OCCUPY THE POSITIONS SHOWN THEREON AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED. MADE FOR THE ILLINOIS STATE TOLL HIGHWAY AUTHORITY.

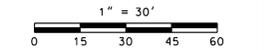
FIELD WORK COMPLETED ON NOVEMBER 13, 2013  
 DATED AT ROSEMONT, ILLINOIS THIS 4th DAY OF JANUARY, 2018.

*Rebecca Y. Popeck*  
 REBECCA Y. POPECK  
 ILLINOIS PROFESSIONAL LAND SURVEYOR NO. 035-3642  
 LICENSE EXPIRATION DATE: 11/30/2022

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AREAS SHOWN ON THIS PLAT ARE GROUND. TO CONVERT AN AREA SHOWN ON THIS PLAT TO AN EQUIVALENT AREA AS MEASURED USING THE GRID BASED DISTANCES, MULTIPLY THE GROUND AREA BY THE SQUARE OF THE COMBINATION FACTOR (CF).



**STATION/OFFSET TABLE (ELGIN O'HARE EXPRESSWAY CENTERLINE)**

Point	Station	Offset	Point	Station	Offset
85	1158+43.32	74.83 L	96	1158+93.07	69.84 L
86	1159+61.71	51.57 R	101	1162+26.33	45.40 L
87	1159+69.76	51.38 R	102	1161+71.51	48.65 R
88	1160+72.94	123.86 R	103	1161+21.73	112.41 R
89	1161+69.48	128.69 R	104	1159+68.18	1.40 R
90	1162+03.18	87.34 R	105	1159+59.99	1.60 R
91	1162+75.63	37.07 L	147	1158+61.77	0.00 R
92	1162+77.70	49.30 L	148	1162+65.64	0.00 R
93	1162+28.48	57.85 L	712	1160+76.59	138.68 R
94	1158+96.50	104.05 L	713	1160+81.75	168.94 R
95	1158+46.77	109.26 L	714	1161+55.60	170.33 R

**TOPO LEGEND**

☉	HYDRANT	☐	TELEPHONE SPLICE BOX
—	GUY WIRE	⊕	TRAFFIC SIGNAL
—	GUARD POST	☐	TREE DECIDUOUS WITH SIZE
☐	HANDHOLE (HH)	☐	TREE EVERGREEN WITH SIZE
☐	LIGHT POLE	⊥	FLARED END SECTION
○	MANHOLE (MH)	—	INLET
—	POWER POLE	—	CATCH BASIN
—	SIGN	—	HEADWALL
○	WATER VALVE	—	STREET LIGHT CONTROL BOX

CAD DRAFTING BY: JMS  
 CALCULATIONS BY: RYP  
 CHECKED BY: RYP  
 APPROVED BY: RYP

**CONSULTING ENGINEERS**  
**SITE DEVELOPMENT ENGINEERS**  
**LAND SURVEYORS**

9575 W. Higgins Road, Suite 700,  
 Rosemont, Illinois 60018  
 Phone: (847) 696-4060 Fax: (847) 696-4066  
 Illinois Professional Design Firm No. 184-001157

**ILLINOIS STATE TOLL HIGHWAY AUTHORITY**

2700 OGDEN AVENUE  
 DOWNERS GROVE, ILLINOIS 60515

**REVISIONS**

NO.	DATE	DESCRIPTION
1	09/29/2017	ADDED PARCEL EO-1B-12-919.01
2	11/17/2017	CITY COMMENTS
3	01/04/2018	CITY COMMENTS

**ELGIN - O'HARE EXPRESSWAY**  
 STA. 1158+43 TO STA. 1162+78  
 DuPAGE COUNTY, ILLINOIS

CONTRACT NO. 1-12-4058  
 DRAWING NO. 1 OF 1  
 OWNER: CITY OF WOOD DALE



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**FINANCE & ADMINISTRATION**  
**COMMITTEE MINUTES**  
VIA ZOOM

Committee Date: November 12, 2020  
Present: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley and Woods  
Absent: Ald. R. Wesley  
Also Present: Mayor Pulice, Treasurer Porch, Clerk Curiale, City Manager Mermuys, Police Chief Vesta, A. Lange, E. Cage, B. Wilson, N. Kace  
Meeting Convened at: 8:17 p.m.

**APPROVAL OF MINUTES:**

Ald. Jakab made a motion, seconded by Ald. Sorrentino, to approve the minutes of the October 22, 2020 meeting as presented. A roll call vote was taken with the following results:

Ayes: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods  
Nays: None  
Abstained: None  
Motion: Carried

**REPORT & RECOMMENDATION**

CARES ACT IT PROJECTS

**DISCUSSION:**

Director Wilson reported that the majority of these projects will be included in next year's CIP or operating budget anyway. With CARES Act funding received from DuPage County, staff needed to fortify the City's network. IT is moving these projects up six months to protect the City's network infrastructure. It was noted they are all independent projects, so if a Committee member does not like one item, it won't stop another. Mr. Wilson provided a breakdown of each project.

Ald. Messina prefers to focus on those items more vulnerable for residents versus employees. Mr. Kace assured Committee it encompasses everything for residents and the City itself.

**VOTE:**

---

Ald. Messina made a motion, seconded by Ald. Jakab, to approve the CARES Act IT Project. This includes: Upgrading the City’s aging IT infrastructure and software using CARES Act Funding to further secure its networks, improve remote and onsite work capabilities, protect its data, and add additional functionality to its users by approving the following items in the not to exceed amount of \$151,055.05 for all of the improvements listed in the IT Director’s memo: Internal Network Switch Upgrade - \$64,141.47 Microsoft 365 G3 - \$3,631.40 per month (\$27.98 per employee / \$43,648.80 per year, Can Cancel at Any Time) Next-Gen Endpoint Protection (anti-malware) Solution - \$19,146.30 (Yearly Service Subscription with Auto Renewal) Cloud Backup Storage Solution – \$1,563.54 per month (\$18,762.48 Per Year, with an Initial Hardware Purchase of \$5,356.00 Totaling \$24,118.48. A roll call vote was taken, with the following results:

Ayes: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski & Woods  
Nays: Ald. E. Wesley  
Abstained: None  
Motion: Carried

**REPORT & RECOMMENDATION:**

HYPERCONVERGED CLUSTER MAINTENANCE/SUPPORT RENEWAL

**DISCUSSION:**

Mr. Kace explained this is for support and maintenance on the City server infrastructure. If there are any issues or something happens to one of the machines, this is the support number he can call to obtain replacement parts. Otherwise he would have to pay on an as-needed basis.

**VOTE:**

Ald. Woods made a motion, seconded by Ald. Susmarski, to approve the purchase of a three-year support renewal for four of the City’s nodes in its Hyperconverged Server Infrastructure in the amount of \$29,448.87 (\$9,816.29 per year). A roll call vote was called, with the following results:

Ayes: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods  
Nays: None  
Abstained: None  
Motion: Carried

**REPORT & RECOMMENDATION**

S.B. FRIEDMAN AND COMPANY ADVISORY SERVICES



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**DISCUSSION:**

Director Wilson reported the Nippon Express building off Bryn Mawr plans to develop further south of that area, so a consultant is needed to assist.

**VOTE:**

Ald. Woods made a motion, seconded by Ald. Jakab, to approve an agreement with S.B. Friedman and Company for advisory services in an amount not to exceed \$19,900. A roll call vote was taken, with the following results:

Ayes: Ald. Catalano, Jakab, Messina, Sorrentino, Susmarski, E. Wesley & Woods  
Nays: None  
Abstained: None  
Motion: Carried

**ITEMS TO BE CONSIDERED AT FUTURE MEETINGS:**

- Audit – December 10, 2020
- CIP – January 14, 2021

**ADJOURNMENT:**

Ald. Woods made a motion, seconded by Ald. E. Wesley, to adjourn the meeting at 8:45 p.m. Upon a roll call vote, the motion carried unanimously.

*Minutes taken by Eileen Schultz*



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: FY 2020 Audit Report  
Staff Contact: Brad Wilson, Finance Director  
Department: Finance

**TITLE:** FY 2020 Audit Report

### **RECOMMENDATION:**

Make a motion to accept the FY2020 Audit Report. Staff will then prepare the needed Resolution for the Council meeting the following week.

### **BACKGROUND:**

The annual audit is to be presented with 60 days from the date of the report. Based upon the date of the final report, we are well within those parameters.

### **ANALYSIS:**

Attached you will find the FY2020 CAFR, Report on Internal Controls, and TIF Audit.

This was the final year of the engagement with Sikich, and things went very well again this year. This being the final year of the engagement, staff will be preparing an RFP for auditing services early in new calendar year. The results and recommendation of that process will likely come in late February or early March.

One of the most important pages for the document is page 1 within the “Independent Auditor’s Report” section under Opinions. The section states that the City received an unmodified opinion, which is the highest level of opinion that we can receive.

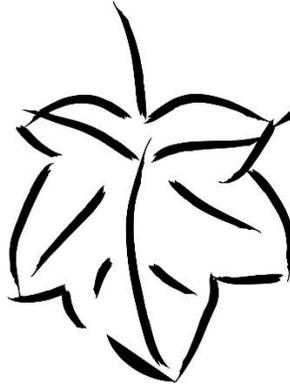
The results of the fiscal year are presented throughout the remainder of the CAFR. Of note are pages MD&A 1 – MD&A 11 (Citywide), 7 & 9 (Governmental Funds), 11 & 12

(Enterprise Funds), and 15 & 16 (Fiduciary Funds). These pages give a very good snapshot of various fund types and how they performed during FY 2020. The remainder of the document is support and explanations for the derivation of the numbers, results and methodology. The Statistical Section at the end of the document is for information only, and therefore is not audited.

A representative from Sikich will be in attendance Thursday night to go over their findings, discuss some of the highlights, go over the structure of the report(s) and address any questions you may have.

**DOCUMENTS ATTACHED**

- ✓ FY 2020 CAFR
- ✓ FY 2020 TIF Report
- ✓ FY 2020 Board Communication (Internal Controls)



# City of Wood Dale, Illinois

## Comprehensive Annual Financial Report

For the Year Ended  
April 30, 2020

**CITY OF WOOD DALE, ILLINOIS**

**COMPREHENSIVE ANNUAL  
FINANCIAL REPORT**

For the Year Ended  
April 30, 2020

Prepared by Finance Department

Bradley W. Wilson  
Finance Director

**CITY OF WOOD DALE, ILLINOIS**  
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## **INTRODUCTORY SECTION**

**CITY OF WOOD DALE, ILLINOIS**

**PRINCIPAL OFFICIALS**

April 30, 2020

---

Mayor

Annunziato Pulice

City Council

Gandolfo Messina  
Art Woods  
Antonio Catalano  
Roy Wesley

Peter Jakab  
Michael Susmarski  
Eugene Wesley  
Ralph Sorrentino

City Clerk

Lynn Curiale

City Treasurer

Sandra Porch

City Manager

Jeffrey Mermuys

Finance Director

Bradley W. Wilson

# Organizational Chart





Government Finance Officers Association

**Certificate of  
Achievement  
for Excellence  
in Financial  
Reporting**

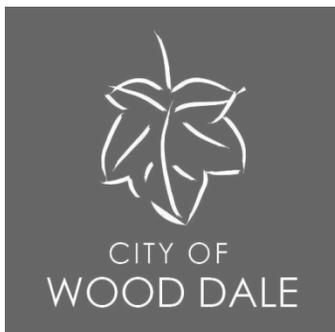
Presented to

**City of Wood Dale  
Illinois**

For its Comprehensive Annual  
Financial Report  
for the Fiscal Year Ended

**April 30, 2019**

Executive Director/CEO



December 7, 2020

Honorable Mayor  
Members of the City Council  
Citizens of the City of Wood Dale

Ladies and Gentlemen:

Illinois Compiled Statutes require that all municipalities annually publish a complete set of financial statements presented in conformity with generally accepted accounting principles and audited in accordance with Generally Accepted Auditing Standards by a firm of licensed certified public accountants. Pursuant to that requirement, we hereby submit the Comprehensive Annual Financial Report (CAFR) of the City of Wood Dale, Illinois for the year ended April 30, 2020. This report consists of management's representations concerning the finances of the City of Wood Dale. Consequently, management assumes full responsibility for the completeness and reliability of all of the information presented in this report.

Management of the City of Wood Dale has established a comprehensive internal control framework that is designed to compile sufficient reliable information for the preparation of the City of Wood Dale's financial statements in conformity with Generally Accepted Accounting Principles. Further details can be found in Section 1 of the Notes to Financial Statements beginning on page 17 of the report. Because the cost of internal controls should not outweigh their benefits, the City of Wood Dale's comprehensive framework of internal controls has been designed to provide reasonable rather than absolute assurance that the financial statements will be free from material misstatement.

As part of management's responsibility for the statements and report, we have prepared the Management's Discussion and Analysis, which follows the auditor's report and is before the basic financial statements.

The City of Wood Dale's financial statements have been audited by Sikich LLP, a firm of licensed certified public accountants. The independent auditor concluded that the City of Wood Dale's financial statements for the fiscal year ended April 30, 2020 are fairly presented in conformity with generally accepted accounting principles. The independent auditor's report is presented as the first component of the financial section of this report.

Generally accepted accounting principles require that management provide a narrative introduction, overview, and analysis to accompany the basic financial statements in the form of Management's Discussion and Analysis. This letter of transmittal is designed to complement Management's Discussion and Analysis and should be read in conjunction with it. The City of Wood Dale's Management Discussion and Analysis can be found immediately following the report of the independent auditor.

## **Profile of the Government**

The City of Wood Dale, incorporated in 1928, is located two miles west of Chicago's O'Hare International Airport, and is less than twenty miles west/northwest of the City of Chicago. Wood Dale encompasses an area of almost 4.7 square miles and serves a population of 13,770 residents. The City of Wood Dale is empowered to levy a property tax on real properties located within its boundaries. It is also empowered, by state statute, to extend its corporate limits by annexation, when deemed appropriate by the City Council.

The City of Wood Dale operates under the council-manager form of government. Policy-making and legislative authority are vested in the City Council consisting of the mayor and eight members.

The City Council is responsible for passing ordinances, adopting the annual budget, serving on standing committees and hiring the City Manager and the City Attorney. The City Manager is responsible for carrying out the policies and ordinances of the City Council, for overseeing the day-to-day operations of the government, and for appointing the heads of the various departments.

The City Council is elected on a nonpartisan basis, with two members from each of the City's four wards. Council members serve four-year, staggered terms, with four council members elected every two years. The mayor is elected at large to serve a four-year term.

The City of Wood Dale provides a full range of services, including police protection, the construction and maintenance of infrastructure, community development and special events. The City also operates a commuter parking lot and a water and sewer utility.

The annual budget serves as the foundation for the City of Wood Dale's financial planning and control. The Council is required to adopt a final budget and appropriation ordinance by no later than the close of the fiscal year. The budget is prepared by fund and department. Department heads may make budget transfers within their departments, and the City Manager may make budget transfers between departments. Any revisions that alter the total expenditures of any fund require special approval of the City Council.

## **Local Economy**

Wood Dale is a mature community located in the O'Hare Industrial corridor of the Chicago metropolitan area, with limited land for new development. Equalized assessed valuation, (EAV) of real property at the end of the fiscal year totaled \$591,661,527, a decrease of 11.63% over the past decade. However, this valuation is an increase of 2.50% over the previous year. This continues a trend of increases with each of the past 6 years showing increase in EAV. At a rate of 33.3% of market value, the equalized assessed valuation translates into \$1.77 billion of investment in the community.

Approximately 800 businesses operate in the City, many located in the industrial and business parks in the north part of Wood Dale. Despite a respectable return in sales tax revenue after a steady decline over the past four years, the City is still actively seeking methods to attract and retain retailers, primarily focusing on locations in or near the "town center." Redevelopment of this area will occur in tandem with the improvements contemplated for the Irving Park, Wood Dale Road and Metra intersection. Construction on the Elgin-O'Hare Expressway (IL-390) has been completed through Wood Dale, and the City is doing all that it can to position itself to take advantage of this project now and into the future.

Permits for residential construction increased by 7 (22 to 29) for calendar year 2019 when compared to calendar year 2018. In addition to the decrease in residential permits, Commercial permits increased by 4, up to 40 in 2019 versus 36 in 2018. Other permits (fence, roof, windows, etc.) were down by 37 (729 to 692) in 2019 compared to 2018. Despite the decreases in the overall number of permits, the value of the permits is the highest that the City has recorded at over \$59 million, as there are a couple of large scale projects, compared to many smaller ones.

The City has long had a planned unit development comprising approximately 97 units, which finally broke ground in late FY 2016, and was finally completed in the last portion of FY 2018 with occupancy approximately two years after the facility opens. The facility continues to become more occupied every month. Additionally, there is a 150 unit development that is in the works, and construction is anticipated to begin spring 2021. Also, the final buildout of a subdivisions is moving along at a slow but steady pace. These projects, along with the increased interest along the IL-390 corridor will undoubtedly drive these numbers higher in future years.

### **Long-Term Financial Planning**

The City of Wood Dale is dedicated to long-term financial planning, and prepares and updates detailed operational and capital budgets annually. Multi-year projections of revenues and expenses are utilized when appropriate so that the City can be proactive in areas identified as potential problems.

Due to the property tax cap and the City's increasing reliance on sales taxes, the City Council will continue to consider various opportunities to increase the sales taxes collected, including strategies to attract and retain retail businesses and alternative financing strategies including special service area and tax increment financing.

A referendum question was placed on the November 6, 2006 ballot to increase the sales tax for non-home rule communities to 1% in Wood Dale. The referendum was successful and the sales tax was imposed beginning July 1, 2007. This increase is used only for the construction, purchase, or maintenance of capital assets.

The City's other long-term infrastructure needs have been identified through a street-sufficiency study and updating of the City's 5-year Capital Improvement Plan (CIP). The City passed the Non-Home Rule Sales Tax referenced above and recently increased the water and sewer rates to raise enough capital to maintain the infrastructure on a pay-as-you-go basis, to avoid the need for issuing debt.

The City Council and staff also participate in an ongoing, strategic planning process that allows for the development of long range objectives and implementation strategies. This strategic plan, coupled with the capital and operating budgets ensures that the City identifies, prioritizes, and addresses areas of concern in a comprehensive fashion.

### **Major Initiatives**

The City has been involved in a variety of projects throughout the fiscal year. These projects reflect the City's commitment to maintaining and enhancing the environment for all citizens. The most significant projects are listed below:

- Continued the implementation of the study on City-wide flooding issues, beginning with studies of the worst areas via a Stormwater Master Plan. These areas will continue be programmed into future CIP projects.
- Issued \$9.25 million for the construction of a new stormwater facility within Wards 2 & 3 as part of a larger, regional stormwater mitigation project.

- Continued to expand the use of beet juice and other salt brine mixes to aid in snow removal operations in an effort to lower our overall salt usage.
- Replaced one of the vehicle lift stations with the vehicle maintenance garage.
- Receiving the GFOA Popular Annual Financial Reporting Award for the ninth time.
- Receiving the GFOA Distinguished Budget Presentation Award for the eleventh time.
- Continuing replacing the ORION water meters with “ME” style water meters continuing to provide greater accountability for the water system.
- Continued implementation of a new document retention program, allowing greater access to historical documents.
- Continued to revise and update all economic development materials to promote economic and business growth within the City.
- Was designated as a “Tree City” for the 15<sup>th</sup> year in a row.

#### **Awards and Acknowledgements**

The Government Finance Officers Association of the United States and Canada (GFOA) awarded a Certificate of Achievement for Excellence in Financial Reporting to the City of Wood Dale for its comprehensive annual financial report for the fiscal year ended April 30, 2019. In order to be awarded a Certificate of Achievement, a government must publish an easily readable and efficiently organized comprehensive annual financial report. This report must satisfy both generally accepted accounting principles and applicable legal requirements.

A Certificate of Achievement is valid for a period of one year only. We believe that our current comprehensive annual financial report continues to meet the Certificate of Achievement Program’s requirements and we are submitting it to the GFOA to determine its eligibility for another certificate.

Preparation of this report could not have been accomplished without the efficient and dedicated service of the entire staff of the Finance Department, and the assistance of the staff of the other operating departments of the City. Credit must also be given to the Mayor and City Council for their unfailing support in maintaining the highest standards of professionalism in the management of the City of Wood Dale’s finances.

Respectfully submitted,




---

Jeffrey R. Mermuys  
City Manager




---

Bradley W. Wilson  
Finance Director

**FINANCIAL SECTION**

1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.8400

**SIKICH.COM**

## **INDEPENDENT AUDITOR'S REPORT**

The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

We have audited the accompanying financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Wood Dale, Illinois (the City) as of and for the year ended April 30, 2020, and the related notes to financial statements, which collectively comprise the City's basic financial statements as listed in the table of contents.

### **Management's Responsibility for the Financial Statements**

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### **Auditor's Responsibility**

Our responsibility is to express opinions on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits in *Governmental Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the City's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinions.

## **Opinions**

In our opinion, the financial statements referred to above present fairly, in all material respects, the respective financial position of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Wood Dale, Illinois, as of April 30, 2020, and the respective changes in financial position and, where applicable, cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

## **Change in Accounting Principle**

The City adopted GASB Statement No. 83, *Certain Asset Retirement Obligations*, which established standards for measuring and recognizing liabilities, deferred outflows of resources and expenses for asset retirement obligations; and modified certain disclosures in the notes to financial statements. The City also adopted GASB Statement No. 84, *Fiduciary Activities*, which established standards for reporting fiduciary funds and modified certain disclosures in the notes to the financial statements. Our opinion is not modified with respect to these matters.

## **Other Matters**

### *Required Supplementary Information*

Accounting principles generally accepted in the United States of America require that the management's discussion and analysis and the required supplementary information listed in the table of contents be presented to supplement the basic financial statements. Such information, although not a part of the basic financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the basic financial statements in an appropriate operational, economic, or historical context. We have applied certain limited procedures to the required supplementary information in accordance with auditing standards generally accepted in the United States of America, which consisted of inquiries of management about the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We do not express an opinion or provide any assurance on the information because the limited procedures do not provide us with sufficient evidence to express an opinion or provide any assurance.

### *Other Information*

Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the City's basic financial statements. The introductory section, combining and individual fund financial statements and schedules, other supplemental information, and statistical section are presented for purposes of additional analysis and are not a required part of the basic financial statements. The combining and individual fund financial statements and schedules and other supplemental information are the responsibility of management and were derived from and relate directly to the underlying accounting and other records used to prepare the basic financial statements.

Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the combining and individual fund financial statements and schedules and other supplemental information are fairly stated, in all material respects, in relation to the basic financial statements as a whole.

The introductory and statistical sections have not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on them.

### **Other Reporting Required by *Government Auditing Standards***

In accordance with *Governmental Auditing Standards*, we have also issued our report dated December 7, 2020, on our consideration of the City's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Governmental Auditing Standards* in considering the City's internal control over financial reporting and compliance.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020

**GENERAL PURPOSE EXTERNAL  
FINANCIAL STATEMENTS**

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

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The City of Wood Dale management's discussion and analysis is designed to assist the reader in analyzing and understanding the City's financial activities for the fiscal year ended April 30, 2020. It should be read in conjunction with the Letter of Transmittal on pages iv through viii and the financial statements, which begin on page 4.

### **Overview of the Financial Statements**

The City's basic financial statements consist of three components: 1) government-wide financial statements, 2) fund financial statements, and 3) notes to the financial statements. The basic financial statements present two different perspectives that allow the reader a broader basis of comparison in assessing the City's financial condition. This report also contains other supplementary information in addition to the basic financial statements themselves.

### **Government-Wide Financial Statements**

The government-wide financial statements are designed to provide a broad overview of the City's finances, in a format similar to that found in the financial statements of a private-sector business. They provide both long and short-term information about the City, taken as a whole.

The statements are divided into two categories: 1) governmental activities and 2) business-type activities. The governmental activities include the City of Wood Dale's basic services of public safety, public works, and general administration. The business-type activities include the water & sewer operations, refuse disposal, and the commuter parking lot.

There are two statements presented for each of these activities. The first is the **Statement of Net Position**, which presents all of the City's assets and deferred outflows of resources and liabilities and deferred inflows of resources with the difference between the two being reported as net position. Over time, increases and decreases in net position may serve as a useful indicator of whether the financial position of the City is improving or deteriorating.

The second statement is the **Statement of Activities**, which reports how the City's net position changed during the current fiscal year. All current year revenues and expenses are included regardless of the timing of the related cash flows. Thus, revenue and expenses are reported in this statement for some items that will only result in cash flows in future fiscal periods.

The government-wide financial statements can be found on pages 4 through 6 of this report.

### **Fund Financial Statements**

A fund is a grouping of related accounts used to maintain control over resources that have been segregated for specific activities or objectives. The City of Wood Dale, like other state and local governments, uses fund accounting to ensure and demonstrate compliance with finance-related legal requirements. All of the funds of the City can be divided into three categories: governmental funds, proprietary funds, and fiduciary funds. Major funds are reported separately while all others are combined into a single, aggregated presentation. Individual fund data for nonmajor funds is provided in the form of combining schedules later in this report.

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

---

**Governmental Funds**

Governmental funds are reported in the fund financial statements and encompass essentially the same functions reported as governmental activities in the government-wide financial statements. However, the focus is very different with fund statements, providing a distinctive view of the City's governmental funds. These statements report short-term fiscal accountability focusing on the use of spendable resources and balances of spendable resources available at the end of the year. They are useful in evaluating annual financing requirements of governmental programs and the commitment of spendable resources in the near term.

Since the government-wide focus includes the long-term view, comparisons between these two perspectives may provide insight into the long-term impact of short-term financing decisions. Both the governmental funds balance sheet and the governmental funds statement of revenues, expenditures and changes in fund balances provide a reconciliation to facilitate this comparison between governmental funds and governmental activities.

The City maintains ten individual governmental funds. Information is presented separately in the governmental fund balance sheet and in the governmental fund statement of revenues, expenditures and changes in fund balance for the General Fund and the Capital Projects Fund, both of which are considered to be major funds. Data from the other seven governmental funds are combined into a single, aggregated presentation.

The City of Wood Dale adopts an annual appropriation ordinance for its General Fund, as required by Illinois Statutes. A budgetary statement on page 56 has been provided for the General Fund to demonstrate compliance with this budget.

**Proprietary Funds**

The City of Wood Dale has three enterprise funds that are considered proprietary funds in the financial statements. Enterprise funds are presented as business-type activities in the government-wide financial statements, and include the funds used to account for the water & sewer utility, the commuter parking lot, and refuse disposal services (sanitation).

Proprietary funds provide the same type of information as the business-type activities in the government-wide financial statements, only in more detail. The proprietary fund financial statements provide separate information for the Water & Sewer Fund, and aggregated information for the Commuter Parking Lot Fund and the Sanitation Fund.

The basic proprietary fund financial statements can be found on pages 11 through 14 of this report.

**Fiduciary Funds**

Fiduciary funds are used to account for resources held for the benefit of parties outside of the government. Fiduciary funds are not reflected in the government-wide financial statements because the resources of those funds are not available to support the City's own programs. The accounting used for fiduciary funds is much like that used for proprietary funds. The City of Wood Dale maintains two fiduciary funds: The Police Pension Fund and the Special Service Area Fund.

The basic fiduciary fund financial statements can be found on pages 15 and 16 of this report.

**City of Wood Dale, Illinois  
Management's Discussion and Analysis  
April 30, 2020**

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**Notes to the Financial Statements**

The notes provide additional information that is essential to the full understanding of the data provided in the government-wide and fund financial statements. The notes to financial statements can be found on pages 17 through 55 of this report.

**Other Information**

In addition to the basic financial statements and accompanying notes, this report also presents certain required supplementary information concerning budget-to-actual comparisons for the General Fund.

Other supplementary information includes certain required information regarding the City's progress in funding its pension obligations to employees. Required supplementary information can be found after Notes to the Financial Statements beginning on page 56 through 63.

**Government-wide Financial Analysis**

The government-wide financial statements for the fiscal years ended April 30, 2020 and April 30, 2019 are presented below for comparative purposes. These statements reflect the operations and net position of the government as a whole. The changes in the financial statement reporting model are mandated by the Governmental Accounting Standards Board (GASB), and were first implemented by the City of Wood Dale for the period ended April 30, 2004.

**Net Position**

The following table presents the condensed Statement of Net Position:

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

		Governmental		Business-Type		Total	
		Activities		Activities		Primary Government	
		2020	2019	2020	2019	2020	2019
Current and Other							
Assets		\$ 29,822,501	\$22,926,816	\$ (918,627)	\$ 177,669	\$ 28,903,874	\$ 23,104,485
Capital Assets		77,766,623	74,395,615	50,128,493	50,194,334	127,895,116	124,589,949
	Total Assets	107,589,124	97,322,431	49,209,866	50,372,003	156,798,990	147,694,434
Deferred Outflows of Resources							
	OPEB items	2,993,807	54,282	513,444	-	3,507,251	54,282
	Pension Item - IMRF	2,523,916	2,050,326	248,904	947,538	2,772,820	2,997,864
	Pension Item - Police	3,212,258	2,618,194	-	-	3,212,258	2,618,194
	Asset Retirement Obligation	-	-	147,000	-	147,000	-
	Total Deferred Outflows	8,729,981	4,722,802	909,348	947,538	9,639,329	5,670,340
Long-term Liabilities		42,781,981	27,705,151	25,381,534	27,090,603	68,163,515	54,795,754
Other Liabilities		3,249,418	3,277,045	3,121,955	2,596,733	6,371,373	5,873,778
	Total Liabilities	46,031,399	30,982,196	28,503,489	29,687,336	74,534,888	60,669,532
Deferred Inflows of Resources							
	Deferred Revenue	3,549,519	3,162,720	-	-	3,549,519	3,162,720
	Pension Item - IMRF	517,717	277,773	647,759	125,182	1,165,476	402,955
	Pension Item - Police	1,553,151	839,491	-	-	1,553,151	839,491
	Total Deferred Inflows	5,620,387	4,279,984	647,759	125,182	6,268,146	4,405,166
Net Position:							
	Net Investment in						
	Capital Assets	75,950,423	72,764,415	24,950,168	23,610,571	100,900,591	96,374,986
	Restricted	1,872,805	4,574,007			1,872,805	4,574,007
	Unrestricted	(13,155,909)	(10,555,369)	(3,982,202)	(2,103,548)	(17,138,111)	(12,658,917)
	Total Net Position	\$ 64,667,319	\$66,783,053	\$ 20,967,966	\$ 21,507,023	\$ 85,635,285	\$ 88,290,076

Total assets and deferred outflows of resources exceeded total liabilities and resources of liabilities for governmental activities as well as business-type activities for the fiscal year ended April 30, 2020. Approximately \$100,900,591 of the City's total net position represents investment in capital assets, (buildings, equipment and infrastructure, net of related debt). \$1,872,805 of the total net position represents resources that are restricted on how they may be used. The remaining balance of unrestricted net position, (\$17,138,111), may be used to meet the City's ongoing obligations to citizens and creditors.

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

As of April 30, 2020, the City is able to report positive net position, both for the government as a whole, as well as, for its separate governmental and business-type activities. The City reports deficit unrestricted net positions for both its governmental and business-type activities as a result of the implementation of Governmental Accounting Standards Board (GASB) Statement No. 68 which required the City to record the net pension liabilities and related deferred inflows and outflows of resources on the Statement of Net Position. Factoring out the pension related amounts, the City would report a positive unrestricted net position of over \$12 million for the governmental activities and \$330 thousand for the business-type activities. For more detailed information, see the Statement of Net Position on page 4.

**Activities**

The following table summarizes the revenue and expenses of the City's activities.

	Governmental		Business-Type		Total		
	Activities		Activities		Primary Government		
	2020	2019	2020	2019	2020	2019	
<b>REVENUE</b>							
Program Revenues							
Charges for Services	\$ 2,226,578	\$ 2,067,051	\$ 9,091,179	\$ 8,819,996	\$ 11,317,757	\$ 10,887,047	
Operating Grants and Contributions	546,030	385,667	-	-	546,030	385,667	
Capital Grants	37,952	499,705	-	-	37,952	499,705	
General Revenues							
Property Taxes	5,303,337	4,898,132	-	-	5,303,337	4,898,132	
Other Taxes	10,291,782	10,415,049	-	-	10,291,782	10,415,049	
Other Revenues	326,487	1,649,355	257,378	256,538	583,865	1,905,893	
<b>TOTAL REVENUE</b>	<b>18,732,166</b>	<b>19,914,959</b>	<b>9,348,557</b>	<b>9,076,534</b>	<b>28,080,723</b>	<b>28,991,493</b>	
<b>EXPENSES</b>							
General Government	6,531,884	6,322,028			\$ 6,531,884	\$ 6,322,028	
Public Safety	9,330,076	7,692,939			9,330,076	7,692,939	
Highways and Streets	4,356,877	4,289,173			4,356,877	4,289,173	
Recreation	409,983	412,644			409,983	412,644	
Economic Development	469,080	106,554			469,080	106,554	
Interest	-	5,001			-	5,001	
Waterworks and Sewer			8,363,868	7,836,950	8,363,868	7,836,950	
Commuter Parking			182,596	206,346	182,596	206,346	
Sanitation			1,091,150	1,139,341	1,091,150	1,139,341	
<b>TOTAL EXPENSES</b>	<b>21,097,900</b>	<b>18,828,339</b>	<b>9,637,614</b>	<b>9,182,637</b>	<b>30,735,514</b>	<b>28,010,976</b>	
<b>Change in Net Position Before Transfers</b>	<b>(2,365,734)</b>	<b>1,086,620</b>	<b>(289,057)</b>	<b>(106,103)</b>	<b>(2,654,791)</b>	<b>980,517</b>	
<b>TRANSFERS</b>	<b>250,000</b>	<b>280,000</b>	<b>(250,000)</b>	<b>(280,000)</b>	<b>-</b>	<b>-</b>	
<b>CHANGE IN NET POSITION</b>	<b>(2,115,734)</b>	<b>1,366,620</b>	<b>(539,057)</b>	<b>(386,103)</b>	<b>(2,654,791)</b>	<b>980,517</b>	
<b>NET POSITION, MAY 1</b>	<b>66,783,053</b>	<b>65,416,433</b>	<b>21,507,023</b>	<b>21,893,126</b>	<b>88,290,076</b>	<b>87,309,559</b>	
<b>NET POSITION, APRIL 30</b>	<b>\$ 64,667,319</b>	<b>\$ 66,783,053</b>	<b>\$ 20,967,966</b>	<b>\$ 21,507,023</b>	<b>\$ 85,635,285</b>	<b>\$ 88,290,076</b>	

**City of Wood Dale, Illinois  
Management's Discussion and Analysis  
April 30, 2020**

**Statement of Activities**

Net position for governmental activities decreased by \$2,115,734 during fiscal year 2020. This decrease is due to an increase in General Fund expenditures namely Public Safety due to the force operating at full staff for the first time in a number of years, while also seeing a slight decrease in General Government expenses.

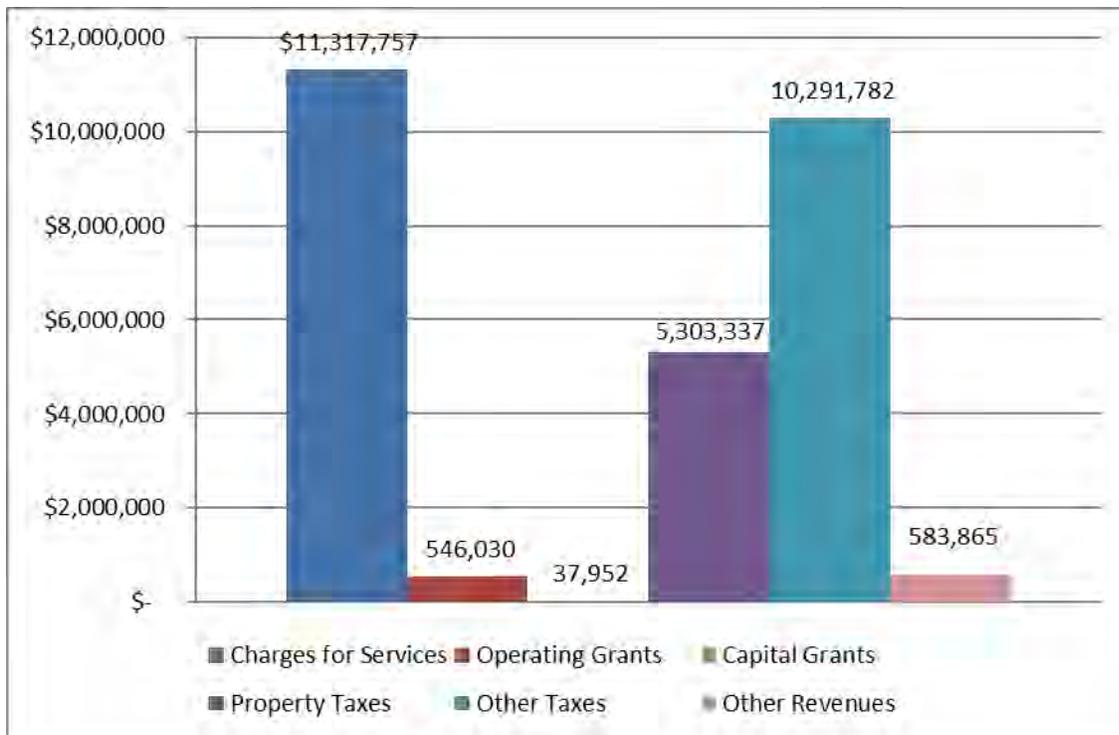
Net position for business-type activities decreased by \$539,057 in the current year when compared to the prior year. This decrease is due to an increase in expenses within the Water & Sewer Fund, Commuter Parking fund and the Sanitation Fund both seeing a decrease from last year.

Governmental activities net position of \$64,667,319 represent 75.51% of the total net position, and business-type activities net position of \$20,967,966 represent 24.49% of the total net position at April 30, 2020.

**Revenues**

Of the total City revenue, \$18,732,166 or 66.71% was accounted for by governmental activities and \$9,348,557 or 33.29% by business-type activities.

**Exhibit I  
Revenues by Source  
For the Fiscal Year Ended April 30, 2020**



**City of Wood Dale, Illinois  
Management's Discussion and Analysis  
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Revenues from governmental activities primarily consist of taxes and intergovernmental totaling \$15,595,119 and charges from services of \$2,226,578. Property taxes account for \$5,303,337 or 33.47% of the total taxes, while all other taxes (telecommunication, utility, sales, use, income and other) comprise the remaining 66.53% or \$10,291,782 of total taxes.

The remaining governmental activities revenue was generated by utility tax, hotel tax, permit fees, fines, vehicle licenses, investment income and miscellaneous revenue.

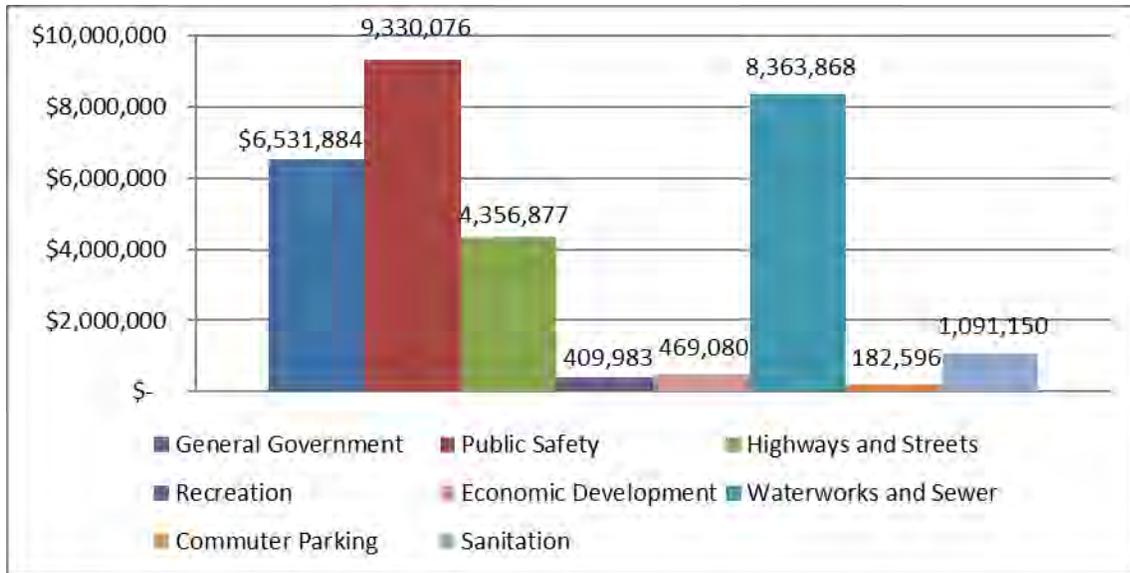
Revenues from business-type activities consist primarily of user charges for the water & sewer utility, parking fees charged to users of the commuter parking lot, and charges for refuse disposal services.

**Expenses**

Of the total City expenses, \$21,097,900 or 68.64% was accounted for by governmental activities and \$9,637,614 or 31.36% by business-type activities.

Governmental activities expenses consist of those related to public safety, highways and streets, recreation, and general government, while business-type expenses are derived from the operations for waterworks, sewerage, and sanitation services.

**Exhibit II  
Expenses by Type  
For the Fiscal Year Ended April 30, 2020**



**City of Wood Dale, Illinois  
Management's Discussion and Analysis  
April 30, 2020**

**Financial Analysis of the City's Funds**

**Major Funds – Governmental Activities**

**General Fund**

The General Fund is the major operating fund of the City. For the fiscal year ended April 30, 2020, the General Fund reported an unassigned fund balance of \$8,408,048, and total fund balance of \$9,196,440 including the restricted and non-spendable amounts. Unassigned fund balance may serve as a useful measure of a government's net resources available for spending at the end of the fiscal year, and as a measure of the General Fund's liquidity. Measuring the unassigned fund balance against total General Fund annual expenditures indicates that the unassigned fund balance equals just over 6 months or 63.81% of total annual expenditures.

At April 30, 2020, the unassigned fund balance in the General Fund was \$1,730,429 higher than the unassigned fund balance in the General Fund at April 30, 2019. This represents a 20.58% increase, which is largely related to the increase in actual revenues, decrease in actual expenditures, and a one-time increase in Transfers In. Total revenues were up \$446,188 versus the budget. Expenditures came in \$318,035 under budget. This total \$764,223 spread in revenues and expenditures was spread across multiple revenues and departments, and was not attributable to any one large event/item.

**Table 3  
General Fund Budgetary Highlights  
For the Fiscal Year Ended April 30, 2020**

	<u>Budget</u>	<u>Actual</u>	<u>Positive (Negative) Variance</u>
<b>REVENUES</b>			
Taxes	\$ 4,490,289	\$ 4,304,766	\$ (185,523)
Charges for Services	567,578	556,074	(11,504)
Other	8,556,405	9,199,620	643,215
<b>Total Revenues</b>	<b><u>13,614,272</u></b>	<b><u>14,060,460</u></b>	<b><u>446,188</u></b>
<b>EXPENDITURES</b>			
General Government	5,195,239	5,178,813	16,426
Public Safety	7,101,732	6,937,650	164,082
Highways, Streets and Sewers	1,198,338	1,060,811	137,527
<b>Total Expenditures</b>	<b><u>13,495,309</u></b>	<b><u>13,177,274</u></b>	<b><u>318,035</u></b>
<b>Excess of Revenues over Expenditures</b>	<b><u>\$ 118,963</u></b>	<b><u>\$ 883,186</u></b>	<b><u>\$ 764,223</u></b>

General fund tax revenue was \$185,523 lower than budgeted. This decrease is largely predicated on most of the taxes coming in lower than expected and below budget, especially the Telecommunications and the Utility taxes. Intergovernmental revenue was \$330,838 over budget. Sales and Income Taxes were \$238,578 higher than budgeted. Charges for Services were \$11,504 lower than budget, largely due slight decreases in multiple lines, which was offset by an increase in Rent of City owned property.

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

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The State of Illinois enacted legislation regarding the paying of the Local Government Distributive Fund monies (Income Tax), and has been following through on that action. Fines and Forfeitures came in \$154,583 lower than budget due mostly to the Red Light Enforcement, which was \$45,754 lower than budgeted and a planned drawdown of the City's DUI Tech funds.

**Capital Projects Fund**

The Capital Projects Fund is considered a major fund of the City. This fund is used to record the City's capital expenditures including land, streets, and storm sewers, as well as buildings and improvements.

Revenue for the Capital Projects Fund was \$477,632 lower than the prior year, and \$570,374 less than what was budgeted for. This is due to the current year Intergovernmental revenue coming in at \$404,090 lower than the prior year, while tax revenue came in at \$112,516 lower. Expenditures for the current year Capital Projects Fund were \$1,073,436 less than the prior year, although came in \$1,719,791 under budget. This increase is due certain projects not being completed within the fiscal year, as well as, the City has done a good job of bidding projects in a timely manner and taking advantage of aligning project geographically so as to save on mobilization and equipment transport costs.

**TIF District #1 Fund**

The TIF District #1 Fund is considered a major fund of the City. This fund is used to record the City's expenditures relating to this area, which is generally located around the newly construction I-390 extension.

Revenue for TIF District #1 was \$331,244 higher than the prior year, and \$129,137 higher than what was budgeted for. This increase is due to the increase in property tax revenue received, which is a positive sign that the TIF District is performing well and property values within the District are increasing. Expenditures were \$4,403,046 million higher than the prior year, and \$3,289,669 higher than what was budgeted for. This increase is due to the City acquiring a parcel of land. The parcel is adjacent the City's current Public Works facility, and there are plans to combine both parcels into a new, larger, more efficient campus for the Public Works department.

**Other Funds – Business-type Activities**

The City maintains three enterprise funds that comprise its business-type activities. The Water/Sewer Operations Fund is considered a major fund, while the Commuter Parking Lot Fund and the Sanitation Fund are considered nonmajor funds.

**Capital Assets and Debt Administration**

At the end of fiscal year 2020, the City has a combined total of \$127,895,116 invested in capital assets. The table below lists the assets by category.

**City of Wood Dale, Illinois  
Management's Discussion and Analysis  
April 30, 2020**

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**Table 4  
Investment in Capital Assets**

Land and Land Improvements	\$ 14,766,879
Lands - Right of Way	12,486,207
Construction in Progress	4,836,982
Buildings and Improvements	4,560,821
Vehicles and Equipment	12,645,870
Streets/Sidewalks/Bridges	111,659,187
Parking Lot Improvements	2,075,298
Water & Sewer Mains	<u>56,315,713</u>
	219,346,957
Less: Accumulated Depreciation	<u>(91,451,841)</u>
Capital Assets, Net of Depreciation	<u><u>\$ 127,895,116</u></u>

See Notes to the Financial Statements No. 3 on pages 27-28 for additional information.

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

**Long-Term Debt**

The City had no outstanding general obligation debt as of April 30, 2020. However, the City did issue \$9,995,000 in Alternate Revenue Bonds for the North Wastewater Treatment Plant upgrade project. The City received a AA Stable rating from Standard & Poor's, and issued the debt in February 2012. In early 2014, the City was upgraded to a AAA bond rating. That rating was reaffirmed in December 2017. While it was preparing the issue the 2020A bonds, the City was downgraded slightly to AA+ Stable in January 2020.

The City also recorded long-term debt of \$70,574,844, of which 35.68% or \$25,178,325 is related to the debt for the Treatment Plant upgrades (\$7,580,000 Alternate Revenue Bonds and \$17,598,325 IEPA Loan), 61.88% (\$43,671,069) is for Governmental Activities and 6.41% (\$1,725,450) is for Business-Type Activities net of the Treatment Plant upgrades. The debt associated with Governmental Activities is comprised of amounts payable for compensated employee absences, the City's net pension liabilities to the Police Pension Fund and IMRF, and Other Post-Employment Benefits (OPEB) obligation. The debt associated with Business-Type Activities (exclusive of the Alternate Revenue Bonds) is comprised of compensated employee absences and net pension liability to IMRF.

Long Term Debt as of April 30, 2020 is \$13,885,094 more than April 30, 2019. This increase is due to a change for Net Pension Liabilities – Police & IMRF obligation and Net OPEB obligations as they relate to the Governmental Activities and compensated absences within the Business-type Activities. That being said, a decrease of \$965,438 is related and due to the IEPA loan payments made during the year.

In accordance with GASB 45 (Other Post-Employment Benefits) the City recognized an obligation of \$5,634,181. The increase is based upon a new valuation based upon newer data, as well as, an updated cost for the one Police officer receiving a duty disability and receiving the Public Safety Employee Benefit Act (PSEBA) benefit to have their health insurance covered for life, wholly at the expense of the City. Except for the one employee receiving PSEBA benefits, the City has no explicit cost associated with this obligation as the entire amount is an implicit cost as the City contributes nothing to retiree health care costs. Based upon the entire amount being implicit and not actually due, the City does not intend to fund any of this obligation.

In accordance with GASB 67 & 68 (Financial Reporting for Pension Plans and Accounting and Financial Reporting for Pensions, respectively) the City recognized an obligation of \$26,781,377.

**Table 5**  
**Long Term Debt**

	<b>Governmental Activities</b>	<b>Business-Type Activities</b>	<b>Total</b>
Net Pension Liability - IMRF	\$ 1,203,872	\$ 539,309	\$ 1,743,181
Net Pension Liability - Police Pension	25,038,196		25,038,196
Net OPEB Obligation	5,634,181	966,273	6,600,454
Loan Payable	1,631,200		1,631,200
2020A GO ARS Boind	9,250,000		9,250,000
Unamortized bond premium	365,204		365,204
Alternate Revenue Bonds		7,580,000	7,580,000
IEPA Loan		17,598,325	17,598,325
Asset retirement obligation		150,000	150,000
Compensated Absences	548,416	69,868	618,284
<b>TOTAL</b>	<b>\$ 43,671,069</b>	<b>\$ 26,903,775</b>	<b>\$ 70,574,844</b>

See Notes to the Financial Statements No. 6 on pages 30-34 for additional information.

**City of Wood Dale, Illinois**  
**Management's Discussion and Analysis**  
**April 30, 2020**

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Economic Factors and Next Year's Budget and Rates

Property taxes provide the City of Wood Dale with a stable revenue source, although this source is subject to the annual tax cap and will not grow appreciably during the next fiscal year. Shared revenues from the State of Illinois continue to make up a significant source of revenues. However, concern over the State of Illinois budget crisis and its potential impact on state per capita taxes (use, income and MFT) have subsided for the time being, the City may still need to seek alternate revenue sources at some point in the future. As a non-home rule community, the City must seek voter approval through referendum in order to raise property taxes above those allowed the tax cap legislation or sales tax rates.

The City placed the question of whether or not the voters wish the City to impose an additional 1% non-home rule sales tax on the November 6, 2006 ballot. This referendum was successful and a 1% non-home rule sales tax was implemented on July 1, 2007.

The budget for fiscal year 2020 - 2021 was approved by the City Council on March 16, 2020. Expenditures in this budget totaled \$51,804,464 for all funds, a \$14,205,688 or a 37.78% increase compared to fiscal year 2019 - 2020. The majority of the increase is due to a major stormwater control project within the Capital Projects Fund, a large TIF project, and the ordinary incremental organic increases within the General and Water/Sewer Funds, with slight decreases in the remaining Governmental Funds and the Agency Funds.

The COVID-19 pandemic has caused significant business disruption through mandated and voluntary closings of City locations. Management, with the support of the City Council, have responded with operational adjustments to ensure the stability of the City's finances. The duration and ultimate financial impact cannot be reasonably estimated at this time.

**Requests for Information**

This financial report is designed to provide our citizens, customers, investors, and creditors with a general overview of the City's finances and to demonstrate the City's commitment to public accountability. If you have any questions about this report or wish to request additional information, please contact the Finance Department of the City of Wood Dale, 404 N. Wood Dale Road, Wood Dale, Illinois 60191.

CITY OF WOOD DALE, ILLINOIS

STATEMENT OF NET POSITION

April 30, 2020

	<b>Primary Government</b>		
	<b>Governmental Activities</b>	<b>Business-Type Activities</b>	<b>Total</b>
<b>ASSETS</b>			
Cash	\$ 7,927,586	\$ 974,978	\$ 8,902,564
Receivables, net of allowance for uncollectibles			
Taxes	3,248,222	-	3,248,222
Accounts	3,105,647	1,651,938	4,757,585
Interest	7,821	747	8,568
Bond proceeds	9,430,204	-	9,430,204
Due from other governments	2,171,217	-	2,171,217
Prepaid items	286,936	79,793	366,729
Inventory	18,785	-	18,785
Internal balances	3,626,083	(3,626,083)	-
Capital assets			
Nondepreciable	30,667,740	1,296,228	31,963,968
Depreciable, net of accumulated depreciation	47,098,883	48,832,265	95,931,148
<b>Total assets</b>	<b>107,589,124</b>	<b>49,209,866</b>	<b>156,798,990</b>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>			
Pension items	5,736,174	248,904	5,985,078
OPEB items	2,993,807	513,444	3,507,251
Asset retirement obligation	-	147,000	147,000
<b>Total deferred outflows of resources</b>	<b>8,729,981</b>	<b>909,348</b>	<b>9,639,329</b>
<b>Total assets and deferred outflows of resources</b>	<b>116,319,105</b>	<b>50,119,214</b>	<b>166,438,319</b>
<b>LIABILITIES</b>			
Accounts payable	1,270,113	979,841	2,249,954
Accrued payroll	388,023	96,030	484,053
Accrued interest payable	4,078	206,877	210,955
Deposits payable	602,458	316,966	919,424
Unearned revenue	95,658	-	95,658
Noncurrent liabilities			
Due within one year	889,088	1,522,241	2,411,329
Due in more than one year	42,781,981	25,381,534	68,163,515
<b>Total liabilities</b>	<b>46,031,399</b>	<b>28,503,489</b>	<b>74,534,888</b>
<b>DEFERRED INFLOWS OF RESOURCES</b>			
Deferred revenue	3,549,519	-	3,549,519
Pension items	2,070,868	647,759	2,718,627
<b>Total deferred inflows of resources</b>	<b>5,620,387</b>	<b>647,759</b>	<b>6,268,146</b>
<b>Total liabilities and deferred inflows of resources</b>	<b>51,651,786</b>	<b>29,151,248</b>	<b>80,803,034</b>
<b>NET POSITION</b>			
Net investment in capital assets	75,950,423	24,950,168	100,900,591
Restricted for			
Public safety	434,348	-	434,348
Highways and streets	1,109,566	-	1,109,566
Tourism	242,901	-	242,901
Economic development	85,990	-	85,990
Unrestricted (deficit)	(13,155,909)	(3,982,202)	(17,138,111)
<b>TOTAL NET POSITION</b>	<b>\$ 64,667,319</b>	<b>\$ 20,967,966</b>	<b>\$ 85,635,285</b>

See accompanying notes to financial statements.

**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF ACTIVITIES

For the Year Ended April 30, 2020

FUNCTIONS/PROGRAMS	Expenses	Program Revenues		
		Charges for Services	Operating Grants and Contributions	Capital Grants and Contributions
<b>PRIMARY GOVERNMENT</b>				
Governmental Activities				
General government	\$ 6,531,884	\$ 1,111,951	\$ -	\$ -
Public safety	9,330,076	982,525	37,894	-
Highways and streets	4,356,877	-	508,136	37,952
Recreation	409,983	132,102	-	-
Economic development	469,080	-	-	-
Total governmental activities	21,097,900	2,226,578	546,030	37,952
Business-Type Activities				
Water/sewer operations	8,363,868	7,897,831	-	-
Commuter parking	182,596	109,396	-	-
Sanitation	1,091,150	1,083,952	-	-
Total business-type activities	9,637,614	9,091,179	-	-
<b>TOTAL PRIMARY GOVERNMENT</b>	<b>\$ 30,735,514</b>	<b>\$ 11,317,757</b>	<b>\$ 546,030</b>	<b>\$ 37,952</b>

	<b>Net (Expense) Revenue and Change in Net Position</b>		
	<b>Primary Government</b>		
	<b>Governmental</b>	<b>Business-Type</b>	<b>Total</b>
	<b>Activities</b>	<b>Activities</b>	
	\$ (5,419,933)	\$ -	\$ (5,419,933)
	(8,309,657)	-	(8,309,657)
	(3,810,789)	-	(3,810,789)
	(277,881)	-	(277,881)
	(469,080)	-	(469,080)
	<u>(18,287,340)</u>	<u>-</u>	<u>(18,287,340)</u>
	-	(466,037)	(466,037)
	-	(73,200)	(73,200)
	-	(7,198)	(7,198)
	-	(546,435)	(546,435)
	<u>(18,287,340)</u>	<u>(546,435)</u>	<u>(18,833,775)</u>
General Revenues			
Taxes			
Property and replacement	5,303,337	-	5,303,337
Telecommunications	877,888	-	877,888
Utility	983,311	-	983,311
Other taxes	343,127	-	343,127
Intergovernmental - unrestricted			
Sales and use tax	6,592,751	-	6,592,751
Shared income tax	1,494,705	-	1,494,705
Investment income	146,637	7,388	154,025
Miscellaneous	179,850	249,990	429,840
Transfers	250,000	(250,000)	-
Total	<u>16,171,606</u>	<u>7,378</u>	<u>16,178,984</u>
CHANGE IN NET POSITION	(2,115,734)	(539,057)	(2,654,791)
NET POSITION, MAY 1	<u>66,783,053</u>	<u>21,507,023</u>	<u>88,290,076</u>
NET POSITION, APRIL 30	<u>\$ 64,667,319</u>	<u>\$ 20,967,966</u>	<u>\$ 85,635,285</u>

**CITY OF WOOD DALE, ILLINOIS**

BALANCE SHEET  
GOVERNMENTAL FUNDS

April 30, 2020

	<b>General</b>	<b>Capital Projects</b>	<b>TIF District</b>	<b>Nonmajor Governmental</b>	<b>Total</b>
<b>ASSETS</b>					
Cash and investments	\$ 3,967,580	\$ 1,688,118	\$ 114,072	\$ 2,157,816	\$ 7,927,586
Receivables, net of allowance for uncollectibles					
Taxes	3,248,222	-	-	-	3,248,222
Accounts	3,103,599	-	-	2,048	3,105,647
Interest	7,674	147	-	-	7,821
Bond proceeds	-	9,430,204	-	-	9,430,204
Due from other funds	2,444,870	-	-	-	2,444,870
Due from other governments	1,238,271	892,106	-	40,840	2,171,217
Prepaid items	286,936	-	-	-	286,936
Inventory	18,785	-	-	-	18,785
Advances to other funds	77,587	-	-	1,103,626	1,181,213
<b>TOTAL ASSETS</b>	<b>\$ 14,393,524</b>	<b>\$ 12,010,575</b>	<b>\$ 114,072</b>	<b>\$ 3,304,330</b>	<b>\$ 29,822,501</b>
<b>LIABILITIES, DEFERRED INFLOWS OF RESOURCES, AND FUND BALANCES</b>					
<b>LIABILITIES</b>					
Accounts payable	\$ 463,584	\$ 737,328	\$ 28,082	\$ 41,119	\$ 1,270,113
Accrued payroll	386,566	-	-	1,457	388,023
Deposits payable	500,342	101,317	-	799	602,458
Unearned revenue	95,658	-	-	-	95,658
Total liabilities	1,446,150	838,645	28,082	43,375	2,356,252
<b>DEFERRED INFLOWS OF RESOURCES</b>					
Unavailable property taxes	3,248,221	-	-	-	3,248,221
Unavailable revenue - IRMA	201,415	-	-	-	201,415
Unavailable revenue	301,298	344,816	-	-	646,114
Total deferred inflows of resources	3,750,934	344,816	-	-	4,095,750
Total liabilities and deferred inflows of resources	5,197,084	1,183,461	28,082	43,375	6,452,002
<b>FUND BALANCES</b>					
Nonspendable - advance to other funds	77,587	-	-	-	77,587
Nonspendable - prepaid items	286,936	-	-	-	286,936
Nonspendable - inventory	18,785	-	-	-	18,785
Restricted for public safety	405,084	-	-	29,264	434,348
Restricted for highways and streets	-	-	-	1,109,566	1,109,566
Restricted for tourism	-	-	-	242,901	242,901
Restricted for economic development	-	-	85,990	-	85,990
Restricted for capital projects	-	9,430,204	-	-	9,430,204
Assigned for capital projects	-	1,396,910	-	1,879,224	3,276,134
Unassigned					
General	8,408,048	-	-	-	8,408,048
Total fund balances	9,196,440	10,827,114	85,990	3,260,955	23,370,499
<b>TOTAL LIABILITIES, DEFERRED INFLOWS OF RESOURCES, AND FUND BALANCES</b>	<b>\$ 14,393,524</b>	<b>\$ 12,010,575</b>	<b>\$ 114,072</b>	<b>\$ 3,304,330</b>	<b>\$ 29,822,501</b>

See accompanying notes to financial statements.

**CITY OF WOOD DALE, ILLINOIS**

RECONCILIATION OF FUND BALANCES OF GOVERNMENTAL FUNDS TO THE  
GOVERNMENTAL ACTIVITIES IN THE STATEMENT OF NET POSITION

April 30, 2020

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<b>FUND BALANCES OF GOVERNMENTAL FUNDS</b>	\$ 23,370,499
Amounts reported for governmental activities in the statement of net position are different because:	
Capital assets used in governmental activities are not financial resources and, therefore, are not reported in the governmental funds	77,766,623
Other long-term assets are not available to pay for current period expenditures and, therefore, are deferred in the governmental funds	546,231
Net pension liability for the Illinois Municipal Retirement Fund is shown as a liability on the statement of net position	(1,203,872)
Net pension liability for the Police Pension Fund is shown as a liability on the statement of net position	(25,038,196)
Differences between expected and actual experiences, assumption changes, net differences between projected and actual earnings, and contributions subsequent to the measurement date for the Illinois Municipal Retirement Fund are recognized as deferred outflows and inflows of resources on the statement of net position	(875,105)
Differences between expected and actual experiences, assumption changes, net differences between projected and actual earnings for the Police Pension Fund are as deferred outflows and inflows of resources on the statement of net position	4,540,411
Differences between expected and actual experiences, assumption changes, net differences between projected and actual earnings for the OPEB liability are as deferred outflows and inflows of resources on the statement of net position	2,993,807
Long-term liabilities are not due and payable in the current period and, therefore, are not reported in the governmental funds	
Bonds payable	(9,250,000)
Loans payable	(1,631,200)
Unamortized premium	(365,204)
OPEB liability	(5,634,181)
Accrued interest	(4,078)
Compensated absences	(548,416)
<b>NET POSITION OF GOVERNMENTAL ACTIVITIES</b>	<u>\$ 64,667,319</u>

See accompanying notes to financial statements.

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**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF REVENUES, EXPENDITURES, AND CHANGES IN FUND BALANCES  
GOVERNMENTAL FUNDS

For the Year Ended April 30, 2020

	<b>General</b>	<b>Capital Projects</b>	<b>TIF District</b>	<b>Nonmajor Governmental</b>	<b>Total</b>
<b>REVENUES</b>					
Taxes	\$ 4,304,766	\$ 3,106,870	\$ 1,878,894	\$ 586,519	\$ 9,877,049
Licenses and permits	972,152	-	-	-	972,152
Intergovernmental	5,759,295	-	-	540,625	6,299,920
Charges for services	556,074	-	-	-	556,074
Fines, fees, and forfeits	744,817	-	-	-	744,817
Investment income	102,708	6,851	25,243	11,835	146,637
Miscellaneous	1,620,648	-	-	137,403	1,758,051
<b>Total revenues</b>	<b>14,060,460</b>	<b>3,113,721</b>	<b>1,904,137</b>	<b>1,276,382</b>	<b>20,354,700</b>
<b>EXPENDITURES</b>					
Current					
General government	5,178,813	-	-	31,103	5,209,916
Public safety	6,937,650	-	-	5,424	6,943,074
Highways and streets	1,060,811	252,385	-	341,516	1,654,712
Recreation	-	-	-	409,983	409,983
Economic development	-	-	393,669	-	393,669
Capital outlay	-	2,724,919	4,121,000	131,847	6,977,766
<b>Total expenditures</b>	<b>13,177,274</b>	<b>2,977,304</b>	<b>4,514,669</b>	<b>919,873</b>	<b>21,589,120</b>
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>	<b>883,186</b>	<b>136,417</b>	<b>(2,610,532)</b>	<b>356,509</b>	<b>(1,234,420)</b>
<b>OTHER FINANCING SOURCES (USES)</b>					
Transfers in	1,280,665	90,000	-	629,509	2,000,174
Transfers (out)	(379,509)	(837,947)	-	(532,718)	(1,750,174)
Premium on bonds	-	365,204	-	-	365,204
Bond issuance	-	9,250,000	-	-	9,250,000
Proceeds on sale of capital assets	-	-	-	9,386	9,386
<b>Total other financing sources (uses)</b>	<b>901,156</b>	<b>8,867,257</b>	<b>-</b>	<b>106,177</b>	<b>9,874,590</b>
<b>NET CHANGE IN FUND BALANCES</b>	<b>1,784,342</b>	<b>9,003,674</b>	<b>(2,610,532)</b>	<b>462,686</b>	<b>8,640,170</b>
<b>FUND BALANCES, MAY 1</b>	<b>7,412,098</b>	<b>1,823,440</b>	<b>2,696,522</b>	<b>2,798,269</b>	<b>14,730,329</b>
<b>FUND BALANCES, APRIL 30</b>	<b>\$ 9,196,440</b>	<b>\$ 10,827,114</b>	<b>\$ 85,990</b>	<b>\$ 3,260,955</b>	<b>\$ 23,370,499</b>

**CITY OF WOOD DALE, ILLINOIS**

RECONCILIATION OF THE GOVERNMENTAL FUNDS STATEMENT OF REVENUES,  
EXPENDITURES, AND CHANGES IN FUND BALANCES TO THE  
GOVERNMENTAL ACTIVITIES IN THE STATEMENT OF ACTIVITIES

For the Year Ended April 30, 2020

**NET CHANGE IN FUND BALANCES -**  
**TOTAL GOVERNMENTAL FUNDS** \$ 8,640,170

Amounts reported for governmental activities in the statement of activities  
are different because:

Governmental funds report capital outlay as expenditures; however, they are capitalized and depreciated in the statement of activities	
Capitalized capital assets	6,171,990
Depreciation expense	(2,800,982)

Revenues in the statement of activities that are not available in governmental funds are not reported as revenue in governmental funds until received	(1,631,920)
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The issuance of long-term debt is reported as an other financing source in governmental funds but as an increase of principal outstanding in the statement of activities	
Bonds issued	(9,250,000)
Premium on issuance of bonds	(365,204)

The change in compensated absences does not require a current financial resource	(95,482)
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The change in the net pension liability for the Illinois Municipal Retirement Fund is reported only in the statement of activities	2,117,478
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The change in deferred inflows and outflows of resources for the Illinois Municipal Retirement Fund is reported only in the statement of activities	(2,647,658)
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The change in the Police Pension Fund net pension liability is not a source or use of financial resources	(4,715,492)
--	-------------

The change in deferred inflows and outflows of resources for the Police Pension Fund is reported only in the statement of activities	2,761,708
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The change in the OPEB liability is not a source or use of a financial resource	(3,239,867)
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The change in deferred inflows and outflows of resources for the OPEB liability is reported only in the statement of activities	<u>2,939,525</u>
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**CHANGE IN NET POSITION OF GOVERNMENTAL ACTIVITIES** \$ (2,115,734)

CITY OF WOOD DALE, ILLINOIS

STATEMENT OF NET POSITION  
PROPRIETARY FUNDS

April 30, 2020

	Water/Sewer Operations	Nonmajor Enterprise	Total
<b>CURRENT ASSETS</b>			
Cash and investments	\$ 662,961	\$ 312,017	\$ 974,978
Accounts receivable	1,311,218	340,720	1,651,938
Accrued interest receivable	747	-	747
Prepaid items	78,673	1,120	79,793
Total current assets	<u>2,053,599</u>	<u>653,857</u>	<u>2,707,456</u>
<b>NONCURRENT ASSETS</b>			
Capital assets			
Nondepreciable	1,036,228	260,000	1,296,228
Depreciable, net of accumulated depreciation	<u>47,315,681</u>	<u>1,516,584</u>	<u>48,832,265</u>
Total capital assets	<u>48,351,909</u>	<u>1,776,584</u>	<u>50,128,493</u>
Total assets	<u>50,405,508</u>	<u>2,430,441</u>	<u>52,835,949</u>
<b>DEFERRED OUTFLOWS OF RESOURCES</b>			
Pension items - IMRF	248,904	-	248,904
Pension items - OPEB	513,444	-	513,444
Asset retirement obligation	<u>147,000</u>	<u>-</u>	<u>147,000</u>
Total deferred outflows of resources	<u>909,348</u>	<u>-</u>	<u>909,348</u>
<b>CURRENT LIABILITIES</b>			
Accounts payable	841,227	138,614	979,841
Accrued payroll	96,030	-	96,030
Accrued interest payable	206,877	-	206,877
Deposits payable	316,716	250	316,966
Compensated absences	62,881	-	62,881
Bonds payable	455,000	-	455,000
IEPA loan payable	984,161	-	984,161
OPEB liability	<u>20,199</u>	<u>-</u>	<u>20,199</u>
Total current liabilities	<u>2,983,091</u>	<u>138,864</u>	<u>3,121,955</u>
<b>NONCURRENT LIABILITIES</b>			
Advance from other funds	3,248,496	377,587	3,626,083
Bonds payable	7,125,000	-	7,125,000
IEPA loan payable	16,614,164	-	16,614,164
Net pension liability - IMRF	539,309	-	539,309
OPEB liability	946,074	-	946,074
Asset retirement obligation	150,000	-	150,000
Compensated absences	<u>6,987</u>	<u>-</u>	<u>6,987</u>
Total noncurrent liabilities	<u>28,630,030</u>	<u>377,587</u>	<u>29,007,617</u>
Total liabilities	<u>31,613,121</u>	<u>516,451</u>	<u>32,129,572</u>
<b>DEFERRED INFLOWS OF RESOURCES</b>			
Pension items - IMRF	<u>647,759</u>	<u>-</u>	<u>647,759</u>
Total deferred inflows of resources	<u>647,759</u>	<u>-</u>	<u>647,759</u>
<b>NET POSITION</b>			
Net investment in capital assets	23,173,584	1,776,584	24,950,168
Unrestricted (deficit)	<u>(4,119,608)</u>	<u>137,406</u>	<u>(3,982,202)</u>
<b>TOTAL NET POSITION</b>	<u>\$ 19,053,976</u>	<u>\$ 1,913,990</u>	<u>\$ 20,967,966</u>

See accompanying notes to financial statements.

**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF REVENUES, EXPENSES, AND  
CHANGES IN NET POSITION  
PROPRIETARY FUNDS

For the Year Ended April 30, 2020

	<b>Water/Sewer Operations</b>	<b>Nonmajor Enterprise</b>	<b>Total</b>
<b>OPERATING REVENUES</b>			
Charges for services	\$ 7,679,198	\$ 1,193,048	\$ 8,872,246
Miscellaneous	218,633	300	218,933
Total operating revenues	<u>7,897,831</u>	<u>1,193,348</u>	<u>9,091,179</u>
<b>OPERATING EXPENSES</b>			
Operations	6,689,382	1,207,647	7,897,029
Depreciation	1,126,668	66,099	1,192,767
Total operating expenses	<u>7,816,050</u>	<u>1,273,746</u>	<u>9,089,796</u>
OPERATING INCOME (LOSS)	<u>81,781</u>	<u>(80,398)</u>	<u>1,383</u>
<b>NON-OPERATING REVENUES (EXPENSES)</b>			
Investment income	6,512	876	7,388
Rental income	249,990	-	249,990
Interest expense	(547,818)	-	(547,818)
Total non-operating revenues (expenses)	<u>(291,316)</u>	<u>876</u>	<u>(290,440)</u>
INCOME (LOSS) BEFORE TRANSFERS	<u>(209,535)</u>	<u>(79,522)</u>	<u>(289,057)</u>
<b>TRANSFERS</b>			
Transfers (out)	<u>(250,000)</u>	<u>-</u>	<u>(250,000)</u>
Total transfers	<u>(250,000)</u>	<u>-</u>	<u>(250,000)</u>
CHANGE IN NET POSITION	<u>(459,535)</u>	<u>(79,522)</u>	<u>(539,057)</u>
NET POSITION, MAY 1	<u>19,513,511</u>	<u>1,993,512</u>	<u>21,507,023</u>
<b>NET POSITION, APRIL 30</b>	<u><u>\$ 19,053,976</u></u>	<u><u>\$ 1,913,990</u></u>	<u><u>\$ 20,967,966</u></u>

**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF CASH FLOWS  
PROPRIETARY FUNDS

For the Year Ended April 30, 2020

	<b>Water/Sewer Operations</b>	<b>Nonmajor Enterprise</b>	<b>Total</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts from customers and users	\$ 7,958,427	\$ 1,167,967	\$ 9,126,394
Receipts from miscellaneous revenues	218,633	300	218,933
Payments to suppliers	(3,178,736)	(807,650)	(3,986,386)
Payments to employees	(1,967,005)	-	(1,967,005)
General fund administrative charges	(760,000)	(398,000)	(1,158,000)
Net cash from operating activities	<u>2,271,319</u>	<u>(37,383)</u>	<u>2,233,936</u>
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>			
Payments on interfund loans/advances	1,607,373	(30,000)	1,577,373
Transfers to other funds	(250,000)	-	(250,000)
Net cash from noncapital financing activities	<u>1,357,373</u>	<u>(30,000)</u>	<u>1,327,373</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Proceeds from sales and maturities of investments	(4,123)	-	(4,123)
Interest received	7,118	876	7,994
Net cash from investing activities	<u>2,995</u>	<u>876</u>	<u>3,871</u>
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>			
Purchase of capital assets	(1,126,927)	-	(1,126,927)
Principal paid	(1,405,438)	-	(1,405,438)
Interest and fees paid	(558,515)	-	(558,515)
Net cash from capital and related financing activities	<u>(3,090,880)</u>	<u>-</u>	<u>(3,090,880)</u>
NET INCREASE (DECREASE) IN CASH AND CASH EQUIVALENTS	540,807	(66,507)	474,300
CASH AND CASH EQUIVALENTS, MAY 1	-	378,524	378,524
<b>CASH AND CASH EQUIVALENTS, APRIL 30</b>	<u>\$ 540,807</u>	<u>\$ 312,017</u>	<u>\$ 852,824</u>

**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF CASH FLOWS (Continued)  
 PROPRIETARY FUNDS

For the Year Ended April 30, 2020

	<b>Water/Sewer Operations</b>	<b>Nonmajor Enterprise</b>	<b>Total</b>
<b>RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Operating income (loss)	\$ 81,781	\$ (80,398)	\$ 1,383
Adjustments to reconcile operating income (loss) to net cash from operating activities			
Depreciation	1,126,668	66,099	1,192,767
Rental income	249,990	-	249,990
Changes in net position			
Accounts receivable	8,354	(24,901)	(16,547)
Prepaid expenses	14,324	(1,038)	13,286
Deferred outflows - IMRF	689,325	-	689,325
Deferred outflows - OPEB	(504,135)	-	(504,135)
Deferred outflows - ARO	(147,000)	-	(147,000)
Accounts payable	441,214	3,035	444,249
Accrued payroll	25,371	-	25,371
Deferred inflows - IMRF	522,577	-	522,577
Net pension liability - IMRF	(969,225)	-	(969,225)
OPEB liability	555,644	-	555,644
Asset retirement obligation	150,000	-	150,000
Compensated absences	5,546	-	5,546
Deposits payable	20,885	(180)	20,705
<b>NET CASH FROM OPERATING ACTIVITIES</b>	<b>\$ 2,271,319</b>	<b>\$ (37,383)</b>	<b>\$ 2,233,936</b>
<b>CASH AND INVESTMENTS</b>			
Cash and cash equivalents	\$ 540,807	\$ 312,017	\$ 852,824
Investments	122,154	-	122,154
<b>TOTAL CASH AND INVESTMENTS</b>	<b>\$ 662,961</b>	<b>\$ 312,017</b>	<b>\$ 974,978</b>

**CITY OF WOOD DALE, ILLINOIS**

**STATEMENT OF FIDUCIARY NET POSITION**

April 30, 2020

	<u>Pension Trust</u>	<u>Custodial</u>
	<u>Police</u>	<u>Special Service</u>
	<u>Pension</u>	<u>Areas</u>
<b>ASSETS</b>		
Cash	\$ 979,374	\$ 67,534
Investments		
U.S. Treasury obligations	1,852,220	-
U.S. agency securities	2,455,462	-
Mutual funds	14,949,710	-
Corporate bonds	5,131,621	-
Municipal bonds	61,728	-
Money market mutual funds	380,022	-
Accrued interest receivable	50,652	-
Prepaid items	12,027	-
	<hr/>	<hr/>
Total assets	25,872,816	67,534
	<hr/>	<hr/>
<b>LIABILITIES</b>		
Accounts payable	2,164	-
	<hr/>	<hr/>
Total liabilities	2,164	-
	<hr/>	<hr/>
<b>NET POSITION RESTRICTED</b>		
Restricted for pension benefits	25,870,652	-
Restricted for debt service	-	67,534
	<hr/>	<hr/>
<b>TOTAL NET POSITION</b>	<b>\$ 25,870,652</b>	<b>\$ 67,534</b>
	<hr/> <hr/>	<hr/> <hr/>

**CITY OF WOOD DALE, ILLINOIS**

STATEMENT OF CHANGES IN FIDUCIARY NET POSITION

For the Year Ended April 30, 2020

	<b>Pension Trust</b>	<b>Custodial</b>
	<b>Police</b>	<b>Special Service</b>
	<b>Pension</b>	<b>Areas</b>
<b>ADDITIONS</b>		
Contributions		
Employer contributions	\$ 1,423,207	\$ -
Employee contributions	328,238	-
Property owner	-	667,140
Total contributions	<u>1,751,445</u>	<u>667,140</u>
Investment income		
Net depreciation in fair value of investments	(1,072,540)	-
Interest and dividends	807,720	8,215
Total investment income	<u>(264,820)</u>	<u>8,215</u>
Less investment expense	(78,981)	-
Net investment income	<u>(343,801)</u>	<u>8,215</u>
Total additions	<u>1,407,644</u>	<u>675,355</u>
<b>DEDUCTIONS</b>		
Pension benefits	1,963,984	-
Administrative expenses	53,053	-
Contractual services		
Financial services	-	9,613
Debt services		
Principal retirement	-	566,699
Interest	-	98,237
Total deductions	<u>2,017,037</u>	<u>674,549</u>
CHANGE IN NET POSITION	<u>(609,393)</u>	<u>806</u>
<b>NET POSITION</b>		
May 1	26,480,045	-
Change in accounting principle	-	66,728
May 1, as restated	<u>26,480,045</u>	<u>66,728</u>
April 30	<u>\$ 25,870,652</u>	<u>\$ 67,534</u>

See accompanying notes to financial statements.

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# CITY OF WOOD DALE, ILLINOIS

## NOTES TO FINANCIAL STATEMENTS

April 30, 2020

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### 1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The financial statements of the City of Wood Dale, Illinois (the City) have been prepared in accordance with accounting principles generally accepted in the United States of America, as applied to government units (hereinafter referred to as generally accepted accounting principles (GAAP)). The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles. The more significant of the City's accounting policies are described below.

#### a. Reporting Entity

The City is a municipal corporation governed by a nine-member council consisting of eight aldermen and the mayor. As required by generally accepted accounting principles, these financial statements present the City (the primary government). In evaluating how to define the reporting entity, management has considered all potential component units. The decision to include a potential component unit in the reporting entity was made based upon the significance of their operational or financial relationships with the primary government. Based on these criteria, the City is not considered a component unit of any other governmental unit.

The Police Pension Fund has been included as a fiduciary component unit reported as a Pension Trust Fund. The Police Pension Fund functions for the benefit of the City's sworn police employees and is governed by a five-member pension board. Two members appointed by the Mayor, the City Treasurer, and two elected police officers constitute the pension board. The City and the Police Pension Fund participants are obligated to fund all the Police Pension Fund costs based upon actuarial valuations, including administrative costs. The State of Illinois is authorized to establish benefit levels and the City is authorized to approve the actuarial assumptions used in the determination of the contribution levels. Accordingly, the Police Pension Fund is fiscally dependent on the City. Separate financial statements are not available for the Police Pension Fund.

#### b. Fund Accounting

The accounts of the City are organized and operated on the basis of funds. A fund is an independent fiscal and accounting entity with a self-balancing set of accounts. Fund accounting segregates funds according to their intended purpose and is used to aid management in demonstrating compliance with finance-related legal and contractual provisions. The minimum number of funds is maintained consistent with legal and managerial requirements.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

b. Fund Accounting (Continued)

Funds are classified into the following categories: governmental, proprietary, and fiduciary.

Governmental funds are used to account for all or most of a City's general activities, including the collection and disbursement of restricted or committed monies (special revenue funds), the funds committed, restricted, or assigned for the acquisition or construction of capital assets (capital projects funds), and the funds committed, restricted, or assigned for the servicing of long-term debt (debt service funds). The General Fund is used to account for all activities of the City not accounted for in some other fund.

Proprietary funds are used to account for activities similar to those found in the private sector, where the determination of net income is necessary or useful to sound financial administration. Goods or services from such activities can be provided either to outside parties (enterprise funds) or to other departments or agencies primarily within the City (internal service funds).

Fiduciary funds are used to account for fiduciary activities (e.g., assets held on behalf of outside parties, including other governments). The City utilizes a pension trust fund which is generally used to account for assets that the City holds in a fiduciary capacity.

c. Government-Wide and Fund Financial Statements

The government-wide financial statements (i.e., the statement of net position and the statement of activities) report information on all of the nonfiduciary activities of the City. The effect of material interfund activity, other than interfund services, has been eliminated from these statements. Governmental activities, which normally are supported by taxes and intergovernmental revenues, are reported separately from business-type activities, which rely to a significant extent on fees and charges for support.

The statement of activities demonstrates the degree to which the direct expenses of a given function, segment, or program are offset by program revenues. Direct expenses are those that are clearly identifiable with a specific function or segment. Program revenues include (1) charges to customers or applicants who purchase, use, or directly benefit from goods, services, or privileges provided by a given function and (2) grants and shared revenues that are restricted to meeting the operational or capital requirements of a particular function. Taxes and other items not properly included among program revenues are reported instead as general revenues.

Separate financial statements are provided for governmental funds, proprietary funds, and fiduciary funds, even though the latter are excluded from the government-wide financial statements. Major individual governmental funds and major individual enterprise funds are reported as separate columns in the fund financial statements.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

c. Government-Wide and Fund Financial Statements (Continued)

The City reports the following major governmental funds:

The General Fund is the City's primary operating fund. It accounts for all financial resources of the general government, except those accounted for in another fund.

The Capital Projects Fund accounts for the acquisition and construction of major capital facilities other than those accounted for as special service areas, or financed by proprietary funds. Financing is provided by transfers from other funds, non-home rule sales tax, grants, and utility taxes.

The TIF District Fund accounts for the revenues and expenditures related to the City's Thorndale Corridor Tax Increment Financing District in accordance with the Tax Increment Allocation Redevelopment Act.

The City reports the following major proprietary funds:

The Water/Sewer Operations Fund accounts for the provision of water and sewer services to the residents of the City. All activities necessary to provide such services are accounted for in this fund including, but not limited to, administration, operations, financing and related debt service, and billing and collections.

Additionally, the City reports the following fiduciary funds:

The Police Pension Fund is used to account for the police pension activities.

The Custodial Fund (Special Service Areas) accounts for the activity in the City's special service areas.

d. Measurement Focus, Basis of Accounting, and Financial Statement Presentation

The government-wide financial statements are reported using the economic resources measurement focus and the accrual basis of accounting, as are the proprietary fund and fiduciary fund financial statements. Revenues and additions are recorded when earned and expenses and deductions are recorded when a liability is incurred. Property taxes are recognized as revenues in the year for which they are levied (i.e., intended to finance). Grants and similar items are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met. Operating revenues/expenses include all revenues/expenses directly related to providing enterprise fund services. Incidental revenues/expenses are reported as non-operating.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

d. Measurement Focus, Basis of Accounting and Financial Statement Presentation  
(Continued)

Governmental fund financial statements are reported using the current financial resources measurement focus and the modified accrual basis of accounting. Revenues are recognized as soon as they are both measurable and available. Revenues are considered to be available when they are collectible within the current period or soon enough thereafter to pay liabilities of the current period. The City considers revenues to be available if they are collected within 60 days of the end of the current fiscal period, except for sales taxes and telecommunication taxes which use a 90-day period. Expenditures generally are recorded when a fund liability is incurred. However, debt service expenditures are recorded only when payment is due, unless due the first day of the following fiscal year.

Property taxes, sales taxes (owed to the state at year end), simplified telecommunication taxes, utility taxes, franchise taxes, licenses, charges for services, and interest associated with the current fiscal period are all considered to be susceptible to accrual and are recognized as revenues of the current fiscal period. All other revenue items are considered to be measurable and available only when cash is received by the City.

In applying the susceptible to accrual concept to intergovernmental revenues (i.e., federal and state grants), the legal and contractual requirements of the numerous individual programs are used as guidance. There are, however, essentially two types of these revenues. In one, monies must be expended on the specific purpose or project before any amounts will be paid to the City; therefore, revenues are recognized based upon the expenditures recorded. In the other, monies are virtually unrestricted as to purpose of expenditure and are generally revocable only for failure to comply with prescribed eligibility requirements, such as equal employment opportunity. These resources are reflected as revenues at the time of receipt or earlier if they meet the availability criterion.

The City reports unavailable/deferred revenue and unearned revenue on its financial statements. Unavailable/deferred revenues arise when a potential revenue does not meet both the measurable and available or earned criteria for recognition in the current period. Unearned revenues also arise when resources are received by the City before it has a legal claim to them or prior to the provision of services, as when grant monies are received prior to the incurrence of qualifying expenditures. In subsequent periods, when both revenue recognition criteria are met, or when the City has a legal claim to the resources, the unavailable/unearned/deferred revenue is removed from the financial statements and revenue is recognized.

e. Cash and Cash Equivalents

Cash and cash equivalents include amounts in demand deposits and investments with a maturity date of three months or less from the date acquired by the City.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

f. Investments

Investments with a maturity of less than one year when purchased, non-negotiable certificates of deposit and other nonparticipating investments are stated at cost or amortized cost. Investments with a maturity greater than one year when purchased and all investments of the pension trust fund are stated at fair value. Fair value is the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date.

g. Property Taxes

Property taxes for 2019 attach an enforceable lien on January 1, 2019 on property values assessed as of the same date. Taxes are levied by December of the subsequent fiscal year (by passage of a Tax Levy Ordinance).

Tax bills are prepared by the County and issued on or about May 1, 2020, and are payable in two installments, on or about June 1, 2020 and September 1, 2020. The County collects such taxes and remits them periodically. The allowance for uncollectible taxes has been stated at 2% of the tax levy, to reflect actual collection experience. As the 2019 tax levy is intended to fund expenditures for the 2020-2021 fiscal year, these taxes are reported as unavailable/deferred as of April 30, 2020.

The 2020 tax levy, which attached as an enforceable lien on property as of January 1, 2020, has not been recorded as a receivable as of April 30, 2020, as the tax has not yet been levied by the City and will not be levied until December 2020; therefore, the levy is not measurable at April 30, 2020.

h. Interfund Transactions

During the course of operations, numerous transactions occur between individual funds for goods provided or services rendered. These receivables and payables are classified as “due from other funds” or “due to other funds.” Short-term interfund loans, if any, are classified as “interfund receivables/payables.” Long-term interfund loans are classified as “advances to/from other funds.”

Interfund services are accounted for as revenues, expenditures, or expenses. Transactions that constitute reimbursements to a fund for expenditures/expenses initially made from it that are properly applicable to another fund, are recorded as expenditures/expenses in the reimbursing fund and as reductions of expenditures/expenses in the fund that is reimbursed. All other interfund transactions, except interfund services and reimbursements, are reported as transfers.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

i. Prepaid Items

Payments made to vendors for services that will benefit periods beyond the date of this report, if any, are recorded as prepaid items. Prepaid expenditures are recognized on the consumption method in governmental funds.

j. Inventory

Inventory of supplies is valued at the cost on a first-in/first-out (FIFO) basis. The costs of governmental fund inventories are recorded as expenditures when consumed.

k. Capital Assets

Capital assets, which include property, plant, equipment, and infrastructure assets (e.g., roads, bridges, and storm sewers), are reported in the applicable governmental or business-type activities column in the government-wide financial statements.

Capital assets are defined by the City as assets with an initial, individual cost of more than \$5,000 for machinery, equipment, and vehicles; \$50,000 for land and building improvements; \$100,000 for buildings; and \$250,000 for infrastructure and an estimated useful life in excess of one year.

Such assets are recorded at historical cost or estimated historical cost if purchased or constructed. Donated capital assets are recorded at acquisition value at the date of donation.

The costs of normal maintenance and repairs, including street overlays that do not add to the value or service capacity of the asset or materially extend asset lives are not capitalized.

Major outlays for capital assets and improvements are capitalized as projects are constructed. Property, plant, and equipment are depreciated using the straight-line method over the following estimated useful lives:

Assets	Years
Land and parking lot improvements	20
Building and improvements	50
Vehicles and equipment	5-20
Infrastructure	20-65
Waterworks and sewerage systems	50-65

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

l. Long-Term Obligations

In the government-wide financial statements and proprietary funds in the fund financial statements, long-term debt, and other long-term obligations are reported as liabilities in the applicable governmental activities, business-type activities, or proprietary fund financial statements. Bond premiums and discounts are deferred and amortized over the life of the bonds. Bonds payable are reported net of the applicable bond premium or discount. Bond issuance costs are expensed in the year of issuance.

In the fund financial statements, governmental funds recognize bond premiums and discounts, as well as bond issuance costs, during the current period. The face amount of debt issued is reported as other financing sources. Premiums received on debt issuances are reported as other financing sources while discounts on debt issuances are reported as other financing uses. Issuance costs, whether or not withheld from the actual debt proceeds received, are reported as expenditures.

m. Compensated Absences

It is the City's policy to permit employees to accumulate earned but unused vacation and sick pay benefits.

Vested and accumulated vacation leave are reported as an expenditure and a fund liability of the governmental fund that will pay it once retirement or separation has occurred. Vested or accumulated vacation and sick leave of proprietary funds and governmental activities are recorded as an expense and liability of those funds as the benefits accrue to employees.

n. Fund Balances/Net Position

In the fund financial statements, governmental funds report nonspendable fund balance for amounts that are either not in spendable form or are legally or contractually required to be maintained intact. Restrictions of fund balance are reported for amounts constrained by legal restrictions from outside parties for use for a specific purpose, or externally imposed by outside entities or from enabling legislation adopted by the City. Committed fund balance is constrained by formal actions of the City Council, which is considered the City's highest level of decision-making authority. Formal actions include ordinances approved by the City Council. Assigned fund balance represents amounts constrained by the City's intent to use them for a specific purpose. The authority to assign fund balance has been delegated to the City Manager and Finance Director as directed by the City's fund balance policy. Any residual fund balance in the General Fund, including fund balance targets and any deficit fund balance of any other governmental fund is reported as unassigned. The General Fund has a target unassigned fund balance of 50% of fiscal year budgeted expenditures. The City was in compliance with this policy as of April 30, 2020.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)**

n. Fund Balances/Net Position (Continued)

The City's flow of funds assumption prescribes that the funds with the highest level of constraint are expended first. If restricted or unrestricted funds are available for spending, the restricted funds are spent first. Additionally, if different levels of unrestricted funds are available for spending the City considers committed funds to be expended first followed by assigned funds and then unassigned funds.

In the government-wide financial statements, restricted net positions are legally restricted by outside parties for a specific purpose. Net investment in capital assets represents the book value of capital assets less any outstanding long-term debt issued to acquire or construct the capital assets.

None of the restricted net positions or restricted fund balance results from enabling legislation adopted by the City.

o. Deferred Outflows/Inflows of Resources

In addition to assets, the statement of financial position will sometimes report a separate section for deferred outflows of resources. This separate financial statement element, deferred outflows of resources, represents a consumption of net assets that applies to a future period(s) and so will not be recognized as an outflow of resources (expense/expenditure) until then. In addition to liabilities, the statement of financial position will sometimes report a separate section for deferred inflows of resources. This separate financial statement element, deferred inflows of resources, represents an acquisition of net assets that applies to a future period(s) and so will not be recognized as an inflow of resources (revenue) until that time.

p. Use of Estimates

The preparation of financial statements in conformity with GAAP requires management to make estimates and assumptions that affect the reported amount of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenditures/expenses during the reporting period. Actual results could differ from those estimates.

**2. DEPOSITS AND INVESTMENTS**

The City and pension fund categorize the fair value measurements within the fair value hierarchy established by GAAP. The hierarchy is based on the valuation inputs used to measure the fair value of the asset. Level 1 inputs are quoted prices in active markets for identical assets; Level 2 inputs are significant other observable inputs; and Level 3 inputs are significant unobservable inputs.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**2. DEPOSITS AND INVESTMENTS (Continued)**

State statutes and the City’s investment policy authorize the City to make deposits in commercial banks and savings and loan institutions, and to make investments in obligations of the U.S. Treasury, GNMA’s, Federal Home Loan Bank, bank managed money market funds, The Illinois Funds, and the Illinois Metropolitan Investment Fund (IMET).

The Illinois Public Treasurers’ Investment Pool, known as The Illinois Funds, operates as a qualified external investment pool in accordance with the criteria established in GASB Statement No. 79, *Certain External Investment Pools and Pool Participants*, and thus, reports all investments at amortized cost rather than fair value. The investment in The Illinois Funds by participants is also reported at amortized cost. The Illinois Funds does not have any limitations or restrictions on participant withdrawals. The Illinois Treasurer’s Office issues a separate financial report for The Illinois Funds which may be obtained by contacting the Administrative Office at Illinois Business Center, 400 West Monroe Street, Suite 401, Springfield, Illinois 62704.

IMET is a not-for-profit investment trust formed pursuant to the Illinois Municipal Code and managed by a Board of Trustees elected from the participating members. IMET is not registered with the SEC as an investment company. Investments in IMET are valued at IMET’s share price, the price for which the investment could be sold.

It is the policy of the City to invest its funds in a manner which will provide the highest investment return with the maximum security while meeting the daily cash flow demands of the City and conforming to all state and local statutes governing the investment of public funds, using the “prudent person” standard for managing the overall portfolio. The primary objective of the policy is safety (preservation of capital and protection of investment principal), liquidity, yield, and public trust.

a. City Deposits with Financial Institutions

Custodial credit risk for deposits with financial institutions is the risk that in the event of a bank’s failure, the City’s deposits may not be returned to it. The City’s investment policy requires pledging of collateral with a fair value of 105% of all bank balances in excess of federal depository insurance, evidenced by a written collateral agreement with the collateral held by an agent of the City in the City’s name.

The following table presents the investments and maturities of the City’s debt securities as of April 30, 2020:

Investment Type	Fair Value	Investment Maturities (in Years)			
		Less than 1	1-5	6-10	Greater than 10
U.S. agency securities	\$ 771,184	\$ 771,184	\$ -	\$ -	\$ -
Negotiable certificates of deposit	580,564	504,028	76,536	-	-
<b>TOTAL</b>	<b>\$ 1,351,748</b>	<b>\$ 1,275,212</b>	<b>\$ 76,536</b>	<b>\$ -</b>	<b>\$ -</b>

**2. DEPOSITS AND INVESTMENTS (Continued)**

b. City Investments

The City has the following recurring fair value measurements as of April 30, 2020: The U.S. agency securities and the negotiable certificates of deposit are valued using quoted matrix pricing models based on various market and industry inputs (Level 2 inputs).

Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment. In accordance with its investment policy, the City limits its exposure to interest rate risk by structuring the portfolio to provide liquidity for operating funds and maximizing yields for funds not needed within a two-year period. The investment policy limits the maximum maturity length of investments in the operating funds to five years from date of purchase. Investments in other funds may be purchased with maturities to match future projects or liability requirements with written approval.

The City limits its exposure to credit risk, the risk that the issuer of a debt security will not pay its par value upon maturity, by primarily investing in securities issued by agencies of the United States Government that are explicitly guaranteed by the United States Government (Federal Home Loan Bank). The U.S. agency securities, The Illinois Funds, and IMET are rated AAA by Moody's.

Custodial credit risk for investments is the risk that, in the event of the failure of the counterparty to the investment, the City will not be able to recover the value of its investments that are in possession of an outside party.

To limit its exposure, the City's investment policy requires that security transactions exposed to custodial credit risk be executed by delivery versus payment (DVP) with the underlying investments being held by a third party agent in the City's name, separate from where the security was purchased. The Illinois Funds and IMET are not subject to custodial credit risk.

Concentration of credit risk is the risk that the City has a high percentage of its investments invested in one type of investment. The City's investment policy limits the amount of the portfolio that can be invested in any one investment category. as follows: U.S. Treasury securities shall not exceed 90% of the investment portfolio; U.S. agency securities shall not exceed 50% of the investment portfolio with no more than 20% of the portfolio invested in the obligations of a single agency; financial institution certificates of deposit shall not exceed 75% of the portfolio; investments in IMET shall not exceed 50% of the portfolio; and investments in government money market funds shall not exceed 7% of the portfolio. The City's investment policy does not limit deposits with The Illinois Funds.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**3. CAPITAL ASSETS**

Capital asset activity for the year ended April 30, 2020 was as follows:

	Beginning Balances	Increases	Decreases	Ending Balances
<b>GOVERNMENTAL ACTIVITIES</b>				
Capital assets not being depreciated				
Land	\$ 10,259,779	\$ 4,121,000	\$ -	\$ 14,380,779
Land right of way	12,486,207	-	-	12,486,207
Construction in progress	3,376,933	1,694,613	1,270,792	3,800,754
Total capital assets not being depreciated	26,122,919	5,815,613	1,270,792	30,667,740
Capital assets being depreciated				
Buildings and improvements	4,560,821	-	-	4,560,821
Vehicles and equipment	5,760,834	82,226	-	5,843,060
Infrastructure	110,114,244	1,544,943	-	111,659,187
Total capital assets being depreciated	120,435,899	1,627,169	-	122,063,068
Less accumulated depreciation for				
Buildings and improvements	2,584,920	103,700	-	2,688,620
Vehicles and equipment	3,994,933	335,341	-	4,330,274
Infrastructure	65,583,350	2,361,941	-	67,945,291
Total accumulated depreciation	72,163,203	2,800,982	-	74,964,185
Total capital assets being depreciated, net	48,272,696	(1,173,813)	-	47,098,883
<b>GOVERNMENTAL ACTIVITIES</b>				
<b>CAPITAL ASSETS, NET</b>	<b>\$ 74,395,615</b>	<b>\$ 4,641,800</b>	<b>\$ 1,270,792</b>	<b>\$ 77,766,623</b>
<b>BUSINESS-TYPE ACTIVITIES</b>				
Capital assets not being depreciated				
Land	\$ 260,000	\$ -	\$ -	\$ 260,000
Construction in progress	208,300	1,032,092	204,164	1,036,228
Total capital assets not being depreciated	468,300	1,032,092	204,164	1,296,228
Capital assets being depreciated				
Land improvements	126,100	-	-	126,100
Vehicles and equipment	6,503,812	298,998	-	6,802,810
Parking lot improvements	2,075,298	-	-	2,075,298
Waterworks system	11,113,137	-	-	11,113,137
Sewerage system	45,202,576	-	-	45,202,576
Total capital assets being depreciated	65,020,923	298,998	-	65,319,921

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**3. CAPITAL ASSETS (Continued)**

	Beginning Balances	Increases	Decreases	Ending Balances
<b>BUSINESS-TYPE ACTIVITIES</b>				
<b>(Continued)</b>				
Less accumulated depreciation for				
Land improvements	\$ 108,483	\$ 6,275	\$ -	\$ 114,758
Vehicles and equipment	5,276,145	208,447	-	5,484,592
Parking lot improvements	492,615	66,099	-	558,714
Waterworks system	3,068,411	174,123	-	3,242,534
Sewerage system	6,349,235	737,823	-	7,087,058
Total accumulated depreciation	<u>15,294,889</u>	<u>1,192,767</u>	<u>-</u>	<u>16,487,656</u>
 Total capital assets being depreciated, net	 <u>49,726,034</u>	 <u>(893,769)</u>	 <u>-</u>	 <u>48,832,265</u>
 <b>BUSINESS-TYPE ACTIVITIES</b>				
<b>CAPITAL ASSETS, NET</b>	<u>\$ 50,194,334</u>	<u>\$ 138,323</u>	<u>\$ 204,164</u>	<u>\$ 50,128,493</u>

Depreciation expense was charged to functions/programs of the governmental activities as follows:

<b>GOVERNMENTAL ACTIVITIES</b>	
General government	\$ 144,212
Public safety	97,100
Highways and streets	<u>2,559,670</u>
 <b>TOTAL DEPRECIATION EXPENSE - GOVERNMENTAL ACTIVITIES</b>	 <u>\$ 2,800,982</u>

Depreciation expense was charged to the business-type activities as follows:

<b>BUSINESS-TYPE ACTIVITIES</b>	
Water/sewer operations	\$ 1,126,668
Commuter parking	<u>66,099</u>
 <b>TOTAL DEPRECIATION EXPENSE - BUSINESS-TYPE ACTIVITIES</b>	 <u>\$ 1,192,767</u>

**CITY OF WOOD DALE, ILLINOIS**  
NOTES TO FINANCIAL STATEMENTS (Continued)

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**4. DUE FROM OTHER GOVERNMENTS**

The amount presented as due from other governments on the government-wide financial statements was comprised of the following:

GOVERNMENTAL ACTIVITIES

Sales tax	\$ 891,776
Telecommunications tax	200,580
Use tax	123,867
Court fines	3,722
Motor fuel tax allotments	40,840
Non-home rule sales tax	492,313
Utility tax	73,303
Illinois Tollway reimbursements	<u>344,816</u>

TOTAL GOVERNMENTAL ACTIVITIES \$ 2,171,217

**5. RISK MANAGEMENT**

The City is exposed to various risks of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; natural disasters; and injuries to the City's employees. These risks are provided for through insurance purchased from private insurance companies.

There have been no reductions in the City's insurance coverage for any of its programs since the prior fiscal year. Settlements have not exceeded insurance coverage during the current year or prior three fiscal years.

**Intergovernmental Personnel Benefit Cooperative**

The City participates in the Intergovernmental Personnel Benefit Cooperative (IPBC). IPBC is a public entity risk pool established by certain units of local government in Illinois to administer some or all of the personnel benefit programs (primarily medical and life insurance coverage) offered by these members to their officers and employees and to the officers and employees of certain governmental, quasi-governmental, and nonprofit public service entities.

IPBC receives, processes, and pays such claims as may come within the benefit program of each member. Management consists of a Board of Directors comprised of one appointed representative, along with an alternate, from each member. In addition, there are two officers; a chairperson and a Treasurer. The City does not exercise any control over the activities of IPBC beyond its representation on the Board of Directors.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**5. RISK MANAGEMENT (Continued)**

Intergovernmental Personnel Benefit Cooperative (Continued)

Complete financial statement, the latest available dated June 30, 2019, may be obtained directly from IPBC's administrative offices at 800 Roosevelt Road, Building C, Suite 312, Glen Ellyn, Illinois 60137.

**6. LONG-TERM DEBT**

a. Governmental Activities

Issue	Fund Debt Retired by	Balances May 1	Issuances	Retirements	Balances April 30	Due Within One Year
2020A General Obligation Source Alternative Revenue Source Bonds, \$9,250,000 original issue, due in annual installments of \$275,000 to \$655,000 through 2040; interest at 2.125% to 5.00%.	Capital Projects Fund	\$ -	\$ 9,250,000	\$ -	\$ 9,250,000	\$ 360,000

b. Business-Type Activities

Issue	Fund Debt Retired by	Balances May 1	Issuances	Retirements	Balances April 30	Due Within One Year
2012 General Obligation Alternate Revenue Source Bonds, \$9,995,000 original issue, due in annual installments of \$25,000 to \$730,000 through 2032; interest at 2.00% to 3.10%. Issued to finance the North Wastewater Treatment Plant Upgrades, Phase 1A.	Water/Sewer Operations Fund	\$ 8,020,000	\$ -	\$ 440,000	\$ 7,580,000	\$ 455,000

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**6. LONG-TERM DEBT (Continued)**

b. Business-Type Activities (Continued)

Issue	Fund Debt Retired by	Balances May 1	Issuances	Retirements	Balances April 30	Due Within One Year
2013 Illinois EPA Water Pollution Control Revolving Fund Loan approved for an amount not to exceed \$21,836,865 of which \$21,334,311 was drawn; due in semiannual installments commencing August 2015 through February 2035; interest at a fixed rate of 1.93%. Issued to finance the North Wastewater Treatment Plant Upgrades, Phase 2A.	Water/Sewer Operations Fund	\$ 18,563,763	\$ -	\$ 965,438	\$ 17,598,325	\$ 984,161
<b>TOTAL</b>		<b>\$ 26,583,763</b>	<b>\$ -</b>	<b>\$ 1,405,438</b>	<b>\$ 25,178,325</b>	<b>\$ 1,439,161</b>

c. Debt Service Requirements to Maturity

Annual debt service requirements to maturity are as follows:

Fiscal Year Ending April 30,	Governmental Activities General Obligation Bonds		
	Principal	Interest	Total
2021	\$ 360,000	\$ 186,119	\$ 546,119
2022	275,000	271,938	546,938
2023	285,000	260,937	545,937
2024	300,000	249,538	549,538
2025	310,000	237,537	547,537
2026-2030	1,885,000	970,738	2,855,738
2031-2035	2,735,000	618,944	3,353,944
2036-2040	3,100,000	257,312	3,357,312
<b>TOTAL</b>	<b>\$ 9,250,000</b>	<b>\$ 3,053,063</b>	<b>\$ 12,303,063</b>

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**6. LONG-TERM DEBT (Continued)**

c. Debt Service Requirements to Maturity (Continued)

Fiscal Year Ending April 30,	Business-Type Activities General Obligation Bonds		
	Principal	Interest	Total
2021	\$ 455,000	\$ 196,070	\$ 651,070
2022	475,000	186,970	661,970
2023	495,000	177,470	672,470
2024	510,000	167,076	677,076
2025	535,000	155,856	690,856
2026-2030	3,005,000	573,269	3,578,269
2031-2035	2,105,000	130,140	2,235,140
<b>TOTAL</b>	<b>\$ 7,580,000</b>	<b>\$ 1,586,851</b>	<b>\$ 9,166,851</b>

Fiscal Year Ending April 30,	IEPA Loan		
	Principal	Interest	Total
2021	\$ 984,161	\$ 334,922	\$ 1,319,083
2022	1,003,247	315,836	1,319,083
2023	1,022,703	296,380	1,319,083
2024	1,042,537	276,546	1,319,083
2025	1,062,755	256,328	1,319,083
2026-2030	5,631,037	964,379	6,595,416
2031-2035	6,198,647	396,769	6,595,416
2036	653,238	6,304	659,542
<b>TOTAL</b>	<b>\$ 17,598,325</b>	<b>\$ 2,847,464</b>	<b>\$ 20,445,789</b>

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**6. LONG-TERM DEBT (Continued)**

d. Changes in Long-Term Liabilities

The following is a summary of changes in debt and other long-term liabilities during fiscal year 2020:

	Balances May 1	Additions	Reductions	Balances April 30	Due Within One Year
<b>GOVERNMENTAL ACTIVITIES</b>					
Loan payable - direct placement*	\$ 1,631,200	\$ -	\$ -	\$ 1,631,200	\$ -
2020A GO ARS Bond	-	9,250,000	-	9,250,000	360,000
Unamortized bond premium	-	365,204	-	365,204	-
Net pension liability - IMRF**	3,321,350	-	2,117,478	1,203,872	-
Net pension liability - police pension**	20,322,704	4,715,492	-	25,038,196	-
Total OPEB liability**	2,394,314	3,239,867	-	5,634,181	117,776
Compensated absences payable**	452,934	495,182	399,700	548,416	411,312
<b>TOTAL GOVERNMENTAL ACTIVITIES</b>	<b>\$ 28,122,502</b>	<b>\$ 18,065,745</b>	<b>\$ 2,517,178</b>	<b>\$ 43,671,069</b>	<b>\$ 889,088</b>

\*The loan payable matures on December 22, 2022 and the City may draw up to \$2,000,000 on the loan. A debt service to maturity schedule is not available.

\*\*These liabilities have historically been retired by the General Fund.

	Balances May 1	Additions	Reductions	Balances April 30	Due Within One Year
<b>BUSINESS-TYPE ACTIVITIES</b>					
2012 GO ARS bond	\$ 8,020,000	\$ -	\$ 440,000	\$ 7,580,000	\$ 455,000
IEPA loan	18,563,763	-	965,438	17,598,325	984,161
Net pension liability - IMRF	1,508,534	-	969,225	539,309	-
Total OPEB liability	410,629	555,644	-	966,273	20,199
Asset retirement obligation	-	150,000	-	150,000	-
Compensated absences payable	64,322	64,436	57,890	69,868	62,881
<b>TOTAL BUSINESS-TYPE ACTIVITIES</b>	<b>\$ 28,567,248</b>	<b>\$ 769,080</b>	<b>\$ 2,432,553</b>	<b>\$ 26,903,775</b>	<b>\$ 1,522,241</b>

These liabilities have historically been retired by the Water/Sewer Operations Fund.

**6. LONG-TERM DEBT (Continued)**

e. Other Non-Obligation Type Bonded Debt

Special Service Area Debt

Special Service Area Bonds outstanding as of the date of this report totaled \$2,447,300. These bonds are not an obligation of the City and are secured by the levy of an annual tax on the real property within the special service area. The City is in no way liable for repayment but is only acting as agent for the property owners in levying and collecting the tax and forwarding the collections to bondholders.

f. Pledged Revenues

The City has issued Alternate Revenue Source Bonds for which they have pledged future revenue streams.

The Series 2012 General Obligation Alternate Revenue Source Bonds, issued for the North Wastewater Treatment Plant Upgrades, Phase 1A, are payable from (i) Water and Sewer Revenues, Non-Home Rule Sales Taxes, and such other funds of the City lawfully available and annually appropriated for such purpose; and (ii) ad valorem taxes levied against all taxable property within the City without limitation as to rate or amount. The 2012 bonds have a remaining total pledge of \$9,166,851, with the bonds maturing December 30, 2032. During the current fiscal year, the pledge of water revenues for the 2012 bonds of \$644,870 was approximately 6.26% of total water and sewer revenues and non-home rule sales tax revenues.

The Series 2020A General Obligation Alternate Revenue Source Bonds, issued for financing certain stormwater improvement projects within the City, are payable from (i) receipts of the Retailer's Occupation Taxes, Service Occupation Taxes, Use Taxes and Service Use Taxes (the "Sales Taxes"), (ii) such other funds of the City lawfully available and annually appropriated for such purpose; and (ii) ad valorem taxes levied against all taxable property within the City without limitation as to rate or amount. The 2020A bonds have a remaining total pledge of \$12,303,063, with the bonds maturing December 30, 2039. During the current fiscal year, no principal and interest was due and, therefore, no pledge was required.

g. Asset Retirement Obligation

The City has recognized an asset retirement obligation (ARO) and related deferred outflow of resources in connection with its obligation to seal and abandon various water wells at the end of their estimated useful lives in accordance with federal, state, and/or local requirements. The ARO was measured using actual historical costs for similar abandonments, adjusted for inflation through the end of the year. The estimated remaining useful lives of the water wells is 50 years.

**7. DEFINED BENEFIT PENSION PLANS**

The City contributes to two defined benefit pension plans: the Illinois Municipal Retirement Fund (IMRF), an agent multiple-employer public employee retirement system, and the Police Pension Plan (collectively called the pension plans) which is a single-employer pension plan. The benefits, benefit levels, employee contributions, and employer contributions for all plans are governed by Illinois Compiled Statutes (ILCS) and can only be amended by the Illinois General Assembly. The Police Pension Plan does not issue a separate report. However, IMRF does issue a publicly available report that includes financial statements and supplementary information for the plan as a whole, but not for individual employers. That report can be obtained from IMRF, 2211 York Road, Suite 500, Oak Brook, Illinois 60523 and [www.imrf.org](http://www.imrf.org). For the year ended April 30, 2020, the City reported total net pension liabilities of \$26,781,377 and total pension expense of \$3,223,887.

Illinois Municipal Retirement Fund

*Plan Administration*

All employees hired in positions that meet or exceed the prescribed annual hourly standard must be enrolled in IMRF as participating members.

The plan is accounted for on the economic resources measurement focus and the accrual basis of accounting. Employer and employee contributions are recognized when earned in the year that the contributions are required, benefits and refunds are recognized as an expense, and liability when due and payable.

*Plan Membership*

At December 31, 2019, IMRF membership consisted of:

Inactive employees or their beneficiaries currently receiving benefits	93
Inactive employees entitled to but not yet receiving benefits	82
Active employees	<u>66</u>
 TOTAL	 <u><u>241</u></u>

*Benefits Provided*

All employees (other than those covered by the Police Pension Plan) hired in positions that meet or exceed the prescribed annual hourly standard must be enrolled in IMRF as participating members. IMRF provides two tiers of pension benefits. Employees hired prior to January 1, 2011, are eligible for Tier 1 benefits. For Tier 1 employees, pension benefits vest after eight years of service. Participating members who retire at age 55 (reduced benefits) or after age 60 (full benefits) with eight years of credited service are entitled to an annual retirement benefit, payable monthly for life, in an

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Illinois Municipal Retirement Fund (Continued)

*Benefits Provided (Continued)*

amount equal to 1 2/3% of their final rate of earnings, for each year of credited service up to 15 years, and 2% for each year thereafter.

Employees hired on or after January 1, 2011, are eligible for Tier 2 benefits. For Tier 2 employees, pension benefits vest after ten years of service. Participating members who retire at age 62 (reduced benefits) or after age 67 (full benefits) with ten years of credited service are entitled to an annual retirement benefit, payable monthly for life, in an amount equal to 1 2/3% of their final rate of earnings, for each year of credited service up to 15 years, and 2% for each year thereafter.

IMRF also provides death and disability benefits. These benefit provisions are established by state statute.

*Contributions*

Participating members are required to contribute 4.50% of their annual covered salary to IMRF. The City is required to contribute the remaining amounts necessary to fund IMRF as specified by statute. The employer contribution rates for the calendar years ended December 31, 2018 and December 31, 2019 were 9.63% and 12.23% of covered payroll, respectively.

*Actuarial Assumptions*

The City's net pension liability was measured as of December 31, 2019 and the total pension liability used to calculate the net pension liability was determined by an actuarial valuation performed as of the same date using the following actuarial methods and assumptions.

Actuarial valuation date	December 31, 2019
Actuarial cost method	Entry-age normal
Assumptions	
Inflation	2.50%
Salary increases	3.35% to 14.25%
Interest rate	7.25%
Cost of living adjustments	3.00%
Asset valuation method	Fair value

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Illinois Municipal Retirement Fund (Continued)

*Actuarial Assumptions (Continued)*

For nondisabled retirees, an IMRF specific mortality table was used with fully generational projection scale MP-2017 (base year 2015). The IMRF specific rates were developed from the RP-2014 Blue Collar Health Annuitant Mortality Table with adjustments to match current IMRF experience. For disabled retirees, an IMRF specific mortality table was used with fully generational projection scale MP-2017 (base year 2015). The IMRF specific rates were developed from the RP-2014 Disabled Retirees Mortality Table applying the same adjustment that were applied for nondisabled lives. For active members, an IMRF specific mortality table was used with fully generational projection scale MP-2017 (base year 2015). The IMRF specific rates were developed from the RP-2014 Employee Mortality Table with adjustments to match current IMRF experience.

*Discount Rate*

The discount rate used to measure the total pension liability was 7.25%. The projection of cash flows used to determine the discount rate assumed that member contributions will be made at the current contribution rate and that the City's contributions will be made at rates equal to the difference between actuarially determined contribution rates and the member rate. Based on those assumptions, the fiduciary net position was projected to be available to make all projected future benefit payments of current plan members.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Illinois Municipal Retirement Fund (Continued)

*Changes in the Net Pension Liability*

	(a) Total Pension Liability	(b) Plan Fiduciary Net Position	(a) - (b) Net Pension Liability
BALANCES AT JANUARY 1, 2019	\$ 31,409,436	\$ 26,579,552	\$ 4,829,884
Changes for the period			
Service cost	459,313	-	459,313
Interest	2,244,185	-	2,244,185
Difference between expected and actual experience	(911,854)	-	(911,854)
Changes in assumptions		-	
Employer contributions	-	443,666	(443,666)
Employee contributions	-	209,749	(209,749)
Net investment income	-	4,990,042	(4,990,042)
Benefit payments and refunds	(1,369,637)	(1,369,637)	-
Other (net transfer)	-	(765,110)	765,110
Net changes	422,007	3,508,710	(3,086,703)
BALANCES AT DECEMBER 31, 2019	\$ 31,831,443	\$ 30,088,262	\$ 1,743,181

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Illinois Municipal Retirement Fund (Continued)

*Pension Expense and Deferred Outflows of Resources and Deferred Inflows of Resources*

For the year ended April 30, 2020, the City recognized pension expense of \$1,270,103. At April 30, 2020, the City reported deferred outflows of resources and deferred inflows of resources related to IMRF from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Difference between expected and actual experience	\$ 218,614	\$ 635,677
Changes in assumption	380,991	128,999
Net difference between projected and actual earnings on pension plan investments	-	1,302,537
Employer contributions after the measurement date	193,648	-
<b>TOTAL</b>	<u>\$ 793,253</u>	<u>\$ 2,067,213</u>

\$193,648 reported as deferred outflows of resources related to pensions resulting from the City contributions subsequent to the measurement date will be recognized as a reduction of the net pension liability in the year ending April 30, 2021. Other amounts reported as deferred outflows of resources and deferred inflows of resources related to IMRF will be recognized in pension expense for the City as follows:

Year Ending <u>April 30,</u>	
2021	\$ (350,727)
2022	(500,412)
2023	6,874
2024	<u>(623,343)</u>
<b>TOTAL</b>	<u>\$ (1,467,608)</u>

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Illinois Municipal Retirement Fund (Continued)

*Discount Rate Sensitivity*

The following is a sensitivity analysis of the net pension liability (asset) to changes in the discount rate. The table below presents the net pension liability (asset) of the City calculated using the discount rate of 7.25% as well as what the City's net pension liability (asset) would be if it were calculated using a discount rate that is 1 percentage point lower (6.25%) or 1 percentage point higher (8.25%) than the current rate:

	1% Decrease (6.25%)	Current Discount Rate (7.25%)	1% Increase (8.25%)
Net pension liability (asset)	\$ 5,915,662	\$ 1,743,181	\$ (1,657,874)

Police Pension Plan

*Plan Administration*

Police sworn personnel are covered by the Police Pension Plan. Although this is a single-employer pension plan, the defined benefits and employee and employer contribution levels are governed by Illinois Compiled Statutes (40 ILCS 5/3-1) and may be amended only by the Illinois legislature. The City accounts for the Police Pension Plan as a pension trust fund. The plan is governed by a five-member Board of Trustees. Two members of the Board of Trustees are appointed by the City's Mayor, one member is elected by pension beneficiaries, and two members are elected by active police employees.

The plan is accounted for on the economic resources measurement focus and the accrual basis of accounting. Employer and employee contributions are recognized when earned in the year that the contributions are required, benefits and refunds are recognized as an expense and liability when due and payable.

Administrative costs are financed through contributions and investment income.

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Plan Membership*

At April 30, 2020, the Police Pension Plan membership consisted of:

Inactive plan members or beneficiaries currently receiving benefits	27
Inactive plan members entitled to but not receiving benefits	-
Active plan members	<u>34</u>
 TOTAL	 <u><u>61</u></u>

*Benefits Provided*

The Police Pension Plan provides retirement benefits through two tiers of benefits as well as death and disability benefits, which are recognized when due and payable in accordance with ILCS. Benefits and refunds of the Police Pension Plan are recognized when due and payable in accordance with the terms of the plan.

Tier 1 employees (those hired prior to January 1, 2011) attaining the age of 50 or older with 20 or more years of creditable service are entitled to receive an annual retirement benefit equal to 1/2 of the salary attached to the rank held on the last day of service, or for one year prior to the last day, whichever is greater. The annual benefit shall be increased by 2.50% of such salary for each additional year of service over 20 years up to 30 years to a maximum of 75% of such salary. Employees with at least eight years but less than 20 years of credited service may retire at or after age 60 and receive a reduced benefit. The monthly benefit of a police officer who retired with 20 or more years of service after January 1, 1977 shall be increased annually, following the first anniversary date of retirement and be paid upon reaching the age of at least 55 years, by 3% of the original pension and 3% compounded annually thereafter.

Tier 2 employees (those hired on or after January 1, 2011) attaining the age of 55 or older with ten or more years of creditable service are entitled to receive an annual retirement benefit equal to the greater of the average monthly salary obtaining by dividing the total salary during the 48 consecutive months of service within the last of 60 months in which the total salary was the highest by the number of months in that period; or the average monthly salary obtained by dividing the total salary of the police officer during the 96 consecutive months of service within the last 120 months of service in which the total salary was the highest by the number of months of service

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Benefits Provided (Continued)*

in that period. Police officers' salary for pension purposes is capped at \$106,800, plus the lesser of ½ of the annual change in the Consumer Price Index or 3% compounded. The annual benefit shall be increased by 2.50% of such salary for each additional year of service over 20 years up to 30 years to a maximum of 75% of such salary. Employees with at least ten years may retire at or after age 50 and receive a reduced benefit (i.e., ½% for each month under 55). The monthly benefit of a Tier 2 police officer shall be increased annually at age 60 on the January 1<sup>st</sup> after the police officer retires, or the first anniversary of the pension starting date, whichever is later. Noncompounding increases occur annually, each January thereafter. The increase is the lesser of 3% or ½ of the change in the Consumer Price Index for the proceeding calendar year.

*Contributions*

Employees are required by ILCS to contribute 9.91% of their base salary to the Police Pension Plan. If an employee leaves covered employment with less than 20 years of service, accumulated employee contributions may be refunded without accumulated interest. The City is required to contribute the remaining amounts necessary to finance the Police Pension Plan as actuarially determined by an enrolled actuary, including administrative costs. Effective January 1, 2011, the City has until the year 2040 to fund 90% of the past service cost for the Police Pension Plan. However, the City has chosen a policy to fund 100% of the past service cost by 2040. For the year ended April 30, 2020, the City's contribution was 42.92% of covered payroll.

*Investment Policy*

ILCS limits the Police Pension Fund's (the Fund) investments to those allowable by ILCS and requires the Fund's Board of Trustees to adopt an investment policy which can be amended by a majority vote of the Board of Trustees. These include deposits/investments in insured commercial banks, savings and loan institutions, interest-bearing obligations of the U.S. Treasury and U.S. agencies, interest-bearing bonds of the State of Illinois or any county, township, or municipal corporation of the State of Illinois, corporate bonds, direct obligations of the State of Israel, money market mutual funds whose investments consist of obligations of the U.S. Treasury or U.S. agencies, separate accounts managed by life insurance companies, mutual funds, common and preferred stock, and The Illinois Funds (created by the Illinois State Legislature under the control of the State Comptroller that maintains a \$1 per share value which is equal to the participants fair value).

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Investment Policy* (Continued)

There were no changes to the investment policy during the year.

It is the policy of the Fund to invest its funds in a manner which will provide the highest investment return with the maximum security while meeting the cash flow demands of the Fund and conforming to all state and local statutes governing the investment of public funds, using the “prudent person” standard for managing the overall portfolio. The primary objectives of the policy are, in order of priority, safety of principal, rate of return, public trust, and liquidity.

The Fund’s investment policy, in accordance with ILCS, establishes the following target allocation across asset classes (net of inflation estimate of 1.90%):

Asset Class	Target	Long-Term Expected Real Rate of Return
U.S. Large Cap Equity	27.00%	7.70%
U.S. Mid Cap Equity	3.00%	8.10%
U.S. Small Cap Equity	3.00%	7.30%
Real Estate	3.00%	6.70%
Non-U.S. Developed Equity	16.00%	6.70%
Emerging Markets	8.00%	8.30%
Fixed Income	38.00%	3.30%
Cash	2.00%	2.70%

The long-term expected rate of return on the Fund’s investments was determined using an asset allocation study by the Global Investment Committee of Morgan Stanley and was published in March 2020. The best estimate ranges of expected nominal rates of return (net of inflation of 1.90%) were developed for each major assets class as of December 31, 2019. These ranges were combined to produce the long-term expected rate of return by weighting the expected future nominal rates of return by the target asset allocation percentage. Best estimates or geometric real rates of return excluding inflation for each major asset class included in the Fund’s target asset allocation as of April 30, 2020 are listed in the table above.

ILCS limits the Fund’s investments in equities, mutual funds, and variable annuities to 65%. Securities in any one company should not exceed 5% of the total fund.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Investment Rate of Return*

For the year ended April 30, 2020, the annual money-weighted rate of return on pension plan investments, net of pension plan investment expense, was (1.33%). The money-weighted rate of return expresses investment performance, net of investment expense, adjusted for the changing amounts actually invested.

*Deposits with Financial Institutions*

Custodial credit risk for deposits with financial institutions is the risk that in the event of bank failure, the Fund's deposits may not be returned to it. The Fund's investment policy does not require pledging of collateral for all bank balances in excess of federal depository insurance, since flow-through FDIC insurance is available for the Fund's deposits with financial institutions.

The following table presents the investments and maturities of the Fund's debt securities as of April 30, 2020:

Investment Type	Fair Value	Investment Maturities (in Years)			
		Less than 1	1-5	6-10	Greater than 10
U.S. Treasury obligations	\$ 1,852,220	\$ 202,016	\$ 1,052,810	\$ 597,394	\$ -
U.S. agency securities	2,455,462	320,226	1,041,932	297,832	795,472
Corporate bonds	5,131,621	-	2,508,000	2,427,982	195,639
Municipal bonds	61,728	-	-	31,470	30,258
<b>TOTAL</b>	<b>\$ 9,501,031</b>	<b>\$ 522,242</b>	<b>\$ 4,602,742</b>	<b>\$ 3,354,678</b>	<b>\$ 1,021,369</b>

The Fund has the following recurring fair value measurements as of April 30, 2020: The mutual funds are valued using quoted prices in active markets for identical assets (Level 1 inputs). The U.S. Treasury obligations, U.S. agency securities, corporate bonds, and municipal bonds are valued using quoted matrix pricing models based on various market and industry inputs (Level 2 inputs).

*Interest Rate Risk*

Interest rate risk is the risk that changes in interest rates will adversely affect the fair value of an investment.

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Interest Rate Risk* (Continued)

In accordance with its investment policy, the Fund limits its exposure to interest rate risk by structuring the portfolio to have an average maturity/modified duration of approximately five years. This average is adjusted upward when interest rates are rising and downward when they are falling in order to provide liquidity for short and long-term cash flow needs while providing a reasonable rate of return based on the current market.

*Credit Risk*

In accordance with its investment policy, the Fund limits its exposure to credit risk, the risk that the issuer of a debt security will not pay its par value upon maturity, by investing primarily in U.S. Treasury obligations, U.S. agency obligations, and requiring that municipal and corporate bonds must be rated as investment grade by one of the two largest rating services at the time of purchase. The U.S. Treasury obligations and U.S. agency securities are rated AAA. Corporate bonds are rated ranging from AA1 to BAA3. Municipal bonds are rated AA2.

*Custodial Credit Risk*

Custodial credit risk for investments is the risk that, in the event of the failure of the counterparty to the investment, the Fund will not be able to recover the value of its investments that are in possession of an outside party. The Fund is exposed to custodial credit risk as the broker also serves as the custodian. However, the custodian has issued an excess SIPC policy to the Fund to mitigate the exposure to custodial credit risk.

*Concentration of Credit Risk*

Concentration of credit risk is the risk that the Fund has a high percentage of its investments invested in one type of investment. The Fund's investment policy limits the amount of the portfolio that can be invested in any one investment category.

Diversification by Instrument	Percent of Portfolio	
	Minimum	Maximum
Equities and mutual funds	20%	65%
Fixed income	33%	78%
Cash	2%	20%

**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Actuarial Assumptions*

The total pension liability above was determined by an actuarial valuation using the following actuarial methods and assumptions.

Actuarial valuation date	April 30, 2020
Actuarial cost method	Entry-age normal
Assumptions	
Inflation	2.50%
Salary increases	4.00% to 8.97%
Interest rate	6.75%
Asset valuation method	Fair Value

Active, Disabled, and Spouse mortality rates used in the April 30, 2020 valuation were based on the RP-2014 Study, with Blue Collar Adjustment and improved generationally using the MP-2016 Improvement Rates. Retiree mortality was based on an actuarial experience study conducted by the actuary in 2016 and experience-weighted with the Raw Rates as developed in the RP-2014 Study, with Blue Collar Adjustment and improved generationally using MP-2016 Improvement Rates. Other demographic assumptions are based on a review of assumptions in the actuary's 2016 experience study for Illinois Police Officers.

*Discount Rate*

The discount rate used to measure the total pension liability was 6.75%. The projection of cash flows used to determine the discount rate assumed that member contributions will be made at the current contribution rate and that the City contributions will be made at rates equal to the difference between actuarially determined contribution rates and the member rate.

Based on those assumptions, the Fund's fiduciary net position was projected to be available to make all projected future benefit payments of current plan members.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Changes in the Net Pension Liability*

	(a) Total Pension Liability	(b) Plan Fiduciary Net Position	(a) - (b) Net Pension Liability
BALANCES AT MAY 1, 2019	\$ 46,802,749	\$ 26,480,045	\$ 20,322,704
Changes for the period			
Service cost	774,819	-	774,819
Interest	3,092,901	-	3,092,901
Difference between expected and actual experience	700,199	-	700,199
Changes in assumptions	1,201,630	-	1,201,630
Changes in benefits	300,534	-	300,534
Employer contributions	-	1,423,207	(1,423,207)
Employee contributions	-	328,238	(328,238)
Net investment income	-	(343,801)	343,801
Benefit payments and refunds	(1,963,984)	(1,963,984)	-
Administrative expense	-	(53,053)	53,053
Net changes	4,106,099	(609,393)	4,715,492
BALANCES AT APRIL 30, 2020	\$ 50,908,848	\$ 25,870,652	\$ 25,038,196

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Discount Rate Sensitivity*

The following is a sensitive analysis of the net pension liability to changes in the discount rate. The table below presents the net pension liability of the City calculated using the discount rate of 6.75% as well as what the City's net pension liability would be if it were calculated using a discount rate that is 1 percentage point lower (5.75%) or 1 percentage point higher (7.75%) than the current rate:

	1% Decrease (5.75%)	Current Discount Rate (6.75%)	1% Increase (7.75%)
Net pension liability	\$ 32,939,455	\$ 25,038,196	\$ 18,682,246

*Pension Expense and Deferred Outflows of Resources and Deferred Inflows of Resources*

For the year ended April 30, 2020, the City recognized pension expense of \$3,376,990. At April 30, 2020, the City reported deferred outflows of resources and deferred inflows of resources related to the Police Pension Plan from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Difference between expected and actual experience	\$ 1,498,016	\$ 126,851
Changes in assumptions	1,900,984	524,563
Net difference between projected and actual earnings on pension plan investments	1,792,825	-
<b>TOTAL</b>	<b>\$ 5,191,825</b>	<b>\$ 651,414</b>

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**7. DEFINED BENEFIT PENSION PLANS (Continued)**

a. Plan Descriptions (Continued)

Police Pension Plan (Continued)

*Pension Expense and Deferred Outflows of Resources and Deferred Inflows of Resources (Continued)*

Amounts reported as deferred outflows of resources and deferred inflows of resources related to Police Pension Plan will be recognized in pension expense as follows:

Fiscal Year Ending April 30,	
2021	\$ 894,735
2022	1,021,979
2023	1,029,292
2024	742,890
2025	388,198
Thereafter	<u>463,317</u>
<b>TOTAL</b>	<b><u>\$ 4,540,411</u></b>

**8. OTHER POSTEMPLOYMENT BENEFITS**

a. Plan Description

In addition to the pension benefits described in Note 7, the City provides postemployment health care benefits (OPEB) to certain retirees under its Healthcare Benefits Program, a single-employer plan. The benefits, benefit levels, employee contributions, and employer contributions are governed by the City and can be amended by the City under its personnel manual and union contracts. To be eligible, employees must be enrolled in the City’s healthcare plan at time of retirement, and receive a pension from either IMRF or the Fund. The City provides an explicit premium subsidy to certain retirees who meet eligibility conditions, and healthcare access to other retired members provided the member pays 100% of the blended premium. Police officers who become disabled in the line of duty during an emergency receive continuation of healthcare benefits at no cost to the member. Upon a retiree reaching age 65 years of age, Medicare becomes the primary insurer and the retiree is no longer eligible to participate in the plan, but can purchase a Medicare supplement plan from the City’s insurance provider.

**8. OTHER POSTEMPLOYMENT BENEFITS (Continued)**

b. Benefits Provided

All healthcare benefits are provided through the City’s health plan. The benefit levels are similar to those afforded to active employees. Benefits include general in-patient and out-patient medical services, vision care, dental care, and prescriptions. Upon a retired participant reaching the age of 65, Medicare becomes the primary insurer and the City’s plan becomes secondary. A separate, audited GAAP basis report is not issued for the plan.

c. Membership

At April 30, 2020, membership consisted of:

Inactive employees or beneficiaries currently receiving benefit payments	18
Inactive employees entitled to but not yet receiving benefit payments	-
Active employees	<u>105</u>
<b>TOTAL</b>	<b><u>123</u></b>

d. Total OPEB Liability

The City’s total OPEB liability of \$6,600,454 was measured as of April 30, 2020 and was determined by an actuarial valuation as of April 30, 2020.

e. Actuarial Assumptions and Other Inputs

The total OPEB liability at April 30, 2020, as determined by an actuarial valuation as of April 30, 2020, was determined using the following actuarial assumptions and other inputs, applied to all periods included in the measurement, unless otherwise specified.

Actuarial cost method	Entry-age normal
Actuarial value of assets	N/A
Salary Increases	3.00%
Discount rate	2.56%
Healthcare cost trend rates	2.10% to 10.60% Initial 5.00% Ultimate

**8. OTHER POSTEMPLOYMENT BENEFITS (Continued)**

e. Actuarial Assumptions and Other Inputs (Continued)

The discount rate was based on The Bond Buyer 20-Bond GO Index, which is based on an average of certain general obligation municipal bonds maturing in 20 years and having an average rating equivalent of Moody's Aa2 and Standard & Poor's AA.

Active IMRF mortality rates follow the RP-2014 table with blue collar adjustment and MP-2016 improvement, weighted per IMRF experience study dated November 8, 2017. For police, active mortality follows the sex distinct raw rates as developed in the PubS-2010(A) Study improved to 2017 using MP-2019 Improvement Rates. These rates are then improved generationally using MP-2019 Improvement Rates. Retiree mortality follows the L&A Assumption Study for Police 2020. These rates are experience weighted with the sex distinct raw rates as developed in the PubS-2010(A) Study Improved to 2017 using MP-2019 Improvement Rates. These rates are then improved generationally using MP-2019 Improvement Rates. Disabled mortality follows the sex distinct raw rates as developed in the PubS-2010 Study for Disabled Participants improved to 2017 using MP-2019 Improvement Rates. These rates are then improved generationally using MP-2019 Improvement Rates. Spouse Mortality follows the sex distinct raw rates as developed in the PubS-2010(A) Study for Contingent Survivors. For all rates not provided there (ages 45 and younger) the PubG-2010 Study for General Employees was used. Mortality Improvement uses MP-2019 Improvement Rates applied on a fully generational basis.

f. Changes in the Total OPEB Liability

	<u>Total OPEB Liability</u>
BALANCES AT MAY 1, 2019	<u>\$ 2,804,943</u>
Changes for the period	
Service cost	44,506
Interest	104,471
Differences between expected and actual experience	1,922,040
Changes in assumptions	1,862,469
Benefit payments	<u>(137,975)</u>
Net changes	<u>3,795,511</u>
BALANCES AT APRIL 30, 2020	<u>\$ 6,600,454</u>

Changes in assumptions included the discount rate changing from 3.79% to 2.56% and changes related to inflation, total payroll increases, mortality and mortality improvement, retirement, termination, and disability rates.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

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**8. OTHER POSTEMPLOYMENT BENEFITS (Continued)**

g. Rate Sensitivity

The following is a sensitivity analysis of the total OPEB liability to changes in the discount rate and the healthcare cost trend rate. The table below presents the total OPEB liability of the City calculated using the current discount rate as well as what the City's total OPEB liability would be if it were calculated using a discount rate that is 1 percentage point lower or 1 percentage point higher than the current rate:

	1% Decrease (1.56%)	Current Discount Rate (2.56%)	1% Increase (3.56%)
Total OPEB liability	\$ 8,136,232	\$ 6,600,454	\$ 5,459,331

The table below presents the total OPEB liability of the City calculated using the current healthcare rate as well as what the City's total OPEB liability would be if it were calculated using a healthcare rate that is 1 percentage point lower or 1 percentage point higher than the current rate:

	1% Decrease (Varies)	Current Healthcare Rate (Varies)	1% Increase (Varies)
Total OPEB liability	\$ 5,356,925	\$ 6,600,454	\$ 8,290,688

h. OPEB Expense and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB

For the year ended April 30, 2020, the City recognized OPEB expense of \$489,826. At April 30, 2020, the City reported deferred outflows of resources and deferred inflows of resources related to OPEB from the following sources:

	Deferred Outflows of Resources	Deferred Inflows of Resources
Differences between expected and actual experience	\$ 1,752,624	\$ -
Changes in assumptions	1,754,627	-
<b>TOTAL</b>	<b>\$ 3,507,251</b>	<b>\$ -</b>

**CITY OF WOOD DALE, ILLINOIS**  
 NOTES TO FINANCIAL STATEMENTS (Continued)

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**8. OTHER POSTEMPLOYMENT BENEFITS (Continued)**

- h. OPEB Expense and Deferred Outflows of Resources and Deferred Inflows of Resources Related to OPEB (Continued)

Amounts reported as deferred outflows of resources and deferred inflows of resources will be recognized in OPEB expense as follows:

Year Ending <u>April 30,</u>	
2021	\$ 340,849
2022	340,849
2023	340,849
2024	340,849
2025	340,849
Thereafter	<u>1,803,006</u>
 TOTAL	 <u>\$ 3,507,251</u>

**9. COMMITMENTS AND CONTINGENCIES**

DuPage Water Commission

The City has a contract for the purchase of Lake Michigan water from the DuPage Water Commission (the Commission). The Commission’s obligation to deliver lake water is limited to certain specified maximum amounts as defined by the terms of the agreement. The City is obligated to pay a share of operation and maintenance costs on a monthly basis computed based on current price and consumption.

Litigation

The City is a defendant in various lawsuits. The outcome of these lawsuits is not presently determinable in the opinion of the City’s attorneys.

Grants

Amounts received or receivable from grantor agencies are subject to audit and adjustment by grantor agencies, principally the federal government. Any disallowed claims, including amounts already collected, may constitute a liability of the applicable funds. The amount, if any, of expenditures that may be disallowed by the grantor cannot be determined at this time although the City expects such amounts, if any, to be immaterial.

**CITY OF WOOD DALE, ILLINOIS**  
**NOTES TO FINANCIAL STATEMENTS (Continued)**

**10. INDIVIDUAL FUND DISCLOSURES**

Transfers between major funds and nonmajor funds are as follows:

Fund	Transfers In	Transfers Out
General	\$ 1,280,665	\$ 379,509
Capital Projects	90,000	837,947
Water/Sewer Operations	-	250,000
Nonmajor Governmental		
Road and Bridge	-	72,409
Motor Fuel Tax	-	40,000
Tourism	-	300,000
Grants	-	120,309
Land Acquisition	79,509	-
Capital Equipment Replacement	550,000	-
<b>TOTAL ALL FUNDS</b>	<u><u>\$ 2,000,174</u></u>	<u><u>\$ 2,000,174</u></u>

The purposes of significant interfund transfers are as follows:

- Transfer from Capital Projects to General Fund of \$837,947 to provide funding for general projects listed in CIP.
- Transfer from Tourism Fund to General Fund of \$300,000 to cover the costs to administer the tourism programs incurred by the General Fund.
- Transfer from the Grants Fund to General Fund of \$120,309 to close out the Grant Fund and transfer funds to the General fund.
- Transfer from Water/Sewer Operations Fund to Capital Equipment Replacement Fund of \$250,000 to cover the cost of vehicle and equipment purchases for enterprise funds.

Individual fund advances are as follows:

Advance From	Advance To	Amount
General	Commuter Parking	\$ 77,587
General	Water/Sewer Operations	2,444,870
Capital Equipment Replacement	Commuter Parking	300,000
Capital Equipment Replacement	Water/Sewer Operations	<u>803,626</u>
<b>TOTAL</b>		<u><u>\$ 3,626,083</u></u>

**10. INDIVIDUAL FUND DISCLOSURES (Continued)**

The purposes of the advances from/to other funds are as follows:

- \$2,444,870 due to the General Fund from the Water/Sewer Operations Fund to cover certain operating costs.
- \$377,587 advance to the Commuter Parking Fund from the General Fund and Capital Equipment Replacement Fund to cover costs associated with reconstruction of the parking lot. Repayments are scheduled in annual amounts of \$30,000.
- \$803,626 due to the Capital Equipment Replacement Fund from the Water/Sewer Operations Fund to cover certain operating costs.

**11. ECONOMIC INCENTIVES**

The City rebates or abates certain taxes to recruit, retain, or improve local business facilities or their supporting public infrastructure under certain circumstances. The terms of these arrangements are specified within written agreements with the businesses concerned.

In November 2009, the City entered into a sales tax reimbursement agreement with a developer to construct a retail gasoline/convenience store within the City. Under the agreement, the City has agreed to remit to the developer 50% of sales tax collected from the retail store during each calendar year in excess of \$15,000. The first \$15,000 is to be retained exclusively by the City. The agreement is in effect for a period of 20 years or until the cumulative amount of \$1,250,000 has been rebated to the developer, whichever occurs first. The total rebates incurred and paid during the year ended April 30, 2020 was \$48,660 and is recorded as an expenditure in the General Fund.

In January 2017, the City entered into a sales tax reimbursement agreement with a retailer to expand their business within the City. Under the agreement, the City has agreed to remit to the retailer 0.25% of all taxable revenue generated at the property if the growth of the local sales tax receipts is 5% or greater than the prior sales tax year. If the growth of the local sales tax receipts is less than 5% from the prior sales tax year, the City will remit to the retailer 0.125% of all taxable revenue generated at the property. The agreement is in effect for a period of five years from the commencement date. The total rebates incurred and paid during the year ended April 30, 2020 was \$106,279 and is recorded as an expenditure in the General Fund.

**CITY OF WOOD DALE, ILLINOIS**  
NOTES TO FINANCIAL STATEMENTS (Continued)

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**12. CHANGE IN ACCOUNTING PRINCIPLE**

In 2020, the City restated beginning net position, as follows: Changes in Accounting Principle - for the implementation of GASB Statement Number 84, *Fiduciary Activities*.

	<u>Increase (Decrease)</u>
CHANGE IN ACCOUNTING PRINCIPLE - FIDUCIARY FUNDS - CUSTODIAL FUND STATEMENTS	
Change in accounting principle	
To record net position of custodial funds	<u>\$ 66,728</u>
 TOTAL CHANGE IN ACCOUNTING PRINCIPLE - FIDUCIARY FUNDS	 <u><u>\$ 66,728</u></u>

**13. SUBSEQUENT EVENTS**

On October 8, 2020, the City issued \$6,290,000 General Obligation Refunding Bonds (Alternative Revenue Source), Series 2020B to advance refund \$7,125,000 of the City's outstanding General Obligation Bonds (Alternative Revenue Source), Series 2012. The bonds mature annually on December 30, beginning December 30, 2021 through December 30, 2032, with maturities from \$415,000 to \$645,000. Interest is due semi-annually on June 30 and December 30 ranging from 2% to 4%.

**REQUIRED SUPPLEMENTARY INFORMATION**

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
GENERAL FUND**

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES</b>			
Taxes	\$ 4,490,289	\$ 4,490,289	\$ 4,304,766
Licenses and permits	1,237,000	1,237,000	972,152
Intergovernmental	5,428,457	5,428,457	5,759,295
Charges for services	567,578	567,578	556,074
Fines and forfeits	899,400	899,400	744,817
Investment income	110,000	110,000	102,708
Miscellaneous	881,548	881,548	1,620,648
	<hr/>	<hr/>	<hr/>
Total revenues	13,614,272	13,614,272	14,060,460
	<hr/>	<hr/>	<hr/>
<b>EXPENDITURES</b>			
General government	5,195,239	5,195,239	5,178,813
Public safety	7,101,732	7,101,732	6,937,650
Highways and streets	1,198,338	1,198,338	1,060,811
	<hr/>	<hr/>	<hr/>
Total expenditures	13,495,309	13,495,309	13,177,274
	<hr/>	<hr/>	<hr/>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	118,963	118,963	883,186
	<hr/>	<hr/>	<hr/>
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers in	250,000	250,000	1,280,665
Transfers (out)	(310,000)	(310,000)	(379,509)
	<hr/>	<hr/>	<hr/>
Total other financing sources (uses)	(60,000)	(60,000)	901,156
	<hr/>	<hr/>	<hr/>
NET CHANGE IN FUND BALANCE	\$ 58,963	\$ 58,963	1,784,342
	<hr/>	<hr/>	<hr/>
FUND BALANCE, MAY 1			7,412,098
			<hr/>
FUND BALANCE, APRIL 30			\$ 9,196,440
			<hr/>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF EMPLOYER CONTRIBUTIONS  
ILLINOIS MUNICIPAL RETIREMENT FUND**

Last Five Fiscal Years

<b>FISCAL YEAR ENDED APRIL 30,</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
Actuarially determined contribution	\$ 552,503	\$ 521,086	\$ 524,474	\$ 501,726	\$ 497,246
Contributions in relation to the actuarially determined contribution	552,503	521,086	524,474	501,726	497,246
<b>CONTRIBUTION DEFICIENCY (Excess)</b>	<b>\$ -</b>				
Covered payroll	\$ 4,532,650	\$ 4,376,856	\$ 4,530,428	\$ 4,479,472	\$ 4,645,978
Contributions as a percentage of covered payroll	12.19%	11.91%	11.58%	11.20%	10.70%

Notes to Required Supplementary Information

The information presented was determined as part of the actuarial valuations as of January 1 of the prior calendar year. Additional information as of the latest actuarial valuation presented is as follows: the actuarial cost method was entry-age normal; the amortization method was level percent of pay, closed, and the amortization period was 24 years; the asset valuation method was five-year smoothed market; and the significant actuarial assumptions were an investment rate of return at 7.50% annually, projected salary increases assumption of 3.35% to 14.25% compounded annually, and postretirement benefit increases of 3.00% compounded annually.

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF EMPLOYER CONTRIBUTIONS  
POLICE PENSION FUND**

Last Six Fiscal Years

<b>FISCAL YEAR ENDED APRIL 30,</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
Actuarially determined contribution	\$ 1,019,884	\$ 1,023,940	\$ 1,081,298	\$ 1,181,154	\$ 1,300,127	\$ 1,423,207
Contributions in relation to the actuarially determined contribution	883,238	1,023,960	1,081,300	1,181,162	1,300,128	1,423,207
<b>CONTRIBUTION DEFICIENCY (Excess)</b>	<b>\$ 136,646</b>	<b>\$ (20)</b>	<b>\$ (2)</b>	<b>\$ (8)</b>	<b>\$ (1)</b>	<b>\$ -</b>
Covered payroll	\$ 3,101,656	\$ 3,246,190	\$ 3,390,761	\$ 3,509,438	\$ 3,288,479	\$ 3,315,891
Contributions as a percentage of covered payroll	28.48%	31.54%	31.89%	33.66%	39.54%	42.92%

Notes to Required Supplementary Information

The information presented was determined as part of the actuarial valuations as of the beginning of the prior fiscal year. Additional information as of the latest actuarial valuation presented is as follows: the actuarial cost method was entry-age normal; the amortization method was level percent of pay, closed, and the amortization period was 21 years; the asset valuation method was five-year smoothed market value; and the significant actuarial assumptions were an investment rate of return at 6.75% annually, projected salary increases assumption of 4.00% to 8.97% compounded annually, and postretirement benefit increases of 3.00% compounded annually.

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF CHANGES IN THE EMPLOYER'S  
NET PENSION LIABILITY AND RELATED RATIOS  
ILLINOIS MUNICIPAL RETIREMENT FUND

Last Five Calendar Years

<b>MEASUREMENT DATE DECEMBER 31,</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>
<b>TOTAL PENSION LIABILITY</b>					
Service cost	\$ 481,907	\$ 452,339	\$ 455,926	\$ 435,385	\$ 459,313
Interest	1,915,795	1,978,938	2,077,093	2,128,994	2,244,185
Changes of benefit terms	-	-	-	-	-
Differences between expected and actual experience	(516,170)	(33,477)	292,280	420,945	(911,854)
Changes of assumptions	33,818	(70,106)	(907,985)	905,629	-
Benefit payments, including refunds of member contributions	(991,083)	(1,053,344)	(1,129,241)	(1,300,827)	(1,369,637)
Net change in total pension liability	924,267	1,274,350	788,073	2,590,126	422,007
Total pension liability - beginning	25,832,620	26,756,887	28,031,237	28,819,310	31,409,436
<b>TOTAL PENSION LIABILITY - ENDING</b>	<b>\$ 26,756,887</b>	<b>\$ 28,031,237</b>	<b>\$ 28,819,310</b>	<b>\$ 31,409,436</b>	<b>\$ 31,831,443</b>
<b>PLAN FIDUCIARY NET POSITION</b>					
Contributions - employer	\$ 558,047	\$ 525,356	\$ 526,216	\$ 558,717	\$ 443,666
Contributions - member	201,200	193,219	205,732	215,876	209,749
Net investment income	118,862	1,602,686	4,254,445	(1,464,780)	4,990,042
Benefit payments, including refunds of member contributions	(991,083)	(1,053,344)	(1,129,241)	(1,300,827)	(1,369,637)
Other (net transfer)	(439,575)	(32,789)	(394,345)	536,884	(765,110)
Net change in plan fiduciary net position	(552,549)	1,235,128	3,462,807	(1,454,130)	3,508,710
Plan fiduciary net position - beginning	23,888,296	23,335,747	24,570,875	28,033,682	26,579,552
<b>PLAN FIDUCIARY NET POSITION - ENDING</b>	<b>\$ 23,335,747</b>	<b>\$ 24,570,875</b>	<b>\$ 28,033,682</b>	<b>\$ 26,579,552</b>	<b>\$ 30,088,262</b>
<b>EMPLOYER'S NET PENSION LIABILITY</b>	<b>\$ 3,421,140</b>	<b>\$ 3,460,362</b>	<b>\$ 785,628</b>	<b>\$ 4,829,884</b>	<b>\$ 1,743,181</b>
Plan fiduciary net position as a percentage of the total pension liability	87.21%	87.66%	97.27%	84.62%	94.52%
Covered payroll	\$ 4,464,061	\$ 4,285,625	\$ 4,571,815	\$ 4,450,647	\$ 4,607,127
Employer's net pension liability as a percentage of covered payroll	76.64%	80.74%	17.18%	108.52%	37.84%

The discount rate assumption was changed from 7.50% to 7.25% in 2018.

The price inflation assumption was changed from 2.75% to 2.50%, and the salary increase assumption was changed from 3.75% - 14.50% to 3.39% - 14.25% in 2017.

The discount rate assumption was changed from 7.48% to 7.50% in 2016.

The retirement age and mortality assumptions were changed in 2015.

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF CHANGES IN THE EMPLOYER'S  
NET PENSION LIABILITY AND RELATED RATIOS  
POLICE PENSION FUND**

Last Six Fiscal Years

<b>MEASUREMENT DATE APRIL 30,</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>TOTAL PENSION LIABILITY</b>						
Service cost	\$ 699,643	\$ 646,508	\$ 760,237	\$ 726,239	\$ 775,260	\$ 774,819
Interest	2,297,128	2,424,056	2,686,344	2,769,286	2,961,412	3,092,901
Changes of benefit terms	-	-	-	-	-	300,534
Differences between expected and actual experience	-	(352,566)	297,731	1,063,649	106,218	700,199
Changes in assumptions	-	2,368,669	(1,096,299)	-	-	1,201,630
Benefit payments, including refunds of member contributions	(1,069,412)	(1,163,299)	(1,238,569)	(1,599,900)	(1,825,822)	(1,963,984)
Net change in total pension liability	1,927,359	3,923,368	1,409,444	2,959,274	2,017,068	4,106,099
Total pension liability - beginning	34,566,236	36,493,595	40,416,963	41,826,407	44,785,681	46,802,749
<b>TOTAL PENSION LIABILITY - ENDING</b>	<b>\$ 36,493,595</b>	<b>\$ 40,416,963</b>	<b>\$ 41,826,407</b>	<b>\$ 44,785,681</b>	<b>\$ 46,802,749</b>	<b>\$ 50,908,848</b>
<b>PLAN FIDUCIARY NET POSITION</b>						
Contributions - employer	\$ 883,238	\$ 1,023,960	\$ 1,081,300	\$ 1,181,162	\$ 1,300,128	\$ 1,423,207
Contributions - member	298,262	332,138	320,719	303,265	328,458	328,238
Net investment income	1,197,294	(358,480)	2,100,822	1,886,339	1,159,230	(343,801)
Benefit payments, including refunds of member contributions	(1,069,412)	(1,163,299)	(1,238,569)	(1,599,900)	(1,825,822)	(1,963,984)
Administrative expense	(39,466)	(38,886)	(46,234)	(58,137)	(52,063)	(53,053)
Net change in plan fiduciary net position	1,269,916	(204,567)	2,218,038	1,712,729	909,931	(609,393)
Plan fiduciary net position - beginning	20,573,998	21,843,914	21,639,347	23,857,385	25,570,114	26,480,045
<b>PLAN FIDUCIARY NET POSITION - ENDING</b>	<b>\$ 21,843,914</b>	<b>\$ 21,639,347</b>	<b>\$ 23,857,385</b>	<b>\$ 25,570,114</b>	<b>\$ 26,480,045</b>	<b>\$ 25,870,652</b>
<b>EMPLOYER'S NET PENSION LIABILITY</b>	<b>\$ 14,649,681</b>	<b>\$ 18,777,616</b>	<b>\$ 17,969,022</b>	<b>\$ 19,215,567</b>	<b>\$ 20,322,704</b>	<b>\$ 25,038,196</b>
Plan fiduciary net position as a percentage of the total pension liability	59.86%	53.54%	57.04%	57.09%	56.58%	50.82%
Covered payroll	\$ 3,101,656	\$ 3,246,190	\$ 3,390,761	\$ 3,509,438	\$ 3,288,479	\$ 3,315,891
Employer's net pension liability as a percentage of covered payroll	472.32%	578.45%	529.94%	547.54%	618.00%	755.10%

Notes to Required Supplementary Information

2016 - Actuarial assumptions were updated to reflect revised expectations with respect to mortality rates, mortality improvement rates, retirement rates, disability rates, and termination rates.

2017 - Actuarial assumptions were updated to reflect revised expectations with respect to mortality rates.

2020 - Actuarial assumptions were updated to reflect changes to pay increases, inflation rate, mortality rates, retirement rates, termination rates, disability rates, and marital assumptions. In addition, the changes of benefit terms were due to changes in plan benefits required under PA-101-0610 (SB 1300).

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF CHANGES IN THE EMPLOYER'S  
TOTAL OPEB LIABILITY AND RELATED RATIOS  
OTHER POSTEMPLOYMENT BENEFIT PLAN

Last Three Fiscal Years

<b>MEASUREMENT DATE APRIL 30,</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>TOTAL OPEB LIABILITY</b>			
Service cost	\$ 46,442	\$ 48,286	\$ 44,506
Interest	101,880	104,291	104,471
Changes of benefit terms	-	-	-
Differences between expected and actual experience	-	-	1,922,040
Changes in assumptions	-	70,859	1,862,469
Benefit payments, including refunds of member contributions	(84,163)	(90,967)	(137,975)
Net change in total OPEB liability	64,159	132,469	3,795,511
Total OPEB liability - beginning	2,608,315	2,672,474	2,804,943
<b>TOTAL OPEB LIABILITY - ENDING</b>	<b>\$ 2,672,474</b>	<b>\$ 2,804,943</b>	<b>\$ 6,600,454</b>
Covered payroll	\$ 8,308,877	\$ 8,356,127	\$ 8,585,920
Employer's total OPEB liability as a percentage of covered payroll	32.16%	33.57%	76.88%

Notes to Required Supplementary Information

2020 - Actuarial assumptions were updated to reflect changes in the discount rate from 3.79% to 2.56%. Additionally there were changes to assumptions related to inflation rate, payroll increases, mortality rates, mortality improvement rates, retirement rates, termination rates, and disability rates.

2019 - Actuarial assumptions were updated to reflect changes in the discount rate from 3.97% to 3.79%.

No assets are accumulated in a trust that meets the criteria in paragraph 4 of GASB Statement No. 75.

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF INVESTMENT RETURNS  
POLICE PENSION FUND**

Last Six Fiscal Years

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<b>FISCAL YEAR ENDED APRIL 30,</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
Annual money-weighted rate of return, net of investment expense	5.98%	(1.54%)	9.99%	7.98%	4.72%	(1.33%)

Ultimately, this schedule should present information for the last ten years. However, until ten years of information can be compiled, information will be presented for as many years as is available.

**CITY OF WOOD DALE, ILLINOIS**

**NOTES TO REQUIRED SUPPLEMENTARY INFORMATION**

April 30, 2020

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**1. BUDGETS**

The City operates under the Illinois Municipal Budget Law.

All departments of the City submit budget requests to the City Manager so that a budget may be prepared. The budget is prepared by fund, function, and activity, and includes information on the past year, current year estimates, and requested appropriations for the next fiscal year.

The proposed budget is presented to the City Council for review. The City Council holds public hearings and may add to, subtract from, or change appropriations, but may not change the form of the budget. Encumbrance accounting, under which purchase orders, contracts, and other commitments for the expenditure of moneys are recorded in order to reserve that portion of the applicable appropriation, is employed during the year. Encumbrances are not reported in the financial statements.

The City Manager is authorized to transfer budgeted amounts between departments within any fund; however, any revisions that alter the total expenditures of any fund must be approved by the City Council. Budgetary authority lapses at the fiscal year end.

Annual appropriations are adopted for the General Fund, Special Revenue Funds (except for the Grant Fund), Debt Service Funds, Capital Projects Funds, Enterprise Funds, and the Pension Trust Fund. Budgets for these funds are adopted on a basis consistent with GAAP, except that in the Enterprise Funds, capital outlays and principal payments are budgeted as expenses, while GASB 68 adjustments are not budgeted.

Expenditures may not legally exceed appropriations at the fund level.

**2. INDIVIDUAL FUND DISCLOSURES**

The following funds had expenditures in excess of budget:

<u>Fund</u>	<u>Excess</u>
Thorndale Corridor TIF District	\$ 3,289,669
Narcotics Forfeiture	2,464
Land Acquisition	84,509
Water/Sewer Operations	525,424

**COMBINING AND INDIVIDUAL FUND  
FINANCIAL STATEMENTS AND SCHEDULES**

**MAJOR GOVERNMENTAL FUNDS**

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF REVENUES - BUDGET AND ACTUAL  
GENERAL FUND**

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES</b>			
Taxes			
Property taxes, current	\$ 3,087,289	\$ 3,087,289	\$ 3,147,966
Replacement tax	28,000	28,000	33,084
Telecommunication tax	1,050,000	1,050,000	877,888
Utility tax	325,000	325,000	245,828
<b>Total taxes</b>	<b>4,490,289</b>	<b>4,490,289</b>	<b>4,304,766</b>
Licenses and permits			
Building permits	750,000	750,000	545,471
Rental housing inspection fees	85,000	85,000	78,463
Contractors registration	50,000	50,000	42,175
Business licenses	130,000	130,000	89,112
Liquor licenses	60,000	60,000	69,475
Vehicle licenses	153,000	153,000	140,786
Georgetown permits	9,000	9,000	6,670
<b>Total licenses and permits</b>	<b>1,237,000</b>	<b>1,237,000</b>	<b>972,152</b>
Intergovernmental			
Income tax	1,387,328	1,387,328	1,494,705
Sales tax	3,600,000	3,600,000	3,731,201
Use tax	436,509	436,509	492,164
Tobacco enforcement grant	2,420	2,420	3,273
Vest grant	2,200	2,200	-
FEMA grant	-	-	37,952
<b>Total intergovernmental</b>	<b>5,428,457</b>	<b>5,428,457</b>	<b>5,759,295</b>
Charges for services			
Cable TV franchise fees	220,000	220,000	224,858
Right of way usage fee	53,580	53,580	20,000
Plan review fees	40,000	40,000	7,917
Re-inspection fees	10,000	10,000	4,983
Rent - city owned property	-	-	85,100
Reimbursement - police service	94,748	94,748	93,746
E-ticketing fee	2,000	2,000	2,109
Administration fee - impounded vehicles	105,000	105,000	80,400

(This schedule is continued on the following page.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES (Continued)</b>			
Charges for services (Continued)			
Administration fee - FTA booking	\$ 1,750	\$ 1,750	\$ 1,260
Other police revenue	13,000	13,000	13,251
Over weight permit fees	15,000	15,000	21,800
Alarm fees	12,500	12,500	650
<b>Total charges for services</b>	<b>567,578</b>	<b>567,578</b>	<b>556,074</b>
Fines and forfeits			
Court fines	260,000	260,000	220,871
Police fines	32,500	32,500	42,985
Stray animal fines	900	900	-
Liquor license fines	1,000	1,000	750
Court supervision	10,000	10,000	4,145
DUI tech fund fees	20,000	20,000	(53,180)
Red light enforcement	575,000	575,000	529,246
<b>Total fines and forfeits</b>	<b>899,400</b>	<b>899,400</b>	<b>744,817</b>
Investment income	110,000	110,000	102,708
Miscellaneous			
IPBC	150,000	150,000	175,238
Pull tabs and jar game	2,500	2,500	2,598
Bond forfeitures	7,000	7,000	17,123
Employee health care contribution	435,640	435,640	389,578
Park district bank runs	6,500	6,500	4,711
Dumeg receipts	24,908	24,908	29,170
Auction proceeds	5,000	5,000	731
Miscellaneous	250,000	250,000	1,001,499
<b>Total miscellaneous</b>	<b>881,548</b>	<b>881,548</b>	<b>1,620,648</b>
<b>TOTAL REVENUES</b>	<b>\$ 13,614,272</b>	<b>\$ 13,614,272</b>	<b>\$ 14,060,460</b>

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL  
GENERAL FUND**

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT</b>			
Legislative - administration			
Personal services			
Salaries	\$ 536,884	\$ 536,884	\$ 581,899
Overtime pay	500	500	-
Leave time buy-back	6,484	6,484	6,484
Elected officials	75,003	75,003	75,003
Deferred compensation	5,000	5,000	5,000
FICA expenditures	47,726	47,726	45,365
IMRF expenditures	60,079	60,079	68,679
Total personal services	<u>731,676</u>	<u>731,676</u>	<u>782,430</u>
Contractual services			
Telephone/alarm line	2,160	2,160	2,220
Printing	2,000	2,000	1,865
Professional services	36,000	36,000	36,000
Recording fees	2,520	2,520	1,273
Education and training	450	450	711
Dues and subscriptions	4,550	4,550	4,794
Dues and subscriptions - legislative	16,000	16,000	14,257
Total contractual services	<u>63,680</u>	<u>63,680</u>	<u>61,120</u>
Commodities			
Books and publications	200	200	-
Office supplies	2,600	2,600	4,132
Total commodities	<u>2,800</u>	<u>2,800</u>	<u>4,132</u>
Other charges			
Business expenses	3,500	3,500	575
Conference/meetings	3,500	3,500	5,986
Conference/meetings - legislative	12,500	12,500	8,752
Miscellaneous	2,750	2,750	4,399
Total other charges	<u>22,250</u>	<u>22,250</u>	<u>19,712</u>
Total legislative - administration	<u>820,406</u>	<u>820,406</u>	<u>867,394</u>
Building department			
Personal services			
Salaries	572,766	572,766	513,484
Overtime pay	1,000	1,000	54
Leave time buy-back	-	-	7,987
Stipends	400	400	200
FICA expenditures	43,924	43,924	37,874
IMRF expenditures	55,292	55,292	55,599
Total personal services	<u>673,382</u>	<u>673,382</u>	<u>615,198</u>

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT (Continued)</b>			
Building department (Continued)			
Contractual services			
Printing	\$ 2,400	\$ 2,400	\$ 2,103
Maintenance - vehicles	1,350	1,350	-
Professional services	150,000	150,000	128,683
Publish legal notice	1,250	1,250	(15,544)
Education and training	6,600	6,600	4,571
Dues and subscriptions	2,400	2,400	2,453
Property maintenance	-	-	9,555
Total contractual services	<u>164,000</u>	<u>164,000</u>	<u>131,821</u>
Commodities			
Gasoline	2,750	2,750	1,198
Books and publications	1,100	1,100	1,103
Maintenance - vehicles	2,500	2,500	1,488
Uniforms	1,750	1,750	1,199
Safety equipment	450	450	325
Office supplies	1,500	1,500	1,394
Total commodities	<u>10,050</u>	<u>10,050</u>	<u>6,707</u>
Capital outlay			
Office equipment	1,800	1,800	-
Total capital outlay	<u>1,800</u>	<u>1,800</u>	<u>-</u>
Other charges			
Conference/meetings	4,350	4,350	3,112
Miscellaneous	700	700	-
Total other charges	<u>5,050</u>	<u>5,050</u>	<u>3,112</u>
Total building department	<u>854,282</u>	<u>854,282</u>	<u>756,838</u>
Finance department			
Personal services			
Salaries	341,609	341,609	346,354
Overtime pay	1,600	1,600	2,968
Leave time buy-back	3,135	3,135	3,135
FICA expenditures	26,495	26,495	25,831
IMRF expenditures	33,353	33,353	36,809
Total personal services	<u>406,192</u>	<u>406,192</u>	<u>415,097</u>
Contractual services			
Printing	16,500	16,500	13,540
Professional services	1,300	1,300	1,645
Education and training	1,200	1,200	1,545
Dues and subscriptions	775	775	777
Total contractual services	<u>19,775</u>	<u>19,775</u>	<u>17,507</u>

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT (Continued)</b>			
Finance department (Continued)			
Commodities			
Books and publications	\$ 150	\$ 150	\$ 42
Uniforms	800	800	331
Office supplies	1,200	1,200	1,663
Total commodities	2,150	2,150	2,036
Other charges			
Conference/meetings	2,400	2,400	2,655
Miscellaneous	50	50	-
Total other charges	2,450	2,450	2,655
Total finance department	430,567	430,567	437,295
Legal			
Contractual services			
Legal - general fund	296,000	296,000	515,888
Legal - prosecution	50,000	50,000	52,830
Legal - labor	20,000	20,000	12,293
Legal - settlement	-	-	7,500
Total legal	366,000	366,000	588,511
City clerk's office			
Personal services			
Salaries	72,238	72,238	69,637
Overtime pay	250	250	854
FICA expenditures	5,545	5,545	5,288
IMRF expenditures	6,981	6,981	7,475
Total personal services	85,014	85,014	83,254
Contractual services			
Professional services	15,000	15,000	2,059
Education and training	200	200	-
Dues and subscriptions	35	35	137
Total contractual services	15,235	15,235	2,196
Commodities			
Office supplies	-	-	77
Total commodities	-	-	77
Other charges			
Conference/meetings	150	150	60
Miscellaneous	1,000	1,000	758
Total other charges	1,150	1,150	818
Total city clerk's office	101,399	101,399	86,345

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT (Continued)</b>			
City services administration			
Personal services			
Salaries	\$ 289,427	\$ 289,427	\$ 272,252
Overtime pay	350	350	567
Longevity pay	1,100	1,100	1,100
Stipends	12,500	12,500	9,600
FICA expenditures	22,252	22,252	20,020
IMRF expenditures	28,011	28,011	28,900
<b>Total personal services</b>	<b>353,640</b>	<b>353,640</b>	<b>332,439</b>
Contractual services			
Printing	150	150	150
Maintenance - building/grounds	17,500	17,500	20,279
Maintenance - office equipment	300	300	49
Maintenance - other equipment	200	200	-
Maintenance vehicle	200	200	-
Maintenance agreements	5,000	5,000	3,544
Equipment rental	-	-	350
Professional services	1,200	1,200	4,797
Engineering services	50,000	50,000	69,974
Education and training	5,000	5,000	1,223
Dues and subscriptions	1,200	1,200	1,443
<b>Total contractual services</b>	<b>80,750</b>	<b>80,750</b>	<b>101,809</b>
Commodities			
Gasoline	1,750	1,750	1,509
Postage	150	150	72
Books and publications	100	100	-
Maintenance - building/grounds	4,000	4,000	1,233
Maintenance - vehicles	700	700	1,478
Uniforms	18,000	18,000	16,082
Safety equipment	3,500	3,500	1,345
Office supplies	2,300	2,300	1,936
Electric utilities	1,500	1,500	-
<b>Total commodities</b>	<b>32,000</b>	<b>32,000</b>	<b>23,655</b>
Other charges			
Conferences/meetings	6,000	6,000	235
Safety program	3,500	3,500	4,345
Miscellaneous	550	550	311
<b>Total other charges</b>	<b>10,050</b>	<b>10,050</b>	<b>4,891</b>
<b>Total city services administration</b>	<b>476,440</b>	<b>476,440</b>	<b>462,794</b>
Central services			
Contractual services			
Telephone/alarm line	122,500	122,500	114,359
Printing	15,000	15,000	17,375
Maintenance - building/grounds	100,000	100,000	117,597

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT (Continued)</b>			
Central services (Continued)			
Contractual services (Continued)			
Equipment rental	\$ 15,000	\$ 15,000	\$ 15,583
IT professional services	30,000	30,000	29,851
Auditing services	42,500	42,500	39,530
Professional services	27,000	27,000	26,225
Insurance premiums	370,000	370,000	382,853
Health insurance	1,650,000	1,650,000	1,863,498
Employee development	15,000	15,000	-
Employee recruitment	3,500	3,500	1,987
IT - software licenses and M/As	100,000	100,000	93,008
<b>Total contractual services</b>	<b>2,490,500</b>	<b>2,490,500</b>	<b>2,701,866</b>
Commodities			
Postage	23,000	23,000	18,369
Maintenance - building/grounds	15,000	15,000	10,354
Electric utilities	500	500	246
Flowers	400	400	724
<b>Total commodities</b>	<b>38,900</b>	<b>38,900</b>	<b>29,693</b>
Other charges			
Employee recognition	5,150	5,150	2,353
Safety program	2,000	2,000	1,328
Employee engagement	10,000	10,000	10,378
Generator grant program	3,000	3,000	1,275
O'Hare noise	3,000	3,000	-
Credit card fees	1,000	1,000	167
Stormwater committee	30,000	30,000	4,725
Sales tax rebate program	100,000	100,000	154,940
<b>Total other charges</b>	<b>154,150</b>	<b>154,150</b>	<b>175,166</b>
Capital outlay			
IT equipment	55,750	55,750	34,020
<b>Total capital outlay</b>	<b>55,750</b>	<b>55,750</b>	<b>34,020</b>
<b>Total central services</b>	<b>2,739,300</b>	<b>2,739,300</b>	<b>2,940,745</b>
Vehicle maintenance			
Personal services			
Salaries	157,456	157,456	152,109
Overtime pay	500	500	210
Longevity pay	1,700	1,700	1,700
FICA expenditures	12,214	12,214	11,585
IMRF expenditures	15,375	15,375	16,444
<b>Total personal services</b>	<b>187,245</b>	<b>187,245</b>	<b>182,048</b>

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>GENERAL GOVERNMENT (Continued)</b>			
Vehicle maintenance (Continued)			
Contractual services			
Maintenance - other equipment	\$ 600	\$ 600	\$ 748
Maintenance - vehicles	300	300	122
Education and training	300	300	-
Dues and subscriptions	8,000	8,000	7,852
Total contractual services	9,200	9,200	8,722
Commodities			
Gasoline	800	800	737
Maintenance - other equipment	2,000	2,000	1,893
Maintenance - vehicles	1,000	1,000	1,318
Safety equipment	300	300	-
Other parts and materials	2,200	2,200	2,173
Total commodities	6,300	6,300	6,121
Capital outlay			
Other equipment	2,000	2,000	-
Total capital outlay	2,000	2,000	-
Other charges			
Miscellaneous	100	100	-
Total other charges	100	100	-
Total vehicle maintenance	204,845	204,845	196,891
Subtotal general government	5,993,239	5,993,239	6,336,813
Reimbursement from other funds	(798,000)	(798,000)	(1,158,000)
Total general government	5,195,239	5,195,239	5,178,813
<b>PUBLIC SAFETY</b>			
Police department			
Personal services			
Salaries	3,987,252	3,987,252	3,893,394
Overtime pay	332,500	332,500	346,731
Part-time employment	24,100	24,100	15,753
Seasonal employment	13,500	13,500	6,288
Leave time buy-back	11,500	11,500	14,318
Longevity pay	14,200	14,200	23,900
FICA expenditures	335,303	335,303	319,178
IMRF expenditures	56,464	56,464	62,226
Total personal services	4,774,819	4,774,819	4,681,788

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>		<b>Final Budget</b>		<b>Actual</b>
<b>PUBLIC SAFETY (Continued)</b>					
Police department (Continued)					
Contractual services					
Telephone/alarm line	\$ 12,500	\$	12,500	\$	14,610
Communications	13,000		13,000		15,570
Printing	2,600		2,600		3,425
Maintenance - building/grounds	15,000		15,000		7,554
Maintenance - office equipment	1,000		1,000		-
Maintenance - other equipment	2,000		2,000		1,287
Maintenance - vehicles	19,000		19,000		11,629
Maintenance agreements	18,000		18,000		18,852
Emergency services	1,900		1,900		1,732
Professional services	27,000		27,000		18,842
Community services	2,600		2,600		1,874
Township social services	14,640		14,640		14,220
Animal control	10,500		10,500		18,175
Education and training	30,500		30,500		28,866
Dues and subscriptions	2,100		2,100		2,785
Cops administration	167,880		167,880		168,599
Dispatching services	336,872		336,872		336,872
Total contractual services	677,092		677,092		664,892
Commodities					
Gasoline	60,000		60,000		41,082
Postage	200		200		216
Books and publications	200		200		179
Maintenance - building/grounds	2,500		2,500		712
Maintenance - other equipment	2,800		2,800		2,456
Maintenance - vehicles	28,000		28,000		33,399
Uniforms	36,000		36,000		29,950
Emergency services	3,000		3,000		7,417
Copy supplies	1,500		1,500		1,121
Office supplies	3,000		3,000		2,064
Photo supplies	500		500		21
Community services	7,500		7,500		5,802
Detective's expense	3,000		3,000		2,074
Investigative supplies	1,500		1,500		1,313
Ammunition/gun range	6,200		6,200		2,150
Prisoner food	200		200		10
Total commodities	156,100		156,100		129,966
Capital outlay					
Office equipment	2,500		2,500		539
Police operating equipment	28,565		28,565		5,064
Total capital outlay	31,065		31,065		5,603

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>PUBLIC SAFETY (Continued)</b>			
Police department (Continued)			
Other charges			
Police pension contribution	\$ 1,423,206	\$ 1,423,206	\$ 1,423,206
Court mileage reimbursement	3,900	3,900	2,893
Conferences/meetings	3,000	3,000	1,054
DuPage children center	3,500	3,500	3,500
Safety program	2,200	2,200	275
Fitness program	4,500	4,500	3,869
Accreditation	4,900	4,900	4,595
Miscellaneous	2,000	2,000	1,006
Total other charges	1,447,206	1,447,206	1,440,398
Total police department	7,086,282	7,086,282	6,922,647
Police and fire commission			
Personal services			
Part-time employment	4,500	4,500	4,950
FICA expenditures	350	350	379
Total personal services	4,850	4,850	5,329
Contractual services			
Printing	100	100	-
Dues and subscriptions	750	750	1,625
Employee recreation	500	500	-
Total contractual services	1,350	1,350	1,625
Other charges			
Conferences/meetings	1,050	1,050	-
Testing program	8,000	8,000	7,509
Miscellaneous	200	200	540
Total other charges	9,250	9,250	8,049
Total police and fire commission	15,450	15,450	15,003
Total public safety	7,101,732	7,101,732	6,937,650
<b>HIGHWAYS AND STREETS</b>			
Personal services			
Salaries	572,811	572,811	551,858
Overtime pay	45,000	45,000	40,057
Stand-by pay	13,250	13,250	14,150
Seasonal employment	37,000	37,000	18,078
Leave time buy-back	100	100	-
Stipends	-	-	400
Longevity pay	1,850	1,850	1,850
FICA expenditures	51,256	51,256	46,303
IMRF expenditures	60,771	60,771	64,936
Total personal services	782,038	782,038	737,632

(This schedule is continued on the following page.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF EXPENDITURES - BUDGET AND ACTUAL (Continued)  
GENERAL FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>HIGHWAYS AND STREETS (Continued)</b>			
Contractual services			
Maintenance - other equipment	\$ 2,000	\$ 2,000	\$ 224
Maintenance - building/grounds	-	-	23
Maintenance - vehicles	10,000	10,000	4,037
Equipment rental	5,000	5,000	5,259
Forestry program	55,000	55,000	29,508
Mosquito abatement	55,000	55,000	55,608
Gypsy moth spraying	21,000	21,000	-
Dump fees	18,000	18,000	11,491
Sidewalk maintenance	65,000	65,000	65,870
Education and training	3,500	3,500	1,541
Dues and subscriptions	1,000	1,000	2,500
Parkway tree replacement	30,000	30,000	14,732
Landscaping - city property	20,000	20,000	13,382
Total contractual services	<u>285,500</u>	<u>285,500</u>	<u>204,175</u>
Commodities			
Gasoline	25,000	25,000	20,640
Maintenance - other equipment	20,000	20,000	20,989
Maintenance - vehicles	26,000	26,000	43,996
Safety equipment	2,200	2,200	2,453
Snow and ice control	28,000	28,000	25,220
Other parts and materials	13,500	13,500	4,298
Total commodities	<u>114,700</u>	<u>114,700</u>	<u>117,596</u>
Capital outlay			
Office equipment	15,000	15,000	567
Total capital outlay	<u>15,000</u>	<u>15,000</u>	<u>567</u>
Other charges			
Conferences/meetings	600	600	841
Miscellaneous	500	500	-
Total other charges	<u>1,100</u>	<u>1,100</u>	<u>841</u>
Total highways and streets	<u>1,198,338</u>	<u>1,198,338</u>	<u>1,060,811</u>
<b>TOTAL EXPENDITURES</b>	<u>\$ 13,495,309</u>	<u>\$ 13,495,309</u>	<u>\$ 13,177,274</u>

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
CAPITAL PROJECTS FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES</b>			
Taxes			
Utility	\$ 850,000	\$ 850,000	\$ 737,484
Non-home rule sales taxes	2,450,000	2,450,000	2,369,386
Intergovernmental			
Grants	300,000	300,000	-
Investment income	15,000	15,000	6,851
Miscellaneous	69,095	69,095	-
	<hr/>	<hr/>	<hr/>
Total revenues	3,684,095	3,684,095	3,113,721
<b>EXPENDITURES</b>			
Highways and streets			
Contractual services	-	-	252,385
Capital outlay	4,697,095	4,697,095	2,724,919
	<hr/>	<hr/>	<hr/>
Total expenditures	4,697,095	4,697,095	2,977,304
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>			
	<hr/>	<hr/>	<hr/>
	(1,013,000)	(1,013,000)	136,417
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers in	90,000	90,000	90,000
Transfers (out)	-	-	(837,947)
Bond issuance	-	-	9,250,000
Premium on bonds	-	-	365,204
	<hr/>	<hr/>	<hr/>
Total other financing sources (uses)	90,000	90,000	8,867,257
<b>NET CHANGE IN FUND BALANCE</b>			
	<hr/>	<hr/>	<hr/>
	\$ (923,000)	\$ (923,000)	9,003,674
<b>FUND BALANCE, MAY 1</b>			
			<hr/>
			1,823,440
<b>FUND BALANCE, APRIL 30</b>			
			<hr/>
			\$ 10,827,114

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
THORNDALE CORRIDOR TIF DISTRICT FUND

For the Year Ended April 30, 2020

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>
<b>REVENUES</b>			
Taxes			
Property taxes	\$ 1,750,000	\$ 1,750,000	\$ 1,878,894
Investment income	25,000	25,000	25,243
	<hr/>	<hr/>	<hr/>
Total revenues	1,775,000	1,775,000	1,904,137
	<hr/>	<hr/>	<hr/>
<b>EXPENDITURES</b>			
Economic development			
Contractual services	325,000	325,000	393,669
Capital outlay	900,000	900,000	4,121,000
	<hr/>	<hr/>	<hr/>
Total expenditures	1,225,000	1,225,000	4,514,669
	<hr/>	<hr/>	<hr/>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	550,000	550,000	(2,610,532)
	<hr/>	<hr/>	<hr/>
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers (out)	(535,000)	(535,000)	-
	<hr/>	<hr/>	<hr/>
Total other financing sources (uses)	(535,000)	(535,000)	-
	<hr/>	<hr/>	<hr/>
NET CHANGE IN FUND BALANCE	<u>\$ 15,000</u>	<u>\$ 15,000</u>	(2,610,532)
FUND BALANCE, MAY 1			<hr/> 2,696,522
<b>FUND BALANCE, APRIL 30</b>			<hr/> <b>\$ 85,990</b> <hr/>

**NONMAJOR GOVERNMENTAL FUNDS**

**CITY OF WOOD DALE, ILLINOIS**  
**COMBINING BALANCE SHEET**  
**NONMAJOR GOVERNMENTAL FUNDS**

April 30, 2020

	<b>Special Revenue</b>			
	<b>Road and Bridge</b>	<b>Motor Fuel Tax</b>	<b>Tourism</b>	<b>Narcotics Forfeiture</b>
<b>ASSETS</b>				
Cash and investments	\$ 189,866	\$ 904,296	\$ 258,146	\$ 29,910
Receivables, net of allowance for uncollectibles				
Accounts	-	-	2,048	-
Due from other governments	-	40,840	-	-
Advance to other funds	-	-	-	-
<b>TOTAL ASSETS</b>	<b>\$ 189,866</b>	<b>\$ 945,136</b>	<b>\$ 260,194</b>	<b>\$ 29,910</b>
<b>LIABILITIES AND FUND BALANCES</b>				
<b>LIABILITIES</b>				
Accounts payable	\$ 485	\$ 24,951	\$ 15,186	\$ 497
Accrued payroll	-	-	1,457	-
Deposits payable	-	-	650	149
Total liabilities	485	24,951	17,293	646
<b>FUND BALANCES</b>				
Restricted for public safety	-	-	-	29,264
Restricted for highways and streets	189,381	920,185	-	-
Restricted for tourism	-	-	242,901	-
Assigned for capital projects	-	-	-	-
Total fund balances	189,381	920,185	242,901	29,264
<b>TOTAL LIABILITIES AND FUND BALANCES</b>	<b>\$ 189,866</b>	<b>\$ 945,136</b>	<b>\$ 260,194</b>	<b>\$ 29,910</b>

<b>Special Revenue</b>		<b>Capital Projects</b>		
<b>Grant</b>	<b>Land Acquisition</b>	<b>Capital Equipment Replacement</b>	<b>Total</b>	
\$ -	\$ -	\$ 775,598	\$	2,157,816
-	-	-		2,048
-	-	-		40,840
-	-	1,103,626		1,103,626
<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,879,224</u>	<u>\$</u>	<u>3,304,330</u>
\$ -	\$ -	\$ -	\$	41,119
-	-	-		1,457
-	-	-		799
-	-	-		43,375
-	-	-		29,264
-	-	-		1,109,566
-	-	-		242,901
-	-	1,879,224		1,879,224
-	-	1,879,224		3,260,955
<u>\$ -</u>	<u>\$ -</u>	<u>\$ 1,879,224</u>	<u>\$</u>	<u>3,304,330</u>

**CITY OF WOOD DALE, ILLINOIS**

COMBINING STATEMENT OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCES  
NONMAJOR GOVERNMENTAL FUNDS

For the Year Ended April 30, 2020

	<b>Special Revenue</b>			
	<b>Road and Bridge</b>	<b>Motor Fuel Tax</b>	<b>Tourism</b>	<b>Narcotics Forfeiture</b>
<b>REVENUES</b>				
Taxes	\$ 243,392	\$ -	\$ 343,127	\$ -
Intergovernmental	-	508,136	-	1,386
Investment income	2,523	2,410	4,429	264
Miscellaneous	-	-	132,403	-
Total revenues	<u>245,915</u>	<u>510,546</u>	<u>479,959</u>	<u>1,650</u>
<b>EXPENDITURES</b>				
Current				
General government	-	-	-	-
Public safety	-	-	-	5,424
Highways and streets	169,852	171,664	-	-
Recreation	-	-	409,983	-
Capital outlay	-	-	-	40
Total expenditures	<u>169,852</u>	<u>171,664</u>	<u>409,983</u>	<u>5,464</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	<u>76,063</u>	<u>338,882</u>	<u>69,976</u>	<u>(3,814)</u>
<b>OTHER FINANCING SOURCES (USES)</b>				
Transfers in	-	-	-	-
Transfers (out)	(72,409)	(40,000)	(300,000)	-
Proceeds on sale of capital assets	-	-	-	-
Total other financing sources (uses)	<u>(72,409)</u>	<u>(40,000)</u>	<u>(300,000)</u>	<u>-</u>
NET CHANGE IN FUND BALANCES	3,654	298,882	(230,024)	(3,814)
FUND BALANCES, MAY 1	<u>185,727</u>	<u>621,303</u>	<u>472,925</u>	<u>33,078</u>
<b>FUND BALANCES, APRIL 30</b>	<u>\$ 189,381</u>	<u>\$ 920,185</u>	<u>\$ 242,901</u>	<u>\$ 29,264</u>

<b>Special Revenue</b>		<b>Capital Projects</b>		
<b>Grant</b>	<b>Land Acquisition</b>	<b>Capital Equipment Replacement</b>	<b>Total</b>	
\$ -	\$ -	\$ -	\$ 586,519	
31,103	-	-	540,625	
-	-	2,209	11,835	
-	5,000	-	137,403	
31,103	5,000	2,209	1,276,382	
31,103	-	-	31,103	
-	-	-	5,424	
-	-	-	341,516	
-	-	-	409,983	
-	84,509	47,298	131,847	
31,103	84,509	47,298	919,873	
-	(79,509)	(45,089)	356,509	
-	79,509	550,000	629,509	
(120,309)	-	-	(532,718)	
-	-	9,386	9,386	
(120,309)	79,509	559,386	106,177	
(120,309)	-	514,297	462,686	
120,309	-	1,364,927	2,798,269	
\$ -	\$ -	\$ 1,879,224	\$ 3,260,955	

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
ROAD AND BRIDGE FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES</b>			
Taxes			
Property taxes	\$ 245,000	\$ 245,000	\$ 240,152
Personal property replacement tax	2,500	2,500	3,240
Investment income	1,300	1,300	2,523
	<hr/>	<hr/>	<hr/>
Total revenues	248,800	248,800	245,915
	<hr/>	<hr/>	<hr/>
<b>EXPENDITURES</b>			
Highways and streets			
Contractual services	245,000	245,000	166,456
Commodities	3,750	3,750	3,396
	<hr/>	<hr/>	<hr/>
Total expenditures	248,750	248,750	169,852
	<hr/>	<hr/>	<hr/>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	50	50	76,063
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers (out)	-	-	(72,409)
	<hr/>	<hr/>	<hr/>
Total other financing sources (uses)	-	-	(72,409)
	<hr/>	<hr/>	<hr/>
NET CHANGE IN FUND BALANCE	<u>\$ 50</u>	<u>\$ 50</u>	3,654
FUND BALANCE, MAY 1			<hr/> 185,727
<b>FUND BALANCE, APRIL 30</b>			<u><u>\$ 189,381</u></u>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
MOTOR FUEL TAX FUND

For the Year Ended April 30, 2020

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>
<b>REVENUES</b>			
Intergovernmental	\$ 355,000	\$ 355,000	\$ 508,136
Investment income	1,500	1,500	2,410
	<hr/>		
Total revenues	356,500	356,500	510,546
	<hr/>		
<b>EXPENDITURES</b>			
Highways and streets			
Contractual services	35,500	35,500	26,721
Commodities	155,000	155,000	144,943
	<hr/>		
Total expenditures	190,500	190,500	171,664
	<hr/>		
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	166,000	166,000	338,882
	<hr/>		
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers in	-	-	-
Transfers (out)	(40,000)	(40,000)	(40,000)
	<hr/>		
Total other financing sources (uses)	(40,000)	(40,000)	(40,000)
	<hr/>		
NET CHANGE IN FUND BALANCE	<u>\$ 126,000</u>	<u>\$ 126,000</u>	298,882
	<hr/>		
FUND BALANCE, MAY 1			621,303
	<hr/>		
<b>FUND BALANCE, APRIL 30</b>			<u><u>\$ 920,185</u></u>

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
TOURISM FUND

For the Year Ended April 30, 2020

	<u>Original</u> <u>Budget</u>	<u>Final</u> <u>Budget</u>	<u>Actual</u>
<b>REVENUES</b>			
Taxes			
Other	\$ 425,000	\$ 425,000	\$ 343,127
Investment income	1,500	1,500	4,429
Miscellaneous	113,600	113,600	132,403
	<hr/>		
Total revenues	540,100	540,100	479,959
	<hr/>		
<b>EXPENDITURES</b>			
Recreation			
Personal services	43,060	43,060	38,117
Contractual services	2,700	2,700	1,385
Commodities	1,800	1,800	1,510
Other charges	391,100	391,100	368,971
	<hr/>		
Total expenditures	438,660	438,660	409,983
	<hr/>		
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	101,440	101,440	69,976
	<hr/>		
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers (out)	(300,000)	(300,000)	(300,000)
	<hr/>		
Total other financing sources (uses)	(300,000)	(300,000)	(300,000)
	<hr/>		
NET CHANGE IN FUND BALANCE	<u>\$ (198,560)</u>	<u>\$ (198,560)</u>	(230,024)
	<hr/>		
FUND BALANCE, MAY 1			<u>472,925</u>
	<hr/>		
<b>FUND BALANCE, APRIL 30</b>			<u><u>\$ 242,901</u></u>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
NARCOTICS FORFEITURE FUND**

For the Year Ended April 30, 2020

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>
<b>REVENUES</b>			
Intergovernmental	\$ -	\$ -	\$ 1,386
Investment income	50	50	264
Miscellaneous	3,000	3,000	-
	<hr/>	<hr/>	<hr/>
Total revenues	3,050	3,050	1,650
	<hr/>	<hr/>	<hr/>
<b>EXPENDITURES</b>			
Public safety			
Contractual services	2,500	2,500	5,424
Capital outlay	500	500	40
	<hr/>	<hr/>	<hr/>
Total expenditures	3,000	3,000	5,464
	<hr/>	<hr/>	<hr/>
NET CHANGE IN FUND BALANCE	<u>\$ 50</u>	<u>\$ 50</u>	(3,814)
FUND BALANCE, MAY 1			<hr/> 33,078
<b>FUND BALANCE, APRIL 30</b>			<hr/> <b>\$ 29,264</b> <hr/>

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
LAND ACQUISITION FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>REVENUES</b>			
Investment income	\$ 5	\$ 5	\$ -
Miscellaneous	5,000	5,000	5,000
<b>Total revenues</b>	<b>5,005</b>	<b>5,005</b>	<b>5,000</b>
<b>EXPENDITURES</b>			
Capital outlay	-	-	84,509
<b>Total expenditures</b>	<b>-</b>	<b>-</b>	<b>84,509</b>
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>	<b>5,005</b>	<b>5,005</b>	<b>(79,509)</b>
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers in	10,000	10,000	79,509
<b>Total other financing sources (uses)</b>	<b>10,000</b>	<b>10,000</b>	<b>79,509</b>
<b>NET CHANGE IN FUND BALANCE</b>	<b>\$ 15,005</b>	<b>\$ 15,005</b>	<b>-</b>
<b>FUND BALANCE, MAY 1</b>			<b>-</b>
<b>FUND BALANCE, APRIL 30</b>			<b>\$ -</b>

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENDITURES, AND  
CHANGES IN FUND BALANCE - BUDGET AND ACTUAL  
CAPITAL EQUIPMENT REPLACEMENT FUND

For the Year Ended April 30, 2020

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>
<b>REVENUES</b>			
Investment income	\$ 2,750	\$ 2,750	\$ 2,209
Total revenues	<u>2,750</u>	<u>2,750</u>	<u>2,209</u>
<b>EXPENDITURES</b>			
Capital outlay	<u>766,000</u>	<u>766,000</u>	<u>47,298</u>
Total expenditures	<u>766,000</u>	<u>766,000</u>	<u>47,298</u>
EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES	<u>(763,250)</u>	<u>(763,250)</u>	<u>(45,089)</u>
<b>OTHER FINANCING SOURCES (USES)</b>			
Transfers in	550,000	550,000	550,000
Proceeds on sale of capital assets	<u>25,000</u>	<u>25,000</u>	<u>9,386</u>
Total other financing sources (uses)	<u>575,000</u>	<u>575,000</u>	<u>559,386</u>
NET CHANGE IN FUND BALANCE	<u>\$ (188,250)</u>	<u>\$ (188,250)</u>	514,297
FUND BALANCE, MAY 1			<u>1,364,927</u>
<b>FUND BALANCE, APRIL 30</b>			<u><u>\$ 1,879,224</u></u>

**MAJOR ENTERPRISE FUND**

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENSES, AND CHANGES IN  
NET POSITION - BUDGET AND ACTUAL  
WATER/SEWER OPERATIONS FUND

For the Year Ended April 30, 2020

	<u>Original Budget</u>	<u>Final Budget</u>	<u>Actual</u>
<b>OPERATING REVENUES</b>			
Charges for services	\$ 8,621,000	\$ 8,621,000	\$ 7,679,198
Miscellaneous	102,500	102,500	218,633
Total operating revenues	<u>8,723,500</u>	<u>8,723,500</u>	<u>7,897,831</u>
<b>OPERATING EXPENSES</b>			
Public utilities expenses	3,541,015	3,541,015	3,941,556
Wastewater expenses	2,208,729	2,208,729	2,319,616
Capital projects	2,434,800	2,434,800	1,260,951
Less capital assets capitalized	<u>(2,434,800)</u>	<u>(2,434,800)</u>	<u>(1,126,927)</u>
Total operating expenses	<u>5,749,744</u>	<u>5,749,744</u>	<u>6,395,196</u>
OPERATING INCOME BEFORE DEPRECIATION	2,973,756	2,973,756	1,502,635
Depreciation	<u>1,236,000</u>	<u>1,236,000</u>	<u>1,126,668</u>
OPERATING INCOME	<u>1,737,756</u>	<u>1,737,756</u>	<u>375,967</u>
<b>NON-OPERATING REVENUES (EXPENSES)</b>			
Investment income	28,500	28,500	6,512
Rental income	215,000	215,000	249,990
Principal payments	<u>(1,405,438)</u>	<u>(1,405,438)</u>	<u>(1,405,438)</u>
Interest expense	<u>(558,515)</u>	<u>(558,515)</u>	<u>(547,818)</u>
Total non-operating revenues (expenses)	<u>(1,720,453)</u>	<u>(1,720,453)</u>	<u>(1,696,754)</u>
INCOME (LOSS) BEFORE TRANSFERS	17,303	17,303	(1,320,787)
<b>TRANSFERS</b>			
Transfers in	535,000	535,000	-
Transfers (out)	<u>(250,000)</u>	<u>(250,000)</u>	<u>(250,000)</u>
Total transfers	285,000	285,000	(250,000)
CHANGE IN NET POSITION - BUDGETARY BASIS	<u>\$ 302,303</u>	<u>\$ 302,303</u>	<u>(1,570,787)</u>
<b>ADJUSTMENTS TO GAAP BASIS</b>			
Principal payments			1,405,438
Pension expense			<u>(294,186)</u>
Total adjustments to GAAP BASIS			<u>1,111,252</u>
CHANGE IN NET POSITION - GAAP BASIS			(459,535)
NET POSITION, MAY 1			<u>19,513,511</u>
NET POSITION, APRIL 30			<u>\$ 19,053,976</u>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF OPERATING EXPENSES - BUDGET AND ACTUAL  
WATER/SEWER OPERATIONS FUND - BUDGETARY BASIS**

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>OPERATING EXPENSES</b>			
Public utilities expenses			
Personal services			
Salaries	\$ 660,296	\$ 660,296	\$ 666,791
Overtime pay	28,500	28,500	34,351
System rounds	8,000	8,000	9,027
Stand-by pay	10,000	10,000	7,818
Seasonal employment	7,000	7,000	-
Leave time buy-back	-	-	2,709
Stipends	1,200	1,200	-
Health care	140,932	140,932	128,979
FICA expense	54,923	54,923	53,664
IMRF expense	68,464	68,464	77,520
Longevity pay	4,150	4,150	4,150
Total personal services	<u>983,465</u>	<u>983,465</u>	<u>985,009</u>
Contractual services			
Telephone/alarm line	15,000	15,000	12,459
Printing	5,500	5,500	3,352
Maintenance - building/grounds	10,000	10,000	8,921
Maintenance - other equipment	200	200	420
Maintenance - vehicles	2,500	2,500	6,457
Maintenance - agreements	-	-	780
Maintenance - equipment distribution	8,500	8,500	2,871
Equipment rental	750	750	-
Data processing service	35,000	35,000	33,749
Laboratory services	14,000	14,000	3,578
Utility locates	-	-	128
Education and training	2,000	2,000	1,447
Dues and subscriptions	2,000	2,000	3,051
Soil testing and disposal	5,000	5,000	-
Maintenance - water mains	20,000	20,000	16,013
Maintenance - water meters	1,000	1,000	485
Total contractual services	<u>121,450</u>	<u>121,450</u>	<u>93,711</u>
Commodities			
Gasoline	22,000	22,000	16,796
Postage	17,000	17,000	12,842
Maintenance - building/grounds	2,500	2,500	3,176
Maintenance - water mains	29,000	29,000	33,390
Maintenance - other equipment	-	-	1,895
Maintenance - facility	5,500	5,500	5,733
Maintenance - vehicles	18,500	18,500	15,659
Maintenance - storm sewers	15,000	15,000	3,944
Safety equipment	4,000	4,000	555
Electric utilities	50,000	50,000	46,122
Natural gas utilities	5,000	5,000	9,402
DPWC purchase	2,000,000	2,000,000	1,919,966
Laboratory supplies	600	600	910
Chemical supplies	1,000	1,000	103
Water tap supplies	1,000	1,000	-
Water meters	35,000	35,000	30,049

(This schedule is continued on the following pages.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF OPERATING EXPENSES - BUDGET AND ACTUAL (Continued)  
WATER/SEWER OPERATIONS FUND - BUDGETARY BASIS

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>OPERATING EXPENSES (Continued)</b>			
Public utilities expenses (Continued)			
Commodities (Continued)			
Water meter parts	\$ 2,000	\$ 2,000	\$ 1,875
Other parts and materials	15,000	15,000	2,030
Total commodities	2,223,100	2,223,100	2,104,447
Other			
Miscellaneous	500	500	360
Conference/meetings	500	500	-
Credit card processing fees	12,000	12,000	7,127
Bad debt expense	-	-	187,902
Amortization expense - ARO	-	-	3,000
General fund administrative charges	200,000	200,000	560,000
Total other	213,000	213,000	758,389
Total public utilities expenses	3,541,015	3,541,015	3,941,556
Sewer operating expenses			
Personal services			
Salaries	717,768	717,768	665,279
Overtime pay	30,000	30,000	40,114
System rounds	14,250	14,250	14,070
Stand-by pay	12,000	12,000	11,817
Health care	152,280	152,280	142,406
Stipends	-	-	3,150
FICA expense	59,824	59,824	53,801
IMRF expense	74,682	74,682	78,659
Seasonal employment	6,500	6,500	-
Leave time buy-back	-	-	2,117
Longevity pay	1,500	1,500	1,500
Total personal services	1,068,804	1,068,804	1,012,913
Contractual services			
Telephone/alarm line	36,000	36,000	37,738
Communications	-	-	9,921
Maintenance - building/grounds	15,000	15,000	33,400
Maintenance - lift stations	5,000	5,000	2,853
Maintenance - other equipment	14,000	14,000	134,742
Maintenance - vehicles	6,000	6,000	104
Maintenance agreements	1,000	1,000	-
Equipment rental	5,000	5,000	213
Laboratory services	35,000	35,000	27,870
Professional services	-	-	10,696
Insurance premiums	120,000	120,000	127,811
IEPA permit fees	85,000	85,000	73,996
Dump fees	50,000	50,000	53,224
Industrial pretreatment	190,000	190,000	180,501
Education and training	4,000	4,000	25
Dues and subscriptions	1,200	1,200	1,113
Maintenance - sewer mains	6,500	6,500	14,765
Total contractual services	573,700	573,700	708,972

(This schedule is continued on the following page.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF OPERATING EXPENSES - BUDGET AND ACTUAL (Continued)  
WATER/SEWER OPERATIONS FUND - BUDGETARY BASIS

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>OPERATING EXPENSES (Continued)</b>			
Sewer operating expenses (Continued)			
Commodities			
Gasoline	\$ 10,000	\$ 10,000	\$ 7,267
Postage	17,000	17,000	12,842
Maintenance - building/grounds	5,000	5,000	5,366
Maintenance - lift stations	10,000	10,000	14,091
Maintenance - sewer mains	7,500	7,500	9,246
Maintenance - other equipment	45,000	45,000	89,327
Maintenance - vehicles	12,000	12,000	11,746
Safety equipment	7,500	7,500	1,620
Electric utilities	190,000	190,000	178,960
Natural gas utilities	24,000	24,000	28,674
Laboratory supplies	4,000	4,000	3,466
Plant supplies	2,000	2,000	2,254
Chemical supplies	18,000	18,000	23,305
Other parts and materials	2,000	2,000	499
	<hr/>	<hr/>	<hr/>
Total commodities	354,000	354,000	388,663
Capital outlay			
Other equipment	9,000	9,000	7,333
	<hr/>	<hr/>	<hr/>
Total capital outlay	9,000	9,000	7,333
Other			
Escrow agent fees	525	525	525
Conference/meetings	1,200	1,200	155
Miscellaneous	1,500	1,500	1,055
General fund administrative charges	200,000	200,000	200,000
	<hr/>	<hr/>	<hr/>
Total other	203,225	203,225	201,735
	<hr/>	<hr/>	<hr/>
Total sewer operating expenses	2,208,729	2,208,729	2,319,616
Capital projects			
Sewer	590,300	590,300	656,670
Water	377,500	377,500	6,470
Wastewater	175,000	175,000	105,604
Plant maintenance	1,292,000	1,292,000	492,207
	<hr/>	<hr/>	<hr/>
Total capital projects	2,434,800	2,434,800	1,260,951
	<hr/>	<hr/>	<hr/>
Less capital assets capitalized	(2,434,800)	(2,434,800)	(1,126,927)
	<hr/>	<hr/>	<hr/>
<b>TOTAL OPERATING EXPENSES</b>	<b>\$ 5,749,744</b>	<b>\$ 5,749,744</b>	<b>\$ 6,395,196</b>

**NONMAJOR ENTERPRISE FUNDS**

**CITY OF WOOD DALE, ILLINOIS**

**COMBINING STATEMENT OF NET POSITION  
NONMAJOR ENTERPRISE FUNDS**

April 30, 2020

	<b>Commuter Parking Lot</b>	<b>Sanitation</b>	<b>Total</b>
<b>CURRENT ASSETS</b>			
Cash and investments	\$ 94,427	\$ 217,590	\$ 312,017
Accounts receivable	-	340,720	340,720
Prepaid items	1,120	-	1,120
Total current assets	95,547	558,310	653,857
<b>NONCURRENT ASSETS</b>			
Capital assets			
Nondepreciable	260,000	-	260,000
Depreciable, net of accumulated depreciation	1,516,584	-	1,516,584
Total noncurrent assets	1,776,584	-	1,776,584
Total assets	1,872,131	558,310	2,430,441
<b>CURRENT LIABILITIES</b>			
Accounts payable	2,858	135,756	138,614
Deposits payable	250	-	250
Total current liabilities	3,108	135,756	138,864
<b>LONG-TERM LIABILITIES</b>			
Advances from other funds	377,587	-	377,587
Total long-term liabilities	377,587	-	377,587
Total liabilities	380,695	135,756	516,451
<b>NET POSITION</b>			
Net investment in capital assets	1,776,584	-	1,776,584
Unrestricted (deficit)	(285,148)	422,554	137,406
<b>TOTAL NET POSITION</b>	<b>\$ 1,491,436</b>	<b>\$ 422,554</b>	<b>\$ 1,913,990</b>

(See independent auditor's report.)

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**CITY OF WOOD DALE, ILLINOIS**

COMBINING STATEMENT OF REVENUES, EXPENSES,  
AND CHANGES IN NET POSITION  
NONMAJOR ENTERPRISE FUNDS

For the Year Ended April 30, 2020

	<b>Commuter Parking Lot</b>	<b>Sanitation</b>	<b>Total</b>
<b>OPERATING REVENUES</b>			
Charges for services	\$ 109,096	\$ 1,083,952	\$ 1,193,048
Miscellaneous	300	-	300
Total operating revenues	109,396	1,083,952	1,193,348
<b>OPERATING EXPENSES</b>			
Operations	116,497	1,091,150	1,207,647
Total operating expenses	116,497	1,091,150	1,207,647
<b>OPERATING INCOME</b>			
BEFORE DEPRECIATION	(7,101)	(7,198)	(14,299)
Depreciation	66,099	-	66,099
<b>OPERATING INCOME (LOSS)</b>	<b>(73,200)</b>	<b>(7,198)</b>	<b>(80,398)</b>
<b>NON-OPERATING REVENUES (EXPENSES)</b>			
Investment income	272	604	876
Total non-operating revenues (expenses)	272	604	876
<b>CHANGE IN NET POSITION</b>	<b>(72,928)</b>	<b>(6,594)</b>	<b>(79,522)</b>
<b>NET POSITION, MAY 1</b>	<b>1,564,364</b>	<b>429,148</b>	<b>1,993,512</b>
<b>NET POSITION, APRIL 30</b>	<b>\$ 1,491,436</b>	<b>\$ 422,554</b>	<b>\$ 1,913,990</b>

**CITY OF WOOD DALE, ILLINOIS**

COMBINING STATEMENT OF CASH FLOWS  
NONMAJOR ENTERPRISE FUNDS

For the Year Ended April 30, 2020

	<u>Commuter Parking Lot</u>	<u>Sanitation</u>	<u>Total</u>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Receipts from customers and users	\$ 108,916	\$ 1,059,051	\$ 1,167,967
Receipts from miscellaneous income	300	-	300
Payments to suppliers	(57,692)	(749,958)	(807,650)
Payments for General Fund administrative charges	(60,000)	(338,000)	(398,000)
	<hr/>	<hr/>	<hr/>
Net cash from operating activities	(8,476)	(28,907)	(37,383)
<b>CASH FLOWS FROM NONCAPITAL FINANCING ACTIVITIES</b>			
Payments on interfund loans/advances	(30,000)	-	(30,000)
	<hr/>	<hr/>	<hr/>
Net cash from noncapital financing activities	(30,000)	-	(30,000)
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>			
Interest received	272	604	876
	<hr/>	<hr/>	<hr/>
Net cash from investing activities	272	604	876
<b>CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES</b>			
None	-	-	-
	<hr/>	<hr/>	<hr/>
Net cash from capital and related financing activities	-	-	-
<b>NET DECREASE IN CASH AND CASH EQUIVALENTS</b>			
	(38,204)	(28,303)	(66,507)
<b>CASH AND CASH EQUIVALENTS, MAY 1</b>			
	132,631	245,893	378,524
	<hr/>	<hr/>	<hr/>
<b>CASH AND CASH EQUIVALENTS, APRIL 30</b>			
	\$ 94,427	\$ 217,590	\$ 312,017
	<hr/>	<hr/>	<hr/>
<b>RECONCILIATION OF OPERATING INCOME (LOSS) TO NET CASH FLOWS FROM OPERATING ACTIVITIES</b>			
Operating income (loss)	\$ (73,200)	\$ (7,198)	\$ (80,398)
Adjustments to reconcile operating income (loss) to net cash from operating activities			
Depreciation	66,099	-	66,099
Changes in net position			
Accounts receivable	-	(24,901)	(24,901)
Prepaid items	(1,038)	-	(1,038)
Accounts payable	(157)	3,192	3,035
Deposits	(180)	-	(180)
	<hr/>	<hr/>	<hr/>
<b>NET CASH FROM OPERATING ACTIVITIES</b>	<b>\$ (8,476)</b>	<b>\$ (28,907)</b>	<b>\$ (37,383)</b>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENSES, AND CHANGES IN  
NET POSITION - BUDGET AND ACTUAL  
COMMUTER PARKING LOT FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>OPERATING REVENUES</b>			
Charges for services	\$ 128,525	\$ 128,525	\$ 109,096
Miscellaneous	120	120	300
<b>Total operating revenues</b>	<b>128,645</b>	<b>128,645</b>	<b>109,396</b>
<b>OPERATING EXPENSES</b>			
Contractual			
Printing	450	450	450
Maintenance - building/grounds	14,000	14,000	3,652
Maintenance - other equipment	-	-	-
Property rental	10	10	-
Professional services	30,000	30,000	28,448
Credit card processing fees	13,000	13,000	13,218
Commodities			
Electric utilities	3,500	3,500	4,287
Maintenance - building/grounds	5,750	5,750	6,442
Other			
General fund administrative charges	60,000	60,000	60,000
<b>Total operating expenses</b>	<b>126,710</b>	<b>126,710</b>	<b>116,497</b>
<b>OPERATING INCOME (LOSS) BEFORE DEPRECIATION</b>	<b>1,935</b>	<b>1,935</b>	<b>(7,101)</b>
Depreciation	66,548	66,548	66,099
<b>OPERATING INCOME (LOSS)</b>	<b>(64,613)</b>	<b>(64,613)</b>	<b>(73,200)</b>
<b>NON-OPERATING REVENUES (EXPENSES)</b>			
Investment income	100	100	272
<b>Total non-operating revenues (expenses)</b>	<b>100</b>	<b>100</b>	<b>272</b>
<b>CHANGE IN NET POSITION</b>	<b>\$ (64,513)</b>	<b>\$ (64,513)</b>	<b>(72,928)</b>
<b>NET POSITION, MAY 1</b>			<b>1,564,364</b>
<b>NET POSITION, APRIL 30</b>			<b>\$ 1,491,436</b>

(See independent auditor's report.)

**CITY OF WOOD DALE, ILLINOIS**

SCHEDULE OF REVENUES, EXPENSES, AND CHANGES IN  
NET POSITION - BUDGET AND ACTUAL  
SANITATION FUND

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>OPERATING REVENUES</b>			
Charges for services	\$ 1,154,500	\$ 1,154,500	\$ 1,083,952
Total operating revenues	1,154,500	1,154,500	1,083,952
<b>OPERATING EXPENSES</b>			
Contractual services			
Scavenger/yard waste/recycling service	806,820	806,820	745,997
Clean air counts	2,000	2,000	2,379
Credit card processing fees	7,500	7,500	4,774
Other			
General fund administrative charges	338,000	338,000	338,000
Total operating expenses	1,154,320	1,154,320	1,091,150
OPERATING INCOME (LOSS)	180	180	(7,198)
<b>NON-OPERATING REVENUES (EXPENSES)</b>			
Investment income	100	100	604
Total non-operating revenues (expenses)	100	100	604
CHANGE IN NET POSITION	\$ 280	\$ 280	(6,594)
NET POSITION, MAY 1			429,148
<b>NET POSITION, APRIL 30</b>			<b>\$ 422,554</b>

**FIDUCIARY FUND**

**CITY OF WOOD DALE, ILLINOIS**

**SCHEDULE OF CHANGES IN NET POSITION - BUDGET AND ACTUAL  
POLICE PENSION FUND**

For the Year Ended April 30, 2020

	<b>Original Budget</b>	<b>Final Budget</b>	<b>Actual</b>
<b>ADDITIONS</b>			
Contributions			
Employer contributions	\$ 1,423,206	\$ 1,423,206	\$ 1,423,207
Employee contributions	344,000	344,000	328,238
Total contributions	<u>1,767,206</u>	<u>1,767,206</u>	<u>1,751,445</u>
Investment income			
Net appreciation (depreciation) in fair value of investments	1,069,101	1,069,101	(1,072,540)
Interest	600,000	600,000	807,720
Total investment income	1,669,101	1,669,101	(264,820)
Less investment expense	<u>(75,000)</u>	<u>(75,000)</u>	<u>(78,981)</u>
Net investment income	<u>1,594,101</u>	<u>1,594,101</u>	<u>(343,801)</u>
Total additions	<u>3,361,307</u>	<u>3,361,307</u>	<u>1,407,644</u>
<b>DEDUCTIONS</b>			
Pension benefits	1,986,000	1,986,000	1,963,984
Administrative expenses	61,000	61,000	53,053
Total deductions	<u>2,047,000</u>	<u>2,047,000</u>	<u>2,017,037</u>
CHANGE IN NET POSITION	<u>\$ 1,314,307</u>	<u>\$ 1,314,307</u>	(609,393)
<b>NET POSITION RESTRICTED FOR PENSIONS</b>			
May 1			<u>26,480,045</u>
April 30			<u>\$ 25,870,652</u>

(See independent auditor's report.)

**OTHER SUPPLEMENTAL INFORMATION**

**CITY OF WOOD DALE, ILLINOIS**

**ILLINOIS GRANT ACCOUNTABILITY AND TRANSPARENCY ACT  
CONSOLIDATED YEAR END FINANCIAL REPORT**

For the Year Ended April 30, 2020

<b>CSFA Number</b>	<b>Program Name</b>	<b>State</b>	<b>Federal</b>	<b>Other</b>	<b>Total</b>
420-00-1883	Improve Commuter Access at a Metra Station - 2019 Department of Commerce and Economic Opportunity (DCEO) Grant Management Initiative	\$ -	\$ -	\$ -	\$ -
494-00-1488	Motor Fuel Tax Program	211,664	-	-	211,664
494-00-2356	REBUILD ILLINOIS Local Bond Program	-	-	-	-
494-10-0343	State and Community Highway Safety/ National Priority Safety Program	-	31,103	-	31,103
494-42-0495	Local Surface Transportation Program	-	-	-	-
	Other grant programs and activities	-	339,250	-	339,250
	All other costs not allocated	-	-	30,153,497	30,153,497
<b>TOTALS</b>		<u>\$ 211,664</u>	<u>\$ 370,353</u>	<u>\$ 30,153,497</u>	<u>\$ 30,735,514</u>

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**INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER  
FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS  
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED  
IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS***

The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Wood Dale, Illinois (the City) as of and for the year ended April 30, 2020, and the related notes to financial statements, which collectively comprise the City's basic financial statements and have issued our report thereon dated December 7, 2020.

**Internal Control over Financial Reporting**

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of the City's internal control.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. *A material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the City's financial statements will not be prevented or detected and corrected on a timely basis. *A significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

## **Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the City's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

## **Purpose of this Report**

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the City's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020

## STATISTICAL SECTION

This part of the City of Wood Dale, Illinois' comprehensive annual financial report presents detailed information as a context for understanding what the information in the financial statements, note disclosures, and required supplementary information says about the City's overall financial health.

<u>Contents</u>	<u>Page</u>
Financial Trends These schedules contain trend information to help the reader understand how the City's financial performance and well-being have changed over time.	101-110
Revenue Capacity These schedules contain information to help the reader assess the City's most significant local revenue sources, property taxes, and sales taxes.	111-118
Debt Capacity These schedules present information to help the reader assess the affordability of the City's current levels of outstanding debt and the City's ability to issue additional debt in the future.	119-123
Demographic and Economic Information These schedules offer demographic and economic indicators to help the reader understand the environment within which the City's financial activities take place.	124-126
Operating Information These schedules contain service and infrastructure data to help the reader understand how the information in the City's financial report relates to the services the City provides and the activities it performs.	127-131

*Sources: Unless otherwise noted, the information in these schedules is derived from the comprehensive annual financial reports for the relevant year.*

**CITY OF WOOD DALE, ILLINOIS**

NET POSITION BY COMPONENT

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>GOVERNMENTAL ACTIVITIES</b>				
Net investment in capital assets	\$ 67,491,867	\$ 67,129,082	\$ 66,557,336	\$ 70,708,496
Restricted	1,079,416	1,473,106	1,683,660	1,692,180
Unrestricted	13,191,299	13,574,668	12,858,567	10,864,255
<b>TOTAL GOVERNMENTAL ACTIVITIES</b>	<b>\$ 81,762,582</b>	<b>\$ 82,176,856</b>	<b>\$ 81,099,563</b>	<b>\$ 83,264,931</b>
<b>BUSINESS-TYPE ACTIVITIES</b>				
Net investment in capital assets	\$ 15,367,079	\$ 17,423,220	\$ 21,164,845	\$ 20,697,109
Restricted	-	-	-	-
Unrestricted	8,745,299	6,369,103	3,135,917	3,233,664
<b>TOTAL BUSINESS-TYPE ACTIVITIES</b>	<b>\$ 24,112,378</b>	<b>\$ 23,792,323</b>	<b>\$ 24,300,762</b>	<b>\$ 23,930,773</b>
<b>PRIMARY GOVERNMENT</b>				
Net investment in capital assets	\$ 82,858,946	\$ 84,552,302	\$ 87,722,181	\$ 91,405,605
Restricted	1,079,416	1,473,106	1,683,660	1,692,180
Unrestricted	21,936,598	19,943,771	15,994,484	14,097,919
<b>TOTAL PRIMARY GOVERNMENT</b>	<b>\$ 105,874,960</b>	<b>\$ 105,969,179</b>	<b>\$ 105,400,325</b>	<b>\$ 107,195,704</b>

\*The City implemented GASB Statement No. 68 for the fiscal year ended April 30, 2016.

Data Source

Audited Financial Statements

	<b>2015</b>	<b>2016*</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
\$	71,518,558	\$ 71,148,820	\$ 71,944,028	\$ 72,144,655	\$ 72,764,415	\$ 75,950,423
	2,126,392	1,809,557	2,204,327	3,859,109	4,574,007	1,872,805
	10,259,416	(5,000,591)	(10,519,202)	(10,587,331)	(10,555,369)	(13,155,909)
\$	83,904,366	\$ 67,957,786	\$ 63,629,153	\$ 65,416,433	\$ 66,783,053	\$ 64,667,319
\$	21,562,863	\$ 21,439,213	\$ 22,622,903	\$ 23,238,195	\$ 23,610,571	\$ 24,950,168
	-	-	-	-	-	-
	2,563,452	1,050,678	(436,595)	(1,345,069)	(2,103,548)	(3,982,202)
\$	24,126,315	\$ 22,489,891	\$ 22,186,308	\$ 21,893,126	\$ 21,507,023	\$ 20,967,966
\$	93,081,421	\$ 92,588,033	\$ 94,566,931	\$ 95,382,850	\$ 96,374,986	\$ 100,900,591
	2,126,392	1,809,557	2,204,327	3,859,109	4,574,007	1,872,805
	12,822,868	(3,949,913)	(10,955,797)	(11,932,400)	(12,658,917)	(17,138,111)
\$	108,030,681	\$ 90,447,677	\$ 85,815,461	\$ 87,309,559	\$ 88,290,076	\$ 85,635,285

**CITY OF WOOD DALE, ILLINOIS**

CHANGE IN NET POSITION

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>EXPENSES</b>				
Governmental activities				
General government	\$ 4,977,502	\$ 5,210,996	\$ 5,218,648	\$ 5,710,493
Public safety	5,169,976	5,335,005	5,616,667	5,642,359
Highways and streets	4,686,885	4,941,463	6,839,258	4,983,437
Storm sewers	132,649	-	-	-
Recreation	344,617	385,302	395,382	441,091
Economic development	-	-	-	-
Interest	-	-	-	-
Total governmental activities expenses	<u>15,311,629</u>	<u>15,872,766</u>	<u>18,069,955</u>	<u>16,777,380</u>
Business-type activities				
Water/wastewater	4,943,745	6,197,658	6,236,600	7,105,623
Commuter parking	130,056	116,176	126,326	148,788
Sanitation	963,995	956,080	995,443	1,036,381
Total business-type activities	<u>6,037,796</u>	<u>7,269,914</u>	<u>7,358,369</u>	<u>8,290,792</u>
<b>TOTAL PRIMARY GOVERNMENT EXPENSES</b>	<u>\$ 21,349,425</u>	<u>\$ 23,142,680</u>	<u>\$ 25,428,324</u>	<u>\$ 25,068,172</u>
<b>PROGRAM REVENUES</b>				
Governmental activities				
Charges for services				
General government	\$ 828,474	\$ 970,835	\$ 861,388	\$ 1,003,901
Public safety	862,816	942,217	1,051,919	1,108,476
Highways and streets	14,262	11,812	12,760	19,454
Recreation	90,184	133,090	118,439	117,042
Operating grants and contributions	592,693	509,041	422,507	430,061
Capital grants and contributions	108,102	124,387	845,518	2,223,082
Total governmental activities program revenues	<u>2,496,531</u>	<u>2,691,382</u>	<u>3,312,531</u>	<u>4,902,016</u>
Business-type activities				
Charges for services				
Water/wastewater	5,186,373	5,599,329	6,429,938	6,510,192
Commuter parking	120,846	132,533	134,056	133,461
Sanitation	986,336	951,736	980,328	1,052,744
Operating grants and contributions	-	-	-	-
Capital grants and contributions	-	-	83,280	2,011
Total business-type activities program revenues	<u>6,293,555</u>	<u>6,683,598</u>	<u>7,627,602</u>	<u>7,698,408</u>
<b>TOTAL PRIMARY GOVERNMENT PROGRAM REVENUES</b>	<u>\$ 8,790,086</u>	<u>\$ 9,374,980</u>	<u>\$ 10,940,133</u>	<u>\$ 12,600,424</u>
<b>NET (EXPENSE) REVENUE</b>				
Governmental activities	\$ (12,815,098)	\$ (13,181,384)	\$ (14,757,424)	\$ (11,875,364)
Business-type activities	<u>255,759</u>	<u>(586,316)</u>	<u>269,233</u>	<u>(592,384)</u>
<b>TOTAL PRIMARY GOVERNMENT NET (EXPENSE) REVENUE</b>	<u>\$ (12,559,339)</u>	<u>\$ (13,767,700)</u>	<u>\$ (14,488,191)</u>	<u>\$ (12,467,748)</u>

	2015	2016*	2017	2018	2019	2020
\$	5,276,741	\$ 6,147,000	\$ 5,638,890	\$ 6,365,261	\$ 6,322,028	\$ 6,531,884
	6,584,711	7,802,832	7,429,928	7,443,201	7,692,939	9,330,076
	5,315,837	4,824,543	4,633,635	4,523,494	4,289,173	4,356,877
	-	-	-	-	-	-
	297,902	265,633	354,375	449,219	412,644	409,983
	-	-	68,918	114,411	106,554	469,080
	-	-	-	4,146	5,001	-
	17,475,191	19,040,008	18,125,746	18,899,732	18,828,339	21,097,900
	6,928,803	8,142,337	8,126,696	8,484,730	7,836,950	8,363,868
	172,750	164,970	176,463	176,958	206,346	182,596
	1,084,391	1,134,753	1,178,525	822,428	1,139,341	1,091,150
	8,185,944	9,442,060	9,481,684	9,484,116	9,182,637	9,637,614
\$	25,661,135	\$ 28,482,068	\$ 27,607,430	\$ 28,383,848	\$ 28,010,976	\$ 30,735,514
\$	1,422,758	\$ 955,839	\$ 843,668	\$ 1,177,614	\$ 854,303	\$ 1,111,951
	1,063,970	857,610	600,350	620,325	1,106,150	982,525
	-	-	-	-	-	-
	103,627	119,478	99,768	106,539	106,598	132,102
	501,009	352,221	431,068	396,024	385,667	546,030
	362,980	402,838	1,583,567	219,162	499,705	37,952
	3,454,344	2,687,986	3,558,421	2,519,664	2,952,423	2,810,560
	6,773,998	7,171,641	7,363,064	8,018,990	7,613,114	7,897,831
	129,130	134,551	119,603	125,891	131,216	109,396
	1,085,924	1,146,236	1,175,805	1,070,862	1,075,666	1,083,952
	-	-	-	-	-	-
	133,591	-	404,471	-	-	-
	8,122,643	8,452,428	8,658,472	9,215,743	8,819,996	9,091,179
\$	11,576,987	\$ 11,140,414	\$ 12,216,893	\$ 11,735,407	\$ 11,772,419	\$ 11,901,739
\$	(14,020,847)	\$ (16,352,022)	\$ (14,567,325)	\$ (16,380,068)	\$ (15,875,616)	\$ (18,287,340)
	(63,301)	(989,632)	(418,741)	(268,373)	(362,641)	(546,435)
\$	(14,084,148)	\$ (17,341,654)	\$ (14,986,066)	\$ (16,648,441)	\$ (16,238,257)	\$ (18,833,775)

**CITY OF WOOD DALE, ILLINOIS**

CHANGE IN NET POSITION (Continued)

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>GENERAL REVENUES AND OTHER CHANGES IN NET POSITION</b>				
Governmental activities				
Taxes				
Property and replacement	\$ 2,880,056	\$ 2,959,967	\$ 3,006,690	\$ 3,082,599
Intergovernmental - sales and use	5,197,361	5,484,668	5,548,459	5,782,133
Telecommunications	1,469,717	1,742,535	1,647,393	1,661,137
Utility	1,144,540	1,065,354	1,125,868	1,186,533
Intergovernmental - income	1,055,278	1,119,572	1,439,228	1,315,013
Other taxes	272,862	295,526	337,975	389,458
Miscellaneous	528	559	819	374
Investment income	224,859	91,175	81,725	4,277
Miscellaneous	665,074	594,291	491,974	637,513
Gain on sale of capital assets	-	67,525	-	-
Transfers in (out)	(700,000)	34,000	-	(18,305)
Total governmental activities	12,210,275	13,455,172	13,680,131	14,040,732
Business-type activities				
Investment earnings	82,358	94,843	43,110	2,589
Miscellaneous	190,358	205,418	196,096	201,501
Transfers in (out)	700,000	(34,000)	-	18,305
Total business-type activities	972,716	266,261	239,206	222,395
<b>TOTAL PRIMARY GOVERNMENT</b>	<b>\$ 13,182,991</b>	<b>\$ 13,721,433</b>	<b>\$ 13,919,337</b>	<b>\$ 14,263,127</b>
<b>CHANGE IN NET POSITION</b>				
Governmental activities	\$ (604,823)	\$ 273,788	\$ (1,077,293)	\$ 2,165,368
Business-type activities	1,228,475	(320,055)	508,439	(369,989)
<b>TOTAL PRIMARY GOVERNMENT CHANGE IN NET POSITION</b>	<b>\$ 623,652</b>	<b>\$ (46,267)</b>	<b>\$ (568,854)</b>	<b>\$ 1,795,379</b>

\*The City implemented GASB Statement No. 68 for the fiscal year ended April 30, 2016.

Data Source

Audited Financial Statements

	2015	2016*	2017	2018	2019	2020
\$	3,136,608	\$ 3,174,884	\$ 3,713,218	\$ 4,196,113	\$ 4,898,132	\$ 5,303,337
	6,167,493	6,222,471	5,950,468	5,780,389	6,564,057	6,592,751
	1,308,644	1,350,321	1,191,147	1,047,509	922,019	877,888
	1,138,954	1,002,169	1,037,736	1,039,569	1,076,765	983,311
	1,348,551	1,467,510	1,301,607	1,248,689	1,336,915	1,494,705
	460,417	493,815	494,384	496,447	515,293	343,127
	-	-	-	-	-	-
	145,136	144,565	25,251	60,330	137,509	146,637
	1,212,312	905,771	1,562,250	809,175	1,511,846	179,850
	-	-	-	-	-	-
	-	-	104,918	224,574	280,000	250,000
	14,918,115	14,761,506	15,380,979	14,902,795	17,242,536	16,171,606
	49,558	2,325	7,285	(6,160)	11,100	7,388
	209,285	200,883	212,791	205,925	245,438	249,990
	-	-	(104,918)	(224,574)	(280,000)	(250,000)
	258,843	203,208	115,158	(24,809)	(23,462)	7,378
\$	15,176,958	\$ 14,964,714	\$ 15,496,137	\$ 14,877,986	\$ 17,219,074	\$ 16,178,984
\$	897,268	\$ (1,590,516)	\$ 813,654	\$ (1,477,273)	\$ 1,366,620	\$ (2,115,734)
	195,542	(786,424)	(303,583)	(293,182)	(386,103)	(539,057)
\$	1,092,810	\$ (2,376,940)	\$ 510,071	\$ (1,770,455)	\$ 980,517	\$ (2,654,791)

**CITY OF WOOD DALE, ILLINOIS**

**FUND BALANCES OF GOVERNMENTAL FUNDS**

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>GENERAL FUND</b>				
Reserved	\$ 272,630	\$ -	\$ -	\$ -
Unreserved - designated				
Unreserved - undesignated	10,422,052	-	-	-
Nonspendable				
Advance to other fund	-	-	-	497,587
Prepays	-	230,434	221,393	189,128
Inventories	-	21,828	21,269	18,553
Restricted for public safety	-	156,376	206,183	214,036
Unassigned	-	11,100,777	11,160,118	8,895,626
<b>TOTAL GENERAL FUND</b>	<b>\$ 10,694,682</b>	<b>\$ 11,509,415</b>	<b>\$ 11,608,963</b>	<b>\$ 9,814,930</b>
<b>ALL OTHER GOVERNMENTAL FUNDS</b>				
Reserved	\$ 1,079,416	\$ -	\$ -	\$ -
Unreserved - reported in				
Capital projects funds	3,351,102	-	-	-
Nonspendable				
Prepays	-	-	-	-
Restricted				
Public safety	-	41,150	40,601	40,671
Highways and streets	-	1,037,918	1,137,947	1,212,738
Recreation	-	117,501	178,681	104,426
Grant projects	-	120,161	120,248	120,309
Economic development	-	-	-	-
Capital projects	-	-	-	-
Committed				
Equipment replacement	-	184,747	183,916	174,387
Land acquisition	-	15,006	21,358	766,904
Capital projects	-	2,747,279	2,170,268	1,318,553
Assigned				
Capital projects	-	-	-	-
Unassigned	-	-	-	-
<b>TOTAL ALL OTHER GOVERNMENTAL FUNDS</b>	<b>\$ 4,430,518</b>	<b>\$ 4,263,762</b>	<b>\$ 3,853,019</b>	<b>\$ 3,737,988</b>
<b>TOTAL GOVERNMENT FUNDS</b>	<b>\$ 15,125,200</b>	<b>\$ 15,773,177</b>	<b>\$ 15,461,982</b>	<b>\$ 13,552,918</b>

Note: GASB Statement No. 54 was implemented for the fiscal year ended April 30, 2012.

Data Source

Audited Financial Statements

2015	2016	2017	2018	2019	2020
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
-	-	-	-	-	-
527,587	497,587	467,587	137,587	107,587	77,587
218,399	202,011	224,671	271,960	147,594	286,936
29,591	15,351	16,460	17,321	25,179	18,785
-	-	394,769	431,391	454,119	405,084
9,522,491	8,525,487	7,756,350	7,463,778	6,677,619	8,408,048
<u>\$ 10,298,068</u>	<u>\$ 9,240,436</u>	<u>\$ 8,859,837</u>	<u>\$ 8,322,037</u>	<u>\$ 7,412,098</u>	<u>\$ 9,196,440</u>
\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
-	-	-	-	-	-
-	-	1,157	8,134	9,976	-
39,854	26,499	32,411	34,806	33,078	29,264
1,372,959	1,146,385	1,342,357	1,532,306	805,959	1,109,566
369,619	516,364	556,474	505,045	464,020	242,901
124,266	120,309	120,309	120,309	120,309	-
-	-	408,538	1,235,252	2,696,522	85,990
219,694	-	-	-	-	9,430,204
-	-	-	-	-	-
-	-	-	-	-	-
-	-	-	-	-	-
432,358	1,800,106	2,408,763	633,277	3,188,367	3,276,134
(226,681)	-	(20,000)	(450)	-	-
<u>\$ 2,126,392</u>	<u>\$ 3,609,663</u>	<u>\$ 4,850,009</u>	<u>\$ 4,068,679</u>	<u>\$ 7,318,231</u>	<u>\$ 14,174,059</u>
<u>\$ 12,424,460</u>	<u>\$ 12,850,099</u>	<u>\$ 13,709,846</u>	<u>\$ 12,390,716</u>	<u>\$ 14,730,329</u>	<u>\$ 23,370,499</u>

**CITY OF WOOD DALE, ILLINOIS**

CHANGES IN FUND BALANCES OF GOVERNMENTAL FUNDS

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>
<b>REVENUES</b>				
Taxes	\$ 12,138,175	\$ 8,411,416	\$ 6,093,608	\$ 6,360,884
Licenses and permits	633,989	714,712	599,388	733,994
Intergovernmental	626,321	4,956,643	8,201,745	9,025,322
Charges for services	465,739	501,848	577,822	534,505
Fines and forfeitures	536,918	635,952	748,857	806,302
Investment income	149,885	87,251	74,230	40,482
Grant revenue	-	-	-	780,554
Miscellaneous	853,798	670,200	519,263	573,821
<b>Total revenues</b>	<b>15,404,825</b>	<b>15,978,022</b>	<b>16,814,913</b>	<b>18,855,864</b>
<b>EXPENDITURES</b>				
General government	4,884,894	4,986,481	4,656,644	5,088,591
Public safety	5,031,219	4,887,630	5,114,281	5,226,476
Highways and streets	1,248,743	1,118,483	1,632,837	1,885,638
Storm sewer	132,649	-	-	-
Recreation	344,617	385,302	395,382	441,091
Economic development	-	-	-	-
Miscellaneous	-	-	-	-
Capital outlay	2,880,071	4,200,321	5,397,288	8,146,339
Debt service				
Principal	-	-	-	-
Interest	-	-	-	-
Other charges	-	-	-	-
<b>Total expenditures</b>	<b>14,522,193</b>	<b>15,578,217</b>	<b>17,196,432</b>	<b>20,788,135</b>
<b>EXCESS (DEFICIENCY) OF REVENUES OVER EXPENDITURES</b>	<b>882,632</b>	<b>399,805</b>	<b>(381,519)</b>	<b>(1,932,271)</b>
<b>OTHER FINANCING SOURCES (USES)</b>				
Transfers in	1,090,030	544,000	1,131,500	2,866,303
Transfers (out)	(1,790,030)	(510,000)	(1,131,500)	(2,884,608)
Premium on bonds	-	-	-	-
Bonds issuance	-	-	-	-
Loans issued, at par	-	-	-	-
Sale of capital assets	39,207	73,686	70,324	41,512
<b>Total other financing sources (uses)</b>	<b>(660,793)</b>	<b>107,686</b>	<b>70,324</b>	<b>23,207</b>
<b>NET CHANGE IN FUND BALANCES</b>	<b>\$ 221,839</b>	<b>\$ 507,491</b>	<b>\$ (311,195)</b>	<b>\$ (1,909,064)</b>
<b>DEBT SERVICE AS A PERCENTAGE OF NONCAPITAL EXPENDITURES</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0.00%</b>

Data Source

Audited Financial Statements

	2015	2016	2017	2018	2019	2020
\$	6,043,923	\$ 6,020,140	\$ 6,436,485	\$ 6,779,637	\$ 9,788,993	\$ 9,877,049
	773,617	789,180	914,233	1,389,222	722,087	972,152
	8,380,932	8,445,621	9,278,083	7,646,783	6,413,507	6,299,920
	598,309	634,601	584,518	5,650,970	586,360	556,074
	790,749	587,441	349,177	363,908	825,024	744,817
	145,136	144,565	25,251	60,330	137,509	146,637
	-	-	-	-	-	-
	517,505	446,745	728,113	932,375	1,267,670	1,758,051
	17,250,171	17,068,293	18,315,860	22,823,225	19,741,150	20,354,700
	4,836,056	4,436,636	4,731,051	5,013,521	5,381,559	5,209,916
	6,182,651	6,375,333	6,189,889	6,449,893	6,750,470	6,943,074
	2,056,593	1,816,717	2,207,721	1,483,024	1,545,074	1,654,712
	-	-	-	-	-	-
	297,902	265,633	354,375	449,219	412,644	409,983
	-	-	68,918	114,411	106,554	393,669
	-	-	-	-	-	-
	4,851,794	4,117,744	4,282,540	5,593,676	5,396,855	6,977,766
	-	-	-	-	-	-
	-	-	-	-	5,069	-
	-	-	-	-	-	-
	18,224,996	17,012,063	17,834,494	19,103,744	19,598,225	21,589,120
	(974,825)	56,230	481,366	3,719,481	142,925	(1,234,420)
	200,000	2,316,111	994,470	1,980,103	2,857,732	2,000,174
	(200,000)	(2,016,111)	(736,970)	(1,700,103)	(2,577,732)	(1,750,174)
	-	-	-	-	-	365,204
	-	-	-	829,200	802,000	-
	-	81,169	120,881	45,815	11,062	9,386
	-	381,169	378,381	1,155,015	1,093,062	9,874,590
\$	(974,825)	\$ 437,399	\$ 859,747	\$ 4,874,496	\$ 1,235,987	\$ 8,640,170
	0.00%	0.00%	0.00%	0.00%	0.03%	0.00%

**CITY OF WOOD DALE, ILLINOIS**

**EQUALIZED ASSESSED VALUE AND ESTIMATED ACTUAL VALUE  
OF TAXABLE PROPERTY**

Last Ten Levy Years

<b>Tax Levy Year</b>	<b>Residential Property</b>	<b>Farm Property</b>	<b>Commercial Property</b>	<b>Industrial Property</b>	<b>Equalized Assessed Value</b>	<b>Total Direct Tax Rate</b>	<b>Estimated Actual Value</b>	<b>Ratio of Equalized Assessed Value to Estimated Actual Value</b>
2010	\$ 412,175,765	\$ 403	\$ 50,522,915	\$ 197,780,110	\$ 660,479,193	\$ 0.4125	\$ 1,981,635,743	33.33%
2011	351,716,548	-	48,696,940	191,893,160	592,306,648	0.4677	1,777,097,654	33.33%
2012	306,944,090	-	44,830,012	177,770,240	529,544,342	0.5405	1,588,791,905	33.33%
2013	284,787,193	-	42,069,311	166,914,340	493,770,844	0.5909	1,481,460,678	33.33%
2014	278,888,995	-	40,971,200	165,588,040	485,448,235	0.6107	1,456,490,354	33.33%
2015	283,139,720	-	41,347,910	166,579,130	491,066,760	0.6093	1,473,347,615	33.33%
2016	307,349,058	-	43,402,330	172,116,348	522,867,736	0.5770	1,568,760,084	33.33%
2017	333,931,669	-	44,898,520	177,115,528	555,945,717	0.5550	1,668,003,951	33.33%
2018	349,803,671	-	47,381,900	180,058,508	577,244,079	0.5479	1,731,905,428	33.33%
2019	355,504,049	-	50,986,220	185,171,258	591,661,527	0.5490	1,775,162,097	33.33%

Date Source

DuPage County Assessor's Office and City records

**CITY OF WOOD DALE, ILLINOIS**

PROPERTY TAX RATES - DIRECT AND OVERLAPPING GOVERNMENTS

Last Ten Levy Years

<b>Tax Levy Year</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
<b>DIRECT</b>				
City of Wood Dale				
Corporate	0.2221	0.2517	0.2907	0.3177
IMRF	0.0320	0.0363	0.0420	0.0459
Police pension	0.0632	0.0717	0.0829	0.0906
Audit	0.0039	0.0045	0.0053	0.0059
Tort judgments/liability	0.0305	0.0346	0.0400	0.0437
Social Security	0.0608	0.0689	0.0796	0.0871
Total city direct rate	0.4125	0.4677	0.5405	0.5909
Addison Fire Protection District	0.7448	0.8274	0.9619	1.0583
Addison Park District	0.3427	0.3747	0.4307	0.4659
Addison Township	0.0509	0.0570	0.0655	0.0713
Bensenville Library District	0.1793	0.2038	0.2360	0.2564
Bensenville Park District	0.3614	0.4128	0.4718	0.5097
DuPage County	0.1659	0.1296	0.1929	0.2040
DuPage County Airport Authority	0.0158	0.0169	0.0168	0.0178
DuPage County Forest Preserve District	0.1321	0.1414	0.1542	0.1657
Grade schools				
District #2	3.1160	3.5405	4.0744	4.4252
District #4	2.0834	2.3227	2.7039	2.9650
District #7	1.8358	2.0067	2.2715	2.4504
District #10	1.7966	2.0486	2.3210	2.5368
High schools				
District #88	1.6616	1.8332	2.1984	2.4373
District #100	1.6157	1.8069	2.0638	2.2320
District #108	1.8298	2.0220	2.3318	2.5755
Itasca Fire Protection District	0.6498	0.7236	0.8216	0.8973
Itasca Park District	0.4166	0.4678	0.5223	0.5626
Jr. College District #502	0.2349	0.2495	0.2681	0.2956
Special Service Area #11	4.2129	4.2046	4.6604	0.4988
Special Service Area #12	0.3697	0.3986	0.4413	0.4800
Special Service Area #13	0.3523	0.3738	0.3894	0.4132
Special Service Area #14	0.3109	0.3065	0.3427	0.3771
Wood Dale Fire Protection District	0.6789	0.7729	0.8937	0.9812
Wood Dale Library District	0.3509	0.3960	0.4563	0.4983
Wood Dale Park District	0.3548	0.3995	0.4537	0.4852

Note: Property tax rates are per \$100 of assessed valuation.

Data Sources

Office of the County Clerk, DuPage County  
DuPage County Tax Bill

2014	2015	2016	2017	2018	2019
0.3282	0.3274	0.3099	0.2980	0.2940	0.2945
0.0475	0.0474	0.0449	0.0432	0.0427	0.0428
0.0937	0.0935	0.0886	0.0852	0.0841	0.0843
0.0061	0.0061	0.0058	0.0056	0.0056	0.0056
0.0452	0.0451	0.0428	0.0412	0.0407	0.0409
0.0900	0.0898	0.0850	0.0818	0.0808	0.0809
0.6107	0.6093	0.5770	0.5550	0.5479	0.5490
1.1221	1.1240	1.1051	1.0093	0.9847	0.9530
0.4800	0.4720	0.4435	0.4199	0.4110	0.3875
0.0738	0.0717	0.0676	0.0646	0.0636	0.0623
0.2662	0.2644	0.248	0.2381	0.2347	0.2312
0.5179	0.5232	0.4932	0.4758	0.4708	0.4644
0.2057	0.1971	0.1848	0.1749	0.1673	0.1655
0.0196	0.0188	0.0176	0.0166	0.0146	0.0141
0.1691	0.1622	0.1514	0.1306	0.1278	0.1242
4.572	4.5309	4.2774	4.0943	4.0338	3.9658
3.0625	3.0240	2.8820	2.6807	2.6225	2.5176
2.5124	2.5181	2.3958	2.3200	2.2918	2.2783
2.6647	2.5037	2.3808	2.2590	2.2678	2.2594
2.5581	2.5477	2.3995	2.2462	2.1815	2.0906
2.3019	2.2934	2.1741	2.0849	2.0567	2.0331
2.7083	2.6236	2.4698	2.3489	2.2863	2.2683
	0.9019	0.859	0.8277	1.0063	0.9951
0.5850	0.5581	0.5348	0.5097	0.5133	0.5113
0.2975	0.2786	0.2626	0.2431	0.2317	0.2112
5.0902	5.0799	5.0977	5.1024	5.0940	5.0724
0.4657	0.4762	0.4433	0.4305	0.4045	0.3954
0.4051	0.4009	0.4045	0.4099	0.4081	0.4041
0.3407	0.3255	0.3217	0.3127	0.3019	0.2861
1.0132	1.0137	0.9611	0.9263	0.9141	0.9138
0.5148	0.5165	0.4904	0.4733	0.4670	0.4673
0.4955	0.4957	0.4707	0.4673	0.4632	0.4616

**CITY OF WOOD DALE, ILLINOIS**

**PRINCIPAL PROPERTY TAXPAYERS**

Current Year and Ten Years Ago

<b>2020</b>				<b>2010</b>			
<b>Taxpayers</b>	<b>Type of Business</b>	<b>Equalized Assessed Value</b>	<b>Percentage of Total Assessed Valuation</b>	<b>Taxpayers</b>	<b>Type of Business</b>	<b>Equalized Assessed Value</b>	<b>Percentage of Total Assessed Valuation</b>
AMB Prop Re Tax Co	Real Estate Developer	\$ 18,256,410	3.09%	AMB Partners II Local LP	Real Estate Developer	\$ 20,261,160	2.77%
Prologis	Real Property	14,787,670	2.50%	Morgan Stanley	Real Estate Developer	8,642,130	1.18%
Hamilton Partners	Real Property	13,350,510	2.26%	Videojet	Technology Company	7,582,570	1.04%
LIPT Lewis Mittel LLC	Real Estate Developer	8,860,360	1.50%	Household Finance Corp	Financial Institution	6,783,990	0.93%
Bcore Corridor Chicago	Real Property	6,058,610	1.02%	Trammel Crow	Real Estate Developer	5,223,700	0.72%
Videojet Technologies	Industrial Ink Jet Printing	5,607,700	0.95%	Freightliner	Auto Sales	4,882,270	0.67%
800 Mittel LLC	Real Property	5,214,610	0.88%	Parkway Bank	Financial Institution	4,694,840	0.64%
Orchard Lakes Apartments	Real Property	4,989,270	0.84%	Centerpoint Properties	Real Estate Developer	4,147,800	0.57%
WMI Chicago II LLC	Real Estate Developer	4,565,220	0.77%	Manulife Financial	Insurance Provider	3,073,170	0.42%
Parkway Bank	Financial Institution	4,220,730	0.71%	Kintetsu World Express	Shipping	2,972,360	0.41%
		<u>\$ 85,911,090</u>	<u>14.52%</u>			<u>\$ 68,263,990</u>	<u>9.35%</u>

Note: Information for the period nine years ago was not available; therefore, information for the period ten years ago is presented.

Data Source

Office of the DuPage County Clerk

**CITY OF WOOD DALE, ILLINOIS**

**PROPERTY TAX LEVIES AND COLLECTIONS**

Last Ten Levy Years

<b>Tax Levy Year</b>	<b>Equalized Assessed Valuations</b>	<b>Total Tax Levy as Extended</b>	<b>Tax Collections (1)</b>	<b>Percentage of Extensions Collected</b>
2010	\$ 660,479,193	\$ 2,724,477	\$ 2,713,718	99.61%
2011	592,306,648	2,770,218	2,759,058	99.60%
2012	529,544,342	2,862,187	2,828,577	98.83%
2013	493,770,844	2,917,692	2,880,180	98.71%
2014	485,448,235	2,964,632	2,916,897	98.39%
2015	491,066,760	2,992,070	2,971,693	99.32%
2016	522,867,736	3,016,947	2,998,008	99.37%
2017	555,945,717	3,085,499	3,081,866	99.88%
2018	577,244,079	3,162,720	3,147,966	99.53%
2019	591,661,527	3,248,222	(2)	N/A

(1) Includes property taxes collected in the current year that may be attributable to prior years. These collections, if any, are immaterial as 99% or greater of the current year's tax levy has historically been collected during the respective fiscal year. Additionally, information to associate any noncurrent tax collections to a specific tax levy year is not readily available.

(2) 2019 Tax Levy to be collected in Fiscal Year 2021.

Property in the City is reassessed each year. Property is assessed at 33% of actual value.

N/A - information not available

Data Sources

DuPage County Treasurer's Office  
Audited Financial Statements

**CITY OF WOOD DALE, ILLINOIS**

**SALES TAX COLLECTED BY CATEGORY**

Last Ten Calendar Years

	2010		2011		2012		2013		2014	
	Amount	% Change From Prior Year								
General merchandise	\$ 525,234	9.72%	\$ 550,271	4.77%	\$ 557,301	1.28%	\$ -	(100.00%)	N/A	N/A
Food	413,406	10.21%	420,821	1.79%	428,710	1.87%	486,105	13.39%	\$ 436,607	(10.18%)
Drinking and eating places	383,735	(2.78%)	387,248	0.92%	406,687	5.02%	423,599	4.16%	429,233	1.33%
Apparel	546	(70.49%)	-	(100.00%)	-	N/A	-	N/A	-	N/A
Furniture, H.H., and radio	123,825	7.09%	207,749	67.78%	191,680	(7.73%)	248,813	29.81%	234,792	(5.64%)
Lumber, building, and hardware	195,250	11.31%	200,018	2.44%	221,607	10.79%	279,806	26.26%	366,459	30.97%
Automobile and filling stations	625,834	39.73%	1,152,383	84.14%	1,184,130	2.75%	985,596	(16.77%)	985,077	(0.05%)
Drugs and miscellaneous retail	635,888	(2.77%)	638,974	0.49%	653,960	2.35%	672,181	2.79%	736,251	9.53%
Agriculture and all others	1,602,531	(3.25%)	1,553,092	(3.09%)	1,551,109	(0.13%)	1,647,746	6.23%	1,880,533	14.13%
Manufacturers	225,600	(4.29%)	127,000	(43.71%)	145,246	14.37%	166,924	14.93%	186,912	11.97%
<b>TOTAL</b>	<b>\$ 4,731,849</b>	<b>(11.20%)</b>	<b>\$ 5,237,556</b>	<b>9.66%</b>	<b>\$ 5,340,430</b>	<b>1.93%</b>	<b>\$ 4,910,770</b>	<b>(8.75%)</b>	<b>\$ 5,255,864</b>	<b>6.57%</b>
<b>CITY DIRECT SALES TAX RATE</b>	2.00%		2.00%		2.00%		2.00%		2.00%	



CITY OF WOOD DALE, ILLINOIS

DIRECT AND OVERLAPPING SALES TAX RATES

Last Ten Calendar Years

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<b>Calendar Year</b>	<b>City Direct Rate</b>	<b>Non-Home Rule Sales Tax Rate</b>	<b>DuPage County Water Commission</b>	<b>Regional Transportation Authority</b>	<b>DuPage County</b>	<b>State of Illinois</b>	<b>Total</b>
2010	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2011	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2012	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2013	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2014	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2015	1.00%	1.00%	0.25%	0.50%	0.50%	5.00%	8.25%
2016	1.00%	1.00%	0.00%	0.50%	0.50%	5.00%	8.00%
2017	1.00%	1.00%	0.00%	0.50%	0.50%	5.00%	8.00%
2018	1.00%	1.00%	0.00%	0.50%	0.50%	5.00%	8.00%
2019	1.00%	1.00%	0.00%	0.50%	0.50%	5.00%	8.00%

Data Source

City and County Records

**CITY OF WOOD DALE, ILLINOIS**

**RATIOS OF OUTSTANDING DEBT BY TYPE**

Last Ten Calendar Years

Fiscal Year	Governmental Activities		Business-Type Activities		Total Primary Government	Percentage of Personal Income	Per Capita
	General Obligation Bonds	Notes Payable	General Obligation Bonds	IEPA Loan			
2011	\$ -	\$ -	\$ -	\$ -	\$ -	0.00%	\$ -
2012	-	-	9,995,000	-	9,995,000	2.56%	725.85
2013	-	-	9,995,000	-	9,995,000	2.51%	725.85
2014	-	-	9,970,000	4,103,771	14,073,771	3.59%	1,022.06
2015	-	-	9,610,000	18,684,617	28,294,617	7.37%	2,054.80
2016	-	-	9,235,000	21,334,311	30,569,311	7.77%	2,219.99
2017	-	-	8,845,000	20,439,889	29,284,889	7.60%	2,126.72
2018	-	829,200	8,440,000	19,510,835	28,780,035	7.50%	2,090.05
2019	-	1,631,200	8,020,000	18,563,763	28,214,963	6.67%	2,049.02
2020	9,615,204	1,631,200	7,580,000	17,598,325	36,424,729	8.61%	2,645.22

Note: See schedule of Demographic Statistics on page 123 for personal income and population data.

Data Sources

Office of the County Clerk  
City's Records

**CITY OF WOOD DALE, ILLINOIS**

**RATIOS OF GENERAL BONDED DEBT OUTSTANDING**

Last Ten Levy Years

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<b>Levy Year</b>	<b>Population (1)</b>	<b>Equalized Assessed Value (2)</b>	<b>Gross General Bonded Debt (3)</b>	<b>Less Debt Service Funds</b>	<b>Net General Bonded Debt</b>	<b>Ratio of Net General Obligation Debt to Equalized Assessed Value</b>	<b>Net General Bonded Debt Per Capita</b>
2010	13,770	\$ 660,479,193	\$ -	\$ -	\$ -	0.00%	\$ -
2011	13,770	592,306,648	-	-	-	0.00%	-
2012	13,770	529,544,342	-	-	-	0.00%	-
2013	13,770	493,770,844	-	-	-	0.00%	-
2014	13,770	493,770,844	-	-	-	0.00%	-
2015	13,770	485,448,235	-	-	-	0.00%	-
2016	13,770	491,066,760	-	-	-	0.00%	-
2017	13,770	555,945,717	-	-	-	0.00%	-
2018	13,770	577,244,079	-	-	-	0.00%	-
2019	13,770	591,661,527	-	-	-	0.00%	-

Data Sources

- (1) U.S. Department of Commerce, Bureau of Census
- (2) Office of the DuPage County Clerk
- (3) City's Records, excludes Alternate Revenue Source Bonds

**CITY OF WOOD DALE, ILLINOIS**

**DIRECT AND OVERLAPPING BONDED DEBT**

April 30, 2020

<b>Governmental Unit</b>	<b>Gross Bonded Debt</b>	<b>(1) Percentage of Debt Applicable to City</b>	<b>(2) Amount of City's Share of Debt</b>
<b>DIRECT DEBT</b>			
City of Wood Dale	\$ 11,246,404	100.000%	\$ 11,246,404
<b>OVERLAPPING DEBT</b>			
DuPage County	129,500,000	1.450%	1,877,750
DuPage County Forest Preserve District	93,615,000	1.450%	1,357,418
Itasca Park District	960,000	3.540%	33,984
Wood Dale Park District	1,950,000	78.750%	1,535,625
Bensenville Park District	4,225,000	11.270%	476,158
School Districts			
District #2	34,966,000	12.450%	4,353,267
District #4	2,675,000	3.490%	93,358
District #7	525,000	75.720%	397,530
District #10	8,755,000	4.330%	379,092
District #88	73,320,000	1.410%	1,033,812
District #100	9,470,000	41.200%	3,901,640
District #108	13,085,000	1.100%	143,935
Wood Dale Special Service Area #11	12,300	100.000%	12,300
Wood Dale Special Service Area #12	730,000	100.000%	730,000
Wood Dale Special Service Area #13	1,045,000	100.000%	1,045,000
Wood Dale Special Service Area #14	660,000	100.000%	660,000
 Total overlapping bonded debt	 <u>375,493,300</u>		 <u>18,030,869</u>
<b>TOTAL</b>	<b><u>\$ 386,739,704</u></b>		<b><u>\$ 29,277,273</u></b>

(1) Determined by ratio of assessed value of property subject to taxation in overlapping unit to value of property subject to taxation in the City.

(2) Amount in column (2) multiplied by amount in column (1).

Data Source

Office of the DuPage County Clerk

**CITY OF WOOD DALE, ILLINOIS**

**LEGAL DEBT MARGIN INFORMATION**

Last Ten Calendar Years

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	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>
Assessed value of property	\$ 1,981,457,394	\$ 1,776,937,713	\$ 1,588,633,026	\$ 1,481,312,532
Debt limit	56,966,900	51,086,959	45,673,199	42,587,735
Total debt applicable to limit	-	-	-	-
<b>LEGAL DEBT MARGIN</b>	<b>\$ 56,966,900</b>	<b>\$ 51,086,959</b>	<b>\$ 45,673,199</b>	<b>\$ 42,587,735</b>
<b>TOTAL NET DEBT APPLICABLE TO THE LIMIT AS A PERCENTAGE OF DEBT LIMIT</b>	0.00%	0.00%	0.00%	0.00%

2014	2015	2016	2017	2018	2019
\$ 1,456,344,705	\$ 1,473,200,280	\$ 1,568,603,208	\$ 1,668,003,951	\$ 1,731,905,428	\$ 1,775,162,097
41,869,910	42,354,508	45,097,342	47,955,114	49,792,281	51,035,910
-	-	-	-	-	-
\$ 41,869,910	\$ 42,354,508	\$ 45,097,342	\$ 47,955,114	\$ 49,792,281	\$ 51,035,910
0.00%	0.00%	0.00%	0.00%	0.00%	0.00%

**CITY OF WOOD DALE, ILLINOIS**

**DEMOGRAPHIC STATISTICS**

Last Ten Calendar Years

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<b>Calendar Year</b>	<b>(1) Population</b>	<b>(1) Per Capita Income</b>	<b>Equalized Assessed Valuation</b>	<b>Personal Income</b>	<b>(2) Unemployment Rate</b>
2010	13,770	\$ 25,190	\$ 660,479,193	\$ 346,866,300	9.70%
2011	13,770	28,397	592,306,648	391,026,690	8.90%
2012	13,770	28,909	529,544,342	398,076,930	9.90%
2013	13,770	28,455	493,770,844	391,825,350	7.40%
2014	13,770	27,883	485,448,235	383,948,910	5.40%
2015	13,770	28,555	491,066,760	393,202,350	4.80%
2016	13,770	27,967	522,867,736	385,105,590	5.10%
2017	13,770	29,836	555,945,717	410,841,720	5.00%
2018	13,770	30,731	577,244,079	423,165,870	3.30%
2019	13,770	30,731	591,661,527	423,165,870	3.30%

Data Sources

City Records and Office of the DuPage County Clerk

(1) U.S. Department of Commerce, Bureau of the Census

(2) Bureau of Labor Statistics

**CITY OF WOOD DALE, ILLINOIS**

**PRINCIPAL EMPLOYERS**

Current Year and Nine Years Ago

<b>Employer</b>	<b>2020</b>			<b>Employer</b>	<b>2008 (1)</b>		
	<b>Employees</b>	<b>Rank</b>	<b>Percentage of Total Village Population</b>		<b>Employees</b>	<b>Rank</b>	<b>Percentage of Total Village Population</b>
Videojet	532	1	3.86%	Household Retail Services	1,674	1	12.06%
AAR Corporation	440	2	3.20%	Quest Diagnostics	750	2	5.40%
Prime Now LLC	399	3	2.90%	Videojet Systems	506	3	3.65%
Tempco Electric	355	4	2.58%	Market Day	490	4	3.53%
C.H. Robinson	350	5	2.54%	AAR Corporation	471	5	3.39%
Power Solutions	350	6	2.54%	Tempco Electric Heater	364	6	2.62%
Quest Diagnostics	340	7	2.47%	Michael Lewis	201	7	1.45%
OPTO International, Inc.	153	8	1.11%	Target Stores	180	8	1.30%
Weigel Tool Works	150	9	1.09%	Jewel Food Stores	165	9	1.19%
NEP Electronics	124	10	0.90%	Majesty Maintenance, Inc.	150	10	1.08%
<b>TOTAL</b>	<b>3,193</b>			<b>TOTAL</b>	<b>4,951</b>		

(1) Information not available for 2011

Data Source

Office of the DuPage County Clerk

**CITY OF WOOD DALE, ILLINOIS**

**TEN LARGEST CONSUMERS - WATERWORKS AND SEWERAGE SYSTEMS**

April 30, 2020

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<b>Taxpayers</b>	<b>Rank</b>	<b>Total Consumption (Gallons)</b>
Dominion Towers	1	4,375,000
WPS Wood Dale LLC	2	3,953,435
Brookwood Green Condo	3	3,807,553
Courtyard Chicago Wood Dale	4	3,171,872
Jewel-Osco Food Store	5	2,528,879
Tempco Electric Heater Corp.	6	2,340,774
Whispering Oaks Unit Owners	7	2,008,806
Wood Dale Station Condos	8	2,006,000
Christy's Restaurant	9	1,739,810
Oak Villa Estates	10	1,701,468

Note: Information was not available for nine years ago.

Data Source

Finance Department

**CITY OF WOOD DALE, ILLINOIS**

FULL-TIME EQUIVALENT EMPLOYEES

Last Ten Fiscal Years

<b>Fiscal Year</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>GENERAL GOVERNMENT</b>										
Administration	4.00	4.00	4.00	4.00	5.00	4.00	4.00	6.00	5.00	6.00
City Clerk (1)	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	1.00	-
Community development	6.00	6.00	6.00	6.00	6.00	9.00	9.00	8.00	7.00	7.00
Finance	4.00	3.00	3.00	3.00	3.00	4.00	4.00	4.00	4.00	4.25
Engineering	2.00	-	-	-	-	-	-	-	-	-
Total general government	17.00	14.00	14.00	14.00	15.00	18.00	18.00	19.00	17.00	17.25
<b>PUBLIC SAFETY</b>										
Officers	35.00	35.00	35.00	35.00	35.00	33.00	33.00	33.00	33.00	33.00
Civilians	17.00	17.00	17.00	17.00	16.00	11.00	11.00	11.00	12.00	15.25
Total public safety	52.00	52.00	52.00	52.00	51.00	44.00	44.00	44.00	45.00	48.25
<b>PUBLIC WORKS</b>										
City service administration	2.00	2.00	2.00	2.00	3.00	3.00	3.00	3.00	3.00	4.00
Streets	7.00	7.00	7.00	7.00	8.00	8.00	8.00	8.00	8.00	9.00
Water and sewer	20.00	21.00	21.00	21.00	19.00	21.00	21.00	20.00	20.00	20.00
Vehicle maintenance	2.00	2.00	2.00	2.00	3.00	2.00	2.00	2.00	2.00	2.00
Total public works	31.00	32.00	32.00	32.00	33.00	34.00	34.00	33.00	33.00	35.00
<b>TOTAL FULL-TIME EQUIVALENT EMPLOYEES</b>	<b>100.00</b>	<b>98.00</b>	<b>98.00</b>	<b>98.00</b>	<b>99.00</b>	<b>96.00</b>	<b>96.00</b>	<b>96.00</b>	<b>95.00</b>	<b>100.50</b>

(1) Clerk employee is now part of Administration

Data Source

City Payroll Records

CITY OF WOOD DALE, ILLINOIS

OPERATING INDICATORS

Last Ten Calendar Years

Program/Function	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
<b>GENERAL GOVERNMENT</b>										
City Clerk										
Business/vending licenses issued	751	758	825	819	810	750	N/A	N/A	N/A	N/A
Finance										
Vehicle stickers issued	9,880	9,729	10,225	9,049	9,934	9,524	12,229	9,587	10,269	8,292
Accounts payable checks processed	3,619	3,858	3,378	3,134	2,887	3,027	2,214	2,123	1,863	1,963
Community development										
Building permits issued	77	88	84	94	729	758	869	961	787	761
Residential permits issued	34	37	43	61	59	63	75	55	22	29
New construction	3	4	9	4	3	7	73	2	5	2
Remodel	31	33	34	57	56	56	2	53	17	27
Industrial/commercial permits issued	43	51	41	33	34	40	46	38	36	40
New construction	2	-	-	-	-	-	-	2	1	10
Remodel	41	51	41	33	34	40	46	36	35	30
Other permits issued	N/A	N/A	N/A	N/A	636	655	748	868	729	692
Flatwork	N/A	N/A	N/A	N/A	129	124	90	88	99	118
Accessory Structures	N/A	N/A	N/A	N/A	11	11	18	11	7	12
Deck/Porch	N/A	N/A	N/A	N/A	6	12	16	12	9	19
Fence	N/A	N/A	N/A	N/A	49	60	66	68	58	49
Sign	N/A	N/A	N/A	N/A	51	35	44	34	32	56
Roof	N/A	N/A	N/A	N/A	100	146	178	297	186	104
Electrical, plumbing or mechanical only	N/A	N/A	N/A	N/A	123	124	147	113	128	149
Replacement window, door, siding	N/A	N/A	N/A	N/A	55	66	99	133	123	63
Water/waste water connection	N/A	N/A	N/A	N/A	33	22	20	30	25	22
Miscellaneous	N/A	N/A	N/A	N/A	79	55	70	82	62	100
Total building permit valuation	7,048,684	10,258,972	4,641,276	4,393,700	15,090,636	17,906,278	23,001,903	33,785,596	26,318,821	59,531,614
Rental properties registered	N/A	N/A	N/A	N/A	N/A	401	194	182	226	166
Commercial occupancy permits issued	N/A	N/A	N/A	N/A	N/A	N/A	556	697	499	422
Zoning relief petitions issued	N/A	N/A	N/A	N/A	N/A	13	17	8	19	14
Annexation petitions issued	N/A	N/A	N/A	N/A	N/A	3	2	1	1	1
<b>PUBLIC SAFETY</b>										
Calls for service	17,527	17,513	18,379	19,122	18,903	18,701	17,194	15,781	15,378	16,667
Officer initiated	13,352	13,525	14,715	15,436	15,296	N/A	N/A	N/A	N/A	N/A
9-1-1	4,175	3,988	3,664	3,686	3,607	N/A	N/A	N/A	N/A	N/A
Total accident investigations	383	371	370	318	412	408	395	301	395	331
Property damage	346	338	335	277	350	346	348	255	338	274
Personal injury	37	33	34	41	61	62	47	46	56	57
Fatalities	-	-	1	-	1	-	-	-	1	1

CITY OF WOOD DALE, ILLINOIS

OPERATING INDICATORS (Continued)

Last Ten Calendar Years

Program/Function	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019
<b>PUBLIC SAFETY (Continued)</b>										
Crime index part 1 offense										
Homicide	-	-	-	-	-	-	-	-	-	-
Criminal sexual assault	-	1	-	1	1	-	1	3	3	3
Robbery	2	2	-	4	7	3	5	5	3	3
Aggravated assault/battery	73	85	80	84	73	77	82	71	4	5
Burglary	21	40	45	30	22	23	16	17	22	14
Theft	105	110	114	115	85	107	138	138	161	180
Motor vehicle theft	14	8	8	7	9	6	8	9	8	10
Arson	-	-	-	-	-	-	-	-	-	-
Total crime index part I offenses	215	246	247	241	197	216	250	243	201	215
Parking violations	2,623	1,720	1,790	2,210	2,157	1,750	1,314	2,211	1,908	1,397
Traffic violations (includes DUI violations)	7,975	7,927	8,294	7,882	7,757	6,712	5,686	4,563	5,592	6,267
DUI violations	198	149	172	173	150	111	131	100	98	108
False alarm responses	1,060	773	860	604	659	838	588	438	394	464
<b>PUBLIC WORKS</b>										
Streets										
Street sweeping										
Curb lane miles swept	250	250	250	250	250	250	250	250	250	250
Cubic yard of waste collected	2,400	2,200	2,200	2,200	2,100	N/A	N/A	N/A	N/A	N/A
Snowplowing										
Number of snow events	48	10	29	35	33	43	15	19	22	18
Inches of snow fall	57.9	19.8	19.0	80.0	50.7	31.2	26.1	36.3	28.6	34.8
Right of way mowing										
Acres mowed	57	57	57	97	97	107	107	107	107	107
Total number of parcel segments mowed	100	100	100	100	100	169	169	169	169	169
Sidewalk replaced/repared (squares)	250	611	250	112	131	354	301	327	161	219
Regulatory signs installed	78	115	95	90	97	87	76	448	73	29
Dial-A-Ride program rides	2,239	2,302	2,086	1,735	2,062	2,298	2,019	1,779	1,617	1,436
Vehicle maintenance										
Repair orders completed	871	920	772	834	933	869	837	851	881	759
PM services	141	191	287	320	336	355	348	316	337	317
General repairs	396	479	638	598	663	602	701	670	741	668
Brake jobs	16	21	21	14	22	23	12	11	21	21
Tire service	117	54	61	66	73	54	59	67	51	43
Replacement	89	67	70	60	84	76	86	86	64	85
Repairs	28	39	33	29	27	26	25	40	24	12
Snow fighting related	83	43	66	130	100	105	119	117	140	106
Landscape/street sweeping	39	69	77	110	145	111	120	103	109	108
Number of outsourced services	79	63	83	58	99	103	38	62	68	37

**CITY OF WOOD DALE, ILLINOIS**

OPERATING INDICATORS (Continued)

Last Ten Calendar Years

<b>Program/Function</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>
<b>PLANT MAINTENANCE</b>										
Repair orders completed	946	973	905	852	805	786	519	N/A	N/A	N/A
<b>WATER</b>										
Average daily consumption (gallons)	1,046,112	1,068,315	1,149,737	1,051,041	1,051,200	1,138,162	1,086,786	1,076,868	1,070,917	1,060,377
Residential (gallons)	288,816,000	292,688,000	307,136,000	289,334,000	280,320,000	266,682,000	276,640,000	263,980,000	281,060,000	312,870,000
Industrial/commercial (gallons)	93,015,000	130,536,000	108,214,000	94,296,000	92,710,000	88,208,000	83,964,000	80,655,000	108,863,000	74,174,000
Peak daily consumption (gallons)	N/A	N/A	N/A	2,304,000	1,756,000	1,847,000	1,564,000	1,558,000	1,304,000	1,536,860
Water main breaks	33	32	50	52	49	25	31	29	17	24
Total hydrant flushing	973	972	974	974	974	974	974	974	974	974
System 1	740	739	741	741	741	741	741	741	741	741
System 2	233	233	233	233	233	233	233	233	233	233
Number of valves exercised	534	1,205	1,205	1,193	1,193	1,193	1,193	1,193	135	1,193
System 1	436	1,003	1,003	991	991	991	991	991	135	991
System 2	88	202	202	202	202	202	202	202	-	202
Water billing accounts	4,901	4,874	4,895	4,962	4,979	4,992	4,925	4,977	4,989	4,995
Residential	4,356	4,528	4,359	4,391	4,440	4,440	4,440	4,444	4,454	4,456
Industrial/commercial	516	535	513	517	516	516	516	510	512	516
Municipal church/school	23	23	23	23	23	23	23	23	23	23
Hydrant meters in service	6	6	7	8	12	12	12	10	13	13
<b>WASTEWATER</b>										
Average daily treatment (gallons)	2,397,000	2,507,000	2,095,000	2,116,000	2,567,000	2,050,000	1,938,000	2,119,000	2,500,000	2,425,000
Excursions/violations	-	1	1	-	1	-	-	-	3	3

NA - Information unavailable/program non-existent

Data Source

Various City departments

**CITY OF WOOD DALE, ILLINOIS**

**CAPITAL ASSET STATISTICS**

Last Ten Fiscal Years

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<b>Function/Program</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>
<b>PUBLIC SAFETY</b>										
Police stations	1	1	1	1	1	1	1	1	1	1
<b>PUBLIC WORKS</b>										
Buildings	3	3	4	4	4	4	4	4	4	4
Streets (miles)	47	47	47	47	47	47	47	47	47	47
Sidewalks (miles)	23.12	23.12	23.12	23.12	23.12	23.12	23.12	23.12	23.12	23.12
Street lights	439	439	439	439	439	439	439	439	439	439
<b>WATER</b>										
Water mains (miles)	75	75	75	75	75	75	75	75	75	75
<b>WASTEWATER</b>										
Sanitary sewers (miles)	68.5	68.5	68.5	68.5	68.5	68.5	68.5	68.5	68.5	68.5
Storm sewers (miles)	38	38	38	38	38	38	38	38	38	38

Data Source

City capital asset records



**CITY OF WOOD DALE, ILLINOIS**

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**REPORT ON SUPPLEMENTARY INFORMATION AND  
REPORT ON MANAGEMENT'S ASSERTION OF  
COMPLIANCE WITH PUBLIC ACT 85-1142**

**THORNDALE CORRIDOR TIF DISTRICT**

**For the Year Ended April 30, 2020**



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**CITY OF WOOD DALE, ILLINOIS**  
**THORNDALE CORRIDOR TIF DISTRICT FUND**  
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**INDEPENDENT AUDITOR'S REPORT ON  
SUPPLEMENTARY INFORMATION**

1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.8400

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## **INDEPENDENT AUDITOR'S REPORT ON SUPPLEMENTARY INFORMATION**

The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, the aggregate remaining fund information of the City of Wood Dale, Illinois as of and for the year ended April 30, 2020, which collectively comprise the basic financial statements of the City of Wood Dale, Illinois, and have issued our report thereon dated December 7, 2020, which expressed an unmodified opinion on those statements.

Our audit was conducted for the purpose of forming an opinion on the basic financial statements as a whole. The supplementary information listed in the table of contents is presented for purposes of additional analysis and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the basic financial statements as a whole.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020

**INDEPENDENT ACCOUNTANT'S REPORT**

1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.8400

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## **INDEPENDENT ACCOUNTANT'S REPORT**

The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

We have examined management of the City of Wood Dale's (the City) assertion that the City complied with the provisions of subsection (q) of Section 11-74.4-3 of the Illinois Tax Increment Redevelopment Allocation Act (Illinois Public Act 85-1142) included in its Report on Compliance with Public Act 85-1142 dated December 7, 2020, during the year ended April 30, 2020. The City's management is responsible for its assertion. Our responsibility is to express an opinion on management's assertion about the City's compliance based on our examination.

Our examination was conducted in accordance with attestation standards established by the American Institute of Certified Public Accountants. Those standards require that we plan and perform the examination to obtain reasonable assurance about whether management's assertion about compliance with the specified requirements is fairly stated, in all material respects. An examination involves performing procedures to obtain evidence about whether management's assertion is fairly stated, in all material respects. The nature, timing, and extent of the procedures selected depend on our judgment, including an assessment of the risks of material misstatement of management's assertion, whether due to fraud or error. We believe that the evidence we obtained is sufficient and appropriate to provide a reasonable basis for our opinion.

Our examination does not provide a legal determination on the City's compliance with the specific requirements.

In our opinion, management's assertion that the City of Wood Dale complied with the aforementioned requirements, included in the Report on Compliance with Public Act 85-1142 for the year ended April 30, 2020 is fairly stated, in all material respects.

This report is intended solely for the information and use of the Mayor, Members of the City Council, the joint review board, the Illinois State Comptroller's Office and management of the City of Wood Dale and is not intended to be, and should not be, used by anyone other than the specified parties.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020

## **FINANCIAL STATEMENTS**

**CITY OF WOOD DALE, ILLINOIS**

**BALANCE SHEET  
THORNDALE CORRIDOR TIF DISTRICT FUND**

April 30, 2020

---

**ASSETS AND DEFERRED  
OUTFLOWS OF RESOURCES**

**ASSETS**

Cash and investments \$ 114,072

**TOTAL ASSETS** 114,072

**DEFERRED OUTFLOWS OF RESOURCES**

None -

**TOTAL ASSETS AND DEFERRED  
OUTFLOWS OF RESOURCES**

\$ 114,072

**LIABILITIES, DEFERRED INFLOWS  
OF RESOURCES AND FUND BALANCE**

**LIABILITIES**

Accounts payable \$ 28,082

Total liabilities 28,082

**DEFERRED INFLOWS OF RESOURCES**

None -

Total liabilities and deferred inflows of resources 28,082

**FUND BALANCE**

Restricted for economic development 85,990

**TOTAL LIABILITIES, DEFERRED INFLOWS  
OF RESOURCES AND FUND BALANCE** \$ 114,072

See independent auditor's report on supplementary information.

**CITY OF WOOD DALE, ILLINOIS**

**STATEMENT OF REVENUES, EXPENDITURES AND  
CHANGES IN FUND BALANCE  
THORNDALE CORRIDOR TIF DISTRICT FUND**

April 30, 2020

---

**REVENUES**

Taxes	
Incremental property taxes	\$ 1,878,894
Investment income	25,243
	<hr/>
Total revenues	1,904,137
	<hr/>

**EXPENDITURES**

Economic development	393,669
Capital outlay	4,121,000
	<hr/>
Total expenditures	4,514,669
	<hr/>

NET CHANGE IN FUND BALANCE (2,610,532)

FUND BALANCE, MAY 1 

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2,696,522

**FUND BALANCE, APRIL 30** 

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\$ 85,990

See independent auditor's report on supplementary information.

1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.8400

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The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

Ladies and Gentlemen:

In planning and performing our audit of the financial statements of the governmental activities, the business-type activities, each major fund and the aggregate remaining fund information of the City of Wood Dale, Illinois (the City) as of and for the year ended April 30, 2020, in accordance with auditing standards generally accepted in the United States of America, we considered the City's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of the City's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control was for the limited purpose described in the first paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

This communication is intended solely for the information and use of the Mayor, the Council and management and others within the administration of the City and is not intended to be and should not be used by anyone other than these specified parties.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020



**CITY OF WOOD DALE, ILLINOIS**

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**AUDITOR'S COMMUNICATION TO THE  
MEMBERS OF THE CITY COUNCIL  
AND MANAGEMENT**



CITY OF WOOD DALE, ILLINOIS  
AUDITOR'S COMMUNICATION TO THE  
MEMBERS OF THE CITY COUNCIL  
AND MANAGEMENT  
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1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.556.8400

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December 7, 2020

The Honorable Mayor  
Members of the City Council  
and Management  
City of Wood Dale  
404 N. Wood Dale Road  
Wood Dale, Illinois 60191

Ladies and Gentlemen:

As part of our audit process we are required to have certain communications with those charged with governance at the beginning of our audit process and at the conclusion of the audit. Those communications include information related to the planned scope and timing of our audit, as well as other information required by audit standards. Our communication at the beginning of our audit process along with our questionnaire regarding consideration of fraud in a financial statement audit was sent to you on May 1, 2020.

In addition, auditing standards require the communication of internal control related matters to members of the City Council and management. Our communication of these matters is enclosed within this document.

This information is intended solely for the use of the Mayor, City Council and Management of the City of Wood Dale and is not intended to be and should not be used by anyone other than these specified parties.

Sincerely,

*Sikich LLP*

Sikich LLP  
By: Anthony M. Cervini, CPA, CFE  
Partner



1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.8400

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December 7, 2020

The Honorable Mayor  
Members of the City Council  
City of Wood Dale, Illinois

Ladies and Gentlemen:

We have audited the financial statements of the governmental activities, the business-type activities, each major fund, and the aggregate remaining fund information of the City of Wood Dale, Illinois (the City) for the year ended April 30, 2020. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated March 3, 2020. Professional standards also require that we communicate to you the following information related to our audit.

#### **Qualitative Aspects of Accounting Practices**

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the City are described in Note 1 to the financial statements. No new accounting policies were adopted, and the application of existing policies was not changed during the year ended April 30, 2020, except for the implementation of GASB Statement No. 83, *Certain Asset Retirement Obligations*, GASB Statement No. 84, *Fiduciary Activities*, GASB Statement No. 88, *Certain Disclosures Related to Debt, including Direct Borrowings and Direct Placements*, and GASB Statement No. 89, *Accounting for Interest Cost Incurred before the End of a Construction Period*. We noted no transactions entered into by the City during the year for which there is a lack of authoritative guidance or consensus.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. We noted no particularly sensitive estimates made by management during our audit of the financial statements with the exception of the estimates in connection with the actuarial valuations performed for the Illinois Municipal Retirement Fund, Police Pension Plan and the Other Postemployment Benefit Plan.

Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. We noted no particularly sensitive financial statement disclosures during our audit of the financial statements, except for the actuarial valuations for the Illinois Municipal Retirement Fund Plan, Police Pension Plan and the Other Postemployment Benefit Plan.

We evaluated the key assumptions used to perform the actuarial valuations and determined that they were reasonable in relation to the basic financial statements as a whole.

Management's estimate of the City's net pension liabilities and total other postemployment benefit liability are based on various actuarially determined amounts, including estimated investment returns, dates of employee retirement, discount rates, healthcare trend rates, and mortality rates. We evaluated key factors and assumptions used to develop the management's estimates of the City's net pension liabilities and total other postemployment benefit liability in determining that it is reasonable in relation to the financial statements taken as a whole.

The financial statement disclosures are neutral, consistent, and clear.

### **Difficulties Encountered in Performing the Audit**

We encountered no significant difficulties in dealing with management in performing and completing our audit.

### **Corrected and Uncorrected Misstatements**

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. The attached schedule summarizes uncorrected misstatements of the financial statements. Management has determined that their effects are immaterial, both individually and in the aggregate, to the financial statements taken as a whole. In addition, none of the misstatements detected as a result of audit procedures and corrected by management were material, either individually, or in the aggregate, to each opinion units' financial statements taken as a whole, with the exception of AJE#03 – AJE#08 and AJE#11.

### **Disagreements with Management**

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

### **Management Representations**

We have requested certain representations from management that are included in the management representation letter dated December 7, 2020.

### **Management Consultations with Other Independent Accountants**

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the City's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

## Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the City's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

## Other Matters

We applied certain limited procedures to management's discussion and analysis and the required supplementary information (RSI) listed in the table of contents that supplements the basic financial statements. Our procedures consisted of inquiries of management regarding the methods of preparing the information and comparing the information for consistency with management's responses to our inquiries, the basic financial statements, and other knowledge we obtained during our audit of the basic financial statements. We did not audit the RSI and do not express an opinion or provide any assurance on the RSI.

We were engaged to report on the combining and individual fund financial statements and schedules and other supplemental information, which accompany the basic financial statements but are not RSI. With respect to this supplementary information, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with accounting principles generally accepted in the United States of America, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the basic financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the basic financial statements or to the basic financial statements themselves.

We were not engaged to report on the introductory and statistical sections, which accompany the basic financial statements but are not RSI. We did not audit or perform other procedures on this other information, and we do not express an opinion or provide any assurance on it.

## Restriction on Use

This information is intended solely for the information and use of the City Council and management of the City of Wood Dale, Illinois and is not intended to be, and should not be, used by anyone other than these specified parties.

Sincerely,

*Sikich LLP*

Sikich LLP  
By: Anthony M. Cervini, CPA, CFE  
Partner

City of Wood Dale

Year End: April 30, 2020

Adjusting Journal Entries

Date: 5/1/2019 To 4/30/2020

Account No: AJE#01 To AJE#11

Number	Date	Name	Account No	Debit	Credit
AJE#01	4/30/2020	PT Rec	10 14001 10-G	72,193.08	
AJE#01	4/30/2020	PT Rec PD	10 14002 10-G	13,308.40	
AJE#01	4/30/2020	Def Rev	10 23001 10-G		(85,501.48)
To adjust property taxes receivable					
AJE#02	4/30/2020	IDOT Traffic Enforcement Grant	29020000 34003 29-G		(12,658.28)
AJE#02	4/30/2020	IDOT Traffic Enforcement Grant	29020000 49715 29-G	12,658.28	
To adjust Traffic Grant					
AJE#03	4/30/2020	DI PP	98 17076 LTD	2,573,631.00	
AJE#03	4/30/2020	NPL PP	98 25072 LTD		(4,715,492.00)
AJE#03	4/30/2020	DO PP	98 25076 LTD	188,077.00	
AJE#03	4/30/2020	Pension Expense - PS	200-000-43082 LTD	1,953,784.00	
To adjust Police Pension GASB 68					
AJE#04	4/30/2020	Bond Proceeds	98 11600 LTD		(802,000.00)
AJE#04	4/30/2020	Fund Balance - unreserved	98 29000 LTD	802,000.00	
To move PY promissory note proceeds					
AJE#05	4/30/2020	DO IMRF	63 15075 63-WAS		(689,325.00)
AJE#05	4/30/2020	NPL IMRF	63 25071 63-WAS	969,225.00	
AJE#05	4/30/2020	DI IMRF	63 27075 63-WAS		(522,577.00)
AJE#05	4/30/2020	DO IMRF	98 15075 LTD		(1,505,977.00)
AJE#05	4/30/2020	NPL IMRF	98 25071 LTD	2,117,478.00	
AJE#05	4/30/2020	DI IMRF	98 27075 LTD		(1,141,681.00)
AJE#05	4/30/2020	Pen Exp GG	98 43081 LTD	261,999.00	
AJE#05	4/30/2020	Pen Exp PS	98 43082 LTD	96,607.00	
AJE#05	4/30/2020	Pen Exp HS	98 43083 LTD	171,574.00	
AJE#05	4/30/2020	Pen Exp HS	63005081 43083 63-WAS	242,677.00	
To record IMRF GAS 68 activity					
AJE#06	4/30/2020	Escrow Account	10 22001 10-G		(206,259.06)
AJE#06	4/30/2020	LOC	10 22004 10-G	206,259.06	
To reclass charges between letter of credit and escrow account					

Number	Date	Name	Account No	Debit	Credit
AJE#07	4/30/2020	DO - OPEB	63 15077 63-WAS	504,135.00	
AJE#07	4/30/2020	NPO - OPEB	63 25077 63-WAS		(555,644.00)
AJE#07	4/30/2020	OPBEO	98 25065 LTD		(3,239,867.00)
AJE#07	4/30/2020	NPO - OPEB	98 25077 LTD	2,939,525.00	
AJE#07	4/30/2020	Ch OPEB GG	98 30066 LTD	79,879.00	
AJE#07	4/30/2020	Ch OPEB PS	98 30067 LTD	134,195.00	
AJE#07	4/30/2020	Ch OPEB HS	98 30068 LTD	86,268.00	
AJE#07	4/30/2020	Pen Exp HS	63005081 43083 63-WAS	51,509.00	
To record OPEB activity					
AJE#08	4/30/2020	Accumulated Depreciation	60 16102 60-CPL	6,274.70	
AJE#08	4/30/2020	Depreciation Expense	60 49092 60-CPL		(6,274.70)
AJE#08	4/30/2020	TF Water	62 38066 62-WSC	298,997.60	
AJE#08	4/30/2020	TF Water	62 38066 62-WSC	827,928.50	
AJE#08	4/30/2020	Acc Dep LI	63 16110 63-WAS		(6,274.70)
AJE#08	4/30/2020	CIP	63 16300 63-WAS	827,928.50	
AJE#08	4/30/2020	Miscellaneous Revenue	63 39999 63-WAS	94,833.95	
AJE#08	4/30/2020	CIP	97 16150 CA	423,820.86	
AJE#08	4/30/2020	Contra Expense	97 49097 CA		(423,820.86)
AJE#08	4/30/2020	Sewer	62005082 46045 62-WSC		(654,172.50)
AJE#08	4/30/2020	Plant Maintenance	62005082 46048 62-WSC		(298,997.60)
AJE#08	4/30/2020	Plant Maintenance	62005082 46048 62-WSC		(173,756.00)
AJE#08	4/30/2020	TT WSCIP	63005081 48065 63-WAS		(298,997.60)
AJE#08	4/30/2020	TT WSCIP	63005081 48065 63-WAS		(827,928.50)
AJE#08	4/30/2020	Depreciation Expense	63005081 49092 63-WAS	3,137.35	
AJE#08	4/30/2020	Miscellaneous	63005081 49099 63-WAS	204,163.65	
AJE#08	4/30/2020	Depreciation Expense	63005082 49092 63-WAS	3,137.35	
To correct capital assets					
AJE#09	4/30/2020	IMET - Liquidating Trust	10 11002 10-G		(15,688.42)
AJE#09	4/30/2020	Miscellaneous Revenue	10 39999 10-G	15,688.42	
To write off IMET liquidating trust					
AJE#10	4/30/2020	Deferred Outflow - ARO	63 15100 63-WAS	147,000.00	
AJE#10	4/30/2020	Asset Retirement Obligation	63 25064 63-WAS		(150,000.00)
AJE#10	4/30/2020	Amortization Expense - ARO	63005081 49093 63-WAS	3,000.00	
To record ARO for wells in accordance with GASB 83					
AJE#11	4/30/2020	Accounts Receivable	10 12001 10-G	301,297.63	
AJE#11	4/30/2020	Def Rev	10 23001 10-G		(301,297.63)
To record COVID-19 related grant reimbursements					







PASSED ADJUSTMENTS

		<u>City of Wood Dale</u>		<u>AGGREGATE REMAINING</u>	
		(CLIENT)		(OPINION UNIT)	
		For the Year Ended		<u>4/30/2020</u>	
All entries posted as Debit (Credit)					
Description	Workpaper Reference	Assets/ Deferred Outflows of Resources	(Liabilities/ Deferred Inflows of Resources)	(Fund Balance)	Change in Fund Balance
Current Effect of Prior Period Passed AJE's that have carried forward to Current Period		\$ -	\$ -	\$ -	\$ -
To record 12th month of sanitation services	4010	-	(67,805)	-	67,805
Totals		\$ -	\$ (67,805)	\$ -	\$ 67,805

**CITY OF WOOD DALE, ILLINOIS**  
**COMMUNICATION OF DEFICIENCIES**  
**IN INTERNAL CONTROL AND**  
**OTHER COMMENTS TO MANAGEMENT**

April 30, 2020





1415 West Diehl Road, Suite 400  
Naperville, IL 60563  
630.566.6400

SIKICH.COM

Mr. Jeffrey Mermuys, City Manager  
Mr. Bradley W. Wilson, Finance Director  
City of Wood Dale, Illinois

Ladies and Gentlemen:

As part of the annual audit, we are required to communicate internal control matters that we classify as significant deficiencies and material weaknesses to those charged with governance. A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies in internal control, such that there is a reasonable possibility that a material misstatement of the City's financial statements will not be prevented, or detected and corrected, on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

During our audit we also became aware of several matters that are opportunities for strengthening internal controls and operating efficiency that do not meet the definition of material weaknesses or significant deficiencies. The memorandum that accompanies this letter summarizes our comments and suggestions regarding those matters. In addition, we reviewed the status of the recommendations for the period ended April 30, 2019. The status of these recommendations is included in Appendix A.

We will review the status of these comments during our next audit engagement. We have already discussed these comments and suggestions with Finance Director Wilson, and we will be pleased to discuss them in further detail at your convenience, to perform any additional study of these matters, or to assist you in implementing the recommendations.

The City's written responses to these matters identified in our audit have not been subjected to the audit procedures applied in the audit of the financial statements and, accordingly, we express no opinion on them.

This communication is intended solely for the information and use of the Mayor, Members of the City Council and the management of the City and is not intended to be and should not be used by anyone other than these specified parties.

*Sikich LLP*

Naperville, Illinois  
December 7, 2020

## **DEFICIENCY**

### **Capital Assets**

During our testing of capital assets, we noted differences between the capital asset schedules provided and the trial balance figures. These differences required an adjusting journal entry to be posted, which was reviewed and approved by management, to correct ending capital asset balances, depreciation, and intrafund transfers in the Water/Sewer Operations Fund. We recommend that the City reviews its capital asset schedules to ensure it supports the trial balance amounts. We also noted that the new ERP system that the City began using during fiscal year 2020 was erroneously calculating capital asset depreciation due to using incorrect depreciable bases and useful lives to depreciate the assets. While the depreciation differences were not material enough to require an adjusting journal entry, we recommend that these assets are reviewed to ensure they are being properly depreciated.

### **Management Response**

Management agrees that this was an issue. We have been in contact with the ERP vendor and believe we have identified and resolved the issue so that this not a continuing issue going forward.

## **OTHER COMMENTS**

### **Future Accounting Pronouncements**

The Governmental Accounting Standards Board (GASB) has issued a number of pronouncements that may impact the City in the future.

GASB Statement No. 87, *Leases*, establishes a single model for lease accounting based on the foundational principle that leases are financings of the right to use an underlying asset and aims to enhance comparability of financial statements among governments. This statement also requires additional notes to the financial statements related to the timing, significance, and purpose of a government's leasing arrangements. The requirements of this statement are effective for the fiscal year ending April 30, 2021.

GASB Statement No. 91, *Conduit Debt Obligations*, provides a single method of reporting conduit debt obligations by issuers and eliminates diversity in practice associated with (1) commitments extended by issuers, (2) arrangements associated with conduit debt obligations, and (3) related note disclosures. This Statement clarifies the existing definition of conduit debt obligation; establishes that a conduit debt obligation is not a liability of the issuer; establishes standards for accounting and financial reporting of additional commitments and voluntary commitments extended by issuers and arrangements associated with conduit debt obligations; and improves required note disclosures. This statement is effective for fiscal years ending April 30, 2022.

## OTHER COMMENTS (Continued)

### Future Accounting Pronouncements (Continued)

GASB Statement No. 92, *Omnibus 2020*, addresses a variety of topics including: The effective date of Statement No. 87 for interim financial reports; reporting of intra-entity transfers of assets between a primary government employer and a component unit defined benefit pension plan or defined benefit other postemployment benefit (OPEB) plan; the applicability of Statements No. 73 to Certain Provisions of GASB Statement Nos. 67 and 68, as amended, and No. 74, as amended, to reporting assets accumulated for postemployment benefits; the applicability of certain requirements of Statement No. 84, to postemployment benefit arrangements; measurement of liabilities (and assets, if any) related to asset retirement obligations (AROs) in a government acquisition; reporting by public entity risk pools for amounts that are recoverable from reinsurers or excess insurers; reference to nonrecurring fair value measurements of assets or liabilities in authoritative literature and terminology used to refer to derivative instruments. The requirements of this Statement are effective for the fiscal years ending April 30, 2022 and thereafter, except for the requirements related to the effective date of Statement 87 and Implementation Guide 2019-3, reinsurance recoveries, and terminology used to refer to derivative instruments are effective upon issuance.

GASB Statement No. 93, *Replacement of Interbank Offered Rates*, was issued to address the financial reporting implications that result from the replacement of an Interbank Offered Rate (IBOR). The statement clarifies the existing guidance under Statement No. 53, *Accounting and Financial Reporting for Derivative Instruments*, as amended and Statement No. 87, *Leases*. The statement preserves the consistency and comparability of reporting hedging derivative instruments and leases after governments amend or replace agreements to replace an IBOR. This statement is effective for the fiscal year ending April 30, 2022.

GASB Statement No. 94, *Public-Private and Public-Public Partnerships and Availability Payment Arrangements*, was issued to address issues related to accounting and reporting for public-private and public-public partnership arrangements (PPPs). A PPP is an arrangement in which a government (the transferor) contracts with an operator (a governmental or nongovernmental entity) to provide public services by conveying control of the right to operate or use a nonfinancial asset, such as infrastructure or other capital asset (the underlying PPP asset), for a period of time in an exchange or exchange-like transaction. Some PPPs meet the definition of a service concession arrangement (SCA), which is defined in this Statement as a PPP in which (1) the operator collects and is compensated by fees from third parties; (2) the transferor determines or has the ability to modify or approve which services the operator is required to provide, to whom the operator is required to provide the services, and the prices or rates that can be charged for the services; and (3) the transferor is entitled to significant residual interest in the service utility of the underlying PPP asset at the end of the arrangement. This Statement also provides guidance for accounting and financial reporting for availability payment arrangements (APAs). As defined in this Statement, an APA is an arrangement in which a government compensates an operator for services that may include designing, constructing, financing, maintaining, or operating an underlying nonfinancial asset for a period of time in an exchange or exchange-like transaction. This Statement is effective for fiscal year ending April 30, 2024.

## OTHER COMMENTS (Continued)

### Future Accounting Pronouncements (Continued)

GASB Statement No. 95, *Postponement of the Effective Dates of Certain Authoritative Guidance*, was issued to provide temporary relief to governments and other stakeholders due to the COVID-19 pandemic.

The effective dates of certain provisions contained in the following pronouncements are postponed by one year:

- Statement No. 83, *Certain Asset Retirement Obligations*
- Statement No. 84, *Fiduciary Activities*
- Statement No. 88, *Certain Disclosures Related to Debt, including Direct Borrowings and Direct Placements*
- Statement No. 89, *Accounting for Interest Cost Incurred before the End of a Construction Period*
- Statement No. 90, *Majority Equity Interests*
- Statement No. 91, *Conduit Debt Obligations*
- Statement No. 92, *Omnibus 2020*
- Statement No. 93, *Replacement of Interbank Offered Rates*
- Implementation Guide No. 2017-3, *Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions (and Certain Issues Related to OPEB Plan Reporting)*
- Implementation Guide No. 2018-1, *Implementation Guidance Update—2018*
- Implementation Guide No. 2019-1, *Implementation Guidance Update—2019*
- Implementation Guide No. 2019-2, *Fiduciary Activities*.

The effective dates of the following pronouncements are postponed by 18 months:

- Statement No. 87, *Leases*
- Implementation Guide No. 2019-3, *Leases*

GASB Statement No. 96, *Subscription-Based Information Technology Arrangements*, provides guidance on the accounting and financial reporting for subscription-based information technology arrangements (SBITAs) for government end users. A SBITA is defined as a contract that conveys control of the right to use another party's (a SBITA vendor's) information technology (IT) software, alone or in combination with tangible capital assets (the underlying IT assets), as specified in the contract for a period of time in an exchange or exchange-like transaction. This Statement establishes that a SBITA results in a right-to-use subscription asset—an intangible asset—and a corresponding subscription liability, provides the capitalization criteria for outlays other than subscription payments, including implementation costs of a SBITA; and requires note disclosures regarding a SBITA. To the extent relevant, the standards for SBITAs are based on the standards established in Statement No. 87, *Leases*, as amended. The requirements of this Statement are effective for fiscal year April 30, 2024. Earlier application is encouraged.

## **OTHER COMMENTS (Continued)**

### **Future Accounting Pronouncements (Continued)**

GASB Statement No. 97, *Certain Component Unit Criteria, and Accounting and Financial Reporting for Internal Revenue Code Section 457 Deferred Compensation Plans – an amendment of GASB Statements no. 14 and No. 84, and a supersession of GASB Statement No. 32*, (1) increases consistency and comparability related to the reporting of fiduciary component units in circumstances in which a potential component unit does not have a governing board and the primary government performs the duties that a governing board typically would perform; (2) mitigates costs associated with the reporting of certain defined contribution pension plans, defined contribution other postemployment benefit (OPEB) plans, and employee benefit plans other than pension plans or OPEB plans (other employee benefit plans) as fiduciary component units in fiduciary fund financial statements; and (3) enhances the relevance, consistency, and comparability of the accounting and financial reporting for Internal Revenue Code (IRC) Section 457 deferred compensation plans (Section 457 plans) that meet the definition of a pension plan and for benefits provided through those plans. The requirements of this Statement are effective as follows:

- The requirements in (1) paragraph 4 of this Statement as it applies to defined contribution pension plans, defined contribution OPEB plans, and other employee benefit plans and (2) paragraph 5 of this Statement are effective immediately
- The requirements in paragraphs 6-9 of this Statement are effective for the fiscal year ending April 30, 2023.
- All other requirements of this Statement are effective for the fiscal year ending April 30, 2023.

**APPENDIX A  
STATUS OF COMMENTS FROM APRIL 30, 2019**

**DEFICIENCY**

**Escrow Deposits/Builders Cash Bonds**

The City holds many engineering escrow deposits and builders cash bonds that are typically returned, net of costs once the respective project is completed. During our review of these deposits, we noted that the City had numerous old outstanding escrow deposits and cash bonds. We recommend that the City's Finance Department collaborate with other departments to determine the status of these projects, what the deposit was collected for and who it is owed to and return closed project balances to the appropriate parties.

**Status** - Comment still applicable as of April 30, 2020.

**Management Response**

Management agrees that there are number of older bonds and/or escrows still on the books. We are working with the other departments to clean these up before they go live on the new ERP system.

# FIRM



## ORGANIZATION

Sikich LLP, a leading professional services firm specializing in accounting, technology, investment banking\* and advisory services\*\*, has 1,000+ professionals throughout the country. Founded in 1982, Sikich now ranks within the country's top 30 largest Certified Public Accounting firms and is among the top one percent of all enterprise resource planning solution partners in the world. From corporations and not-for-profits to state and local governments, Sikich clients can use a broad spectrum of services and products that help them reach long-term, strategic goals.

## INDUSTRIES

Sikich provides services and solutions to a wide range of industries. We have devoted substantial resources to develop a significant base of expertise and experience in:

AGRICULTURE	AUTOMOTIVE	CONSTRUCTION & REAL ESTATE
DISTRIBUTION & SUPPLY CHAIN	GOVERNMENT	HIGH-TECH
LIFE SCIENCES	MANUFACTURING	NOT-FOR-PROFIT
PRIVATE EQUITY	PROFESSIONAL SERVICES	

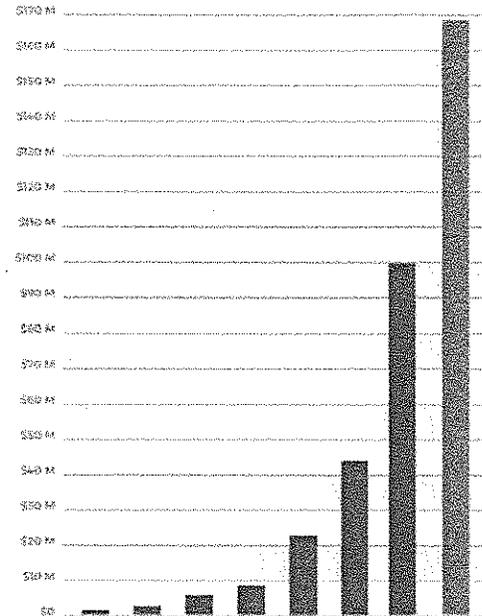
## STATISTICS

2019 Revenue	\$167.4M
Total Partners	100+
Total Personnel	1,000+

*Personnel count as of January 1, 2020*



## SIKICH TOTAL REVENUE



## SERVICES

### ACCOUNTING, TAX & ASSURANCE

### TECHNOLOGY

- Business Application
- Cloud & Infrastructure
- Consulting & Implementation
- Security and Compliance
- Digital Transformation Consulting

### ADVISORY

- Business Succession Planning
- Insurance Services
- Forensic and Valuation Services
- Human Capital Management & Payroll Consulting
- Investment Banking
- Marketing & Design
- Public Relations
- Retirement Plan Services
- Supply Chain
- Transaction Advisory Services
- Wealth Management

\* Securities offered through Sikich Corporate Finance LLC, member FINRA/SIPC.  
 \*\* Investment advisory services offered through Sikich Financial as SEC Registered Investment Advisor.



<b>Alexandria, VA</b> (703) 836-1350	<b>Chicago, IL</b> (312) 648-6666	<b>Indianapolis, IN</b> (317) 842-4466	<b>Minneapolis, MN</b> (331) 229-5235	<b>Springfield, IL</b> (217) 793-3363
<b>Akron, OH</b> (330) 864-6661	<b>Crofton, MD</b> (410) 451-5150	<b>Los Angeles, CA</b> (877) 279-1900	<b>Naperville, IL</b> (630) 566-8400	<b>St. Louis, MO</b> (314) 275-7277
<b>Boston, MA</b> (508) 485-5588	<b>Decatur, IL</b> (217) 423-6000	<b>Milwaukee, WI</b> (262) 754-9400	<b>Peoria, IL</b> (309) 694-4251	

## CERTIFICATIONS

All professional accounting staff with more than one year of experience have earned or are working toward earning the Certified Public Accountant designation. Sikich is a member of the American Institute of Certified Public Accountants' Governmental Audit Quality Center and the Employee Benefit Plan Audit Quality

Center. We adhere to the strict requirements of membership which assure we meet the highest standards of audit quality. In 2017 Sikich LLP received its 10th consecutive unmodified ("pass") peer review report, the highest level of recognition conferred upon a public accounting firm for its quality control systems.

## AWARDS

### 2018-2020 AWARDS

- 2020 & 2019 Oracle® NetSuite 5 Star Award
- 2019/2020 & 2018/2019 Inner Circle for Microsoft Dynamics
- *Accounting Today* Top 100 Firms - ranked top 30 nationally
- *Accounting Today* Top 100 Value Added Reseller Stars (VARs) 2020 - ranked #5
- Best Places to Work in Illinois
- Best Places to Work in Indiana
- Milwaukee's Best and Brightest Companies to Work For®
- Chicago's Best and Brightest Companies to Work For®
- Boston's Best and Brightest Companies to Work For®
- Bob Scott's Top 100 VARs 2020 - ranked #5

### 2017 AWARDS

- Bob Scott's Top 100 (VARs) - ranked #7
- *Accounting Today* Top 100 VARs - ranked #6
- Vault Accounting Top Ranked
- When Work Works Award
- WorldatWork Work-Life Seal of Distinction
- Microsoft Dynamics Inner Circle and President's Club
- Best Places to Work in Illinois
- Milwaukee's 101 Best and Brightest Companies to Work For®
- Best Places to Work in Indiana
- Chicago's 101 Best and Brightest Companies to Work For®
- *Milwaukee Journal Sentinel* Top Workplaces in Milwaukee
- *Chicago Tribune's* Top Workplaces
- *Crain's List* Chicago's Largest Privately Held Companies - ranked #234
- Boston's 101 Best and Brightest Companies to Work For®
- National Best and Brightest in Wellness
- National Best and Brightest Companies to Work For

2019/2020  
**INNERCIRCLE**  
*for Microsoft Business Applications*

## SIKICH IS PROUD

### PRIMEGLOBAL

PrimeGlobal is one of the top five largest associations of independent accounting firms in the world, providing a wide range of tools and resources to help member firms furnish superior accounting, auditing, and management services to clients around the globe.





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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: Insurance Renewal  
Staff Contact: Kate Buggy, Management Analyst  
Department: Administration

**TITLE:** Property, Casualty, and Workers Compensation Insurance Renewal

### **RECOMMENDATION:**

Staff recommends switching to Option 1, with insurance services provided by Travelers and IPRF, in an amount not to exceed \$519,631.

### **BACKGROUND:**

Since calendar year 2013, the City has been using Alliant Insurance Services as its broker for property, casualty, and workers compensation insurance. Every year the City must renew these lines of coverage. The City had Alliant do full marketing for the coverages this year and three options were obtained. As Alliant notes, the current insurance marketplace is one of the most challenging of the past few decades. Overall market premiums have been increasing, coverage and limits have been reduced, and carriers have been more selective in their quoting of business.

### **ANALYSIS:**

The City's current insurance carriers are:  
Brit – Casualty coverage  
Hanover – Property and Crime coverages  
IPRF – Workers' compensation coverage

The current pricing proposal from Hanover/Brit/IPRF as compared to last year's pricing is an increase of 10%, or \$48,678. A significant part of the increase can be seen in the workers' compensation line due to recent loss history and development. Also, it's

important to note that Brit was recently acquired by One Beacon and now goes by the name Intact Insurance.

Alliant also obtained two other options: the first with liability carrier Travelers Insurance and IPRF, the City's current carrier for workers' compensation insurance; the second with the Illinois County Risk Management Trust (ICRMT), which would be able to provide all coverage, including property and workers' compensation insurance. All three options include a program of coverage, limits, and deductibles that are similar to the expiring structure. However, only some of the options would permit the City to choose its counsel in the event of a lawsuit. Currently, the City is able to choose City Attorney Pat Bond as its counsel. City Attorney Bond has represented the City in two lawsuits that would have otherwise been handled by the carriers since 2013.

	<b>Carriers</b>	<b>Price</b>	<b>Choice of Counsel</b>
<b>Expiring Coverage</b>	Hanover/Brit/IPRF	\$504,591	Yes
<b>Option 1</b>	Travelers/IPRF	\$519,631 (3%)	No
<b>Option 2</b>	ICRMT	\$530,798 (5%)	Maybe on lighter cases
<b>Option 3</b>	Hanover/Brit/IPRF	\$553,269 (10%)	Yes

Attached is a more detailed premium summary for the current pricing proposals. Based on the numbers presented, staff recommends the City select Option 1 (Travelers/IPRF) for its insurance renewal.

**DOCUMENTS ATTACHED**

- ✓ Premium Summary and Comparison
- ✓ Mandatory Disclosures



## City of Wood Dale

# Insurance Proposal

Presented by Thomas Collins – Account Executive

December 2, 2020

Services may be provided by Mesirow Insurance Services, Inc., an Alliant-owned company, and Alliant Insurance Services, Inc.  
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## Executive Summary

Alliant Insurance Services' Public Entity Team is pleased to be presenting the insurance placement options to the City of Wood Dale for the January 1, 2021 to the January 1, 2022 policy period. The program terms, conditions, pricing, and retentions are outlined in detail on the following pages.

The current insurance marketplace is one of the most challenging of the past few decades. Overall market premiums have been increasing, coverage and limits have been reduced and carriers have been more selective in their quoting of business.

Due to these circumstances, we consulted with City staff and proposed going to the marketplace to secure some options for this renewal.

Three options were obtained:

1. The first is with the incumbent group of carriers including the former insurance company BRIT., with Hanover, and RPS on other lines of coverage and Illinois Public Risk Fund on the workers compensation. BRIT had been acquired by the municipal carrier One Beacon recently, as now goes by Intact Insurance.
2. The second option is with the liability carrier Travelers Insurance along with the other carriers noted above for the other lines.
3. Thirdly we secured an option with the Illinois County Risk Management Trust (ICRMT). They are able to provide all coverage including property and workers compensation insurance.

All of these options include a program of coverage, limits and deductible that are similar to the expiring structure. Also these carriers provide strong claims and loss control services on a daily basis.

We have included a premium summary that details the premium costs for each line of coverage. The comparison reflects that the various options would provide increases in the 3%, 5% and 10% range.

Given the state of the current marketplace, we feel that these results are very solid and would provide strong alternatives for the Village.

We appreciate the opportunity to present this renewal to the City of Wood Dale.

## Premium Summary and Comparison

	1/01/2020 -1/01/2021	1/01/2021 -1/01/2022	1/01/2021 -1/01/2022	1/01/2021 -1/01/2022
	Expiring Hanover, Brit & IPRF	Renewal- Option 1 Travelers & IPRF	Renewal- Option 2 ICRMT	Renewal- Option 3 Hanover, Brit & IPRF
<b>Property</b>	\$ 27,723	\$ 37,181	Included in GL Premium	\$ 28,844
<b>Equipment Breakdown</b>	\$ 2,394	Included in Property Premium	Included in GL Premium	\$ 3,417
<b>Inland Marine</b>	\$ 3,299	\$ 2,267	Included in GL Premium	\$ 3,457
<b>General Liability</b>	\$ 89,256	\$ 75,528	\$ 248,174	\$ 82,313
<b>Employee Benefits Liability</b>	Included in GL Premium	Included in GL Premium	Included in GL Premium	Included in GL Premium
<b>Law Enforcement Liability</b>	Included in GL Premium	Included in GL Premium	Included in GL Premium	Included in GL Premium
<b>Public Officials Liability</b>	Included in GL Premium	Included in GL Premium	Included in GL Premium	Included in GL Premium
<b>Employment Practices Liability</b>	Included in GL Premium	Included in GL Premium	Included in GL Premium	Included in GL Premium
<b>Auto Liability</b>	\$ 71,802	\$ 53,136	Included in GL Premium	\$ 77,231
<b>Auto Physical Damage</b>	Included in Auto Liability Premium	Included in Auto Liability Premium	Included in GL Premium	Included in Auto Liability Premium
<b>Umbrella/Excess Liability</b>	\$ 34,155	\$ 21,848	Included in GL Premium	\$ 28,336
<b>Package Total</b>	\$ 228,629	\$ 189,960	\$ 248,174	\$ 223,598
<b>Workers Compensation</b>	\$ 268,838	\$ 332,855	\$ 282,624	\$ 332,855
<b>IPRF Safety Grant</b>		\$ (11,996)	N/A	\$ (11,996)
<b>Crime</b>	\$ 2,646	\$ 2,646	Included in GL Premium	\$ 2,646
<b>Cyber</b>	\$ 4,478	\$ 6,166	Included in GL Premium	\$ 6,166
<b>Total Premiums</b>	\$ 504,591	\$ 519,631	\$ 530,798	\$ 553,269
<b>Increase %</b>		3%	5%	10%

TRIA included above

## Disclosures

**This proposal of insurance is provided as a matter of convenience and information only. All information included in this proposal, including but not limited to personal and real property values, locations, operations, products, data, automobile schedules, financial data and loss experience, is based on facts and representations supplied to Alliant Insurance Services, Inc. by you. This proposal does not reflect any independent study or investigation by Alliant Insurance Services, Inc. or its agents and employees.**

**Please be advised that this proposal is also expressly conditioned on there being no material change in the risk between the date of this proposal and the inception date of the proposed policy (including the occurrence of any claim or notice of circumstances that may give rise to a claim under any policy which the policy being proposed is a renewal or replacement). In the event of such change of risk, the insurer may, at its sole discretion, modify, or withdraw this proposal, whether or not this offer has already been accepted.**

**This proposal is not confirmation of insurance and does not add to, extend, amend, change, or alter any coverage in any actual policy of insurance you may have. All existing policy terms, conditions, exclusions, and limitations apply. For specific information regarding your insurance coverage, please refer to the policy itself. Alliant Insurance Services, Inc. will not be liable for any claims arising from or related to information included in or omitted from this proposal of insurance.**

Alliant embraces a policy of transparency with respect to its compensation from insurance transactions. Details on our compensation policy, including the types of income that Alliant may earn on a placement, are available on our website at [www.alliant.com](http://www.alliant.com). For a copy of our policy or for any inquiries regarding compensation issues pertaining to your account you may also contact us at: Alliant Insurance Services, Inc., Attention: General Counsel, 701 B Street, 6th Floor, San Diego, CA 92101.

Analyzing insurers' over-all performance and financial strength is a task that requires specialized skills and in-depth technical understanding of all aspects of insurance company finances and operations. Insurance brokerages such as Alliant Insurance typically rely upon rating agencies for this type of market analysis. Both A.M. Best and Standard and Poor's have been industry leaders in this area for many decades, utilizing a combination of quantitative and qualitative analysis of the information available in formulating their ratings.

A.M. Best has an extensive database of nearly 6,000 Life/Health, Property Casualty and International companies. You can visit them at [www.ambest.com](http://www.ambest.com). For additional information regarding insurer financial strength ratings visit Standard and Poor's website at [www.standardandpoors.com](http://www.standardandpoors.com).

Our goal is to procure insurance for you with underwriters possessing the financial strength to perform.

Alliant does not, however, guarantee the solvency of any underwriters with which insurance or reinsurance is placed and maintains no responsibility for any loss or damage arising from the financial failure or insolvency of any insurer. We encourage you to review the publicly available information collected to enable you to make an informed decision to accept or reject a particular underwriter. To

learn more about companies doing business in your state, visit the Department of Insurance website for that state.

*Other Disclosures / Disclaimers – Continued*

## NY Regulation 194

Alliant Insurance Services, Inc. is an insurance producer licensed by the State of New York. Insurance producers are authorized by their license to confer with insurance purchasers about the benefits, terms and conditions of insurance contracts; to offer advice concerning the substantive benefits of particular insurance contracts; to sell insurance; and to obtain insurance for purchasers. The role of the producer in any particular transaction typically involves one or more of these activities.

Compensation will be paid to the producer, based on the insurance contract the producer sells. Depending on the insurer(s) and insurance contract(s) the purchaser selects, compensation will be paid by the insurer(s) selling the insurance contract or by another third party. Such compensation may vary depending on a number of factors, including the insurance contract(s) and the insurer(s) the purchaser selects. In some cases, other factors such as the volume of business a producer provides to an insurer or the profitability of insurance contracts a producer provides to an insurer also may affect compensation.

The insurance purchaser may obtain information about compensation expected to be received by the producer based in whole or in part on the sale of insurance to the purchaser, and (if applicable) compensation expected to be received based in whole or in part on any alternative quotes presented to the purchaser by the producer, by requesting such information from the producer.

## FATCA:

The Foreign Account Tax Compliance Act (FATCA) requires the notification of certain financial accounts to the United States Internal Revenue Service. Alliant does not provide tax advice so please contact your tax consultant for your obligation regarding FATCA.

## Claims Reporting:

Your policy will come with specific claim reporting requirements. Please make sure you understand these obligations. Contact your Alliant Service Team with any questions.

## Claims Made Policy:

*(Applicable to any coverage that is identified as claims made)*

This claims-made policy contains a requirement stating that this policy applies only to any claim first made against the Insured and reported to the insurer during the policy period or applicable extended reporting period. Claims must be submitted to the insurer during the policy period, or applicable extended reporting period, as required pursuant to the Claims/Loss Notification Clause within the policy in order for coverage



to apply. Late reporting or failure to report pursuant to the policy's requirements could result in a disclaimer of coverage by the insurer.

*Other Disclosures / Disclaimers = Continued*

## **Claims Made Policy (D&O/EPL):**

*(Applicable to any coverage that is identified as claims made)*

This claims-made policy contains a requirement stating that this policy applies only to any claim first made against the Insured and reported to the insurer during the policy period or applicable extended reporting period. Claims must be submitted to the insurer during the policy period, or applicable extended reporting period, as required pursuant to the Claims/Loss Notification Clause within the policy in order for coverage to apply. Late reporting or failure to report pursuant to the policy's requirements could result in a disclaimer of coverage by the insurer.

Any Employment Practices Liability (EPL) or Directors & Officers (D&O) with EPL coverage must give notice to the insurer of any charges / complaints brought by any state / federal agency (i.e. EEOC and similar proceedings) involving an employee. To preserve your rights under the policy, it is important that timely notice be given to the insurer, whether or not a right to sue letter has been issued.

## **NRRA:**

*(Applicable if the insurance company is non-admitted)*

The Non-Admitted and Reinsurance Reform Act (NRRA) went into effect on July 21, 2011. Accordingly, surplus lines tax rates and regulations are subject to change which could result in an increase or decrease of the total surplus lines taxes and/or fees owed on this placement. If a change is required, we will promptly notify you. Any additional taxes and/or fees must be promptly remitted to Alliant Insurance Services, Inc.

*Other Disclosures / Disclaimers = Continued*

## **Changes and Developments**

It is important that we be advised of any changes in your operations, which may have a bearing on the validity and/or adequacy of your insurance. The types of changes that concern us include, but are not limited to, those listed below:

- Changes in any operations such as expansion to another states, new products, or new applications of existing products.
- Travel to any state not previously disclosed.
- Mergers and/or acquisition of new companies and any change in business ownership, including percentages.
- Any newly assumed contractual liability, granting of indemnities or hold harmless agreements.
- Any changes in existing premises including vacancy, whether temporary or permanent, alterations, demolition, etc. Also, any new premises either purchased, constructed or occupied
- Circumstances which may require an increased liability insurance limit.
- Any changes in fire or theft protection such as the installation of or disconnection of sprinkler systems, burglar alarms, etc. This includes any alterations to the system.
- Immediate notification of any changes to a scheduled of equipment, property, vehicles, electronic data processing, etc.
- Property of yours that is in transit, unless previously discussed and/or currently insured.

## **Certificates / Evidence of Insurance**

- A certificate is issued as a matter of information only and confers no rights upon the certificate holder. The certificate does not affirmatively or negatively amend, extend or alter the coverage afforded by a policy. Nor does it constitute a contract between the issuing insurer(s), authorized representative, producer or certificate holder.
- You may have signed contracts, leases or other agreements requiring you to provide this evidence. In those agreements, you may assume obligations and/or liability for others (Indemnification, Hold Harmless) and some of the obligations that are not covered by insurance. We recommend that you and your legal counsel review these documents.
- In addition to providing a certificate of insurance, you may be required to name your client or customer on your policy as an additional insured. This is only possible with permission of the insurance company, added by endorsement and, in some cases, an additional premium.
- By naming the certificate holder as additional insured, there are consequences to your risks and insurance policy including:
  - Your policy limits are now shared with other entities; their claims involvement may reduce or exhaust your aggregate limit.
  - Your policy may provide higher limits than required by contract; your full limits can be exposed to the additional insured.
  - There may be conflicts in defense when your insurer has to defend both you and the additional insured.

***See Request to Bind Coverage page for acknowledgment of all disclaimers and disclosures.***

## Optional Coverages

The following represents a list of insurance coverages that are not included in this proposal, but are optional and may be available with further underwriting information.

Note some of these coverages may be included with limitations or insured elsewhere. This is a partial listing as you may have additional risks not contemplated here or are unique to your organization.

- Crime / Fidelity Insurance
- Directors & Officers Liability
- Earthquake Insurance
- Employed Lawyers
- Employment Practices Liability
- Event Cancellation
- Fiduciary Liability
- Fireworks Liability
- Flood Insurance
- Foreign Insurance
- Garage Keepers Liability
- Kidnap & Ransom
- Law Enforcement Liability
- Media and Publishers Liability
- Medical Malpractice Liability
- Network Security / Privacy Liability and Internet Media Liability
- Pollution Liability
- Owned/Non-Owned Aircraft
- Owned Watercraft
- Special Events Liability
- Student Accident
- Volunteer Accidental Death & Dismemberment (AD&D)
- Workers' Compensation
- Workplace Violence

## Other Services **AlliantConnect**

AlliantConnect is an online portal created especially for you to access and manage your insurance information in real time.

### What is AlliantConnect?

This secure, easy-to-use portal enables you to easily access and manage your insurance information from any Internet connection at any time. Your customized portal provides you with the following:

- A transparent view into your insurance business
- Easy management of your documents, including certificate issuance
- Help with risk control through a comprehensive library of fact sheets, white papers, presentations, and training videos
- An easy place for clients to find a summary of their policy coverages
- A single source to track important dates and announcements
- Access to your Alliant service team

All client data is secured to the appropriate account teams, and the database itself and all backups are stored in a highly encrypted format. In addition, all document changes are archived for audit history.

**Contact your service team to set up AlliantConnect today**



## OTHER ALLIANT SERVICES

### Alliant Loss Control Services

**HAZARD IDENTIFICATION, EVALUATION, ELIMINATION AND CONTROL WILL PROTECT YOUR COMPANY, LOWER INSURANCE COSTS, AND IMPROVE SERVICE.**

**Accidents and avoidable incidents that result in financial loss can threaten the very existence and long-term viability of your company. They mean lost time, damaged property, diversion of resources, and possible legal and medical expenses that can place a huge burden on your organization and prevent you from reaching your business goals.**

Alliant's Risk Control Consulting helps clients identify and reduce loss exposures. Our specialists can help prevent costly accidents and losses, which can lead to lower insurance costs. Whatever the size of your company or scope of your operations, we can help strengthen your safety and risk management programs with proven services that protect lives, safeguard assets, and control costs. Services are not limited to those listed below.

<p><b>How to Choose the Right Risk Control Consultant</b></p> <p>When seeking a qualified risk control consultant, you should ask:</p> <ul style="list-style-type: none"> <li>• Does the consultant have specific commercial experience for your type(s) of operations and assets?</li> <li>• Does the consultant have the resources and availability to do the job when you need it and for your intended use?</li> <li>• Do they tell you whatever you need to know to control risk or only what you want to hear?</li> <li>• Does the consultant have a support team of experts able to help you if there is a regulatory citation, a serious claims, or challenging litigation?</li> </ul> <p>Each of the above questions can be answered <u>YES</u> by Alliant's Risk Control Consulting.</p> <p>Alliant also offers:</p> <ul style="list-style-type: none"> <li>• <b>Extensive Experience in Diverse Business Sectors</b></li> <li>• <b>Nationwide Coverage</b></li> <li>• <b>Local Expertise</b></li> <li>• <b>Peer Review</b></li> </ul>	<p><b>Safety Services</b></p> <ul style="list-style-type: none"> <li>▪ Program Development</li> <li>▪ On-Site Hazard/Risk Assessments</li> <li>▪ Ergonomics</li> <li>▪ Industrial Hygiene</li> <li>▪ Fleet Safety Analysis</li> <li>▪ General Liability</li> <li>▪ Training Services</li> </ul> <p><b>Workers' Compensation Services</b></p> <ul style="list-style-type: none"> <li>▪ Loss Prevention (Safety)</li> <li>▪ Risk Control (Injury Management)</li> <li>▪ Recordkeeping Management</li> </ul> <p><b>Property Risk Control Services</b></p> <ul style="list-style-type: none"> <li>▪ Fire System Evaluation</li> <li>▪ Risk Assessment</li> <li>▪ Loss Estimates</li> <li>▪ Hazard Analysis</li> <li>▪ Loss Prevention and Risk Control Program Analysis</li> <li>▪ Property Marketing Reports</li> <li>▪ Business Interruption Analysis and Continuity Planning</li> <li>▪ Infrared and Ultrasonic Testing</li> </ul> <p style="text-align: center;"><b>To learn more about our risk control consulting and safety services:</b>  <b>(888) 737-4752 Toll Free</b>  <a href="mailto:riskcontrol@alliant.com">riskcontrol@alliant.com</a></p>
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## Request to Bind Coverage

City of Wood Dale

We have reviewed the proposal and agree to the terms and conditions of the coverages presented. We are requesting coverage to be bound as outlined by coverage line below:

Coverage Line	Bind Coverage for:
Commercial Property	<input type="checkbox"/>
Commercial Package	<input type="checkbox"/>
Commercial Auto	<input type="checkbox"/>
Cyber	<input type="checkbox"/>
Crime	<input type="checkbox"/>
Workers' Compensation	<input type="checkbox"/>
Umbrella / Excess Liability	<input type="checkbox"/>

*This Authorization to Bind Coverage also acknowledges receipt and review of all disclaimers and disclosures, including exposures used to develop insurance terms, contained within this proposal*

\_\_\_\_\_  
**Signature**

\_\_\_\_\_  
**Date**

\_\_\_\_\_  
**Title**

\_\_\_\_\_  
**Printed / Typed Name**

**This proposal does not constitute a binder of insurance. Binding is subject to final carrier approval. The actual terms and conditions of the policy will prevail.**



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## **REQUEST FOR COMMITTEE ACTION**

Referred to Committee: December 10, 2020  
Subject: SSA 15 & 16 Extension  
Staff Contact: Brad Wilson, Finance Director  
Department: Finance

**TITLE:** Special Service Area 15 & 16 extension

### **RECOMMENDATION:**

Direct staff to work with legal to prepare the appropriate ordinances to extend the life of Special Service Areas 15 and 16.

### **BACKGROUND:**

In October 2015 the City created Special Service Area 15 and 16 (SSA 15, SSA 16). These were created as “dormant” Areas, only to be used should the retention/detention for their respective subdivisions fall into disrepair.

SSA 15 – Park Place (Timber Ct)  
SSA 16 – Arbor Woods

### **ANALYSIS:**

In creating SSA 15 and SSA 16, the City set the life of the bonds (to be issued only if needed) at 10 years. Unfortunately, the 10 year life was from the date of *creation* of the SSA, not the date of *issuance* of the bonds. As the retention/detention in those areas has remained in good standing, the City has not had to activate either SSA.

Unfortunately, do due how the original ordinances were worded, at this time the City would not be able to active them as they have expired. However, based upon the intent of the SSA (dormant, only activated if needed) the County is willing to work with the City to modify the wording to make them into a permanent SSA, that would then expire 10

years after the issuance date. This is a favorable position the County has taken that would allow the City to retain these Areas without having to re-establish them should the need arise to activate them in the future.

**DOCUMENTS ATTACHED**

✓ None